

FUNDING LINE DESCRIPTIONS

Fiscal Year 2007-08

Alcohol/Drug Medi-Cal (Federal Share Only) Fed. Cat. #93.778 (Funding Line 40)

This funding is the Federal Financial Participation (FFP) share for alcohol and drug services for Drug Medi-Cal (DMC)-eligible beneficiaries. The FFP percentage shares are 50 percent for July 1, 2007, through June 30, 2008.

Perinatal - Medi-Cal (Federal Share Only) Fed. Cat. #93.778 (Funding Line 40a)

This funding is for perinatal services for DMC eligible beneficiaries. The FFP percentage shares are 50 percent for July 1, 2007, through June 30, 2008.

Perinatal (PTEP) - Match to Medi-Cal (Funding Lines 40b)

This funding is the State General Fund (SGF) share for perinatal services for DMC-eligible beneficiaries. The SGF percentage shares are 50 percent for July 1, 2007, through June 30, 2008.

Perinatal State General Fund (Funding Line 41c)

These funds are for programs serving populations of pregnant and postpartum women and their infants and parenting women and their children up to 18 years of age.

These funds cannot be used to match FFP in the DMC program.

Women and Children's Residential Treatment Services SGF (Funding Line 41g)

The Legislature appropriated continued funding to the existing Women and Children's Residential Treatment Services that began through the Federal Center for Substance Abuse Treatment grants but whose grants have since lost funding.

These programs provide residential treatment services to women and their children. These funds cannot be used for purposes of match in the DMC program. These funds were identified as "Perinatal Grant" in FY 1998-99. Participating counties include: Alameda, Los Angeles, Marin, San Diego, San Francisco, San Joaquin, and San Luis Obispo.

The 10 percent county match is not required.

These funds must be shown as separate and independent expenditures, within a specific provider, from other perinatal programs.

Perinatal State General Fund – Backfill (Funding Line 41x)

These are SGF dollars that can be used to backfill DMC overages (cost over the DMC rate cap) for DMC perinatal programs.

Perinatal SACPA SGF - DMC Backfill (Funding Line 41y)

These are SACPA SGF dollars that can be used to backfill DMC overages (cost over the DMC rate cap) for services provided to SACPA DMC clients in a perinatal program.

Female Offender Treatment Project (Fed. Cat. #93.959) (Funding Lines 45-07 and 45-08)

These funds are used to provide aftercare treatment (residential only) for paroled female inmates primarily from the California Institution for Women. The affected counties are Los Angeles, Orange, Riverside, and San Bernardino. Specific funding requirements have been provided directly to the affected counties. No Female Treatment Offender Project funds may be used for county administrative costs.

Parolee Services Networks (BASN, PPNP, PPP) (Funding Line 46)

These funds are made available by the California Department of Corrections for residential and non-residential alcohol and drug treatment and/or recovery services to inmates and parolees in the counties of Alameda, Contra Costa, Fresno, Kern, Los Angeles, Marin, Napa, Orange, Riverside, Sacramento, San Bernardino, San Diego, San Francisco, San Mateo, Santa Clara, Solano, and Sonoma.

Specific funding requirements have been provided directly to the affected counties.

Substance Abuse Prevention and Treatment (SAPT) Block Grant (Fed. Cat. #93.959)

SAPT Block Grant funds must be tracked by individual grant award; therefore, the individual grant awards are being tracked by funding line.

These funds are awarded for the purpose of planning, carrying out, and evaluating activities to prevent and treat substance abuse and authorized related activities. Twenty percent of the States' SAPT Block Grant funds must be spent on Primary Prevention activities.

For specific funding and program requirements, please refer to the Federal SAPT Block Grant Law and the Federal SAPT Block Grant Regulations.

a. Discretionary (Fed. Cat. #93.959) (Funding Lines 50-07, 50-07s, and 50-08)

Block grant funds are used for a wide range of activities to prevent and treat substance abuse and dealing with the abuse of alcohol, the use or abuse of illicit drugs, the abuse of illicit drugs, and the use or abuse of tobacco products.

These discretionary block grant funds awarded are for the purposes other than those block grant funds specifically identified (i.e., HIV Set-Aside, Perinatal Set-Aside, etc.).

b. Adolescent/Youth Treatment Services (Fed. Cat. #93.959) (Funding Lines 50a-07 and 50a-08)

These funds allocated are for adolescent and youth treatment services other than Primary Prevention and Driving Under-the-Influence programs.

c. SAPT Friday Night Live (Fed. Cat. #93.959) (Funding Lines 50b-07 and 50b-08)

The Friday Night Live (FNL) prevention program is designed to prevent alcohol and drug use among high school teenagers through activities including community action, mentoring, and leadership opportunities.

