

**California**

UNIFORM APPLICATION  
FY 2009

SUBSTANCE ABUSE PREVENTION AND TREATMENT  
BLOCK GRANT

OMB - Approved 09/20/2007 - Expires 09/30/2010

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Center for Substance Abuse Treatment  
Division of State and Community Assistance

## **Introduction:**

The SAPT Block Grant application format provides the means for States to comply with the reporting provisions of the Public Health Service Act (42 USC 300x-21-64), as implemented by the Interim Final Rule and the Tobacco Regulation for the SAPT Block Grant ( 45 CFR Part 96, parts XI and IV, respectively).

Public reporting burden for this collection of information is estimated to average 563 hours per response for sections I-III, 50 hours per response for Section IV-A and 42 hours per response for Section IV-B, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to SAMHSA Reports Clearance Officer; Paperwork Reduction Project (0930-0080); Room 16-105, Parklawn Building; 5600 Fishers Lane, Rockville, MD 20857.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0930-0080.

**Form 1**

**State: California**  
DUNS Number: 949088447-

## Uniform Application for FY 2009 Substance Abuse Prevention and Treatment Block Grant

I. State Agency to be the Grantee for the Block Grant:

Agency Name: California Department of Alcohol and Drug Programs  
Organizational Unit: Office of Grants Management  
Mailing Address: 1700 K Street, 5th floor  
City: Sacramento Zip Code: 95811-4037

II. Contact Person for the Grantee of the Block Grant:

Name: Renée Zito  
Agency Name: California Department of Alcohol and Drug Programs  
Mailing Address: 1700 K Street  
City: Sacramento Zip Code: 95811-4037  
  
Telephone: (916) 445-1943 FAX: (916) 324-7338  
  
Email Address: rzito@adp.ca.gov

III. State Expenditure Period:

From: 7/1/2006 To: 6/30/2007

IV. Date Submitted:

Date: 9/30/2008 3:46:04 PM Original:  Revision:

V. Contact Person Responsible for Application Submission:

Name: Alice Huffaker Telephone: (916) 322-3014  
Email Address: ahuffaker@adp.ca.gov FAX: (916) 324-7338

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**FORM 3: UNIFORM APPLICATION FOR FY 2009 SUBSTANCE ABUSE PREVENTION AND TREATMENT BLOCK GRANT  
Funding Agreements/Certifications  
as required by Title XIX of the Public Health Service (PHS) Act**

*Title XIX of the PHS Act, as amended, requires the chief executive officer (or an authorized designee) of the applicant organization to certify that the State will comply with the following specific citations as summarized and set forth below, and with any regulations or guidelines issued in conjunction with this Subpart except as exempt by statute.*

SAMHSA will accept a signature on this form as certification of agreement to comply with the cited provisions of the PHS Act. If signed by a designee, a copy of the designation must be attached.

**I. Formula Grants to States, Section 1921**

Grant funds will be expended “only for the purpose of planning, carrying out, and evaluating activities to prevent and treat substance abuse and for related activities” as authorized.

**II. Certain Allocations, Section 1922**

- Allocations Regarding Primary Prevention Programs, Section 1922(a)
- Allocations Regarding Women, Section 1922(b)

**III. Intravenous Drug Abuse, Section 1923**

- Capacity of Treatment Programs, Section 1923(a)
- Outreach Regarding Intravenous Substance Abuse, Section 1923(b)

**IV. Requirements Regarding Tuberculosis and Human Immunodeficiency Virus, Section 1924**

**V. Group Homes for Recovering Substance Abusers, Section 1925**

Optional beginning FY 2001 and subsequent fiscal years. Territories as described in Section 1925(c) are exempt.

The State “has established, and is providing for the ongoing operation of a revolving fund” in accordance with Section 1925 of the PHS Act, as amended. This requirement is now optional.

**VI. State Law Regarding Sale of Tobacco Products to Individuals Under Age of 18, Section 1926**

- The State has a law in effect making it illegal to sell or distribute tobacco products to minors as provided in Section 1926 (a)(1).
- The State will enforce such law in a manner that can reasonably be expected to reduce the extent to which tobacco products are available to individuals under the age of 18 as provided in Section 1926 (b)(1).
- The State will conduct annual, random unannounced inspections as prescribed in Section 1926 (b)(2).

**VII. Treatment Services for Pregnant Women, Section 1927**

The State “...will ensure that each pregnant woman in the State who seeks or is referred for and would benefit from such services is given preference in admission to treatment facilities receiving funds pursuant to the grant.”

**VIII. Additional Agreements, Section 1928**

- Improvement of Process for Appropriate Referrals for Treatment, Section 1928(a)
- Continuing Education, Section 1928(b)
- Coordination of Various Activities and Services, Section 1928(c)
- Waiver of Requirement, Section 1928(d)

**FORM 3: UNIFORM APPLICATION FOR FY 2009 SUBSTANCE ABUSE PREVENTION AND TREATMENT BLOCK GRANT**

**Funding Agreements/Certifications**

As required by Title XIX of the PHS Act (continued)

**IX. Submission to Secretary of Statewide Assessment of Needs, Section 1929**

**X. Maintenance of Effort Regarding State Expenditures, Section 1930**

**With respect to the principal agency of a State, the State “will maintain aggregate State expenditures for authorized activities at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant.”**

**XI. Restrictions on Expenditure of Grant, Section 1931**

**XII. Application for Grant; Approval of State Plan, Section 1932**

**XIII. Opportunity for Public Comment on State Plans, Section 1941**

**The plan required under Section 1932 will be made “public in such a manner as to facilitate comment from any person (including any Federal person or any other public agency) during the development of the plan (including any revisions) and after the submission of the plan to the Secretary.”**

**XIV. Requirement of Reports and Audits by States, Section 1942**

**XV. Additional Requirements, Section 1943**

**XVI. Prohibitions Regarding Receipt of Funds, Section 1946**

**XVII. Nondiscrimination, Section 1947**

**XVIII. Services Provided By Nongovernmental Organizations, Section 1955**

**I hereby certify that the State or Territory will comply with Title XIX, Part B, Subpart II and Subpart III of the Public Health Service Act, as amended, as summarized above, except for those Sections in the Act that do not apply or for which a waiver has been granted or may be granted by the Secretary for the period covered by this agreement.**

**State:** California

**Name of Chief Executive Officer or Designee:** Kimberly Belshé

**Signature of CEO or Designee:**

**Title:** Secretary

**Date Signed:**

**If signed by a designee, a copy of the designation must be attached**

**1. CERTIFICATION REGARDING DEBARMENT AND SUSPENSION**

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 45 CFR Part 76, and its principals:

- (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal Department or agency;
- (b) have not within a 3-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (b) of this certification; and
- (d) have not within a 3-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

Should the applicant not be able to provide this certification, an explanation as to why should be placed after the assurances page in the application package.

The applicant agrees by submitting this proposal that it will include, without modification, the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion – Lower Tier Covered Transactions" in all lower tier covered transactions (i.e., transactions with sub-grantees and/or contractors) and in all solicitations for lower tier covered transactions in accordance with 45 CFR Part 76.

**2. CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS**

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free work-place in accordance with 45 CFR Part 76 by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about –
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee's policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- (d) Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will –
  - (1) Abide by the terms of the statement; and
  - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

- (f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted –
- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

For purposes of paragraph (e) regarding agency notification of criminal drug convictions, the DHHS has designated the following central point for receipt of such notices:

Office of Grants and Acquisition Management  
 Office of Grants Management  
 Office of the Assistant Secretary for Management and Budget  
 Department of Health and Human Services  
 200 Independence Avenue, S.W., Room 517-D  
 Washington, D.C. 20201

### 3. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non-appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93).

The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that:

person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

- (2) If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### 4. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRA)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any

**5. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE**

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children’s services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children’s services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children’s services and that all subrecipients shall certify accordingly.

The Public Health Service strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

|  |   |
|--|---|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL                                      | TITLE<br><br>Secretary, California Health and Human Services Agency |
| APPLICANT ORGANIZATION<br><br>California Department of Alcohol and Drug Programs | DATE SUBMITTED  |

## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
(See reverse for public burden disclosure.)

|   |  |  |
|---|--|--|
| <b>1. Type of Federal Action:</b><br><br><input type="checkbox"/> a. contract<br><input type="checkbox"/> b. grant<br><input type="checkbox"/> c. cooperative agreement<br><input type="checkbox"/> d. loan<br><input type="checkbox"/> e. loan guarantee<br><input type="checkbox"/> f. loan insurance   | <b>2. Status of Federal Action</b><br><br><input type="checkbox"/> a. bid/offer/application<br><input type="checkbox"/> b. initial award<br><input type="checkbox"/> c. post-award | <b>3. Report Type:</b><br><br><input type="checkbox"/> a. initial filing<br><input type="checkbox"/> b. material change<br><br><b>For Material Change Only:</b><br><br>Year _____ Quarter _____<br>date of last report _____ |
| <b>4. Name and Address of Reporting Entity:</b><br><br><input type="checkbox"/> Prime <input type="checkbox"/> Subawardee<br><br>Tier _____, if known: _____<br><br>Congressional District, if known: _____   | <b>5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime:</b><br><br><br><br>Congressional District, if known: _____                                      |  |
| <b>6. Federal Department/Agency:</b>  | <b>7. Federal Program Name/Description:</b><br><br>CFDA Number, if applicable: _____   |  |
| <b>8. Federal Action Number, if known:</b>  | <b>9. Award Amount, if known:</b><br>\$ _____  |  |
| <b>10.a. Name and Address of Lobbying Entity</b><br><i>(if individual, last name, first name, MI):</i>  | <b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a.) (last name, first name, MI):</i>   |  |
| <b>11. Information requested through this form is authorized by title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</b> | Signature: _____<br>Print Name: _____<br>Title: _____<br>Telephone No.: _____ Date: _____  |  |
| <b>Federal Use Only:</b>  | Authorized for Local Reproduction<br>Standard Form - LLL (Rev. 7-97)   |  |

**DISCLOSURE OF LOBBYING ACTIVITIES  
CONTINUATION SHEET**

**Reporting Entity:**

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**of**

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. Section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLL-A Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a follow-up report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, state and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "subawardee", then enter the full name, address, city, state and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 [e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency]. Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, state and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No.0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

## ASSURANCES – NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**Note:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L.88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685- 1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §§794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non- discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327- 333), regarding labor standards for federally assisted construction subagreements.

10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

|  |   |                |
|--|---|----------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL                                      | TITLE<br><br>Secretary, California Health and Human Services Agency |                |
| APPLICANT ORGANIZATION<br><br>California Department of Alcohol and Drug Programs |   | DATE SUBMITTED |

**State: California**

## **FY 2006 SAPT Block Grant**

Your annual SAPT Block Grant Award for FY 2006 is reflected on line 8 of the Notice of Block Grant Award.

\$249,872,806

## California

### Goal #1: Continuum of Substance Abuse Treatment Services

**GOAL # 1.** The State shall expend block grant funds to maintain a continuum of substance abuse treatment services that meet these needs for the services identified by the State. Describe the continuum of block grant-funded treatment services available in the State (See 42 U.S.C. 300x-21(b) and 45 C.F.R. 96.122(f)(g)).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

## ***Background and Ongoing Activities***

Through its contracts with counties, the Department of Alcohol and Drug Programs (ADP) ensures compliance with federal requirements, including Goal 1. ADP provides Substance Abuse Prevention and Treatment Block Grant funding to support and maintain the overall range of alcohol and other drug (AOD) abuse services (i.e., prevention to treatment to maintenance) with which individuals could be involved throughout their recovery.

This continuum begins with primary prevention services, focusing on:

- An entire population (the “universal” category)
- Identifiable subgroups (the “selective” category)
- Individual persons showing early signs of use and other problems (the “indicated” category)

ADP prevention services implement the six strategies of the Center for Substance Abuse Prevention (CSAP): (1) information dissemination, (2) education, (3) alternatives, (4) problem identification and referral, (5) community-based process, and (6) environmental strategies.

The continuum also includes intervention and client-centered, culturally appropriate treatment and recovery services. Treatment and recovery approaches should be based on an individual’s needs, preferences, experiences, and cultural backgrounds. Clients have the right to choose from a range of options and participate in decisions that will affect their lives. Services include:

- Intervention services
- Nonresidential services that utilize rehabilitative/ambulatory intensive outpatient (also known as [aka] day care rehabilitative), rehabilitative/ambulatory outpatient (aka outpatient drug free) group, and rehabilitative/ambulatory outpatient (aka outpatient drug free) individual strategies.
- Narcotic treatment programs (NTPs) provide narcotic replacement therapy using methadone, buprenorphine, and any other FDA-approved medications for the treatment of opiate addiction. Narcotic replacement therapy also includes assessment, treatment planning, urinalysis drug testing, group and individual counseling, and educational sessions.
- Residential services that use free-standing (non-hospital setting) residential detoxification, residential/recovery long term (nonacute care over 30 days), and residential/recovery short term (nonacute care up to 30 days)

- Recovery support (aftercare) services that may begin during or following treatment services and utilize services coordination, relapse prevention, continuing comprehensive assessments, motivational counseling, recovery maintenance planning, exit planning; and referrals to services such as family preservation and reunification, child care, housing (sober living, safe housing, permanent housing), drop-in services, transportation, peer support and mentoring, and education/life skills training
- Self-help and other culturally supportive peer help systems such as 12-Step, Talking Circles, and faith-based activities

ADP is working with stakeholders to re-engineer California's system of care based on a chronic model of alcohol and other drug treatment, recovery, and prevention strategies. The group working on this effort is called the "Continuum of Services System Re-Engineering (COSSR) Task Force." ADP's COSSR Phase II report is complete. COSSR is entering Phase III (Mobilization), which will primarily address the continuum of care: making prevention, intervention, treatment, and recovery part of a continuous thread that is woven from the coordination of various services through diverse providers.

**FFY 2006 (Compliance):**

ADP performed the services described in *Background and Ongoing Activities* above.

ADP established the Continuum of Services System Re-engineering (COSSR) Task Force to assist the department in examining the current AOD services delivery system and to develop a plan for overall system change. The primary goal of the re-engineering process is to work with ADP's stakeholders to reshape and reposition operations to ensure system accountability, efficiency, and effectiveness while delivering comprehensive, high-quality AOD services. The charge of the Phase I Task Force was to construct a framework for a California continuum of services model and to develop a set of recommendations that would serve as the basis for re-engineering. The Phase I report is available at [http://www.adp.ca.gov/COSSR/pdf/COSSR\\_Report-Sep2006.pdf](http://www.adp.ca.gov/COSSR/pdf/COSSR_Report-Sep2006.pdf).

**FFY 2008 (Progress):**

The services described in *Background and Ongoing Activities* continue to be provided. The COSSR Task Force was expanded to include representatives who reflect the diversity of California's AOD, mental health, and medical systems of care. The Phase II Report, issued in this year, details the goals and objectives of the implementation plan for Phase III (Mobilization) and Phase IV (Implementation).

The California SBIRT (CASBIRT) program is credited with reducing drug use by nondependent users by 25 percent, reducing alcohol consumption to a low-risk level, and reducing alcohol consumption in 50 percent of the nondependent drinkers receiving intervention.

Because of the success of the CSAT-funded Screening, Brief Intervention, Treatment and Referral (SBIRT) discretionary grant begun in San Diego County in 2004, ADP is planning to expand the program to provide similar intervention services in Los Angeles County. In addition, regional training sessions on the appropriate SBIRT methodology will be provided to medical professionals statewide to promote a system change.

**FFY 2009 (Intended Use):**

The services described in *Background and Ongoing Activities* will continue to be provided.

ADP will conclude COSSR Phase III and begin Phase IV.

Expanded CASBIRT training and services will continue to be provided, with the intent of providing these services through additional funding streams.

# California

## Goal #2: 20% for Primary Prevention

**GOAL # 2.** An agreement to spend not less than 20 percent on primary prevention programs for individuals who do not require treatment for substance abuse, specifying the activities proposed for each of the six strategies or by the Institute of Medicine Model of Universal, Selective, or Indicated as defined below: (See 42 U.S.C. 300x-22(a)(1) and 45 C.F.R. 96.124(b)(1)).

Institute of Medicine Classification: Universal Selective and Indicated:

- **Universal:** Activities targeted to the general public or a whole population group that has not been identified on the basis of individual risk.
  - o **Universal Direct. Row 1**—Interventions directly serve an identifiable group of participants but who have not been identified on the basis of individual risk (e.g., school curriculum, after school program, parenting class). This also could include interventions involving interpersonal and ongoing/repeated contact (e.g., coalitions)
  - o **Universal Indirect. Row 2**—Interventions support population-based programs and environmental strategies (e.g., establishing ATOD policies, modifying ATOD advertising practices). This also could include interventions involving programs and policies implemented by coalitions.
- **Selective:** Activities targeted to individuals or a subgroup of the population whose risk of developing a disorder is significantly higher than average.
- **Indicated:** Activities targeted to individuals in high-risk environments, identified as having minimal but detectable signs or symptoms foreshadowing disorder or having biological markers indicating predisposition for disorder but not yet meeting diagnostic levels. (Adapted from The Institute of Medicine Model of Prevention)

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

### ***Background and Ongoing Activities:***

The Department of Alcohol and Drug Programs (ADP) achieves compliance with federal requirements through terms and conditions contained in the State Negotiated Net Amount (NNA) contracts with California's 58 counties. Prevention is carried out at the local level through counties, which determine how their primary prevention funds best meet community needs identified through the use of the Strategic Prevention Framework (SPF) planning process. Each county is required to create a SPF-based prevention plan, including priority problems, goals, and objectives. Accounting documents confirm the funding of such services. Further confirmation is obtained through California Outcome Measurement Service Prevention (CalOMS-Pv) data collected each year from publicly funded providers as a condition of the NNA contract. California places emphasis on evidence-based community prevention approaches and strategies. (Individual-based prevention occurs primarily through Safe and Drug Free Schools funded prevention.)

