

# Local Assistance Funding Matrix = FINAL 5/18/07

Information included in this matrix does not relieve counties of any monetary match requirements mandated by State or federal law. The Department cautions counties and providers that violating State and Federal laws and regulations may jeopardize future AOD funding levels. Federal (SAPT Block Grant) law prohibits the supplantation of SGF with federal funds.

Funding Source	Authority	Summary of Allowable Expenses	Summary of Restrictions/ Not Allowable
<b>Federal Dollars funded through the Negotiated Net Amount/Drug Medi-Cal Contract</b>			
SAPT Discretionary	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66</li> <li>- HSC 11754</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	These funds may be used for a wide range of activities to prevent and treat substance abuse and deal with the abuse of alcohol, the use or abuse of illicit drugs, and preventing the use or abuse of tobacco products.	<ul style="list-style-type: none"> <li>- See "General SAPT Block Grant Funding Information" for further restrictions</li> <li>- Can be used on loans for Resident Run Housing programs.</li> <li>- Cannot be used for stand alone tobacco treatment services.</li> </ul>

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

Funding Source	Authority	Summary of Allowable Expenses	Summary of Restrictions/ Not Allowable
Substance Abuse Prevention and Treatment (SAPT) - Female Offender Treatment Program (FOTP)	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66</li> <li>- HSC 11754</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	Funding used to provide 6 months of continuous residential treatment for paroled female inmates primarily from the Forever Free Program at the California Institution for Women.	<ul style="list-style-type: none"> <li>- In Residential Setting Only</li> <li>- Los Angeles, Orange, Riverside, and San Bernardino counties only</li> <li>- Cannot be used for administrative costs</li> <li>- Other women on community parole may receive FOTP services on a case-by-case basis if the Forever Free program under-utilizes the funding.</li> <li>- Cannot be used to cover criminal justice costs.</li> </ul>
SAPT Adolescent/Youth Treatment	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66- HSC 11754-</li> <li>CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	Funding used to provide comprehensive, age-appropriate AOD services to youth.	<ul style="list-style-type: none"> <li>- Not for Primary Prevention and Driving Under-the-Influence- Youth Treatment Guidelines are designed for counties to use in developing and implementing youth treatment programs funded by this funding source. The use of the Youth Treatment Guidelines is voluntary.</li> </ul>

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

Funding Source	Authority	Summary of Allowable Expenses	Summary of Restrictions/ Not Allowable
SAPT Primary Prevention Set-Aside	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66</li> <li>- HSC 11754</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	This funding is used for the following services: Information Dissemination, Education, Alternatives, Problem Identification and Referral, Community Based Process, and Environmental Services (refer to Service Code Description document for detailed information)	- Funding cannot be expended on early intervention services.
SAPT Friday Night Live (FNL) Program	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66</li> <li>- HSC 11754</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	Funding used to prevent alcohol and drug use among teenagers through activities including community action, assemblies, and leadership training.	Funding must be used in accordance with the six Primary Prevention Strategies
SAPT Club Live (CL) Program	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66- HSC 11754-</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	Funding for this program is used for middle school-age students and is an extension of the FNL program.	Funding must be used in accordance with the six Primary Prevention Strategies

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

Funding Source	Authority	Summary of Allowable Expenses	Summary of Restrictions/ Not Allowable
SAPT HIV Set Aside	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66</li> <li>- HSC 11754</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	<ul style="list-style-type: none"> <li>- Funding used to provide HIV early intervention services to individuals under going treatment for substance abuse. Services include appropriate pre-test counseling, testing, appropriate post-test counseling, and providing therapeutic measures in relationship to the testing for preventing and treating the conditions of the disease.</li> <li>- Services may be provided at treatment program site or satellite sites that provide treatment services only.</li> </ul>	<ul style="list-style-type: none"> <li>- This funding can be used in treatment programs only.</li> <li>- HIV services are voluntary - not required as condition of receiving AOD treatment</li> <li>- HIV early intervention services must be made available to individuals in an AOD treatment program at the treatment site.</li> </ul>
SAPT Perinatal Set-Aside	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66</li> <li>- HSC 11754</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	<p>Funding used to increase the availability of treatment services for pregnant women and women with dependent children only. Funding can be used to expand capacity, add new perinatal programs/services, and/or change existing programs.</p>	<ul style="list-style-type: none"> <li>- Funds cannot be used to supplant existing perinatal services.</li> <li>- Subject to Perinatal Services Network Guidelines</li> </ul>