Friday Night Live Kids prevention program is designed for youth in fourth through sixth grade with a focus on bicycle safety and helmet laws and promoting positive development.

d. **SAPT Club Live (Fed. Cat. #93.959) (Funding Lines 50c-07 and 50c-08)**

The Club Live prevention program is designed to prevent alcohol and drug use among junior high/middle school students through the development of action-oriented chapters in which positive activities are planned and implemented by young people working together with adults.

e. **SAPT Primary Prevention Set-Aside (Fed. Cat. #93.959) (Funding Lines 50d-07 and 50d-08)**

These SAPT funds are identified separately to capture the expenditure of Primary Prevention and Secondary Prevention Services.

f. **HIV Set Aside (Fed. Cat. #93.959) (Funding Lines 51-07, 51-07s and 51-08)**

These funds are allocated to counties to make available to individuals (undergoing treatment for substance abuse) early intervention services for HIV diseases. Services are voluntary and will not be required as a condition of receiving treatment services. These services include appropriate pre-test counseling, testing individuals, appropriate post-test counseling, and providing therapeutic measures in relationship to the testing.

HIV Set-Aside funds may only be used in treatment programs, and can only be used in service code 65, HIV Early Intervention Services.

g. **SAPT - Perinatal Set Aside (Fed. Cat. #93.959) (Funding Lines 52-07 and 52-08)**

These funds are awarded to increase the availability of treatment services for pregnant women and women with dependent children.

These funds may be used by counties to expand static capacity in existing perinatal programs, add new perinatal programs/services (e.g., case management), and change existing programs. These funds cannot be used to supplant funds that support existing perinatal services.

h. **Special Projects - Summary of Funds (Funding Lines 56-07, 56-07s, and 56-08)**

Special Projects - Funds are allocated for special projects to the following counties: Alameda, Los Angeles, Modoc, and Kern.

Specific terms and conditions were provided directly to the affected counties.

Private Industries Council (PIC) (Funding Line 58a)

ADP must track funds from EDD being used for substance abuse services through the NNA contract process for Welfare-to-Work funding.

Substance Abuse Treatment and Testing Accountability (SATTA) – Substance Abuse Testing (Funding Line 59a-07 and 59a-08)

Senate Bill 223 enacted the Substance Abuse Treatment and Testing Accountability (SATTA) Program. These SAPT Block Grant funds are for the costs of substance abuse testing for eligible offenders under SACPA. These funds are for drug testing as outlined in SB 223 and for other purposes (Funding Line 59b-07 and 59b-08).

Substance Abuse Treatment and Testing Accountability (SATTA) – Additional Discretionary (SB 223) (Funding Line 59b-07 and 59b-08)

These SAPT Block Grant funds are for discretionary purposes (same requirements as Funding Line 50-07 and 50-08).

State General Fund (SGF) - Match to Medi-Cal (Funding Line 70)

This funding is for alcohol and drug services for DMC eligible beneficiaries. The SGF percentage shares are 50 percent for July 1, 2007, through June 30, 2008.

Offender Treatment Program (OTP) SGF (Funding Line 72)

These funds are provided under the OTP to provide enhanced services for offenders under the Substance Abuse and Crime Prevention Act of 2000 (SACPA).

Offender Treatment Program (OTP) SGF DMC Backfill (Funding Line 72x)

These funds are provided under the OTP to provide enhanced services for offenders under the Substance Abuse and Crime Prevention Act of 2000 (SACPA). This line is for reporting OTP SGF funds expended to cover the costs of service above the Drug Medi-Cal (DMC) rate cap for SACPA clients in DMC treatment programs.

Offender Treatment Program (OTP) SGF, FY 2006-07 Award (Funding Line 72-0607)

These funds are provided under the OTP to provide enhanced services for offenders under the Substance Abuse and Crime Prevention Act of 2000 (SACPA). Funds are reimbursed on the basis of quarterly invoices submitted to ADP. FY 2006-2007 Award Year funds may be expended from November 13, 2006 through November 30, 2007.

Offender Treatment Program (OTP) SGF, FY 2006-2007 Award, DMC Backfill (Funding Line 72x-0607)

These funds are provided under the OTP to provide enhanced services for offenders under the Substance Abuse and Crime Prevention Act of 2000 (SACPA). Funds are reimbursed on the basis of quarterly invoices submitted to ADP. FY 2006-2007 Award Year funds may be expended from November 13, 2006 through November 30, 2007. This line is for reporting OTP SGF funds expended to cover the costs of service above the DMC rate cap for SACPA clients in DMC treatment programs.