ADP incorporates the evidence-based prevention program requirements into NNA contract language by requiring use of the five SPF steps. CalOMS-Pv incorporated the five SPF steps within its data collection design so providers must link data reporting to county-assigned objectives. Data cannot be entered unless this association is made.

CalOMS-Pv data collection includes the six Center for Substance Abuse Prevention (CSAP) strategies and related services, including data for demographic services. CalOMS-Pv incorporates the three Institute of Medicine prevention interventions as well as the six strategies.

These data sets include: (1) service populations, (2) services, (3) demographics, (4) where services occurred, and (5) frequency/count. The following are a sampling of services delivered within the six strategies. ADP began collecting data in CalOMS-Pv on July 1, 2006.

ADP's Prevention Services works with the California Department of Public Health on prevention of underage tobacco use in support of California's Stop Tobacco Access to Kids Enforcement (STAKE) Act and to meet Synar Requirements (Goal #8).

The Governor's Prevention Advisory Council (GPAC) was established in August 2002. Members are appointed by the Governor with the Director of ADP serving as the Chair. Fourteen State agencies work together to coordinate alcohol, tobacco, and other drugs (ATOD) prevention efforts through workgroups tasked to address specific issues.

### **FFY 2006 (Compliance):**

#### **1. Information Dissemination**

ADP's Resource Center (RC) identifies, acquires, and transfers information regarding program development, best practices, alcohol/drug effects, drug-free workplaces, Red Ribbon Week, etc., to the alcohol and other drug (AOD) field.

Requests may be filled through an Internet "shopping cart," mail, fax, e-mail, telephone, or in person. Information and materials are provided at no cost to the requestors. A number of catalog items are now available online. The RC provides research assistance. Treatment service referral information is provided to individuals through the RC statewide 1-800 line.

RC data is collected by calendar year. During calendar year 2006, the RC responded to over 21,020 telephone calls for information and referrals, including 2,296 Spanish-language calls. It distributed over 725,956 hardcopy publications, performed searches on 1,738 information/research requests, and checked out 1,602 books/videos. The RC's Web site was "hit" 40,236 times, including the downloading of documents.

During calendar year 2006, the RC staffed information booths at 19 ATOD-related conferences, workshops, or special events throughout the State that offered direct interactions with the public. ATOD materials are supplied to many other conferences not attended by RC staff. Overall, the RC provided over 329,311 publication materials to 35 conferences, workshops, or events.

The RC also supports mentoring activities by providing telephone assistance and printed materials specific to mentoring.

## 2. Education

ADP conducts outreach and training to support youth, communities, and special service populations through technical assistance (TA) contracts.

ADP conducts statewide workshops through TA providers to make available information about research-based programs and practices and to promote SPF. A major TA service is the Community Prevention Initiative, which generates training, materials and SPF assistance. (See <http://www.ca-cpi.org/index.htm>.)

ADP's contractors develop, promote, and provide TA on the SPF, environmental prevention techniques, and mentoring for counties, communities, and government agencies. These contractors also provide AOD prevention TA and training for the faith community and for general prevention campaigns.

The RC maintains a portion of ADP's Internet Web site to provide current TA documents and educational publications.

## 3. Alternatives

ADP provides infrastructure for statewide Friday Night Live (FNL) (high school), Club Live (middle school), and FNL Kids (elementary school) youth activities, such as youth/adult leadership activities (e.g., environmental prevention and reducing underage drinking). The annual Teen Leadership Training Institute assembles approximately 600 high school students from very diverse backgrounds and geographic areas for prevention training that is student-designed for application at their respective schools. (See: <http://www.fridaynightlive.org/About/About.htm>.)

#### 4. Problem Identification and Referral

ADP works with the California Department of Education (CDE), the Office of the Attorney General, and other GPAC members to address high-rate underage ATOD use by school-age youth. Through the GPAC, ADP and CDE jointly create and send information to all school districts to encourage Student Assistance Programs (SAP). The GPAC High-rate Underage User workgroup organized a statewide SAP orientation conference. ADP also works with the California Mental Health Department on common prevention concepts using the Institute of Medicine prevention construct.

#### 5. Community-Based Process

Through TA contracts, ADP provides services for local initiatives identified by community groups, prevention practitioners, schools, neighborhood associations, and county administrators. A broad range of high-quality materials has been developed by the contractors to assist community prevention efforts. These materials are accessible through the contractor's website at: [http://www.ca-cpi.org/Publications/publications\\_main\\_page.htm](http://www.ca-cpi.org/Publications/publications_main_page.htm) .

#### 6. Environmental

ADP provides TA and training, demonstration projects, collaboration, and dissemination of information about environmental approaches. Audiences include city planners, community groups, prevention practitioners, the educational community, neighborhood associations, county administrators, and other public policy makers. California was the first State Incentive Grant (SIG) recipient CSAP authorized to use this strategy. ADP continues to work with the CSAP to develop ways to measure environmental and community prevention.

ADP TA contractors develop, promote, and provide services on environmental prevention techniques for cities, emphasizing their local zoning authority and public policy development.

CalOMS-Pv began operating in July 2006. This is the first State data service incorporating the five SPF steps. It collects information for each prevention strategy about the number of providers who served specific populations, the number of persons a provider served, and the frequency of the services. CalOMS-Pv does not use a unique client identifier, so the total strategies and/or services a specific person received are not tracked.

County-level Service: Summary of County Direct Services from Prevention Activities Data System (PADS)

| <b>CSAP Strategy (July 2005 - June 2006)</b> | <b>By # of Providers</b> | <b>% of all 344 Providers</b> |
|--|--------------------------|-------------------------------|
| Information Dissemination                    | 261                      | 75.87%                        |
| Education                                    | 269                      | 78.20%                        |
| Alternatives                                 | 183                      | 53.20%                        |
| Problem Identification And Referral          | 114                      | 33.14%                        |
| Community-Based Process                      | 239                      | 69.48%                        |
| Environmental                                | 106                      | 30.81%                        |

**Note:** This information is based on the number of prevention providers returning completed PADS data. Because a single provider may use multiple strategies, the strategy totals exceed the number of reporting providers.

## **FY 2008 (Progress):**

### **1. Information Dissemination**

ADP's RC identifies, acquires, and transfers information regarding program development, best practices, alcohol/drug effects, drug-free workplaces, Red Ribbon Week, etc., to the alcohol and other drug (AOD) field. Requests may be filled through an Internet "shopping cart," mail, fax, e-mail, telephone, or in person. Information and materials are provided at no cost to the requestors. A number of catalog items are now available online. The RC provides research assistance. Treatment service referral information is provided to individuals through the RC statewide 1-800 line.

RC data is collected by calendar year. The following information is provided for calendar year 2007, because that is the most recent data available. Data include: a) 14,212 telephone calls for information and referrals, including 1,142 Spanish-language calls; b) distribution of 1,513,081 hardcopy publications; c) 1,067 library searches for information/research requests; and, d) library loans of 1,095 books and videos. The RC Web site includes the downloading of 363 documents for immediate use and reproduction. The RC's Web site was visited or "hit" 62,252 times.

The RC staffed information booths at 18 ATOD-related conferences, workshops, or special events throughout the State that offered direct interactions with the public. Venues are sought that draw broad participation to leverage application of the information. Over 13,057 ATOD materials were supplied to 7 other conferences and meetings not attended in-person by RC staff.

The RC also supports mentoring activities by providing telephone assistance and printed materials specific to mentoring. It also staffed a methamphetamine information line as part of a State initiative.

### **2. Education**

ADP conducts outreach and training to support youth, communities, and special service populations through technical assistance TA contracts.

ADP conducts statewide workshops through TA providers to make information available about research-based programs and practices and to promote SPF. A major TA service is the Community Prevention Initiative, which generates training, materials, and SPF assistance. (See: <http://www.ca-cpi.org/index.htm>.)

ADP's contractors develop, promote, and provide TA on the SPF, environmental prevention techniques, and mentoring for counties, communities, and government agencies. These contractors also provide AOD prevention TA and training to the faith community and for year-round general prevention campaigns.

The RC maintains a portion of ADP's Internet Web site to provide current TA documents and educational publications.

### 3. Alternatives

ADP provides infrastructure for statewide Friday Night Live (FNL) (high school), Club Live (middle school), and FNL Kids (elementary school) youth activities, such as youth/adult leadership activities (e.g., environmental prevention and reducing underage drinking). The annual Teen Leadership Training Institute assembles approximately 600 high school students from very diverse backgrounds and geographic areas for prevention training that is student-designed for application at their respective schools. (See: <http://www.fridaynightlive.org/About/About.htm>)

### 4. Problem Identification and Referral

ADP has worked with the California Department of Education (CDE), the Office of the Attorney General, and other GPAC members to address high-rate underage ATOD use by school-age youth. Through the GPAC, ADP and CDE continued to send information to all school districts to encourage Student Assistance Programs SAPs. The GPAC High-rate Underage User workgroup conducted a statewide SAP orientation conference. ADP also works with the California Mental Health Department on common prevention concepts using the Institute of Medicine prevention construct.

### 5. Community-Based Process

Through TA contracts, ADP provides services for local initiatives identified by community groups, prevention practitioners, schools, neighborhood associations, and county administrators. A broad range of high-quality materials has been developed by the contractors to assist community prevention efforts. These materials are accessible through the contractor's Web site at: [http://www.ca-cpi.org/Publications/publications\\_main\\_page.htm](http://www.ca-cpi.org/Publications/publications_main_page.htm).

### 6. Environmental

ADP provides TA and training, demonstration projects, collaboration, and dissemination of information about environmental approaches. Audiences include city planners, community groups, prevention practitioners, the educational community, neighborhood associations, county administrators, and other public policy makers. California was the first SIG recipient CSAP authorized to use this strategy; the SIG ended April 2008. ADP continues to work with CSAP to develop ways to measure environmental and community prevention.

ADP TA contractors develop, promote, and provide services on environmental prevention techniques for cities, emphasizing their local zoning authority and public policy development.

County-level Service: Summary of County Direct Services from CalOMS-Pv

| <b>CSAP Strategy (July 2006 - June 2007)</b> | <b>By # of Providers</b> | <b>% of all 329 Providers</b> |
|--|--------------------------|-------------------------------|
| Information Dissemination                    | 278                      | 84.50%                        |
| Education                                    | 282                      | 85.71%                        |
| Alternatives                                 | 196                      | 59.57                         |
| Problem Identification And Referral          | 117                      | 35.56%                        |
| Community-Based Process                      | 265                      | 80.55%                        |
| Environmental                                | 184                      | 55.93%                        |

Note: This information is based on the number of providers reporting prevention services. Because a single provider may deliver multiple strategies, the strategy totals exceed the number of reporting providers.

**FY 2009 (Intended Use):**

The Department of Alcohol and Drug Programs (ADP) will continue to achieve federal requirements through terms and conditions contained in the State Negotiated Net Amount (NNA) contracts with California's 58 counties. Prevention will be carried out locally through counties, which use SPF in determining how their primary prevention funds best meet identified community problems and priorities. Planning will incorporate work of the State Epidemiological Outcomes Workgroup (SEOW) in guiding state and county prevention assessment work. ADP's internal Statewide Needs Assessment and Planning Project is coordinating data identification, analysis and applications to operations.

Through ADP's Community Prevention Initiative (CPI) statewide technical assistance, Prevention Services will continue assisting counties and local programs to develop evidence-based community prevention approaches within their SPF planning. CPI will also provide assistance with applications of the Institute of Medicine's (IOM) universal, selective, and indicated prevention categorizations of those engaged in prevention.

ADP's Prevention Services will work with counties to enter their SPF-based prevention plans into the CalOMS-Pv data base. This is a web-based service through KIT Solutions serving 58 counties and approximately 260 providers. CalOMS-Pv incorporates the three IOM prevention interventions, as well as the six CSAP prevention strategies and their related services. Providers link their activity data to objectives assigned to them by their respective counties.

ADP's Prevention Services will continue its work with California Department of Public Health on prevention of underage tobacco use in support of California's Stop Tobacco Access to Kids Enforcement (STAKE) Act and to meet Synar Requirements (Goal #8).

State-level agencies with shared prevention interests will continue meeting as the Governor's Prevention Advisory Council (GPAC). Fourteen State agencies work together in the GPAC to coordinate alcohol, tobacco, and other drugs (ATOD) prevention efforts. Special topic workgroups are tasked to address specific issues. Members are appointed by the Governor with the Director of ADP serving as the Chair.

#### 1. Information Dissemination

The ADP RC will continue as a significant means of delivering this strategy statewide. The RC serves needs of all 58 counties through a single operation. It identifies, acquires, and transfers information regarding program development, best practices, alcohol/drug effects, drug-free workplaces, Red Ribbon Week, etc., to the alcohol and other drug (AOD) field.

- The Clearing House fills requests through an Internet "shopping cart," mail, fax, e-mail, telephone, or in person. Information and materials are provided at no cost to the requestors. Numerous catalog items are available online for downloading without direct cost to the RC.
- The RC is designated the State's Special Subject Lending Service for AOD. The RC provides research assistance and loans books and videos.

- The RC operates a 1-800 line to provide treatment service and other referral information to individuals statewide, directing many callers to appropriate contacts in their counties.
- The RC will staff information booths at AOD-related conferences, workshops, or special events throughout the State that offer direct interactions with the public. Venues are sought that draw broad participation to leverage application of the information. The RC supports many other meetings by supplying AOD materials to the sponsors, but does not attend in-person.
- The RC also supports special initiatives, such as mentoring and meth.

## 2. Education

ADP will conduct outreach and training to support youth, communities, and special service populations through technical assistance (TA) contractors. The TA providers will provide information about research-based programs, policies and practices evolving during the intended use year. A major method of TA service is the Community Prevention Initiative, which delivers training, materials and SPF assistance. (See: <http://www.ca-cpi.org/index.htm> )

The RC maintains a portion of ADP's Internet Web site to provide current TA documents and educational publications. (See: [http://www.adp.ca.gov/RC/rc\\_sub.shtml](http://www.adp.ca.gov/RC/rc_sub.shtml) )

## 3. Alternatives

Through TA contracts, ADP has provided infrastructure for statewide Friday Night Live (FNL) (high school), Club Live (middle school), and FNL Kids (elementary school) youth activities, such as youth/adult leadership activities (e.g., environmental prevention and reducing underage drinking). For over 20 years, ADP has sponsored the Teen Leadership Training Institute for approximately 600 high school students from very diverse backgrounds and geographic areas. This student-designed event is training for prevention applications at their respective schools. (See: <http://www.fridaynightlive.org/About/About.htm>). This contract will be bid for a new three-year period starting October 2009.

## 4. Problem Identification and Referral

Through a GPAC workgroup focused on high-using, underage youth, ADP, the California Department of Education, the Office of the Attorney General and other GPAC members are advancing student assistance programs in the 1,000 school districts.

## 5. Community-Based Process

Through TA contracts, ADP provides services for local initiatives identified by community groups, prevention practitioners, schools, neighborhood associations, and county administrators. This strategy is supported by ADP due to its ability to reach larger population segments at an economical cost. Extensive Web-based materials provide immediately accessible TA on many issues. (See [http://www.ca-cpi.org/Publications/publications\\_main\\_page.htm](http://www.ca-cpi.org/Publications/publications_main_page.htm) ).

## 6. Environmental

ADP TA contractors develop, promote, and provide services on environmental prevention techniques for cities, emphasizing their local zoning authority and public policy development. As with the community-based strategy, the

environmental strategy is strongly supported due to its ability to reach larger population segments and use public policy to sustain the effects.

Detailed prevention data, by strategy with demographics and specific services for the State and each of the 58 counties, will continue to be publicly available on ADP's web site.

## Attachment A: Prevention

Answer the following questions about the current year status of policies, procedures, and legislation in your State. Most of the questions are related to Healthy People 2010 (<http://www.healthypeople.gov/>) objectives. References to these objectives are provided for each application question. To respond, check the appropriate box or enter numbers on the blanks provided. After you have completed your answers, copy the attachment and submit it with your application.

1. Does your State conduct sobriety checkpoints on major and minor thoroughfares on a periodic basis? (HP 26-25)

Yes  No  Unknown

2. Does your State conduct or fund prevention/education activities aimed at preschool children? (HP 26-9)

Yes  No  Unknown

3. Does your State Alcohol and drug agency conduct or fund prevention/education activities in every school district aimed at youth grades K-12? (HP 26-9)

| SAPT Block Grant                         | Other State Funds                        | Drug Free Schools                   |
|--|--|-------------------------------------|
| <input type="radio"/> Yes                | <input type="radio"/> Yes                | <input type="radio"/> Yes           |
| <input type="radio"/> No                 | <input type="radio"/> No                 | <input checked="" type="radio"/> No |
| <input checked="" type="radio"/> Unknown | <input checked="" type="radio"/> Unknown | <input type="radio"/> Unknown       |

4. Does your State have laws making it illegal to consume alcoholic beverages on the campuses of State colleges and universities? (HP 26-11)

Yes  No  Unknown

5. Does your State conduct prevention/education activities aimed at college students that include: (HP 26-11c)

Education Bureau?  Yes  No  Unknown

Dissemination of materials?  Yes  No  Unknown

Media campaigns?  Yes  No  Unknown

Product pricing strategies?  Yes  No  Unknown

Policy to limit access?  Yes  No  Unknown

6. Does your State now have laws that provide for administrative suspension or revocation of drivers' licenses for those determined to have been driving under the influence of intoxication? (HP 26-24)

Yes  No  Unknown

7. Has the State enacted and enforced new policies in the last year to reduce access to alcoholic beverages by minors such as:

(HP 26-11c, 12, 23)

Restrictions at recreational and entertainment events at which youth made up a majority of participants/consumers:  Yes  No  Unknown

New product pricing:  Yes  No  Unknown

New taxes on alcoholic beverages:  Yes  No  Unknown

New laws or enforcement of penalties and license revocation for sale of alcoholic beverages to minors:  Yes  No  Unknown

Parental responsibility laws for a child's possession and use of alcoholic beverages:  Yes  No  Unknown

8. Does your State provide training and assistance activities for parents regarding alcohol, tobacco, and other drug use by minors?