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

Funding Source	Authority	Summary of Allowable Expenses	Summary of Restrictions/ Not Allowable
SAPT Special Projects	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66- HSC 11754- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	Funding allocated for special projects as approved by ADP.	<ul style="list-style-type: none"> <li>- Alameda, Los Angeles, Modoc, and Kern counties only</li> </ul>
SAPT Substance Abuse Treatment and Testing Accountability (SATTA)	<ul style="list-style-type: none"> <li>- CCR, Title 9, Division 4, Chapter 2.5, Section 9535</li> <li>- Title 42, USC, Section 300x-21(b)</li> <li>Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66</li> <li>- HSC 11754</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	<ul style="list-style-type: none"> <li>- This funding is for drug testing of clients treated under SACPA and can also be used for any other purposes consistent with federal SAPT Block Grant requirements.</li> </ul>	<ul style="list-style-type: none"> <li>- May be used for drug testing provided it is part of client's treatment plan and not a stand alone program or initiative.</li> <li>- May be used to cover Prop 36 costs after Prop 36 funds are exhausted.</li> </ul>
Federal Financial Participation (FFP) for Drug Medi-Cal (DMC)	<ul style="list-style-type: none"> <li>- Title XIX (Social Security Act), California Medicaid State Plan, and CCR, Division 3, Title 22</li> <li>- Health Care Financing and Administration (HCFA-15)</li> </ul>	This funding is allowed for services as identified in CCR, Title 22, Section 51341.1 and HCFA-15	<ul style="list-style-type: none"> <li>- Matchable to SGF funding only for DMC services.</li> <li>- This funding is not allowed for Minor Consent clients (Medi-Cal Aid Code 7M or 7P which are funded 100% SGF)</li> </ul>

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

Funding Source	Authority	Summary of Allowable Expenses	Summary of Restrictions/ Not Allowable
General Federal Funding	Alcohol Services Reporting System Manual	Federal or State funding is not allowed for Vocational Rehabilitation services	

THIS PORTION OF THE PAGE INTENTIONALLY LEFT BLANK

Funding Source	Authority	Summary of Allowable Expenses	Summary of Restrictions/ Not Allowable
<p><u>General SAPT Block Grant funding requirements. This funding is not allowed:</u></p> <ul style="list-style-type: none"> <li>- to provide inpatient hospital services, except as provided in subsection (b) in 42 USC Section 300x-31</li> <li>- to make cash payments to intended recipients of health services;</li> <li>- to purchase or improve land, purchase, construct, or permanently improve other than minor remodeling any building or facility</li> <li>- to purchase major medical equipment</li> <li>- to satisfy any requirement for the exception of non-Federal funds as a condition for the receipt of the Federal funds</li> <li>- to provide financial assistance to any entity other than a public or nonprofit private entity</li> <li>- to carry out any program prohibited by 42 USC section 300ee-5 of this title (use of funds to supply hypodermic needles or syringes for illegal drug use; prohibition)</li> <li>– to treat the mental health disorder of a person with co-occurring substance abuse and mental health disorders. (If a person is being treated for both disorders, the associated funding for that disorder must be tracked separately.) (Ref. 42 USC 300x-66, 42 USC 300x-21(b) and 42 USC 300x-34)</li> <li>- to use for sober living environment programs except for group homes as provided by 42 USC 300x-25 and 45 CFR 96.129</li> </ul> <p><u>Interim Services:</u> Service that is provided until an individual is admitted to a substance abuse treatment program. At minimum, interim services include: counseling and education about HIV and TB, about risks of needle-sharing, the risks of transmission, prevention steps to avoid transmission of HIV and TB, and referral for HIV or TB services. For pregnant women, interim services also include counseling related to the effects of alcohol and drug use on the fetus as well as referral for prenatal care. Interim services must be provided within specific time periods. For additional information on providing HIV and TB, refer to Sections 96.127 and 96.128.</p> <p><u>Intravenous Substance Abusers:</u> Programs receiving SAPT Block Grant funding must treat individuals for intravenous substance abuse. Programs are also required to encourage individuals in need to treatment to undergo such treatment. CFR 45, Subtitle A, Part 96, Subpart L, Section 96.126(b)(1)(2) identifies the timelines of when individuals should be admitted into a program and identifies samples of outreach models.</p> <p><u>Treatment services for pregnant women:</u> Programs receiving SAPT block grant funding and serving injecting drug abuse population shall give preference to treatment as follows: 1) pregnant injecting drug users; 2) pregnant substance abusers; 3) injecting drug users; and 4) all others.</p>			