Offender Treatment Program (OTP) County Match (Funding Line 73a)

These are county general funds expended for OTP purposes. \$1 in match funds (from this line, line 73b, or a combination of this line and line 73b) are required for every \$9 in OTP SGF (lines 72, 72x, 72-0607, 72x-0607) expended.

Offender Treatment Program (OTP) Match – Client Fees (Funding Line 73b)

These are client fees collected and expended for OTP purposes. \$1 in match funds (from this line, line 73a, or a combination of this line and line 73a) are required for every \$9 in OTP SGF (lines 72, 72x, 72-0607, 72x-0607) expended.

SACPA State General Fund (Funding Line 78)

These funds are for the administration of services for eligible clients pursuant to SACPA. This information is being collected as a revenue item.

SACPA Client Fees (Funding Line 78a)

This funding line is for budgeting and tracking fees collected from clients pursuant to the Act as a result of an assessment by a trial judge (used toward the cost of placing clients into drug treatment). This funding is also used for budgeting and tracking fees collected by the drug treatment program based on the client's ability to pay.

The collected fees must be separately identified for audit purposes and treated as placement fees or treatment fees.

[CCR, Title 9, Section 9532]

SACPA County Other Funds (Funding Line 78b)

This funding is for budgeting and tracking county funds used for providing SACPA services.

SACPA Provider Other Funds (Funding Line 78c)

This funding is for budgeting and tracking provider funds used for providing SACPA services.

TCM – MAA (Funding Line 79)

Targeted Case Management (TCM) services are defined in Welfare and Institutions Code Section 14132.44. TCM services are to be provided to specific groups of Medi-Cal beneficiaries, one of which is alcohol and drug abusers. Providers are local governments under contract with the Department of Health Services (DHS). Providers must submit an annual cost report to DHS. Local government providers may subcontract with service providers.

Medi-Cal Administrative Activity (MAA) is defined in Welfare and Institutions Codes Section 14132.47. The intent of MAA is to assist with the performance of administrative activities necessary for the proper and efficient administration of the Medi-Cal program. Like TCM, DHS contracts with local government providers, who may subcontract with non-governmental entities for provision of services.

TCM - MAA funds are Medicaid. Because DHS is identified by the Federal Government as the single state agency, they are responsible for managing the claiming and cost reporting process.

Non-County Revenue (Funding Line 80)

This funding line is for revenue from sources other than allocated or county-generated. Examples of non-county revenue are non-federal grants, donations, contributions, and third-party payments other than insurance.

Funding from the California Access to Recovery Effort (CARE) Program must be included in this funding line (Sacramento and Los Angeles County only).

State General Fund (Funding Line 80c)

These funds are available to counties to meet the cost of alcohol and other drug services. These funds cannot be used to match FFP in the DMC program.

DSS/CalWORKs SGF (Funding Line 80e)

These funds are to be used for mental health services or substance abuse services. These funds are not matchable to any federal funds. County Welfare Directors have the option of moving these funds between their CalWORKs substance abuse services and their CalWORKs mental health services based on a county's specific need for each type of service. These funds were allocated by Department of Social Services.

Drug Court Partnership Program Grant (Funding Line 80h)

Reimbursement of these funds is through invoices submitted to ADP based on a Request of Application award. These funds are for use by drug courts to provide direct court supervision, regular drug testing, substance abuse treatment services, and other related services in support of treatment activities.

Comprehensive Drug Court Implementation (CDCI) Grant SGF, FY 2006-2007 Award (Funding Line 80m-0607)

These grant funds are governed by the county CDCI Multi-Agency Plan (MAP) for the FY 2006-2007 Award Year. Funds are reimbursed on the basis of quarterly invoices submitted to ADP. FY 2006-2007 Award Year funds are used for costs during calendar year 2007. CDCI Grant SGF has a match requirement,

for reporting information see the description of the Funding Line 81m, CDCI Grant – County Match.

Comprehensive Drug Court Implementation (CDCI) Grant SGF, FY 2007-2008 Award (Funding Line 80m-0708)

These grant funds are governed by the county CDCI MAP for the FY 2007-2008 Award Year. Funds are reimbursed on the basis of quarterly invoices submitted to ADP. FY 2007-2008 Award Year funds are used for costs during calendar year 2008. CDCI Grant SGF has a match requirement, for reporting information see the description of the Funding Line 81m, CDCI Grant – County Match.

Dependency Drug Court (DDC) SGF (Funding Line 80p)

These grant funds are reimbursed on the basis of quarterly invoices submitted to ADP for the operation of dependency drug courts.