Yes  No  Unknown

9. What is the average age of first use for the following? (HP 26-9 and 27-4) (if available)

Age 0 - 5   Age 6 - 11   Age 12 - 14   Age 15 - 18

Cigarettes           

Alcohol           

Marijuana           

10. What is your State's present legal alcohol concentration tolerance level for: (HP 26-25)

Motor vehicle drivers age 21 and older?   0.08

Motor vehicle drivers under age 21?   0.01

11. How many communities in your State have comprehensive, community-wide coalitions for alcohol and other drug abuse prevention? (HP 26-3)

Communities:

12. Has your State enacted statutes to restrict promotion of alcoholic beverages and tobacco that are focused principally on young audiences? (HP 26-11 and 26-16)

Yes  No  Unknown

- Foot Notes

Question 7: Various communities in California passed "social host" ordinances that impose civil penalties on adults who provide alcohol to youth in non-commercial settings.

Question 11: There are numerous coalitions within the State. The exact number of coalitions is unknown since they operate independent of the Department of Alcohol and Drug Programs.

## California

### Goal #3: Pregnant Women Services

**GOAL # 3.** An agreement to expend not less than an amount equal to the amount expended by the State for FY 1994 to establish new programs or expand the capacity of existing programs to make available treatment services designed for pregnant women and women with dependent children; and, directly or through arrangements with other public or nonprofit entities, to make available prenatal care to women receiving such treatment services, and, while the women are receiving services, child care (See 42 U.S.C. 300x-22(b)(1)(C) and 45 C.F.R. 96.124(c)(e)).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

**FFY 2006 (Compliance):**

In the base year, FFY 1994, the amount expended was \$26,349,134. The amount expended in 2006 was \$44,189,000. Please see Attachment B, Parts 1 and 2 for specific program compliance information.

**FFY 2008 (Progress):**

The amount expended in 2008 was \$ 46,345,000. This amount includes the federal dollars spent on women's services. Please see Attachment B, Parts 1 and 2 for specific program compliance information.

**FFY 2009 (Intended Use):**

For FFY 2009, it is the intention of the State to expend not less than the amount expended in FFY 1994 in accordance with 42 U.S.C 300x-22(b)(1)(C) and 45 C.F.R. 96.124(c)(e) and to continue to allocate funds to the counties consistent with federal law and regulations. ADP will use the Perinatal Environmental Scans (PES) conducted in 2007 and other relevant research to leverage its efforts to assure continued compliance with Substance Abuse Prevention and Treatment Block Grant requirements and implement outcome-focused programs for services to pregnant and perinatal women.

## California

### Attachment B: Programs for Women

**Attachment B: Programs for Pregnant Women and Women with Dependent Children** (See 42 U.S.C. 300x-22(b); 45 C.F.R. 96.124(c)(3); and 45 C.F.R. 96.122(f)(1)(viii))

**For the fiscal year three years prior (FY 2006) to the fiscal year for which the State is applying for funds:**

Refer back to your Substance Abuse Entity Inventory (Form 6). Identify those projects serving pregnant women and women with dependent children and the types of services provided in FY 2006. In a narrative of **up to two pages**, describe these funded projects.

In federal fiscal year 2006, each program funded with State or federal perinatal funds was required to maintain a core of services or modalities for women in treatment. Specific treatment modalities and some of the required services follow:

1. Residential
2. Outpatient treatment (non-residential) included outpatient drug free and day care rehabilitative programs
3. Narcotic treatment
4. Included case management; child care; transportation to and from treatment and ancillary services, including medical services and referrals to primary medical and pediatric care; therapeutic interventions for children of women receiving alcohol and other drug (AOD) treatment; education and training on parenting skills, child development, and the effects of alcohol and drug use during pregnancy and breast feeding; access and referral to vocational and educational training; HIV/TB referral and testing; provision of interim services; and gender-specific AOD treatment services

In FFY 2007, the Perinatal Services Network had approximately 189 treatment and recovery programs designed specifically to provide services for pregnant and parenting women. These programs serve over 32,200 women annually. In past block grant applications, the number of perinatal programs included programs that received only Drug Medi-Cal funds. Those programs were excluded from this year's count because they are not required to comply with Substance Abuse Prevention and Treatment Block Grant requirements for interim services and preferences.

The capacity and count of programs were obtained from the California Drug and Alcohol Treatment Access Report (DATAR). DATAR collects information on treatment capacity and wait-list information from the Department of Alcohol and Drug Programs (ADP) treatment providers. The DATAR system was upgraded to a Web-based system in January 2006. The findings contained in the table in Attachment B (cont.) were extracted from FFY 2007 data, the first complete FFY period for which data are available under the new system.

The number of women served is an approximation because California's client data system does not tie individual services to each funding source. Program providers have a variety of funding sources that may contribute to an individual's treatment service.

The process used for obtaining the estimated number of women served is:

- Fiscal Management and Accountability Branch identifies which programs received SAPT perinatal set-aside and perinatal State General Fund (excluding Medicaid);
- Office of Applied Research and Analysis identifies the number of women served in the programs that receive perinatal funds (excluding Medicaid).

Although this process captures the programs that receive perinatal funding and can count the total number of women served, the data system cannot distinguish the number of women receiving perinatal services versus women's services reported under the provider's entity number. Only one entity number is issued for one site.

Form 7b shows the total number of women served in California (pregnant, parenting, and women who do not meet the criteria for perinatal services), not the number of women served in programs that receive perinatal funding. Women served in perinatal programs are included in the total number of women served. Similarly, Form 7b shows the total number of pregnant women served, not the number of women served in programs that receive perinatal funding. Women served in perinatal programs are included in the number of women served reported on Form 7b.

More women receive treatment annually in California than are served in perinatal-funded programs. Since only perinatal funded programs are required to comply with SAPT Block Grant requirements for pregnant and parenting programs, ADP estimates the number of women served in those programs.

## California

### Attachment B: Programs for Women (contd.)

Title XIX, Part B, Subpart II, of the PHS Act required the State to expend at least 5 percent of the FY 1993 and FY 1994 block grants to increase (relative to FY 1992 and FY 1993, respectively) the availability of treatment services designed for pregnant women and women with dependent children. In the case of a grant for any subsequent fiscal year, the State will expend for such services for such women not less than an amount equal to the amount expended by the State for fiscal year 1994.

**In up to four pages, answer the following questions:**

1. Identify the name, location (include sub-State planning area), Inventory of Substance Abuse Treatment Services (I-SATS) ID number (formerly the National Facility Register (NFR) number), level of care (refer to definitions in Section II.4), capacity, and amount of funds made available to each program designed to meet the needs of pregnant women and women with dependent children.
2. What did the State do to ensure compliance with 42 U.S.C. 300x-22(b)(1)(C) in spending FY 2006 block grant and/or State funds?
3. What special methods did the State use to **monitor** the adequacy of efforts to meet the special needs of pregnant women and women with dependent children?
4. What sources of data did the State use in estimating treatment capacity and utilization by pregnant women and women with dependent children?
5. What did the State do with FY 2006 Block Grant and/or State funds to establish new programs or expand the capacity of existing programs for pregnant women and women with dependent children?

1. Identify the name, location (include substate planning area), Inventory of Substance Abuse Treatment Services (I-SATS) ID number (formerly the National Facility Register (NFR) ID number), level of care (refer to definitions in Section II.4), capacity, and amount of funds made available to each program designed to meet the needs of pregnant women and women with dependent children.

A list of perinatal programs follows question number 5 of this attachment. The statute (USC 200x-22(b)) and the regulations (CFR 96.124 (c)) require increases in 1993 block grant expenditures relative to 1992 expenditures, and increases in 1994 block grant expenditures relative to 1993 expenditures. For grants beyond fiscal year 1994, States are required to expend not less than an amount equal to the amount expended by the State for fiscal year 1994. SAMHSA approved ADP's base Women's Expenditures calculations; the expenditure data in Form 6 and Table IV demonstrate that ADP is expending (at least) the minimum total amount required to meet the requirement.

2. What did the State do to ensure compliance with 42 U.S.C. 300x-22(b)(1)(C) in spending FFY 2006 block grant funds and/or State funds?

The State allocated Perinatal Set-Aside funds by line item separated from other funds. ADP required counties to fulfill federal requirements through Negotiated Net Amount (NNA) contracts. Financial records were audited, cost reports were reviewed on a regularly scheduled basis, and California Outcome Measurement System-Treatment data was used to ensure compliance. ADP staff monitored counties to ensure that the funds allocated for women's services were being expended appropriately.

3. What special methods did the State use to monitor the adequacy of efforts to meet the special needs of pregnant women and women with dependent children?

Treatment/recovery facilities funded with federal or State perinatal funds are required to adhere to the *Perinatal Services Network Guidelines* (2004). The guidelines require counties to develop systems for monitoring programs for compliance with the standards and streamlined perinatal program requirements.

Efforts were made to meet the special needs of pregnant women and women with dependent children. The State required counties to give treatment admission preference to pregnant women who sought or were referred for services and who would benefit from the receipt of such services. Counties required facilities, in the event of insufficient capacity, to refer pregnant women to another program with an available treatment slot or to provide interim services within 48 hours of initial request until treatment became available. The counties were also required to monitor all alcohol and/or other drug abuse recovery/treatment services receiving State and federal funds. The State received input on the needs of pregnant women and women with dependent children from the County Alcohol and Drug Program Administrators' Association of California.

The State also assigned staff and contracted with consultants to provide program development, implementation training, and technical assistance to staff at treatment programs serving pregnant women and women with dependant children.

4. What sources of data did the State use in estimating treatment capacity and utilization by pregnant women and women with dependent children?

Sources used to extract data for the attached list were: The cost reports required by the County NNA contracts and the Drug and Alcohol Treatment Access Report.

5. What did the State do with FFY 2006 block grant and/or State funds to establish new programs or expand the capacity of existing programs for pregnant women and women with dependent children?

In FFY 2006, the State continued to fund perinatal treatment programs in accordance with the Perinatal Treatment Expansion Program Initiative.

ADP worked closely with other State departments, constituency groups, county governments, and local providers to identify needs and provide technical expertise.

Staff and consultants continued to create innovative treatment and outreach strategies to overcome barriers to providing services for pregnant women and women with dependent children.

In addition, educational materials aimed at both the general public and hard-to-reach or under-served populations were distributed.

PERINATAL TREATMENT  
 AVERAGE MONTHLY TREATMENT CAPACITY  
 October 2006 through September 2007 (FFY 2007)  
 BY COUNTY

These findings were obtained from the California Drug and Alcohol Treatment Access Report (DATAR). DATAR collects information on treatment capacity and waiting list information from the California Department of Alcohol and Drug Programs (ADP) treatment providers.

| Alameda      |                                     |      |     |     |     |     |     |      |     |
|--------------|-------------------------------------|------|-----|-----|-----|-----|-----|------|-----|
| I-SATS ID    | Program Name                        | DCDF | ODF | ODX | OMD | OMM | OTH | RDF  | RDX |
| 101257       | Solid Foundation                    | 0    | 0   | 0   | 0   | 0   | 0   | 5    | 0   |
| 107015       | Orchid Womens Recovery Center       | 0    | 0   | 0   | 0   | 0   | 0   | 9.9  | 0   |
| 113070       | Solid Foundation                    | 0    | 0   | 0   | 0   | 0   | 0   | 7    | 0   |
| 118335       | Solid Foundations                   | 3.3  | 0   | 0   | 0   | 0   | 0   | 6.7  | 0   |
| 127260       | East Bay Community Recovery Project | 12   | 0   | 0   | 0   | 0   | 0   | 16   | 0   |
| County Total |                                     | 15.3 | 0   | 0   | 0   | 0   | 0   | 44.6 | 0   |

| Amador       |  |      |     |     |     |     |     |     |     |
|--------------|--|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                             | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 900799       | Amador County Behavioral Health Services | 9.6  | 20  | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |  | 9.6  | 20  | 0   | 0   | 0   | 0   | 0   | 0   |

| Butte        |                      |      |      |     |     |     |     |      |     |
|--------------|----------------------|------|------|-----|-----|-----|-----|------|-----|
| I-SATS ID    | Program Name         | DCDF | ODF  | ODX | OMD | OMM | OTH | RDF  | RDX |
| 103531       | Skyway House         | 0    | 0    | 0   | 0   | 0   | 0   | 20.5 | 0   |
| 115646       | Enloe Medical Center | 25   | 66.7 | 0   | 0   | 0   | 0   | 0    | 0   |
| County Total |                      | 25   | 66.7 | 0   | 0   | 0   | 0   | 20.5 | 0   |

| Calaveras    |                 |      |     |     |     |     |     |     |     |
|--------------|-----------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name    | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 113054       | Changing Echoes | 0    | 0   | 0   | 0   | 0   | 0   | 27  | 0   |
| County Total |                 | 0    | 0   | 0   | 0   | 0   | 0   | 27  | 0   |

| Colusa       |   |      |     |     |     |     |     |     |     |
|--------------|---|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                                  | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 907638       | Colusa Department of Substance Abuse Services | 0    | 54  | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |   | 0    | 54  | 0   | 0   | 0   | 0   | 0   | 0   |

| Contra Costa |   |      |     |     |     |     |     |      |     |
|--------------|---|------|-----|-----|-----|-----|-----|------|-----|
| I-SATS ID    | Program Name  | DCDF | ODF | ODX | OMD | OMM | OTH | RDF  | RDX |
| 107445       | Ujima Family Recovery Services                              | 0    | 0   | 0   | 0   | 0   | 0   | 12.3 | 0   |
| 115547       | Contra Costa County Health Services Department-AOD Services | 30   | 0   | 0   | 0   | 0   | 0   | 0    | 0   |
| 115729       | Ujima Family Recovery Services                              | 0    | 0   | 0   | 0   | 0   | 0   | 19.7 | 0   |
| 116040       | Ujima Family Recovery Services                              | 15   | 0   | 0   | 0   | 0   | 0   | 0    | 0   |

| Contra Costa (cont.) |                                |      |   |   |   |   |   |    |   |
|----------------------|--------------------------------|------|---|---|---|---|---|----|---|
| 117469               | Ujima Family Recovery Services | 13.8 | 0 | 0 | 0 | 0 | 0 | 0  | 0 |
| 118012               | Bi-Bett Corporation            | 0    | 0 | 0 | 0 | 0 | 0 | 14 | 0 |
| 123764               | Bi-Bett Corporation            | 0    | 0 | 0 | 0 | 0 | 0 | 4  | 0 |
| County Total         |                                | 58.8 | 0 | 0 | 0 | 0 | 0 | 50 | 0 |

| Del Norte    |   |      |     |     |     |     |     |     |     |
|--------------|---|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                            | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 101307       | Del Norte County Alcohol & Drug Program | 13.3 | 15  | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |   | 13.3 | 15  | 0   | 0   | 0   | 0   | 0   | 0   |

| El Dorado    |                                      |      |       |     |     |     |     |      |     |
|--------------|--------------------------------------|------|-------|-----|-----|-----|-----|------|-----|
| I-SATS ID    | Program Name                         | DCDF | ODF   | ODX | OMD | OMM | OTH | RDF  | RDX |
| 102583       | Progress House Perinatal Facility    | 0    | 0     | 0   | 0   | 0   | 0   | 17.3 | 0   |
| 104518       | Sierra Recovery Center               | 0    | 0     | 0   | 0   | 0   | 0   | 6.8  | 6   |
| 107379       | Sierra Recovery Center               | 14   | 80.3  | 0   | 0   | 0   | 0   | 0    | 0   |
| 113104       | Progress House, Inc                  | 0    | 0     | 0   | 0   | 0   | 0   | 16   | 0   |
| 115703       | Progress House, Inc.                 | 0    | 40    | 0   | 0   | 0   | 0   | 0    | 0   |
| 907661       | El Dorado County Office of Education | 0    | 20    | 0   | 0   | 0   | 0   | 0    | 0   |
|              | Tahoe Human Services                 | 0    | 20    | 0   | 0   | 0   | 0   | 0    | 0   |
|              | Progress House, Inc.                 | 0    | 0     | 0   | 0   | 0   | 0   | 6    | 0   |
|              | Sierra Recovery Center               | 0    | 0     | 0   | 0   | 0   | 0   | 10   | 6   |
| County Total |                                      | 14   | 160.3 | 0   | 0   | 0   | 0   | 56.2 | 12  |

| Fresno       |   |      |     |     |     |     |     |     |     |
|--------------|---|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name  | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 1043         | Fresno County Perinatal Addiction Treatment and Health Services (PATHS) | 28.8 | 0   | 0   | 0   | 0   | 0   | 0   | 0   |
| 116461       | Westcare California, Inc.   | 0    | 51  | 0   | 0   | 0   | 0   | 0   | 0   |
| 130926       | Spirit of Women in California, Inc.                                     | 0    | 0   | 0   | 0   | 0   | 0   | 63  | 0   |
| County Total |   | 28.8 | 51  | 0   | 0   | 0   | 0   | 63  | 0   |