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

Funding Source	Authority	Summary of Allowable Expenses	Summary of Restrictions/ Not Allowable
<b>State General Fund Dollars funded through the Negotiated Net Amount/Drug Medi-Cal Contract</b>			
SGF Discretionary – Regular	California Budget Act Authority	These funds are used for the cost of alcohol and drug treatment and prevention services.	<ul style="list-style-type: none"> <li>- Cannot be used to match the Federal Share in the Drug Medi-Cal program</li> <li>- County match required (see County Match)</li> <li>- If county population is less than 20,000, SGF can be used for DUI programs</li> </ul>
SGF Discretionary – Perinatal	California Budget Act Authority	<ul style="list-style-type: none"> <li>- These funds are used for programs serving populations of pregnant and postpartum women and their infants and parenting women and their children through age 17.</li> <li>- This funding can be used to backfill DMC overages (costs that exceed the DMC rate cap)</li> </ul>	<ul style="list-style-type: none"> <li>- Cannot be used to match the Federal Share in the Drug Medi-Cal program</li> <li>- County match required (see County Match)</li> <li>- Subject to Perinatal Services Network Guidelines</li> </ul>

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

Funding Source	Authority	Summary of Allowable Expenses	Summary of Restrictions/ Not Allowable
Women and Children's Treatment	California Budget Act Authority	- These funds are for programs that provide residential treatment services to women and their children (formerly funded by a federal grant).	<ul style="list-style-type: none"> <li>- Cannot be used to match the Federal Share in the Drug Medi-Cal program</li> <li>- Alameda, Los Angeles, Marin, San Diego, San Francisco, San Joaquin, and San Luis Obispo counties only</li> <li>- Exempt from 10% county match requirement</li> <li>- This funding must be reported separately from other perinatal funding</li> <li>- Subject to Perinatal Services Network Guidelines</li> </ul>
Parolee Services Network (PSN)	California Budget Act Authority	These funds are from the California Department of Corrections and Rehabilitation for drug and alcohol treatment and recovery services for men and women paroled to the community from State prisons.	<ul style="list-style-type: none"> <li>- Alameda, Contra Costa, Fresno, Kern, Los Angeles, Marin, Napa, Orange, Riverside, Sacramento, San Bernardino, San Diego, San Francisco, San Mateo, Santa Clara, Solano, and Sonoma counties only</li> </ul>
SGF Match to DMC	California Budget Act Authority	This funding is allowed for services as identified in CCR, Title 22, Section 51341.1.	<ul style="list-style-type: none"> <li>- 100% of this funding is for Minor Consent clients (Medi-Cal Aid Code 7M or 7P)</li> <li>- Perinatal SGF Match to DMC funding can only be used for services as defined in Title 22 for pregnant or 60-day postpartum women</li> </ul>