Dependency Drug Court (DDC) SGF (Funding Line 80p-0607)

DDC Grant for FY 2006/07 was extended until December 2007. This extension created an overlap of award monies from FY 2006-07 to FY 2007-08.

Funding Line 80p-0607 is used to capture the carryover FY 2006/07 DDC into FY 2007-08

DDC Required County Match (Funding Line 80r)

DDC grant funds must be matched by other resources available to the county, at either a 10% or 20% rate, as specified in Health and Safety Code § 11970.2(b)(3). Funds used by the county to meet this match requirement, other than SAPT block grant funds, are reported on this funding line. SAPT block grant funds used to meet the match requirement are reported using the appropriate SAPT block grant funding line, and must be reported in the same provider, program code, and service code combination as the DDC grant funds (line 80p or 80p-0607) they are being used to match.

Regular State General Fund – Backfill (Funding Line 80x)

These SGF dollars can be used for DMC overages (cost over the DMC rate cap) in the DMC Alcohol/Drug Program or Perinatal Program.

SACPA SGF – DMC Backfill (Funding Line 80y)

These SACPA SGF dollars can be used for DMC overages (cost over the DMC rate cap) for services provided to SACPA DMC clients in the DMC Alcohol/Drug or Perinatal program.

Required County Match - Alcohol/Drug or Perinatal (Funding Lines 81c)

Counties shall comply with the following county match requirements pursuant to Health and Safety Code (HSC), Sections 11840, and 11840.1:

- a. Counties with population over 100,000:
 - 1. SGF allocations, if any, not used as DMC match shall be funded on the basis of 90 percent SGF and 10 percent county funds, except local hospital inpatient costs, to the extent there are allocations made for local hospital inpatient costs;
 - 2. State Hospital programs shall be funded on the basis of 85 percent SGF and 15 percent county funds.
- b. Counties with population less than 100,000:
 - 1. State Hospital programs shall be funded on the basis of 90 percent SGF and 10 percent county funds to the extent that allocations of SGF are made available for alcohol and drug treatment in State Hospital programs.
- c. Perinatal Services Network counties with population over 100,000:

Perinatal SGF, if any not used as DMC match, shall be funded on the basis of 90 percent Perinatal SGF and 10 percent county funds. The 10 percent county match funds must be used in perinatal programs.

This requirement does not apply to the Women and Children’s Residential Treatment Services SGF (Funding Line 41g) or the Drug Court Partnership Program Grant SGF (Funding Line 80h).

Comprehensive Drug Court Implementation (CDCI) Grant – County Match (Funding Line 81m)

CDCI grant funds must be matched by other resources available to the county, at either a 10% or 20% rate, as specified in Health and Safety Code § 11970.2(b)(3). Funds used by the county to meet this match requirement, other than SAPT block grant funds, are reported on this funding line. SAPT block grant funds used to meet the match requirement are reported using the appropriate SAPT block grant funding line, and must be reported in the same provider, program code, and service code combination as the CDCI grant funds (line 80m-0506 or 80m-0607) they are being used to match.

County Funds - Other (Funding Line 82)

These are funds provided by the county in excess of the above-cited match requirements (i.e., general assistance and probation funds) administered by the County Alcohol and Drug Administrator.

Provider Unrestricted Funds (Funding Line 82a)

This is a DMC line only. Costs for rates that exceed the rate cap will be accepted; however, the Department will not reimburse in excess of the rate cap. Costs in excess of the rate cap must be accounted for in the unrestricted funds lines.

County Unrestricted Funds (Funding Line 82b)

This is a DMC line only. Costs for rates that exceed the rate cap will be accepted; however, the Department will not reimburse in excess of the rate cap. Costs in excess of the rate cap must be accounted for in the unrestricted funds lines.

Excess Fees Spent (Funding Line 83)

These are fees that expended in the current fiscal year that were collected and not expended in the prior fiscal year.

These funds cannot be spent in Support Services (HSC, Section 11841).

Fees (Funding Line 84)

This amount is the projected (for budget) or the expended (cost report) amount of fees collected from clients for the fiscal year.

Each provider using state or federal funds must assess and collect fees from participants and report fees on its budget forms, except Prevention.

Fees cannot be collected from Drug Medi-Cal beneficiaries. However, if there is a share of cost to be charged to the client, the program must make an attempt to collect the share of cost. The share of cost should be reported on this funding line.

These funds cannot be spent in Support Services (HSC, Sections 11841).

Insurance (Funding Line 85)

This amount is the projected fees collected from third-party payers.

These funds cannot be spent in Support Services (HSC, Sections 11841).