| Humboldt     |  |      |     |     |     |     |     |     |     |
|--------------|--|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                             | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 116255       | Humboldt County Public Health Department | 16   | 22  | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |  | 16   | 22  | 0   | 0   | 0   | 0   | 0   | 0   |

| Imperial     |   |      |     |     |     |     |     |     |     |
|--------------|---|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                              | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 115711       | Imperial County Alcohol and Drug Programs | 12   | 0   | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |   | 12   | 0   | 0   | 0   | 0   | 0   | 0   | 0   |

| Inyo         |  |      |     |     |     |     |     |     |     |
|--------------|--|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                               | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 911333       | Inyo County Alcohol & Other Drugs Services | 0    | 125 | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |  | 0    | 125 | 0   | 0   | 0   | 0   | 0   | 0   |

| Kern         |  |      |       |     |     |     |     |     |     |
|--------------|--|------|-------|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                                       | DCDF | ODF   | ODX | OMD | OMM | OTH | RDF | RDX |
| 102408       | Clinica Sierra Vista                               | 0    | 85.3  | 0   | 0   | 0   | 0   | 0   | 0   |
| 109144       | Kern County Hispanic Commission                    | 0    | 83    | 0   | 0   | 0   | 0   | 0   | 0   |
| 114920       | Ebony Counseling Center                            | 0    | 100   | 0   | 0   | 0   | 0   | 0   | 0   |
| 114946       | College Community Services                         | 0    | 59    | 0   | 0   | 0   | 0   | 0   | 0   |
| 123798       | Citizens for the Betterment of Community & Country | 0    | 0     | 0   | 0   | 0   | 0   | 60  | 2   |
| 132955       | Kern County Hispanic Commission                    | 0    | 0     | 0   | 0   | 0   | 0   | 10  | 0   |
| 342          | Capistrano Lincoln Street Retreat                  | 0    | 0     | 0   | 0   | 0   | 0   | 16  | 0   |
| 914345       | Kern County Mental Health Substance Abuse Program  | 0    | 390   | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |  | 0    | 717.3 | 0   | 0   | 0   | 0   | 86  | 2   |

| Kings        |                             |      |     |     |     |     |     |      |     |
|--------------|-----------------------------|------|-----|-----|-----|-----|-----|------|-----|
| I-SATS ID    | Program Name                | DCDF | ODF | ODX | OMD | OMM | OTH | RDF  | RDX |
| 113960       | Cornerstone Recovery Center | 1.8  | 0   | 0   | 0   | 0   | 0   | 20.1 | 0.7 |
| County Total |                             | 1.8  | 0   | 0   | 0   | 0   | 0   | 20.1 | 0.7 |

| Lake         |                                     |      |     |     |     |     |     |     |     |
|--------------|-------------------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                        | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
|              | Lake County Community Action Agency | 11.5 | 2   | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |                                     | 11.5 | 2   | 0   | 0   | 0   | 0   | 0   | 0   |

| Lassen       |  |      |     |     |     |     |     |     |     |
|--------------|--|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                             | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 907695       | Lassen County Health and Social Services | 18   | 30  | 0   | 0   | 0   | 0   | 4.9 | 4.9 |
| County Total |  | 18   | 30  | 0   | 0   | 0   | 0   | 4.9 | 4.9 |

| Los Angeles |   |       |       |     |     |     |     |      |     |
|-------------|---|-------|-------|-----|-----|-----|-----|------|-----|
| I-SATS ID   | Program Name  | DCDF  | ODF   | ODX | OMD | OMM | OTH | RDF  | RDX |
| 100192      | Watts Healthcare Corporation                        | 0     | 40    | 0   | 0   | 0   | 0   | 60.5 | 0   |
| 103168      | Tarzana Treatment Center, Inc.                      | 183.3 | 0     | 0   | 0   | 0   | 0   | 0    | 0   |
| 107411      | South Bay Alcoholism Services                       | 0     | 0     | 0   | 0   | 0   | 0   | 12   | 0   |
| 108476      | His Sheltering Arms, Inc.                           | 0     | 13.3  | 0   | 0   | 0   | 0   | 38.3 | 0   |
| 108633      | Southern California Alcohol and Drug Programs, Inc. | 0     | 0     | 0   | 0   | 0   | 0   | 27.6 | 0   |
| 1124        | Prototypes  | 13.8  | 70.9  | 0   | 0   | 0   | 0   | 0    | 0   |
| 113278      | Tarzana Treatment Center, Inc.                      | 0     | 0     | 0   | 0   | 0   | 0   | 84   | 0   |
| 113849      | Shields for Families Project, Inc.                  | 28.8  | 6.2   | 0   | 0   | 0   | 0   | 0    | 0   |
| 113864      | Harbor-UCLA Research Educational Institute          | 12.8  | 0     | 0   | 0   | 0   | 0   | 0    | 0   |
| 117378      | Asian American Drug Abuse Program                   | 47.8  | 92.3  | 0   | 0   | 0   | 0   | 0    | 0   |
| 117386      | Plaza Community Center                              | 20.8  | 94.2  | 0   | 0   | 0   | 0   | 0    | 0   |
| 117766      | Tarzana Treatment Center Inc.                       | 247.5 | 436.3 | 0   | 0   | 0   | 0   | 11   | 0   |
| 118954      | Shields For Families, Inc.                          | 0     | 54.6  | 0   | 0   | 0   | 0   | 0    | 0   |
|             | Shields for Families Project, Inc.                  | 0     | 54.6  | 0   | 0   | 0   | 0   | 0    | 0   |
| 118996      | URDC Human Services Corporation                     | 17    | 35.6  | 0   | 0   | 0   | 0   | 0    | 0   |

| Los Angeles (cont) |   |       |        |   |   |   |   |      |   |
|--------------------|---|-------|--------|---|---|---|---|------|---|
| 120687             | El Proyecto Del Barrio                                | 143.9 | 13.1   | 0 | 0 | 0 | 0 | 0    | 0 |
| 120752             | Children's Institute, Inc.                            | 0     | 30     | 0 | 0 | 0 | 0 | 0    | 0 |
| 120778             | National Council Alcohol & Drug Dependency-Long Beach | 0     | 0      | 0 | 0 | 0 | 0 | 19   | 0 |
| 121677             | Shields for Families Project, Inc.                    | 36.2  | 2.9    | 0 | 0 | 0 | 0 | 0    | 0 |
| 131395             | Southern California Alcohol and Drug Programs, Inc.   | 0     | 0      | 0 | 0 | 0 | 0 | 10.1 | 0 |
| 1323               | Asian American Drug Abuse Program, Inc.               | 23.8  | 4.8    | 0 | 0 | 0 | 0 | 0    | 0 |
| 134613             | Shields for Families Project                          | 35.3  | 3.8    | 0 | 0 | 0 | 0 | 0    | 0 |
| 134894             | His Sheltering Arms, Inc.                             | 0     | 0      | 0 | 0 | 0 | 0 | 21   | 0 |
| 308787             | Prototypes a Center for Innovation                    | 0     | 9.2    | 0 | 0 | 0 | 0 | 91.7 | 0 |
| 90862              | Southern California Alcohol and Drug Programs, Inc.   | 80    | 0      | 0 | 0 | 0 | 0 | 0    | 0 |
| 908628             | Southern California Alcohol and Drug Programs, Inc.   | 0     | 25     | 0 | 0 | 0 | 0 | 0    | 0 |
| 931844             | Behavioral Health Services, Inc.                      | 0     | 0      | 0 | 0 | 0 | 0 | 28.3 | 0 |
|                    | Mela Counseling Services Center, Inc.                 | 20    | 65     | 0 | 0 | 0 | 0 | 2.5  | 0 |
| County Total       |   | 910.9 | 1051.7 | 0 | 0 | 0 | 0 | 406  | 0 |

| Madera    |  |      |      |     |     |     |     |     |     |
|-----------|--|------|------|-----|-----|-----|-----|-----|-----|
| I-SATS ID | Program Name   | DCDF | ODF  | ODX | OMD | OMM | OTH | RDF | RDX |
| 115562    | Madera County Department of Behavioral Health Services Alcohol and Drug Programs | 0    | 67.5 | 0   | 0   | 0   | 0   | 0   | 0   |

| Madera (cont.) |  |   |       |   |   |   |   |   |   |
|----------------|--|---|-------|---|---|---|---|---|---|
| 914832         | Madera County Department of Behavioral Health Services Alcohol and Drug Programs | 0 | 50    | 0 | 0 | 0 | 0 | 0 | 0 |
| County Total   |  | 0 | 117.5 | 0 | 0 | 0 | 0 | 0 | 0 |

| Marin        |                          |      |     |     |     |     |     |     |     |
|--------------|--------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name             | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 118053       | Center Point-Life Start  | 0    | 0   | 0   | 0   | 0   | 0   | 44  | 0   |
| 936280       | Marin Services for Women | 23.3 | 2.3 | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |                          | 23.3 | 2.3 | 0   | 0   | 0   | 0   | 44  | 0   |

| Mariposa     |   |      |     |     |     |     |     |     |     |
|--------------|---|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name  | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 914857       | Mariposa County Behavioral Health and Recovery Services | 0    | 100 | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |   | 0    | 100 | 0   | 0   | 0   | 0   | 0   | 0   |

| Mendocino    |  |      |       |     |     |     |     |     |     |
|--------------|--|------|-------|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                                     | DCDF | ODF   | ODX | OMD | OMM | OTH | RDF | RDX |
| 117584       | Mendocino County Alcohol and Other Drug Programs | 0    | 40.3  | 0   | 0   | 0   | 0   | 0   | 0   |
| 117659       | Mendocino County Alcohol and Other Drug Programs | 0    | 30    | 0   | 0   | 0   | 0   | 0   | 0   |
| 1183         | Mendocino County Alcohol and Other Drug Programs | 0    | 90.3  | 0   | 0   | 0   | 0   | 0   | 0   |
| 241          | Mendocino County Alcohol and Other Drug Programs | 0    | 90.3  | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |  | 0    | 250.8 | 0   | 0   | 0   | 0   | 0   | 0   |

| Merced       |                                      |      |     |     |     |     |     |     |     |
|--------------|--------------------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                         | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 113302       | Community Social Model Advocates     | 0    | 0   | 0   | 0   | 0   | 0   | 42  | 0   |
| 306468       | The Center - Alcohol & Drug Services | 0    | 55  | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |                                      | 0    | 55  | 0   | 0   | 0   | 0   | 42  | 0   |

| Modoc        |                                      |      |     |     |     |     |     |     |     |
|--------------|--------------------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                         | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 105068       | Modoc County Alcohol & Drug Services | 0    | 175 | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |                                      | 0    | 175 | 0   | 0   | 0   | 0   | 0   | 0   |

| Mono         |   |      |     |     |     |     |     |     |     |
|--------------|---|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name  | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 916746       | Mono County Mental Health and Alcohol and Drug Programs | 0    | 66  | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |   | 0    | 66  | 0   | 0   | 0   | 0   | 0   | 0   |

| Monterey     |                                    |      |     |     |     |     |     |     |     |
|--------------|------------------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                       | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 305239       | Community Human Services-Peninsula | 0    | 0   | 0   | 0   | 0   | 0   | 36  | 0   |
| County Total |                                    | 0    | 0   | 0   | 0   | 0   | 0   | 36  | 0   |

| Napa         |                                     |      |     |     |     |     |     |     |     |
|--------------|-------------------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                        | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 101331       | Napa County Human Services Delivery | 0    | 208 | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |                                     | 0    | 208 | 0   | 0   | 0   | 0   | 0   | 0   |

| Nevada       |                                 |      |      |     |     |     |     |      |     |
|--------------|---------------------------------|------|------|-----|-----|-----|-----|------|-----|
| I-SATS ID    | Program Name                    | DCDF | ODF  | ODX | OMD | OMM | OTH | RDF  | RDX |
| 107635       | Progress House Coed Residential | 0    | 0    | 0   | 0   | 0   | 0   | 19   | 0   |
| 778          | Community Recovery Resources    | 0    | 0    | 0   | 0   | 0   | 0   | 9.6  | 0   |
| 931273       | Community Recovery Resources    | 0    | 89.3 | 0   | 0   | 0   | 0   | 0    | 0   |
| County Total |                                 | 0    | 89.3 | 0   | 0   | 0   | 0   | 28.6 | 0   |

| Orange       |   |      |       |     |     |     |     |     |     |
|--------------|---|------|-------|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name  | DCDF | ODF   | ODX | OMD | OMM | OTH | RDF | RDX |
| 115653       | Southern California Alcohol and Drug Programs, Inc. | 0    | 0     | 0   | 0   | 0   | 0   | 16  | 0   |
| 701          | Heritage House North                                | 0    | 0     | 0   | 0   | 0   | 0   | 20  | 0   |
| 909477       | County of Orange Health Care Agency                 | 0    | 364.3 | 0   | 0   | 0   | 0   | 0   | 0   |
| 936397       | County of Orange Health Care Agency                 | 0    | 344.5 | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |   | 0    | 708.8 | 0   | 0   | 0   | 0   | 36  | 0   |

| Placer       |   |      |      |     |     |     |     |      |     |
|--------------|---|------|------|-----|-----|-----|-----|------|-----|
| I-SATS ID    | Program Name                              | DCDF | ODF  | ODX | OMD | OMM | OTH | RDF  | RDX |
| 101701       | Hardwick, James N.                        | 0    | 0    | 0   | 0   | 0   | 0   | 5.5  | 0   |
| 122576       | Progress House Alta                       | 0    | 0.5  | 0   | 0   | 0   | 0   | 4.9  | 0.9 |
| 911663       | Sierra Family Services Inc.               | 15   | 52.9 | 0   | 0   | 0   | 0   | 0    | 0   |
| 931158       | Sierra Council on Alcohol/Drug Dependency | 11.9 | 30   | 0   | 0   | 0   | 0   | 0    | 0   |
|              | James N. Hardwick                         | 0    | 0    | 0   | 0   | 0   | 0   | 6    | 0   |
| County Total |   | 26.9 | 83.4 | 0   | 0   | 0   | 0   | 16.4 | 0.9 |

| Plumas       |   |      |     |     |     |     |     |     |     |
|--------------|---|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                            | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 911200       | Plumas County (out of state)            | 0    | 0   | 0   | 0   | 0   | 0   | 0   | 0   |
|              | Plumas County Alcohol & Drug Department | 0    | 0   | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |   | 0    | 0   | 0   | 0   | 0   | 0   | 0   | 0   |

| Riverside |  |      |      |     |     |     |     |     |     |
|-----------|--|------|------|-----|-----|-----|-----|-----|-----|
| I-SATS ID | Program Name                             | DCDF | ODF  | ODX | OMD | OMM | OTH | RDF | RDX |
| 115125    | MFI Recovery Center, Inc.                | 19.2 | 0    | 0   | 0   | 0   | 0   | 0   | 0   |
| 117543    | MFI Recovery Center, Inc.                | 25   | 18.3 | 0   | 0   | 0   | 0   | 0   | 0   |
| 119010    | MFI Recovery Center, Inc.                | 0    | 0    | 0   | 0   | 0   | 0   | 26  | 0   |
| 119713    | YWCA of Riverside County                 | 0    | 2    | 0   | 0   | 0   | 0   | 4   | 0   |
| 308621    | Riverside County Substance Abuse Program | 29.3 | 0    | 0   | 0   | 0   | 0   | 60  | 0   |
| 750525    | La Vista Inc., Aware & Soar              | 0    | 0    | 0   | 0   | 0   | 0   | 20  | 20  |
| 750533    | ABC Recovery Center, Inc.                | 0    | 0    | 0   | 0   | 0   | 0   | 0   | 31  |
| 786       | Riverside Recovery Resources             | 0    | 0    | 0   | 0   | 0   | 0   | 7.3 | 0   |

| Riverside (cont.) |                           |       |      |   |   |   |   |       |    |
|-------------------|---------------------------|-------|------|---|---|---|---|-------|----|
| 895               | MFI Recovery Center, Inc. | 0     | 9.2  | 0 | 0 | 0 | 0 | 0     | 0  |
| 914667            | Riverside County          | 30    | 0    | 0 | 0 | 0 | 0 | 0     | 0  |
| County Total      |                           | 103.5 | 29.5 | 0 | 0 | 0 | 0 | 117.3 | 51 |

| Sacramento   |  |       |       |     |     |     |     |     |     |
|--------------|--|-------|-------|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                                       | DCDF  | ODF   | ODX | OMD | OMM | OTH | RDF | RDX |
| 113732       | Strategies For Change                              | 110   | 43.1  | 0   | 0   | 0   | 0   | 0   | 0   |
| 113799       | Volunteers of America                              | 0     | 0     | 0   | 0   | 0   | 0   | 24  | 0   |
| 1146         | Bridges Incorporated                               | 0     | 0     | 0   | 0   | 0   | 0   | 10  | 0   |
| 117733       | Sacramento County Alcohol & Drug Services Division | 0     | 53    | 0   | 0   | 0   | 0   | 0   | 0   |
| 131874       | Bridges Professional Treatment Services Inc.       | 0     | 66    | 0   | 0   | 0   | 0   | 0   | 0   |
| 307599       | Strategies For Change                              | 105.5 | 170.6 | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |  | 215.5 | 332.7 | 0   | 0   | 0   | 0   | 34  | 0   |

| San Benito   |                                    |      |     |     |     |     |     |     |     |
|--------------|------------------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                       | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 930994       | San Benito Substance Abuse Program | 0    | 65  | 0   | 0   | 0   | 0   | 19  | 0   |
| County Total |                                    | 0    | 65  | 0   | 0   | 0   | 0   | 19  | 0   |