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

Funding Source	Authority	Summary of Allowable Expenses	Summary of Restrictions/ Not Allowable
			- With the exception of Minor Consent clients (Medi-Cal Aid Codes 7M or 7P), must have FFP match
General State Funding	Alcohol Services Reporting System Manual	Federal or State funding is not allowed for Vocational Rehabilitation services	

THIS PORTION OF THE PAGE INTENTIONALLY LEFT BLANK

**Other ADP Local Assistance Dollars not Funded through the Negotiated Net Amount/Drug Medi-Cal Contract**

<p>Substance Abuse Treatment Trust Fund (SATTF)</p>	<p>California Budget Act Authority</p>	<ul style="list-style-type: none"> <li>- This funding is to provide treatment services to first or second time non-violent adult drug offenders who use, possess, or transport illegal drugs for personal use.</li> <li>- This funding can be used for the administration of services for eligible clients pursuant to the Act.</li> <li>- This funding can be used to backfill DMC overages for services provided SACPA clients (costs that exceeded the DMC rate cap).</li> <li>- This funding can be used for vocational training, family counseling, literacy training, and other services.</li> <li>- This funding may be used for other services, which may include probation department costs; court monitoring costs; and miscellaneous costs.</li> </ul>	<ul style="list-style-type: none"> <li>- Cannot be used for drug testing.</li> <li>- Miscellaneous costs may include housing with exceptions.</li> <li>- Eligible offenders may receive up to one year of drug treatment and six months of aftercare.</li> <li>- Treatment must be provided in a program licensed or certified by ADP.</li> <li>- Courts may sanction offenders who are not amenable to treatment.</li> <li>- Jail sanctions are not allowed.</li> <li>- Funding cannot be expended for the purchase of land, purchase of construction of buildings, or addition to buildings.</li> <li>- Refer to this code for additional information on allowable and non-allowable expenditures.</li> </ul>
---	--	---	---

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

<p>Substance Abuse Offender Treatment Program (OTP)</p>	<p>- California's State Budget Authority - HSC, Division 10.10, Chapter 76, 2006 Statutes</p>	<p>Funds can be used for:</p> <ul style="list-style-type: none"> <li>- Enhancing treatment services for offenders assessed to need them, including residential treatment and narcotic replacement therapy</li> <li>- Increasing the proportion of sentenced offenders who enter, remain in, and complete treatment</li> <li>- Reducing delays in the availability of appropriate treatment services</li> <li>- Use of a drug court model, including dedicated court calendars</li> <li>- Developing treatment services that are needed but not available</li> <li>- Other activities, approaches, and services approved by ADP, after consultation with stakeholders</li> </ul>	<ul style="list-style-type: none"> <li>- County match at a ratio of nine dollars (\$9) for every one dollar (\$1) of eligible county matching funds.</li> <li>- OTP funds cannot be expended for drug education as identified in ADP Bulletin #06-10.</li> <li>- Purchase of equipment is not allowed.</li> <li>- Alteration and/or renovation of existing facility is not allowed.</li> <li>- County administrative activities, including general administration, planning and policy development, budgeting, accounting, personnel, business services, management analysis, training, and legal is not allowed.</li> </ul>
---	---	---	--