PC 1463.25 (SB 920) and HS 11372.7 (SB 921) (Funding Line 86)

SB 920 (Alcohol Abuse Education and Prevention Penalty Assessment) and SB 921 (Controlled Substance Abuse Penalty Fee) require fines to be collected by the county for violations and convictions of alcohol/drug related offenses. These funds shall be identified and accounted for separately. A minimum of 33 percent of the funds shall be allocated to Primary Prevention programs in the schools and the community. These funds shall supplement and not supplant any local funds made available to support the county's alcohol and drug abuse education and prevention efforts.

PC 1463.16 - Statham (Funding Line 87) and Statham Match - Alcohol/Drug or Perinatal (Funding Line 87c)

Statham funds are fines imposed for violations of the Vehicle Code, Sections 23103, 23104, 23152, or 23153. Statham funds shall be used to encourage the development of privately operated programs before developing publicly operated programs at the local level, and development shall include upgrading of facilities to promote ADP certification and licensing standards and to increase accessibility for handicapped persons.

Statham funds are not to be used for drug programs or as county match for drug programs. To the extent the funds are used for combined drug/alcohol programs, the funds should be used for the alcohol component of the program and only when that program is certified or has applied for certification.

The match funds may also be used to match Alcohol/Drug combined programs for alcohol clients only as well as Perinatal programs for alcohol clients only.

PC 1463.16 - Statham Match for OTP (Funding Line 87d) and Statham Match for CDCI (Funding Line 87e)

New funding lines used to track funding of Statham Match Award for specific strategies.

- 87d for Offender Treatment Program (OTP)
- 87e for Comprehensive Drug Court Implementation (CDCI)

Excess Driving Under the Influence (DUI) Profit/Surplus Spent (Funding Line 88)

Profit/surplus in excess of 10 percent of costs must be included in the DUI program in the next fiscal year.

DUI Fees & Admin. & Monitoring (Funding Line 89)

DUI Fees are collected from the participants to provide for his or her DUI treatment services.

There is a five percent cap of gross participant fees for the administration and monitoring of the Driving Under the Influence (DUI) programs. If over the five percent cap, a waiver from the DUI Program Branch of ADP is required for each fiscal year.

Penal Code (PC) 1000 Admin. Fees (Funding Line 89a)

This funding line represents the amount of fees for the administration and monitoring of the Drug Diversion programs.

Obligated Unexpended State General Funds (SGF) - Prior Fiscal Year (Funding Line 90a)

This is for all counties that may have unexpended FY 2004-05 and prior years' ongoing SGF dollars that are to be expended in FY 2007-08.

Obligated Unexpended Youth SGF - Prior Fiscal Year (Funding Line 90c)

This is for all counties that may have unexpended FY 2004-05 and prior years' ongoing Youth SGF dollars that are to be expended in FY 2007-08.

Obligated Unexpended Non-Perinatal SGF from FY 2005-06 (Funding Line 90d-0506)

This is for all counties that may have unexpended FY 2005-06 ongoing SGF dollars that are to be expended in FY 2007-08 for **non-perinatal** services. Use Funding Line 90a for any obligated unexpended funds identified prior to FY 2004-05.

Obligated Unexpended Perinatal SGF from FY 2005-06 (Funding Line 90e-0506)

This is for all counties that may have unexpended FY 2005-06 ongoing SGF dollars that are to be expended in FY 2007-08 for **perinatal** services. Use Funding Line 90a for any obligated unexpended funds identified prior to FY 2004-05.

Obligated Unexpended Women and Children's SGF from FY 2005-06 (Funding Line 90f-0506)

This is for those counties that may have unexpended FY 2005-06 Women and Children's SGF dollars (Funding Line 41g) that are to be expended in FY 2007-08.

Obligated Unexpended Non-Perinatal SGF from FY 2006-07 (Funding Line 90d-0607)

This is for all counties that may have unexpended FY 2006-07 ongoing SGF dollars that are to be expended in FY 2007-08 for **non-perinatal** services. Use Funding Line 90a for any obligated unexpended funds identified prior to FY 2004-05.

Obligated Unexpended Perinatal SGF from FY 2006-07 (Funding Line 90e-0607)

This is for all counties that may have unexpended FY 2006-07 ongoing SGF dollars that are to be expended in FY 2007-08 for **perinatal** services. Use Funding Line 90a for any obligated unexpended funds identified prior to FY 2004-05.

Obligated Unexpended Women and Children's SGF from FY 2006-07 (Funding Line 90f-0607)

This is for those counties that may have unexpended FY 2006-07 Women and Children's SGF dollars (Funding Line 41g) that are to be expended in FY 2007-08.