| San Bernardino |                |      |     |     |     |     |     |      |     |
|----------------|----------------|------|-----|-----|-----|-----|-----|------|-----|
| I-SATS ID      | Program Name   | DCDF | ODF | ODX | OMD | OMM | OTH | RDF  | RDX |
| 103277         | Maple House    | 0    | 0   | 0   | 0   | 0   | 0   | 8    | 0   |
| 104210         | New House Inc. | 0    | 0   | 0   | 0   | 0   | 0   | 19.4 | 0   |

| San Bernardino (cont.) |   |      |       |   |   |   |   |       |   |
|------------------------|---|------|-------|---|---|---|---|-------|---|
| 106660                 | San Bernardino County Department of Behavioral Health   | 41.3 | 47.9  | 0 | 0 | 0 | 0 | 0     | 0 |
| 107619                 | Inland Valley Drug and Alcohol Recovery Services        | 0    | 0     | 0 | 0 | 0 | 0 | 6     | 0 |
| 115133                 | New House-Women With Children                           | 0    | 0     | 0 | 0 | 0 | 0 | 18.2  | 0 |
| 116263                 | San Bernardino County Office of Alcohol & Drug Programs | 0    | 120   | 0 | 0 | 0 | 0 | 0     | 0 |
| 118491                 | San Bernardino County Department of Behavioral Health   | 0    | 60    | 0 | 0 | 0 | 0 | 0     | 0 |
| 306369                 | Inland Behavioral Services                              | 27.5 | 238.3 | 0 | 0 | 0 | 0 | 0     | 0 |
| 938237                 | Saint John of God Health Care                           | 0    | 0     | 0 | 0 | 0 | 0 | 60    | 6 |
| County Total           |   | 68.8 | 466.3 | 0 | 0 | 0 | 0 | 111.6 | 6 |

| San Diego |   |      |      |     |     |     |     |      |     |
|-----------|---|------|------|-----|-----|-----|-----|------|-----|
| I-SATS ID | Program Name  | DCDF | ODF  | ODX | OMD | OMM | OTH | RDF  | RDX |
| 102669    | North County Serenity House                           | 0    | 0    | 0   | 0   | 0   | 0   | 120  | 0   |
| 111967    | McAlister Institute for Treatment and Education, Inc. | 55   | 27.5 | 0   | 0   | 0   | 0   | 0    | 0   |
| 112684    | McAlister Institute (MITE)                            | 0    | 23.8 | 0   | 0   | 0   | 0   | 0    | 0   |
| 114441    | CRASH Inc.  | 30   | 0    | 0   | 0   | 0   | 0   | 0    | 0   |
| 117238    | Mental Health Systems, Inc.                           | 0    | 11.7 | 0   | 0   | 0   | 0   | 0    | 0   |
| 118160    | North County Serenity House, Inc.                     | 34.6 | 25.4 | 0   | 0   | 0   | 0   | 0    | 0   |
| 119275    | Mental Health Systems, Inc.                           | 11.3 | 18   | 0   | 0   | 0   | 0   | 41.3 | 0   |
| 120802    | Paradise Valley Hospital-Healthy Beginnings           | 12.5 | 4.2  | 0   | 0   | 0   | 0   | 0    | 0   |
| 120828    | Vista Hill Foundation                                 | 60   | 20   | 0   | 0   | 0   | 0   | 0    | 0   |

| San Diego (cont.) |                             |       |       |   |   |   |   |       |   |
|-------------------|-----------------------------|-------|-------|---|---|---|---|-------|---|
| 935852            | Mental Health Systems, Inc. | 27.5  | 28.3  | 0 | 0 | 0 | 0 | 0     | 0 |
| County Total      |                             | 230.8 | 158.9 | 0 | 0 | 0 | 0 | 161.3 | 0 |

| San Francisco |                   |      |     |     |     |     |     |      |     |
|---------------|-------------------|------|-----|-----|-----|-----|-----|------|-----|
| I-SATS ID     | Program Name      | DCDF | ODF | ODX | OMD | OMM | OTH | RDF  | RDX |
| 116875        | Jelani, Inc.      | 0    | 0   | 0   | 0   | 0   | 0   | 10.7 | 0   |
| 917439        | Iris Center       | 60   | 60  | 0   | 0   | 0   | 0   | 0    | 0   |
|               | Latino Commission | 0    | 0   | 0   | 0   | 0   | 0   | 6    | 0   |
| County Total  |                   | 60   | 60  | 0   | 0   | 0   | 0   | 16.7 | 0   |

| San Luis Obispo |  |      |       |     |     |     |     |      |     |
|-----------------|--|------|-------|-----|-----|-----|-----|------|-----|
| I-SATS ID       | Program Name                                     | DCDF | ODF   | ODX | OMD | OMM | OTH | RDF  | RDX |
| 120109          | Life Steps Alcohol & Drug Free Living            | 0    | 0     | 0   | 0   | 0   | 0   | 5    | 0   |
| 122857          | Life Steps                                       | 0    | 0     | 0   | 0   | 0   | 0   | 5.8  | 3.5 |
| 901854          | San Luis Obispo County Drug and Alcohol Services | 11   | 142.1 | 0   | 0   | 0   | 0   | 0    | 0   |
| County Total    |  | 11   | 142.1 | 0   | 0   | 0   | 0   | 10.8 | 3.5 |

| San Mateo    |                               |      |      |     |     |     |     |      |     |
|--------------|-------------------------------|------|------|-----|-----|-----|-----|------|-----|
| I-SATS ID    | Program Name                  | DCDF | ODF  | ODX | OMD | OMM | OTH | RDF  | RDX |
| 108997       | Sitike Counseling Center - #1 | 7.7  | 53.2 | 0   | 0   | 0   | 0   | 0    | 0   |
| 113922       | Service League of San Mateo   | 0    | 0    | 0   | 0   | 0   | 0   | 14.7 | 0   |
| 118707       | Free At Last                  | 0.5  | 45.8 | 0   | 0   | 0   | 0   | 0    | 0   |
| 751903       | Womens Recovery Association   | 22   | 20   | 0   | 0   | 0   | 0   | 14.8 | 0   |
| County Total |                               | 30.2 | 119  | 0   | 0   | 0   | 0   | 29.4 | 0   |

| Santa Barbara |                                      |      |       |     |     |     |     |     |     |
|---------------|--------------------------------------|------|-------|-----|-----|-----|-----|-----|-----|
| I-SATS ID     | Program Name                         | DCDF | ODF   | ODX | OMD | OMM | OTH | RDF | RDX |
| 1423          | Casa Serena, Inc.                    | 0    | 0     | 0   | 0   | 0   | 0   | 4   | 0   |
| 751994        | Council on Alcoholism and Drug Abuse | 0    | 301.3 | 0   | 0   | 0   | 0   | 0   | 0   |
| 933139        | Casa Serena Inc.                     | 0    | 0     | 0   | 0   | 0   | 0   | 3   | 0   |
| County Total  |                                      | 0    | 301.3 | 0   | 0   | 0   | 0   | 7   | 0   |

| Santa Clara  |                                     |      |     |     |     |      |     |      |     |
|--------------|-------------------------------------|------|-----|-----|-----|------|-----|------|-----|
| I-SATS ID    | Program Name                        | DCDF | ODF | ODX | OMD | OMM  | OTH | RDF  | RDX |
| 100705       | Santa Clara County                  | 0    | 0   | 0   | 2.1 | 72.1 | 0   | 0    | 0   |
| 118020       | Gardner Family Health Network, Inc. | 0    | 45  | 0   | 0   | 0    | 0   | 0    | 0   |
| 121768       | A.R.H.-Recovery Homes, Inc.         | 0    | 0   | 0   | 0   | 0    | 0   | 13.9 | 0   |
| County Total |                                     | 0    | 45  | 0   | 2.1 | 72.1 | 0   | 13.9 | 0   |

| Santa Cruz   |  |      |      |     |     |     |     |      |      |
|--------------|--|------|------|-----|-----|-----|-----|------|------|
| I-SATS ID    | Program Name                           | DCDF | ODF  | ODX | OMD | OMM | OTH | RDF  | RDX  |
| 107478       | Santa Cruz Community Counseling Center | 0    | 0    | 0   | 0   | 0   | 0   | 0    | 10.5 |
| 117758       | Janus of Santa Cruz                    | 4    | 0    | 0   | 0   | 0   | 0   | 7.6  | 0    |
| 919807       | Santa Cruz Community Counseling Center | 0    | 12.5 | 0   | 0   | 0   | 0   | 28.6 | 0    |
| County Total |  | 4    | 12.5 | 0   | 0   | 0   | 0   | 36.2 | 10.5 |

| Shasta    |                                 |      |     |     |     |     |     |     |     |
|-----------|---------------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID | Program Name                    | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 115604    | Shasta County Perinatal Program | 48   | 0   | 0   | 0   | 0   | 0   | 0   | 0   |

| Shasta (cont.) |  |      |     |   |   |   |   |      |      |
|----------------|--|------|-----|---|---|---|---|------|------|
| 132781         | Cornerstone Recovery Systems, Inc.     | 0    | 0   | 0 | 0 | 0 | 0 | 18   | 3    |
| 751259         | Empire Recovery Center                 | 0    | 0   | 0 | 0 | 0 | 0 | 30.8 | 7.3  |
| 901037         | Shasta County Alcohol and Drug Program | 7.5  | 140 | 0 | 0 | 0 | 0 | 0    | 0    |
| County Total   |  | 55.5 | 140 | 0 | 0 | 0 | 0 | 48.8 | 10.3 |

| Sierra       |                                      |      |       |     |     |     |     |     |     |
|--------------|--------------------------------------|------|-------|-----|-----|-----|-----|-----|-----|
| 104541       | Sierra County Alcohol & Drug Program | 0    | 121.9 | 0   | 0   | 0   | 0   | 0   | 0   |
| I-SATS ID    | Program Name                         | DCDF | ODF   | ODX | OMD | OMM | OTH | RDF | RDX |
| County Total |                                      | 0    | 121.9 | 0   | 0   | 0   | 0   | 0   | 0   |

| Siskiyou     |  |      |       |     |     |     |     |     |     |
|--------------|--|------|-------|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                               | DCDF | ODF   | ODX | OMD | OMM | OTH | RDF | RDX |
| 908206       | Siskiyou County Behavioral Health Services | 0    | 126.4 | 0   | 0   | 0   | 0   | 0   | 0   |
|              | Siskiyou County (Out of State)             | 28.1 | 0     | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |  | 28.1 | 126.4 | 0   | 0   | 0   | 0   | 0   | 0   |

| Solano    |                                 |      |      |     |     |     |     |     |     |
|-----------|---------------------------------|------|------|-----|-----|-----|-----|-----|-----|
| I-SATS ID | Program Name                    | DCDF | ODF  | ODX | OMD | OMM | OTH | RDF | RDX |
| 102242    | Healthy Partnerships            | 0    | 90   | 0   | 0   | 0   | 0   | 0   | 0   |
| 103479    | Youth and Family Services, Inc. | 10   | 22.5 | 0   | 0   | 0   | 0   | 0   | 0   |
| 1071      | Healthy Partnerships            | 0    | 0    | 0   | 0   | 0   | 0   | 0   | 0   |
| 115331    | Bi Bett Corporation             | 0    | 0    | 0   | 0   | 0   | 0   | 15  | 0   |

| Solano (cont.) |                                 |    |       |   |   |   |   |    |   |
|----------------|---------------------------------|----|-------|---|---|---|---|----|---|
| 117360         | Youth and Family Services, Inc. | 10 | 43.3  | 0 | 0 | 0 | 0 | 0  | 0 |
| 131106         | Healthy Partnerships, Inc.      | 0  | 60    | 0 | 0 | 0 | 0 | 0  | 0 |
| County Total   |                                 | 20 | 215.8 | 0 | 0 | 0 | 0 | 15 | 0 |

| Sonoma       |                                |      |     |     |     |     |     |     |     |
|--------------|--------------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                   | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 112445       | Drug Abuse Alternatives Center | 29.2 | 0   | 0   | 0   | 0   | 0   | 0   | 0   |
| 933170       | Womens Recovery Services       | 12.5 | 1.2 | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |                                | 41.7 | 1.2 | 0   | 0   | 0   | 0   | 0   | 0   |

| Stanislaus   |                         |      |     |     |     |     |     |      |     |
|--------------|-------------------------|------|-----|-----|-----|-----|-----|------|-----|
| I-SATS ID    | Program Name            | DCDF | ODF | ODX | OMD | OMM | OTH | RDF  | RDX |
| 910855       | First Step Sierra Vista | 48.8 | 0   | 0   | 0   | 0   | 0   | 11.9 | 0   |
| County Total |                         | 48.8 | 0   | 0   | 0   | 0   | 0   | 11.9 | 0   |

| Tehama       |                                      |      |     |     |     |     |     |     |     |
|--------------|--------------------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name                         | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 908321       | Tehama County Health Services Agency | 15   | 36  | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |                                      | 15   | 36  | 0   | 0   | 0   | 0   | 0   | 0   |

| Trinity   |                                  |      |     |     |     |     |     |     |     |
|-----------|----------------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID | Program Name                     | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 118541    | Trinity County Counseling Center | 25   | 0   | 0   | 0   | 0   | 0   | 0   | 0   |

| Trinity (cont.) |                                  |     |     |   |   |   |   |   |   |
|-----------------|----------------------------------|-----|-----|---|---|---|---|---|---|
| 908339          | Trinity County Counseling Center | 100 | 100 | 0 | 0 | 0 | 0 | 0 | 0 |
| County Total    |                                  | 125 | 100 | 0 | 0 | 0 | 0 | 0 | 0 |

| Tulare       |                                  |      |      |     |     |     |     |      |     |
|--------------|----------------------------------|------|------|-----|-----|-----|-----|------|-----|
| I-SATS ID    | Program Name                     | DCDF | ODF  | ODX | OMD | OMM | OTH | RDF  | RDX |
| 102659       | Primer Paso Institute, Inc.      | 0    | 0    | 0   | 0   | 0   | 0   | 8    | 0   |
| 116230       | Tulare County Alcoholism Council | 0.8  | 0    | 0   | 0   | 0   | 0   | 8.3  | 0   |
|              | A S.T.E.P., Inc.                 | 0    | 87.5 | 0   | 0   | 0   | 0   | 0    | 0   |
| County Total |                                  | 0.8  | 87.5 | 0   | 0   | 0   | 0   | 16.3 | 0   |

| Tuolumne     |                        |      |     |     |     |     |     |     |     |
|--------------|------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name           | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 915334       | Kings View Corporation | 0    | 70  | 0   | 0   | 0   | 0   | 0   | 0   |
| County Total |                        | 0    | 70  | 0   | 0   | 0   | 0   | 0   | 0   |

| Ventura      |   |      |      |     |     |     |     |      |     |
|--------------|---|------|------|-----|-----|-----|-----|------|-----|
| I-SATS ID    | Program Name  | DCDF | ODF  | ODX | OMD | OMM | OTH | RDF  | RDX |
| 107544       | Miracle House - Phase A   | 0    | 0    | 0   | 0   | 0   | 0   | 9.2  | 0   |
| 113708       | Ventura County Behavioral Health Department Alcohol & Drug Programs | 30.2 | 30.2 | 0   | 0   | 0   | 0   | 0    | 0   |
| 113831       | Casa Latina Residential Treatment Center                            | 0    | 0    | 0   | 0   | 0   | 0   | 18.8 | 0   |
| 884          | Prototypes Women's Center   | 0    | 0    | 0   | 0   | 0   | 0   | 30   | 0   |
| County Total |   | 30.2 | 30.2 | 0   | 0   | 0   | 0   | 58   | 0   |

| Yolo         |                            |      |     |     |     |     |     |     |     |
|--------------|----------------------------|------|-----|-----|-----|-----|-----|-----|-----|
| I-SATS ID    | Program Name               | DCDF | ODF | ODX | OMD | OMM | OTH | RDF | RDX |
| 102470       | Communicare Health Centers | 17.1 | 0   | 0   | 0   | 0   | 0   | 0   | 0   |
| 1200         | Communicare Health Centers | 16.3 | 105 | 0   | 0   | 0   | 0   | 0   | 0   |
| 305270       | Communicare Health Center  | 1.4  | 105 | 12  | 0   | 0   | 0   | 0   | 0   |
| County Total |                            | 34.8 | 210 | 12  | 0   | 0   | 0   | 0   | 0   |

| Yuba-Sutter  |                  |      |     |     |     |     |     |      |     |
|--------------|------------------|------|-----|-----|-----|-----|-----|------|-----|
| I-SATS ID    | Program Name     | DCDF | ODF | ODX | OMD | OMM | OTH | RDF  | RDX |
| 118426       | First Steps      | 26.7 | 0   | 0   | 0   | 0   | 0   | 0    | 0   |
| 933444       | Pathways House I | 12.5 | 0   | 0   | 0   | 0   | 0   | 19.2 | 0.8 |
| County Total |                  | 39.2 | 0   | 0   | 0   | 0   | 0   | 19.2 | 0.8 |

Total Treatment  
Capacity

Total Treatment Facilities = 189

**Types of Care:**

**ODF – Outpatient Drug Free**

**OMD – Outpatient Methadone Detoxification**

**RDX – Residential Detoxification**

**DCDF – Day Care Drug Free**

**OMM – Outpatient Methadone**

**ODX – Outpatient Detoxification**

**RDF – Residential Drug Free**

**OTH - Other**

## California

### Goal #4: IVDU Services

**GOAL # 4.** An agreement to provide treatment to intravenous drug abusers that fulfills the 90 percent capacity reporting, 14-120 day performance requirement, interim services, outreach activities and monitoring requirements (See 42 U.S.C. 300x-23 and 45 C.F.R. 96.126).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

## ***Background and Ongoing Activities***

The Department of Alcohol and Drug Programs (ADP) requires counties to use federal funds to develop, implement, and operate treatment programs for intravenous drug users (IVDU) through Negotiated Net Amount (NNA) contracts. Admission priority for treatment services is given to persons who administer substances by injection. Programs are also required to provide education to clients about Human Immunodeficiency Virus (HIV) risks and to train counselors and other health care providers about providing treatment and outreach services that encourage those in need of treatment to enter treatment.