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

<p>Drug Court Partnership (DCP) Program</p>	<p>California Budget Act Authority</p>	<ul style="list-style-type: none"> <li>- These funds are in support of adult drug courts that are used by drug courts to provide direct court supervision, regular drug testing, substance abuse treatment services, and other related services in support of treatment activities.</li> <li>- This funding is only for services for convicted adult felons that are placed on formal probation.</li> <li>- Refer to page 14 of the Request for Application of the Dependency Drug Court Grant for examples of allowable costs. This document can be located at <a href="http://www.adp.ca.gov/drug_courts/doc/DC_Letter_06-02_Enclosure_1.doc">www.adp.ca.gov/drug_courts/doc/DC_Letter_06-02_Enclosure_1.doc</a></li> </ul>	<ul style="list-style-type: none"> <li>- There is a 20% county match requirement (SGF cannot be used as county match).</li> <li>- If counties had funding dedicated to DDC or Juvenile Drug Courts as of 5/22/03, they are allowed to maintain that level of funding to continue supporting the juvenile drug courts, while all others had to support adult drug courts.</li> </ul>
<p>Comprehensive Drug Court Implementation (CDCI) Program</p>	<p>California Budget Act Authority</p>	<ul style="list-style-type: none"> <li>- These funds are in support of adult, juvenile justice, and family drug courts. - Refer to page 14 of the Request for Application of the Dependency Drug Court Grant for examples of allowable costs. This document can be located at <a href="http://www.adp.ca.gov/drug_courts/doc/DC_Letter_06-02_Enclosure_1.doc">www.adp.ca.gov/drug_courts/doc/DC_Letter_06-02_Enclosure_1.doc</a></li> </ul>	<ul style="list-style-type: none"> <li>- Funds cannot be used for any type of lobbying activities</li> <li>- Use of these funds falls under the OMB Circular A-87, Cost Principals for State, Local, and Indian Tribal Governments.</li> <li>- There is a 20% county match requirement (SGF cannot be used as county match).</li> <li>- At least 85% must be expended on treatment services while there is a maximum of 15% cap allowed for admin services.</li> </ul>

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

<p>Dependency Drug Court Program (DDC)</p>	<p>California Budget Act Authority</p>	<ul style="list-style-type: none"> <li>- These funds from the Department of Social Services must be used for implementation, planning or expansion of existing Dependency Drug Court Programs in selected counties.</li> <li>- Allowable costs are those reasonably related to the drug court systems that cannot be provided by other community agencies.</li> <li>- Refer to page 14 of the Request for Application of the Dependency Drug Court Grant for examples of allowable costs. This document can be located at <a href="http://www.adp.ca.gov/drug_courts/doc/DC_Letter_06-02_Enclosure_1.doc">www.adp.ca.gov/drug_courts/doc/DC_Letter_06-02_Enclosure_1.doc</a></li> </ul>	<ul style="list-style-type: none"> <li>- No county match requirement.</li> <li>- This funding is only allowed for parents of children removed or in jeopardy of being removed from their homes by the court pursuant to WIC, Section 300.</li> </ul>
<p>Safe and Drug Free Schools and Communities (SDFSC) Grant</p>	<p>- No Child Left Behind Act- SDFSC (20 USC § 7111 et seq.)</p>	<p>This funding is used for reducing drug, alcohol, and tobacco use, and violence, through education and prevention activities to create a safe and drug-free learning environment that supports student academics in the nation's schools and communities.</p>	<ul style="list-style-type: none"> <li>- Funds cannot be used for construction, or to provide medical services, drug treatment, or rehabilitation- Funds cannot be used for religious worship, instruction, proselytization, or for equipment and supplies to be used for any of these activities.</li> <li>- Funding administered through a competitive grant process.</li> </ul>

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

<p>Access to Recovery (ATR) Grant (implemented as the California Access to Recovery Effort - CARE)</p>	<p>California Budget Act Authority</p>	<p>This funding is used to assist young people in need of substance abuse treatment. Vouchers are issued to individuals for obtaining a comprehensive array of clinical AOD treatment and recovery support services (including services provided by faith based organizations).</p>	<p>- Sacramento and Los Angeles counties only</p>
<p>California Screening, Brief Intervention, Referral, and Treatment (CASBIRT) Grant</p>	<p>Section 509 of PHS Act (42 USC)</p>	<p>These funds are used to provide alcohol and other drug (AOD) screening, brief intervention, referral, and treatment, and extends the State current continuum of care for AOD clients.</p>	<p>- San Diego County only</p>
<p>State Incentive Grant (SIG)</p>	<p>California Budget Act Authority</p>	<p>These funds are used to fund evidence-based environmental prevention programs and strategies to reduce binge drinking and related community problems among 12-25 years old.</p>	<p>- Funded through a competitive grant process to 13 counties; programs will end by September 2007.</p>

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

<b>Non-ADP Local Assistance Dollars tracked in the Negotiated Net Amount/Drug Medi-Cal Budget</b>			
SACPA Client Fees	CCR, Title 9, Section 9532	This funding is fees collected from clients pursuant to the Act as a result of an assessment by a trial judge which is used toward the cost of placing the client into drug treatment.	The fee collected should be based on the client's ability to pay and is not applicable to Medi-Cal beneficiaries.
SACPA County/Provider Other Funds	CCR, Title 9, Section 9532	This funding is county funds that the county or provider expends of their money to provide services to SACPA clients.	Restrictions as defined by county
Targeted Case Management-Medi-Cal Administrative Activity (TCM-MAA)	W&I Code, Sections 14132.44 and 14132.47	TCM funding information is for services to specific groups of Medi-Cal beneficiaries, one of which is alcohol and drug abusers. MAA funding is used to assist with the performance of administrative activities necessary for the proper and efficient administration of the Medi-Cal program. These funds are Medicaid, and DHS is the responsible single state agency for management of the claiming and cost reporting process.	<ul style="list-style-type: none"> <li>- Providers who receive these funding sources are considered local governments and are under contract with DHS and must submit annual cost report to DHS.</li> <li>- Local government providers may subcontract with service providers.</li> </ul>

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

Non-County Revenue	County operated revenue	This funding information is revenue from sources other than allocated or county-generated such as non-federal grant, donations, contributions, and third-party payments other than insurance.	Restrictions as defined by county
California Work Opportunity and Responsibility to Kids (CalWORKs)	Department of Social Services	These funds allocated from the Department of Social Services are to be used for CalWORKs recipients for whom substance abuse is a barrier to employment.	<ul style="list-style-type: none"> <li>- At the discretion of the County Welfare Directors based on need, these funds can be moved between CalWORKs substance abuse services and CalWORKs mental health services.</li> <li>- Cannot be used to match any federal funds.</li> </ul>
County Funds	HSC, Section 11840 and 11840.1	These are funds provided by the county as administered by the County Alcohol and Drug Program Administrator. These are funds in addition to the county match funds.	Restrictions as defined by county

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

County Match	H & S Code	This funding provided by the county to meet SGF match requirements.	<ul style="list-style-type: none"> <li>- Counties with population over 100,000: 1) for non-state hospitals there is a 10% county match requirement; 2) for state hospitals there is a 15% county match requirement</li> <li>- Counties with populations less than 100,000: 1) for non-state hospitals there is no match requirement; 2) for state hospitals there is a 10% county match requirement</li> </ul>
County/Provider Unrestricted Funds	County generated revenue	This funding is used to identify how much the county and/or provider is using to cover DMC costs that exceed the DMC rate.	Restrictions as defined by county
Fees	County generated revenue	These are fees that are collected from clients and expended for treatment services. Share of cost information should be reported as a fee. These revenues shall be used in support of additional alcohol and other drug services or facilities.	<ul style="list-style-type: none"> <li>- These fees cannot be used in Support Services for administrative, management and support functions as they relate to how the funding it identified in the budgets and cost reports under the Support Services modality. (HSC 11841).</li> <li>- Fees cannot be collected from Medi-Cal beneficiaries unless it is a share of cost.</li> </ul>
Insurance	County generated revenue	This funding is what is collected from third-party payors.	- These fees cannot be used in Support Services (HSC 11841).