Programs and services for screening and intake of IVDUs include outpatient methadone maintenance; outpatient methadone detoxification; outpatient drug-free; residential detoxification; residential drug-free; and perinatal residential, outpatient, and day care rehabilitative services.

ADP maintains the Capacity/Waiting List Management Program called the Drug and Alcohol Treatment Access Report (DATAR). Continuing goals for improving DATAR include:

- Providing ongoing DATAR training and technical assistance (TA) by telephone and ADP's Web site to counties and direct providers to enhance accuracy and the rate of on-time reporting
- Implementing new technologies to improve the quality of data transfer and processing, and
- Reducing the time required to accomplish these activities

ADP contracted with a developer to build a user-friendly Web-based application, allowing providers to submit DATAR data over the Internet rather than on paper. The objectives of the new system are to provide more efficient, effective, and comprehensive source of management information needed for strategic program monitoring and resource allocation. The Web-based DATAR also supports a process for the systematic reporting of treatment demand and public treatment capacity utilization.

The work, completed on January 1, 2006, is enhancing accuracy and the rate of on-time reporting of capacity and waiting list information as well as reducing the time needed to accomplish these activities. DATAR allows on-demand monitoring and utilization reports for providers, counties, and the State. The State now can query, notify, and institute improvement by providers and counties not fulfilling the federal performance requirement to have clients admitted to treatment within 14-120 days. Late letters are automatically generated by the system, and there are on-line edits and a help desk.

In addition to DATAR, ADP has implemented the California Outcomes Measurement System - Treatment (CalOMS-Tx) project to identify specific services that are working well for AOD service recipients in the AOD delivery system. Concurrently, the system also measures services that could be improved. Collecting outcomes information facilitates the improvement of service delivery and as such is the key to promoting continuous quality improvement and thus to positively impacting the lives of AOD service recipients and their families, communities, and public health and social systems. Along with DATAR, CalOMS-Tx enhances admission program compliance by asking how long an IVDU (or other) client waited for treatment admission.

Counties and direct providers are required to collect CalOMS-Tx data and submit this information electronically to ADP. Counties and direct providers must be the single source of CalOMS-Tx data collection and must submit data monthly to ADP. However, data submission is not limited to once per reporting month. For example, CalOMS-Tx data files can be submitted hourly, daily, once a week, etc. during a given report month. Data submitted to ADP will update the CalOMS-Tx database and counties and direct providers are able to extract their data and reports from the CalOMS-Tx database.

CalOMS-Tx data reporting involves collecting the required CalOMS-Tx data elements from every participant each time they are enrolled in AOD treatment services at a reporting facility. Each participant's initial admission to the facility and any subsequent transfers or changes in service are reported in a separate CalOMS-Tx record. If a participant remains in treatment for one year or longer, CalOMS-Tx annual update data must be collected and reported. When a participant leaves treatment, CalOMS-Tx discharge data must be collected and submitted to ADP.

Data must be collected on all treatment service recipients, by all providers that receive funding from ADP, regardless of the source of funds used for the service recipient. For example, if a provider receives ADP funding, but provides services to a person using only county funds, or provides services to a private-pay client, the provider must still collect and submit CalOMS-Tx data for that individual.

#### 90% Capacity Reporting

All alcohol and other drug (AOD) treatment providers receiving State or federal funds or licensed by the State to dispense methadone or levo-alpha-acetyl-methodol (LAAM) are required to submit a DATAR report to the State each month. The aggregated information provided by DATAR is compiled into a database at ADP. Counties use the data and reports to monitor capacity and utilization.

Counties and providers may access their own DATAR data and reports on-line. ADP posts DATAR information on the ADP Web site for counties and providers to view.

ADP sends late notices to direct providers and county alcohol and drug program administrators to improve the "on-time" reporting rate. When necessary to assure timely data submission, staff will contact counties and direct providers by telephone and e-mail.

### 14-120 Day Performance Requirement

The monthly DATAR contains specific information regarding the number of days IVDU applicants wait for admission to publicly-funded AOD programs. This information is tabulated, and reports and information with aggregated data are sent to county alcohol and drug program administrators through the Web for their use in monitoring and planning.

To meet the Substance Abuse Prevention and Treatment (SAPT) Block Grant requirements and improve the effectiveness of this system, ADP posts information on its Web site and collaborates with county alcohol and drug program administrators and direct providers. Procedures for providing priority placement for IVDUs and pregnant women are reviewed for compliance during the annual county compliance reviews.

ADP further meets federal requirements for providing services to IVDUs by performing the following:

### Interim Services

ADP requires counties, through the Negotiated Net Amount (NNA) contracts, to provide federally mandated services to IVDUs awaiting admission to treatment programs. Counties are responsible for ensuring that providers meet the interim service requirements for referrals for HIV and TB testing through informal arrangements with county health departments. Counties must also ensure that providers provide follow-up and referral for treatment services, if necessary.

Providers must document compliance with requirements to provide interim services and priority placement for IVDUs and pregnant women. Procedures for providing interim services for IVDUs and pregnant women are reviewed during ADP's annual county compliance reviews.

### Outreach

The SAPT Block Grant requirement mandating individuals who are in need of IVDU treatment services be encouraged to enter treatment is also contained within the county NNA contracts. ADP requires counties to include federal requirements for outreach activities in all their contracts with providers. Counties are required to monitor compliance with this requirement and take corrective action for noncompliance. Technical assistance and information is also provided to providers as needed.

ADP collaborates with four counties to provide special outreach services through the California Institution for Women's prison-based Female Offender Treatment Program (FOTP). The FOTP offers a continuum of community-based residential and outpatient, alcohol and other drug treatment and recovery services for up to six months to women, including IVDUs, paroled from prison, who live in Los Angeles, Orange, Riverside, and San Bernardino counties.

Monitoring

ADP sends allocation letters to the counties. Each allocation letter references the state-county contracts and specifies the terms and conditions for the use of SAPT Block Grant funds. In addition, each NNA contract refers to the block grant requirements for IVDU/capacity management.

ADP's Performance Management Branch performs annual compliance reviews of all county administrative systems and a sample of providers to ensure compliance with SAPT funding requirements.

**FFY 2006 (Compliance):**

ADP performed the compliance procedures and activities described above.

**FFY 2008 (Progress):**

The compliance procedures and activities described under *Background and Ongoing Activities* were performed. Additionally, ADP strengthened compliance requirements in the NNA contracts. ADP expects that all counties and providers will be submitting automated reports to the Web-based DATAR.

**FFY 2009 (Intended Use):**

ADP will perform the compliance procedures and activities described in *Background and Ongoing Activities* and will continue to provide Web-based DATAR training as needed. Minor adjustments to the Web-based DATAR system may be made to facilitate compliance.

# California

## Attachment C: Programs for IVDU

### Attachment C: Programs for Intravenous Drug Users (IVDUs)

(See 42 U.S.C. 300x-23; 45 C.F.R. 96.126; and 45 C.F.R. 96.122(f)(1)(ix))

**For the fiscal year three years prior (FY 2006) to the fiscal year for which the State is applying for funds:**

1. How did the State define IVDUs in need of treatment services?
2. 42 U.S.C. 300x-23(a)(1) requires that any program receiving amounts from the grant to provide treatment for intravenous drug abuse notify the State when the program has reached 90 percent of its capacity. Describe how the State ensured that this was done. Please provide a list of all such programs that notified the State during FY 2006 and include the program's I-SATS ID number (See 45 C.F.R. 96.126(a)).
3. 42 U.S.C. 300x-23(a)(2)(A)(B) requires that an individual who requests and is in need of treatment for intravenous drug abuse is admitted to a program of such treatment within 14-120 days. Describe how the State ensured that such programs were in compliance with the 14-120 day performance requirement (See 45 C.F.R. 96.126(b)).
4. 42 U.S.C. 300x-23(b) requires any program receiving amounts from the grant to provide treatment for intravenous drug abuse to carry out activities to encourage individuals in need of such treatment to undergo treatment. Describe how the State ensured that outreach activities directed toward IVDUs was accomplished (See 45 C.F.R. 96.126(e)).

1. How did the State define IVDUs in need of treatment services?

The State of California defines persons in need of intravenous drug users (IVDU) treatment services as follows: Persons in need of treatment who used a needle for the injection of illegal substances sometime during the year preceding their admission into treatment.

2. 42 U.S.C. 300x-23(a)(1) requires that any program receiving amounts from the grant to provide treatment for intravenous drug abuse notify the State when the program has reached 90 percent of its capacity. Describe how the State ensured that this is done. Please provide a list of all such programs that notified the State during FFY 2006 and include the program's I-SATS ID number (See 45 C.F.R.96.126(a)).

ADP required alcohol and other drug (AOD) treatment providers to submit a Drug and Alcohol Treatment Access Report (DATAR) monthly. The report shows the number of days program enrollment exceeded 90 percent of public treatment capacity. See Goal #4: IVDU Services, for more information regarding the State's compliance with this requirement.

3. 42 U.S.C. 300x-23(a)(2)(A)(B) requires that an individual who requests and is in need of treatment for intravenous drug abuse is admitted to a program of such treatment within 14-120 days. Describe how the State ensured that such programs were in compliance with the 14-120 day performance requirement (See 45 C.F.R. 96.126(b)).

The monthly DATAR submitted by providers contains specific information on the number of days IVDU applicants waited for admission to publicly funded AOD programs. This information was tabulated and compliance reports were made available to providers, county alcohol and drug program administrators, and the State for their use in monitoring and planning. In addition to DATAR, the California Outcomes Measurement System-Treatment (CalOMS-Tx) admission report contains a question on how long an IVDU (or other) client waited for treatment admission. The information captured in CalOMS-Tx indicates the length of time clients waited for admission to an AOD program. (Counties began reporting data to CalOMS-Tx January 1, 2006.)

4. 42 U.S.C. 300x-23(b) required any program receiving amounts from the grant to provide treatment for intravenous drug abuse carry out activities to encourage individuals in need of such treatment to undergo treatment. Describe how the State ensured that outreach activities directed toward IVDUs was accomplished (See 45 C.F.R. 96.126(e)).

The requirement that individuals in need of IVDU treatment services be encouraged to undergo treatment is incorporated by reference into the county NNA contracts. ADP continues to require counties to include federal requirements for outreach activities in their contracts with providers. Counties are required to monitor compliance with this requirement and take corrective action for noncompliance.

Treatment Providers Serving Injection Drug Users  
 Reaching 90 Percent Capacity  
 State Fiscal Year 2006-2007  
 By County

These findings were obtained from the California Drug and Alcohol Treatment Access Report (DATAR). DATAR collects information on treatment capacity and waiting list information from the California Department of Alcohol and Drug Programs (ADP) treatment providers. The treatment providers listed below serve injection drug users and reached 90 percent capacity at some point during State Fiscal Year 2006-2007.

| Alameda   |  |   |                       |
|-----------|--|---|-----------------------|
| I-SATS ID | Program Name                           | Address                                   | City, State, Zip Code |
| 938047    | Addiction Research and Treatment, Inc. | 1124 International Boulevard              | Oakland CA 94606      |
| 902084    | Alameda Family Services                | 2325 Clement Avenue                       | Alameda CA 94501      |
| 102826    | Bi-Bett Corporation                    | 10700 MacArthur Boulevard Suite 12        | Oakland CA 94605      |
| 936595    | Horizon Services                       | 3845 Telegraph Avenue<br>(3837 Telegraph) | Oakland CA 94609      |
| 936595    | Horizon Services                       | 3845 Telegraph Avenue                     | Oakland CA 94609      |
| 102115    | Horizon Services                       | 2595 Depot Road                           | Hayward CA 94545      |
| 100275    | New Bridge Foundation, Inc.            | 1820 Scenic Avenue                        | Berkeley CA 94709     |
| 107015    | Orchid Women's Recovery Center         | 1342 East 27th Street                     | Oakland CA 94606      |

| Butte     |              |                        |                       |
|-----------|--------------|------------------------|-----------------------|
| I-SATS ID | Program Name | Address                | City, State, Zip Code |
| 103531    | Skyway House | 5075 Lincoln Boulevard | Oroville CA 95966     |
| 103532    | Skyway House | 7357 Skyway            | Paradise CA 95969     |
| 507       | Skyway House | 4133 Highway 32        | Chico CA 95973        |
| 103533    | Skyway House | 7357 1/2 Skyway        | Paradise CA 95969     |

| Contra Costa |   |                        |                       |
|--------------|---|------------------------|-----------------------|
| I-SATS ID    | Program Name  | Address                | City, State, Zip Code |
| 931604       | Bi-Bett   | 2931 Prospect Street   | Concord CA 94518      |
| 933915       | Bi-Bett   | 2090 Commerce Avenue   | Concord CA 94520      |
| 932149       | Bi-Bett   | 500 School Street      | Pittsburgh CA 94565   |
| 123764       | Bi-Bett Corporation   | 498 Wollam Street      | Pittsburg CA 94565    |
| 118012       | Bi-Bett Corporation   | 510 Wollam Street      | Pittsburg CA 94565    |
| 306435       | Contra Costa County Health Services Department-AOD Services | 4645 Pacheco Boulevard | Martinez CA 94553     |

| El Dorado |                                   |                         |                           |
|-----------|-----------------------------------|-------------------------|---------------------------|
| I-SATS ID | Program Name                      | Address                 | City, State, Zip Code     |
| 931554    | Progress House                    | 838 Beach Court Road    | Coloma CA 95613-0437      |
| 102583    | Progress House Perinatal Facility | 5494 Pony Express Trail | Camino CA 95709           |
| 113104    | Progress House, Inc               | 5607 Mt. Murphy Road    | Garden Valley CA 96533    |
|           | Progress House, Inc.              | 5494 Pony Express Trail | Camino CA 95709           |
|           | Sierra Recovery Center            | 921 Macinaw #1,3, and 4 | South Lake Tahoe CA 96150 |
| 113450    | Sierra Recovery Center            | 2677 Reaves Street      | South Lake Tahoe CA 96150 |

| Fresno    |                               |   |                       |
|-----------|-------------------------------|---|-----------------------|
| I-SATS ID | Program Name                  | Address                                     | City, State, Zip Code |
| 110514    | Comprehensive Alcohol Program | 2445 West Whitesbridge Road                 | Fresno CA 93706       |
| 116461    | WestCare of California, Inc.  | 2772 South Martin Luther King Jr. Boulevard | Fresno CA 93706       |
| 116461    | Westcare California, Inc.     | 2772 South Martin Luther King Boulevard     | Fresno CA 93706       |
|           | Westcare California, Inc.     | 611 East Belmont Avenue                     | Fresno CA 93701       |

| Humboldt  |                                       |                    |                       |
|-----------|---------------------------------------|--------------------|-----------------------|
| I-SATS ID | Program Name                          | Address            | City, State, Zip Code |
| 113062    | Alcohol and Drug Care Services        | 1335 C Street      | Eureka CA 95501       |
| 132450    | Alcohol and Drug Care Services        | 528-5th Street     | Eureka CA 95501       |
| 103535    | Alcohol and Drug Care Services        | 1742 J Street      | Eureka CA 95501       |
| 103181    | Alcohol and Drug Care Services (ADCS) | 1321 C Street      | Eureka CA 95501       |
| 103182    | Alcohol and Drug Care Services, Inc.  | 217-14th Street    | Eureka CA 95501       |
| 750392    | Humboldt Recovery Center              | 1303 11th Street   | Eureka CA 95501       |
| 307078    | North Coast Substance Abuse           | 1205 Myrtle Avenue | Eureka CA 95501       |

| Kings     |                             |                               |                       |
|-----------|-----------------------------|-------------------------------|-----------------------|
| I-SATS ID | Program Name                | Address                       | City, State, Zip Code |
| 113898    | Cornerstone Recovery Center | 801 West 7th Street (and 805) | Hanford CA 93230      |
| 113960    | Cornerstone Recovery Center | 817 West 7th Street           | Hanford CA 93230      |
| 917579    | Kings View Corporation      | 1393 Bailey Drive             | Hanford CA 93230      |

| Lake      |   |                             |                       |
|-----------|---|-----------------------------|-----------------------|
| I-SATS ID | Program Name                                | Address                     | City, State, Zip Code |
| 931224    | County of Lake                              | 7000 B South Center Drive   | Clearlake CA 95422    |
| 102203    | Lake County Alcohol and Other Drug Services | 991 Parallel Drive, Suite B | Lakeport CA 95453     |

| Los Angeles |                                     |                                |                          |
|-------------|-------------------------------------|--------------------------------|--------------------------|
| I-SATS ID   | Program Name                        | Address                        | City, State, Zip Code    |
| 917645      | Alcoholism Center for Women Inc.    | 1135 South Alvarado Street     | Los Angeles CA 90006     |
| 121180      | Alcoholism Center for Women Inc.    | 1147 South Alvarado Street     | Los Angeles CA 90006     |
| 105456      | Behavioral Health Services, Inc.    | 1775 Chestnut Avenue           | Long Beach CA 90813      |
| 937205      | Behavioral Health Services, Inc.    | 6838 Sunset Boulevard          | Hollywood CA 90028       |
| 931844      | Behavioral Health Services, Inc.    | 12917 Cerise Avenue            | Hawthorne CA 90250       |
| 938518      | Behavioral Health Services, Inc.    | 2180 West Valley Boulevard     | Pomona CA 91768          |
| 306542      | Behavioral Health Services, Inc.    | 2501 West El Segundo Boulevard | Hawthorne CA 90250       |
| 1262        | Bienvenidos Children's Center, Inc. | 5257 East Beverly Boulevard    | Los Angeles CA 90022     |
| 1262        | Bienvenidos Children's Center, Inc. | 1924 Los Angeles Street        | Los Angeles CA 90011     |
|             | Bienvenidos Children's Center, Inc. | 5240 East Beverly Boulevard    | Los Angeles CA 90022     |
| 105902      | CLARE Foundation, Inc.              | 1871 9th Street                | Santa Monica CA 90404    |
| 108732      | California Hispanic Commission      | 530 North Avenue 54            | Los Angeles CA 90042     |
| 113153      | California Hispanic Commission      | 2436 Wabash Avenue             | Los Angeles CA 90033     |
| 932503      | Clare Foundation, Inc.              | 907 West Pico Boulevard        | Santa Monica CA 90405    |
| 113211      | Clare Foundation-Signs of Recovery  | 1023 Pico Boulevard            | Santa Monica CA 90405    |
| 135958      | Cri-Help Inc.                       | 2010 North Lincoln Park Avenue | Los Angeles CA 90031     |
| 118350      | Cri-Help, Inc - South Huntington    | 4966 South Huntington Drive    | Los Angeles CA 90032     |
| 306393      | Cri-Help, Inc.                      | 11027 Burbank Boulevard        | North Hollywood CA 91601 |
| 300842      | Didi Hirsch Psychiatric Services    | 11643 Glenoaks Boulevard       | Pacoima CA 91331         |

| Los Angeles |   |  |                           |
|-------------|---|--|---------------------------|
| I-SATS ID   | Program Name  | Address                                  | City, State, Zip Code     |
| 752661      | Grandview Foundation Inc.                           | 225 Grandview Street                     | Pasadena CA 91104         |
| 103304      | Grandview Foundation, Inc.                          | 126 North Avenue 57                      | Los Angeles CA 90042      |
| 305569      | I-ADARP, Inc.                                       | 6740 Kester Avenue, Suite 200            | Van Nuys CA 91405         |
| 752869      | Little House  | 9718 Harvard Street                      | Bellflower CA 90706       |
| 110746      | Live Again Recovery Home                            | 38215 North San Francisquito Canyon Road | Saugus CA 91390           |
| 1175        | Live Again Recovery Home, Inc.                      | 45114 13th Street West                   | Lancaster CA 93534        |
| 133037      | Los Angeles Centers for Alcohol/Drug Abuse          | 10425 Painter Avenue                     | Santa Fe Springs CA 90670 |
| 750707      | Mary Lind Recovery Centers                          | 4445 Burns Avenue                        | Los Angeles CA 90029      |
| 101984      | Mid Valley Recovery Services, Inc.                  | 3430 Cogswell Road                       | El Monte CA 91732         |
| 118343      | Mid Valley Recovery Services, Inc.                  | 453 South Indiana Street                 | Los Angeles CA 90063      |
| 112023      | New Way Foundation Inc.                             | 207 North Victory Boulevard              | Burbank CA 91502          |
| 919088      | People In Progress Inc.                             | 8140 Sunland Boulevard                   | Sun Valley CA 91352       |
| 112353      | People In Progress Inc.                             | 1636 West 8th Street, Suite 103          | Los Angeles CA 90017      |
| 122865      | Phoenix Houses of Los Angeles, Inc.                 | 503 Ocean Front Walk                     | Venice CA 90291           |
| 117386      | Plaza Community Center                              | 5255 Pomana Boulevard                    | Los Angeles CA 90022      |
| 308787      | Prototypes a Center for Innovation                  | 831 East Arrow Highway (Westwing)        | Pomona CA 91767           |
| 110639      | Salvation Army                                      | 721 East 5th Street                      | Los Angeles CA 90013      |
|             | Salvation Army, A California Corporation            | 5600 Rickenbacker Street                 | City of Bell CA 90201     |
| 107411      | South Bay Alcoholism Services                       | 351 East 6th Street                      | Long Beach CA 90802-1402  |
| 108633      | Southern California Alcohol and Drug Programs, Inc. | 10511 Mills Avenue (&10519)              | Whittier CA 90604         |

| Los Angeles |   |                              |                       |
|-------------|---|------------------------------|-----------------------|
| I-SATS ID   | Program Name  | Address                      | City, State, Zip Code |
| 131239      | Southern California Alcohol and Drug Programs, Inc. | 16314 Cornuta Avenue         | Bellflower CA 90706   |
| 131395      | Southern California Alcohol and Drug Programs, Inc. | 10603 Downey Avenue          | Downey CA 90241       |
| 109961      | Southern California Alcohol and Drug Programs, Inc. | 12322 Clearglen Avenue       | Whittier CA 90604     |
| 931521      | Stepping Stones Home I & II                         | 17727 East Cypress Street    | Covina CA 91722       |
| 111900      | Stepping Stones Recovery Home                       | 18417 Orkney Street          | Azusa CA 91702        |
| 911911      | Tarzana Treatment Center, Inc.                      | 18646 Oxnard Street          | Tarzana CA 91356      |
|             | Tarzana Treatment Center, Inc.                      | 7101 Baird Avenue            | Reseda CA 91335       |
|             | Tarzana Treatment Centers, Inc.                     | 907 West Lancaster Boulevard | Lancaster CA 93534    |
| 752646      | Van Ness Recovery House                             | 1919 North Beachwood Drive   | Los Angeles CA 90068  |

| Marin     |                          |                 |                       |
|-----------|--------------------------|-----------------|-----------------------|
| I-SATS ID | Program Name             | Address         | City, State, Zip Code |
| 106553    | Marin Services for Women | 127 King Street | Larkspur CA 94939     |

| Mendocino |  |  |                       |
|-----------|--|--|-----------------------|
| I-SATS ID | Program Name                                     | Address  | City, State, Zip Code |
| 117659    | Mendocino County Alcohol and Other Drug Programs | 221 B S. Lenore St.<br>19,22,23,25,25A,27,28,29,31,32,33A,B,36 | Willits CA 95490      |
| 102158    | Mendocino County Alcohol and Other Drug Programs | 124 East Pine  | Fort Bragg CA 95490   |

| Mendocino |  |                        |                       |
|-----------|--|------------------------|-----------------------|
| I-SATS ID | Program Name                                     | Address                | City, State, Zip Code |
| 1183      | Mendocino County Alcohol and Other Drug Programs | 1120 South Dora Street | Ukiah CA 95482        |
| 241       | Mendocino County Alcohol and Other Drug Programs | 518 Low Gap Road       | Ukiah CA 95482        |
| 117584    | Mendocino County Alcohol and Other Drug Programs | 120 West Fir Street    | Fort Bragg CA 95437   |

| Merced    |                                  |                       |                       |
|-----------|----------------------------------|-----------------------|-----------------------|
| I-SATS ID | Program Name                     | Address               | City, State, Zip Code |
| 113294    | Community Social Model Advocates | 1301 Yosemite Parkway | Merced CA 95340       |
| 113302    | Community Social Model Advocates | 559 Mendocino Court   | Atwater CA 95301      |

| Monterey  |                                    |                       |                       |
|-----------|------------------------------------|-----------------------|-----------------------|
| I-SATS ID | Program Name                       | Address               | City, State, Zip Code |
| 305239    | Community Human Services-Peninsula | 1152 Sonoma Avenue    | Seaside CA 93955      |
| 932875    | Door To Hope                       | 165 Clay Street       | Salinas CA 93901      |
| 102690    | Nueva Esperanza                    | 325 California Street | Salinas CA 93901      |
| 935134    | Sun Street Center                  | 8 Sun Street          | Salinas CA 93901      |

| Napa      |                |                           |                       |
|-----------|----------------|---------------------------|-----------------------|
| I-SATS ID | Program Name   | Address                   | City, State, Zip Code |
| 105014    | Project Ninety | 2100 Napa-Vallejo Highway | Napa CA 94558-6234    |

| Nevada    |                              |                               |                       |
|-----------|------------------------------|-------------------------------|-----------------------|
| I-SATS ID | Program Name                 | Address                       | City, State, Zip Code |
| 931273    | Community Recovery Resources | 440 Henderson Street, Suite C | Grass Valley CA 95945 |

| Nevada    |                                 |                                |                       |
|-----------|---------------------------------|--------------------------------|-----------------------|
| I-SATS ID | Program Name                    | Address                        | City, State, Zip Code |
| 930374    | Community Recovery Resources    | 10015 Palisades Drive, Suite 1 | Truckee CA 96161      |
| 778       | Community Recovery Resources    | 303 Bennett Street             | Grass Valley CA 95945 |
| 107635    | Progress House Coed Residential | 145 Bost Avenue                | Nevada City CA 95959  |

| Orange    |   |  |                       |
|-----------|---|--|-----------------------|
| I-SATS ID | Program Name  | Address                                  | City, State, Zip Code |
| 932487    | CHCADA/Unidos/Casa Elena                            | 9842 West 13th Street, Suite B           | Garden Grove CA 92844 |
| 752364    | County of Orange Health Care Agency                 | 14140 Beach Boulevard, Suite 120 and 200 | Westminster CA 92683  |
| 934665    | County of Orange Health Care Agency                 | 211 West Commonwealth Avenue, Suite 204  | Fullerton CA 92632    |
| 305767    | County of Orange Health Care Agency                 | 3115 Redhill Avenue, Building 23         | Costa Mesa CA 92626   |
| 701       | Heritage House North                                | 321 North State College Boulevard        | Anaheim CA 92806      |
| 937072    | Hope House  | 714 North Anaheim Boulevard              | Anaheim CA 92805      |
| 127005    | Orange County Health Care Agency                    | 1200 North Main Street, Suite 100-B      | Santa Ana CA 92701    |
| 934483    | Phoenix House Orange County Inc.                    | 1207 East Fruit Street                   | Santa Ana CA 92701    |
| 113369    | Roque Center  | 9842 West 13th Street, Suite A           | Garden Grove CA 92844 |
| 115653    | Southern California Alcohol and Drug Programs, Inc. | 2212 A Placentia Avenue                  | Costa Mesa CA 92627   |
| 113658    | The Gerry House                                     | 1225 West 6th Street and 1227            | Santa Ana CA 92703    |
| 103234    | The Villa Center                                    | 910 North French Street                  | Santa Ana CA 92701    |

| Orange    |                            |                              |                       |
|-----------|----------------------------|------------------------------|-----------------------|
| I-SATS ID | Program Name               | Address                      | City, State, Zip Code |
| 307508    | Western Pacific Med-Corp.  | 10751 Dale Street            | Stanton CA 90680      |
| 115083    | Woodglen Recovery Junction | 771 West Orangethorpe Avenue | Fullerton CA 92832    |

| Placer    |                                     |                        |                       |
|-----------|-------------------------------------|------------------------|-----------------------|
| I-SATS ID | Program Name                        | Address                | City, State, Zip Code |
| 101701    | Hardwick, James N.                  | 5055 Meadowview Lane   | Auburn CA 95603       |
|           | James N. Hardwick                   | 199 Hoffman Avenue     | Auburn CA 95603       |
|           | NEW LEAF PERINATAL RESIDENTIAL      | 11835 LORENSON         | AUBURN CA 95602       |
| 122576    | Progress House Alta                 | 34248 East Towle Road  | Alta CA 95701         |
|           | Rocklin Community Counseling Center | 17891 Lake Arthur Road | Applegate CA 95703    |

| Riverside |                             |   |                       |
|-----------|-----------------------------|---|-----------------------|
| I-SATS ID | Program Name                | Address                                 | City, State, Zip Code |
| 750533    | ABC Recovery Center, Inc.   | 44-374 Palm Street                      | Indio CA 92201        |
| 102530    | Axiom Counseling Team       | 6887 Magnolia Avenue                    | Riverside CA 92506    |
| 104152    | County of Riverside         | 623 North Main Street Suite D 11        | Corona CA 91720       |
| 126999    | La Vista                    | 294 Midway Street                       | San Jacinto CA 92583  |
| 750525    | La Vista Inc., Aware & Soar | 2220 Girard Street                      | San Jacinto CA 92583  |
| 117543    | MFI Recovery Center, Inc.   | 2781 West Ramsey Street, Suite 1, 2 & 3 | Banning CA 92220      |
| 895       | MFI Recovery Center, Inc.   | 5870 Arlington Avenue                   | Riverside CA 92504    |
| 115125    | MFI Recovery Center, Inc.   | 1604 South Santa Fe Avenue              | San Jacinto CA 92583  |
| 115588    | Metcalf Recovery Ranch      | 9826 18th Avenue                        | Blythe CA 92225       |
| 104144    | My Family Inc.              | 4440 University Avenue                  | Riverside CA 92507    |

| Riverside |  |   |                                  |
|-----------|--|---|----------------------------------|
| I-SATS ID | Program Name   | Address                                     | City, State, Zip Code            |
| 127146    | Riverside County Latino Commission                     | 83335 Rosa Avenue                           | Thermal CA 92274                 |
| 122105    | Riverside Recovery Drug Abuse Program                  | 68615 Perez Road, Suite 6A                  | Cathedral City CA 92234          |
| 110837    | Riverside Recovery Resources                           | 40329 Stetson Avenue                        | Hemet CA 92359                   |
| 786       | Riverside Recovery Resources                           | 41044 Acacia Avenue                         | Hemet CA 92544                   |
| 106157    | Riverside Recovery Resources                           | 1405 Education Way                          | Lake Elsinore CA 92530           |
| 131353    | Riverside Recovery Resources                           | 2055 North Perris Boulevard, Suite G-5, G-6 | Perris CA 92571                  |
|           | Riverside Recovery Resources, Inc.                     | 3757 Elizabeth Street                       | Riverside CA 92506               |
| 120026    | Riverside County Latino Commission on Alcohol and Drug | 83-844 Hopi Avenue                          | Indio CA 92201                   |
| 109094    | Soroptimist House of Hope, Inc.                        | 13525 Cielo Azul Avenue                     | Desert Hot Springs CA 92240      |
| 115943    | Soroptimist House of Hope, Inc.                        | 628 South 8th Street                        | Banning CA 92220                 |
|           | The High Road Program                                  | 3579 Arlington Avenue #200                  | Riverside CA 92506               |
| 934046    | The Ranch Recovery Centers, Inc.                       | 7885 Annandale Avenue                       | Desert Hot Springs CA 92240-1419 |
| 116438    | The Ranch Recovery Centers, Inc.                       | 12890 Quinta Way                            | Desert Hot Springs CA 92240-4852 |
| 937916    | WCHS, Inc.   | 1021 West La Cadena                         | Riverside CA 92501               |
| 751309    | Whiteside Manor  | 2709 and 2743 Orange Street                 | Riverside CA 92501               |
| 127112    | Whiteside Manor Inc.                                   | 5935 Challen Street                         | Riverside CA 92501               |
| 131387    | Whiteside Manor Inc.                                   | 8567, 8589 & 8605 Janet Street              | Riverside CA 92501               |
| 102698    | Whiteside Manor Inc.                                   | 2452 Wilshire Avenue                        | Riverside CA 92501               |

| Riverside |                             |                                   |                       |
|-----------|-----------------------------|-----------------------------------|-----------------------|
| I-SATS ID | Program Name                | Address                           | City, State, Zip Code |
| 101662    | Whiteside Manor, Inc.       | 1660 Chicago Avenue,<br>Suite M-5 | Riverside CA 92507    |
| 119713    | YWCA of Riverside<br>County | 8310 Baxter Way                   | Riverside CA 92503    |

| Sacramento |   |                                  |                             |
|------------|---|----------------------------------|-----------------------------|
| I-SATS ID  | Program Name  | Address                          | City, State, Zip Code       |
| 101760     | Associate Rehabilitation<br>Program for Women Inc.    | 8400 Fair Oaks<br>Boulevard      | Carmichael CA 95608         |
| 732        | Associated Rehabilitation<br>for Women Inc.           | 6348 Appian Way                  | Carmichael CA 95608         |
| 770        | Center Point Inc.                                     | 11228 Fair Oaks<br>Boulevard     | Fair Oaks CA 95628          |
| 751374     | Gateway Foundation Inc.                               | 4049 Miller Way                  | Sacramento CA 95817         |
| 118806     | MAAP, INC.  | 3437 Myrtle Avenue,<br>Suite 420 | North Highlands CA<br>95660 |
| 109482     | MAAP, Inc.  | 4241 Florin Road, Suite<br>110   | Sacramento CA 95823         |
| 932834     | Mi Casa   | 2515 48th Avenue                 | Sacramento CA 95822         |
| 931760     | River City Recovery<br>Center Inc.                    | 12490 Alta Mesa Road             | Herald CA 95638             |
| 114243     | River City Recovery<br>Center Inc.                    | 2218 E Street                    | Sacramento CA 95816         |
| 131114     | Sacramento County<br>Department of Medical<br>Systems | 651 I Street                     | Sacramento CA 95814         |
| 103275     | Sacramento Veteran's<br>Center                        | 7270 East Southgate              | Sacramento CA 95823         |
| 300719     | The Effort-Heroin Detox                               | 7586 Stockton Boulevard          | Sacramento CA 95823         |
| 113799     | Volunteers of America                                 | 1001 Grand Avenue                | Sacramento CA 95838         |

| San Bernardino |   |                   |                       |
|----------------|---|-------------------|-----------------------|
| I-SATS ID      | Program Name                            | Address           | City, State, Zip Code |
| 930457         | Bilingual Family<br>Counseling Services | 317 West F Street | Ontario CA 91762      |