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

<p>PC 1463.25 (SB 920) and HS 11372.7 (SB 921)</p>		<p>This funding results from fines collected by the county for violations and convictions of alcohol/drug related offenses as required by SB 920 (Alcohol Abuse Education and Prevention Penalty Assessment) and SB 921 (Controlled Substance Abuse Penalty Fee).</p>	<ul style="list-style-type: none"> <li>- A minimum of 33 percent collected shall be allocated to Primary Prevention programs.</li> <li>- These funds shall supplement and not supplant any local funds made available to support the county's alcohol and drug abuse education and prevention efforts.</li> </ul>
<p>PC 1463.16 (Statham Funds)</p>	<p>Vehicle Code, Sections 23103, 23104, 23152, or 23153.</p>	<p>These fines shall be used to encourage the development of privately operated programs before developing publicly operated programs at the local level, and development shall include upgrading facilities to promote ADP certification and licensing standards and to increase accessibility for handicapped persons.</p>	<ul style="list-style-type: none"> <li>- Cannot be used for drug programs or as county match for drug programs.</li> <li>- These funds should be used for alcohol component of treatment program and only when that program is certified or has applied for certification.</li> <li>- These funds can be used as county match for alcohol clients only in alcohol/drug combined programs and perinatal programs.</li> </ul>
<p>DUI Fees</p>	<p>H &amp; S Code, Section 11837.3, Subsection A1</p>	<p>These are fees collected by the participants to provide for his or her DUI treatment services.</p>	<ul style="list-style-type: none"> <li>- There is a 5% cap of gross participant fees for the administration and monitoring of the DUI program. If cap exceeds 5%, a waiver from ADP's DUI Program Branch is required for each fiscal year.</li> </ul>

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.

Penal Code 1000 Admin. Fees	AB 2710 initiated this program.	No statute or regulation that governs the allowable costs and restrictions on spending the fees that the county receives from the PC 1000 program, although counties may develop their own criteria.	Solely participant fees
Private Industries Council (PIC)	California State Budget Authority	EDD funding used for substance abuse services	
Substance Abuse Services for Indian Health Clinics	California's State Budget Authority	These funds from the Department of Social Services are used to provide substance abuse services in Indian Health Clinics.	

### Website resources:

Title 42 (USC)	<a href="http://www.gpoaccess.gov/uscode/">http://www.gpoaccess.gov/uscode/</a>
Code of Federal Regulations (CFR)	<a href="http://www.gpoaccess.gov/cfr/index.html">http://www.gpoaccess.gov/cfr/index.html</a>
Code of California Regulations (CCR) Title 9	<a href="http://www.calregs.com">http://www.calregs.com</a> (Click on "List of CCR Titles")
CCR Title 9	<a href="http://www.calregs.com">http://www.calregs.com</a> (Click on "List of CCR Titles")
CCR Title 19	<a href="http://www.calregs.com">http://www.calregs.com</a> (Click on "List of CCR Titles")
California Budget Act Authority	<a href="http://govbud.dof.ca.gov/">http://govbud.dof.ca.gov/</a>
SDFSC No Child Left Behind Act (20 USC)	<a href="http://www.ed.gov/offices/OESE/SDFS">http://www.ed.gov/offices/OESE/SDFS</a>
Health & Safety Code	<a href="http://www.leginfo.ca.gov/calaw.html">http://www.leginfo.ca.gov/calaw.html</a>
Vehicle Code	<a href="http://www.leginfo.ca.gov/calaw.html">http://www.leginfo.ca.gov/calaw.html</a>
CCR Title 22	<a href="http://www.calregs.com">http://www.calregs.com</a> (Click on "List of CCR Titles")
Health Care Financing and Administration (HCFA)	<a href="http://www.os.dhhs.gov/about/opdivs/hcfa.html">http://www.os.dhhs.gov/about/opdivs/hcfa.html</a>
W & I Code	<a href="http://www.leginfo.ca.gov/calaw.html">http://www.leginfo.ca.gov/calaw.html</a>

G:/FM/Funding Matrix (Word)\_1

This provides summary information only and does not determine the interpretation of any statute, regulation or case ruling. This guide may be helpful to identify issues for further inquiry but must not be relied upon for decision making.