San Bernardino

| I-SATS ID | Program Name  | Address                              | City, State, Zip Code   |
|-----------|---|--------------------------------------|-------------------------|
| 118608    | Caroline House  | 1646 East Carolyne                   | Ontario CA 91764        |
| 107619    | Inland Valley Drug and Alcohol Recovery Services      | 1260 East Arrow Highway, Building C  | Upland CA 91786         |
| 115141    | Inland Valley Drug and Alcohol Recovery Program       | 1636 North Marin Avenue              | Ontario CA 91764        |
| 107536    | Inland Valley Drug and Alcohol Recovery Services      | 1003 North Orange Street             | Ontario CA 91764        |
| 865       | Inland Valley Drug and Alcohol Recovery Services      | 1439 West Arrow Highway              | Upland CA 94786         |
| 103277    | Maple House   | 10888 Maple Avenue                   | Bloomington CA 92316    |
|           | Miracles In Recovery, Inc.                            | 2316 Valencia Street                 | San Bernardino CA 92404 |
| 932743    | Morongo Basin-Mental Health Association, Inc.         | 55475 Santa Fe Trail                 | Yucca Valley CA 92284   |
| 104210    | New House Inc.  | 840 North Arrowhead Avenue           | San Bernardino CA 92401 |
| 115133    | New House-Women With Children                         | 856 North Arrowhead Avenue           | San Bernardino CA 92401 |
| 938237    | Saint John of God Health Care                         | 1335 Palmdale                        | Victorville CA 92392    |
| 106660    | San Bernardino County Department of Behavioral Health | 850 East Foothill Boulevard, Suite A | Rialto CA 92376         |
| 918122    | Social Science Services                               | 18612 Santa Ana Avenue               | Bloomington CA 92316    |
| 108658    | Veterans Alcohol Rehabilitation Program (VARP)        | 1100 North D Street                  | San Bernardino CA 92410 |
| 115166    | Veterans Alcohol Rehabilitation Program (VARP)        | 1135 North D Street                  | San Bernardino CA 92410 |
| 938583    | WCHS, Inc.  | 4761 Arrow Highway                   | Montclair CA 91763      |

| San Diego |   |  |                         |
|-----------|---|--|-------------------------|
| I-SATS ID | Program Name                                | Address                                | City, State, Zip Code   |
| 907       | CRASH Golden Hill House II                  | 446-26th Street, 5th Floor             | San Diego CA 92102      |
| 306286    | Crash - Golden Hills House                  | 2410 E Street                          | San Diego CA 92102      |
| 111728    | Crash Short Term                            | 4161 Marlborough Avenue                | San Diego CA 92105      |
| 116131    | Crash Short Term East                       | 2410 E Street                          | San Diego CA 92102      |
| 751499    | Crossroads Foundation                       | 3594 4th Avenue                        | San Diego CA 92103      |
| 102490    | Fellowship Center                           | 737 East Grand Avenue                  | Escondido CA 92025      |
| 933261    | Heartland House Inc.                        | 5855 Streamview Drive                  | San Diego CA 92105      |
| 306690    | House of Metamorphosis                      | 2970 Market Street                     | San Diego CA 92102      |
| 107312    | MAAC Anti-Poverty of San Diego County, Inc. | 1127 South 38th Street                 | San Diego CA 92113      |
| 115190    | MAAC Anti-Poverty of San Diego County, Inc. | 73 North 2nd Avenue, Building B        | Chula Vista CA 91901    |
| 112684    | McAlister Institute (MITE)                  | 1400 North Johnson, Suite 101          | El Cajon CA 92020       |
| 131486    | McAlister Institute (MITE)                  | 2219 Odessa Court                      | Lemon Grove CA 91945    |
| 131502    | McAlister Institute (MITE)                  | 7571 Sturgess Street                   | La Mesa CA 91941        |
| 127161    | McAlister Institute (MITE)                  | 3744 Santa Ynez Way                    | Oceanside CA 92056      |
| 110043    | McAlister Institute (MITE)                  | 4010 Via Serra                         | Oceanside CA 92057      |
| 111959    | McAlister Institute(MITE)                   | 2049 Skyline Drive                     | Lemon Grove CA 91945    |
| 113641    | Mental Health Systems, Inc.                 | 3340 Kemper Street, Suites 105 and 207 | San Diego CA 92110-3825 |
|           | Mental Health Systems, Incorporated         | 3340 Kemper Street, Suite 101 & 209    | San Diego CA 92110      |
| 102669    | North County Serenity House                 | 1341 North Escondido Boulevard         | Escondido CA 92026      |
| 750376    | North County Serenity House, Inc.           | 123 Elm Street                         | Escondido CA 92025      |
| 751556    | Pathfinders - Recovery Home                 | 2980 Cedar Street                      | San Diego CA 92102      |
| 308878    | Phoenix House                               | 23981 Sherilton Valley Road            | Descanso CA 91916       |

| San Diego |   |                           |                       |
|-----------|---|---------------------------|-----------------------|
| I-SATS ID | Program Name                                  | Address                   | City, State, Zip Code |
| 931356    | San Diego Freedom Ranch Inc.                  | 1777 Buckman Springs Road | Campo CA 91906        |
| 115208    | Stepping Stone of San Diego-Out Patient       | 3425 5th Avenue           | San Diego CA 92103    |
| 933253    | Stepping Stone of San Diego-Residential       | 3767 Central Avenue       | San Diego CA 92102    |
| 930135    | The Way Back                                  | 2516 A Street             | San Diego CA 92102    |
| 107338    | Tradition One                                 | 4104 Delta Street         | San Diego CA 92113    |
| 751564    | Turning Point Home of San Diego               | 1315 25th Street          | San Diego CA 92102    |
| 107320    | Vietnam Veterans of San Diego                 | 4141 Pacific Highway      | San Diego CA 92110    |
| 104723    | Volunteers of America Alcohol Services Center | 1111 Island Avenue        | San Diego CA 92101    |

| San Francisco |  |                           |                        |
|---------------|--|---------------------------|------------------------|
| I-SATS ID     | Program Name                                     | Address                   | City, State, Zip Code  |
| 110233        | Baker Places, Inc.                               | 1326 4th                  | San Francisco CA 94122 |
| 101174        | Bay View-Hunter's Point Foundation for Community | 1625 Carroll Street       | San Francisco CA 94124 |
| 933337        | Community Awareness and Treatment                | 637 South Van Ness Avenue | San Francisco CA 94110 |
| 115596        | Haight Ashbury Free Clinics                      | 1440 Chinook              | San Francisco CA 94130 |
| 119036        | Haight Ashbury Free Clinics                      | 940 Haight                | San Francisco CA 94102 |
| 1189          | Haight Ashbury Free Clinics                      | 1436 Chinook              | San Francisco CA 94130 |
| 116875        | Jelani, Inc.                                     | 1601 Quesada Street       | San Francisco CA 94124 |
|               | Jelani, Inc.                                     | 2263 Bryant               | San Francisco CA 94110 |
| 122972        | Mt. St. Joseph-St. Elizabeth's                   | 100 Masonic               | San Francisco CA 94118 |

| San Francisco |  |                              |                        |
|---------------|--|------------------------------|------------------------|
| I-SATS ID     | Program Name                             | Address                      | City, State, Zip Code  |
|               | Mt. St. Joseph-st. Elizabeth's           | 1615 Broderick               | San Francisco CA 94115 |
| 916           | Ohlhoff Recovery Programs                | 634 Los Palmas               | San Francisco CA 94127 |
| 132773        | SAGE Project                             | 1275 Mission                 | San Francisco CA 94103 |
| 305643        | SFGH DSAAM                               | 1001 Potrero                 | San Francisco CA 94110 |
| 500292        | San Francisco Co. Dept. of Public Health | 1001 Potrero Avenue, Ward 93 | San Francisco CA 94110 |
| 116271        | San Francisco General Hospital           | 3180 18th Street, Suite 205  | San Francisco CA 94110 |
| 100523        | Walden House, Inc.                       | 815 Buena Vista West         | San Francisco CA 94117 |
| 135289        | Walden House, Inc.                       | 890 Hayes                    | San Francisco CA 94117 |
| 110084        | Walden House, Inc.                       | 1885 Mission                 | San Francisco CA 94103 |
| 1148          | Walden House, Inc.                       | 1442 Chinook                 | San Francisco CA 94130 |

| San Joaquin |  |   |                       |
|-------------|--|---|-----------------------|
| I-SATS ID   | Program Name                           | Address                                     | City, State, Zip Code |
| 122758      | A & D Awareness Program                | 1981 Cherokee Road                          | Stockton CA 95205     |
| 103825      | Healthy Connections, Inc.              | 1947 North California Street, Suite B and C | Stockton CA 95204     |
| 104133      | Healthy Connections, Inc.              | 1839 S. El Dorado Street                    | Stockton CA 95206     |
| 936256      | San Joaquin County Alcoholism Services | 500 West Hospital Road-Hospital Annex       | French Camp CA 95231  |

| San Luis Obispo |              |                   |                        |
|-----------------|--------------|-------------------|------------------------|
| I-SATS ID       | Program Name | Address           | City, State, Zip Code  |
| 122857          | Life Steps   | 1431 Pomoroy Road | Arroyo Grande CA 93420 |

| San Luis Obispo |  |                     |                          |
|-----------------|--|---------------------|--------------------------|
| I-SATS ID       | Program Name                                     | Address             | City, State, Zip Code    |
| 120109          | Life Steps Alcohol & Drug Free Living            | 1217 Mill Street    | San Luis Obispo CA 93401 |
| 931166          | San Luis Obispo County Drug and Alcohol Services | 1106 Grand Avenue   | Arroyo Grande CA 93420   |
| 931331          | San Luis Obispo County Drug and Alcohol Services | 3556 El Camino Real | Atascadero CA 93422      |

| San Mateo |   |                               |                       |
|-----------|---|-------------------------------|-----------------------|
| I-SATS ID | Program Name                                  | Address                       | City, State, Zip Code |
| 932800    | Chemical Awareness & Treatment Services(CATS) | 100 Edmonds Road              | Redwood City CA 94602 |
| 753222    | Palm Avenue Detox                             | 2251 Palm Avenue              | San Mateo CA 94403    |
| 1194      | Project-Ninety, Inc.                          | 114 South Delaware Street     | San Mateo CA 94401    |
| 751903    | Women's Recovery Association                  | 1450 Chapin Avenue, 1st Floor | Burlingame CA 94010   |

| Santa Barbara |  |                                     |                        |
|---------------|--|-------------------------------------|------------------------|
| I-SATS ID     | Program Name                               | Address                             | City, State, Zip Code  |
|               | Council on Alcoholism and Drug Abuse       | 816 Cacique Street, Conference Room | Santa Barbara CA 93101 |
|               | Good Samaritan Shelter                     | 401 B West Morrison Street          | Santa Maria CA 93458   |
| 116222        | Jail II Program                            | 4434 Calle Real                     | Santa Barbara CA 93110 |
|               | Project Recovery Residential Detox Program | 816 Cacique Street, Front Building  | Santa Barbara CA 93103 |

| Santa Clara |                                  |                     |                       |
|-------------|----------------------------------|---------------------|-----------------------|
| I-SATS ID   | Program Name                     | Address             | City, State, Zip Code |
| 933394      | ARH Recovery Homes-Benny McKeown | 1281 Fleming Avenue | San Jose CA 95127     |

| Santa Clara |  |  |                       |
|-------------|--|--|-----------------------|
| I-SATS ID   | Program Name                                       | Address                                    | City, State, Zip Code |
| 1065        | CADS, Inc.   | One West Campbell Avenue #E43 & E45        | Campbell CA 95008     |
| 113765      | Central Treatment & Recovery Services              | 976 Lenzen Avenue, First Floor, Suite 1900 | San Jose CA 95111     |
|             | Project Ninety, Inc.                               | 792 South 3rd Street                       | San Jose CA 95112     |
| 100705      | Santa Clara County                                 | 2425 Enborg Lane                           | San Jose CA 95128     |
| 103428      | Santa Clara County ODF                             | 2101 Alexian Drive                         | San Jose CA 95116     |
| 100721      | Santa Clara County South County Drug Abuse Program | 80 West Highland Avenue                    | San Martin CA 95046   |
| 132625      | The Catholic Charities of San Jose                 | 2625 Zanker Road, Suite 200                | San Jose CA 95134     |

| Santa Cruz |   |                       |                       |
|------------|---|-----------------------|-----------------------|
| I-SATS ID  | Program Name  | Address               | City, State, Zip Code |
| 918064     | Janus of Santa Cruz   | 1000-A Emeline Avenue | Santa Cruz CA 95060   |
| 117758     | Janus of Santa Cruz   | 1314 Ocean Street     | Santa Cruz CA 95060   |
| 933162     | Janus of Santa Cruz   | 200-7th Avenue        | Santa Cruz CA 95062   |
| 305627     | Santa Cruz Community Counseling - Santa Cruz Residential Recovery | 125 Rigg Street       | Santa Cruz CA 95060   |
| 305759     | Santa Cruz Community Counseling-Alto DDP                          | 271 Water Street      | Santa Cruz CA 95060   |
| 114458     | Santa Cruz County Community Counseling Center                     | 161 Miles Lane        | Watsonville CA 95076  |

| Shasta    |                        |                        |                       |
|-----------|------------------------|------------------------|-----------------------|
| I-SATS ID | Program Name           | Address                | City, State, Zip Code |
| 751259    | Empire Recovery Center | 1237 California Street | Redding CA 96001      |

| Siskiyou  |  |                       |                       |
|-----------|--|-----------------------|-----------------------|
| I-SATS ID | Program Name                               | Address               | City, State, Zip Code |
| 908206    | Siskiyou County Behavioral Health Services | 2060 Campus Drive     | Yreka CA 96097-3394   |
| 908206    | Siskiyou County (Out of State)             | 1003 West Main Street | Medford CA 97501      |

| Solano    |                                      |                         |                       |
|-----------|--------------------------------------|-------------------------|-----------------------|
| I-SATS ID | Program Name                         | Address                 | City, State, Zip Code |
| 115331    | Bi Bett Corporation                  | 126 Ohio Street         | Vallejo CA 94590      |
| 305635    | Genesis House                        | 1149 Warren Avenue      | Vallejo CA 94591      |
| 109987    | Rio Vista CARE                       | 125 Sacramento Street   | Rio Vista CA 94571    |
| 938351    | Southern Solano Alcohol/Drug Council | 419 Pennsylvania Street | Vallejo CA 94590      |

| Sonoma    |                                 |                                    |                       |
|-----------|---------------------------------|------------------------------------|-----------------------|
| I-SATS ID | Program Name                    | Address                            | City, State, Zip Code |
| 306823    | "R" House, Inc - Boys           | 429 Speers Road (&423)             | Santa Rosa CA 95402   |
| 122097    | "R" House, Inc.                 | 152 Middlerincon Road              | Santa Rosa CA 95409   |
| 114003    | "R" House, Inc. - Girls I       | 5136 Oak Park Way                  | Santa Rosa CA 95405   |
| 119481    | "R" House, Inc. - Girls II      | 5316 San Luis Avenue               | Santa Rosa CA 95409   |
| 102986    | Casa Teresa                     | 778 Robinson Road                  | Sebastopol CA 95472   |
| 112445    | Drug Abuse Alternatives Center  | 2403 Professional Drive, Suite 102 | Santa Rosa CA 95403   |
| 107882    | Sonoma Co. AODS - Unity House   | 920 West 8th Street                | Santa Rosa CA 95401   |
| 753115    | Sonoma County AODS              | 1430 Neotomas Avenue               | Santa Rosa CA 95405   |
| 103584    | Sonoma County AODS - Ruth House | 1071 Third Street                  | Santa Rosa CA 95401   |
| 100796    | Turning Point - Sonoma          | 440 Arrowood Drive                 | Santa Rosa CA 95407   |

| Stanislaus |                         |                                      |                       |
|------------|-------------------------|--------------------------------------|-----------------------|
| I-SATS ID  | Program Name            | Address                              | City, State, Zip Code |
| 910855     | First Step Sierra Vista | 1904 Richland Avenue,<br>Building 17 | Ceres CA 95307        |

| Tulare    |                        |                              |                       |
|-----------|------------------------|------------------------------|-----------------------|
| I-SATS ID | Program Name           | Address                      | City, State, Zip Code |
| 100978    | Kings View Corporation | 559 East Bardsley<br>Avenue  | Tulare CA 93274       |
| 100978    | Kings View Corporation | 3300 South Fairway           | Visalia CA 93277      |
| 100978    | Kings View Corporation | 148 L Street                 | Dinuba CA 93618       |
| 100978    | Kings View Corporation | 263 North Third, Room<br>516 | Porterville CA 93257  |

| Ventura   |   |                                   |                              |
|-----------|---|-----------------------------------|------------------------------|
| I-SATS ID | Program Name  | Address                           | City, State, Zip Code        |
| 113831    | Casa Latina Residential<br>Treatment Center   | 1430 Junewood Way                 | Oxnard CA 93030              |
| 752133    | County of Ventura Health<br>Care Agency Behavioral<br>Health Youth and Family<br>Services | 100 North Lakeview<br>Canyon Road | Westlake Village CA<br>91362 |
| 103923    | County of Ventura Health<br>Care Agency Behavioral<br>Health Youth and Family<br>Services | 501 College Drive                 | Ventura CA 93003             |
| 103899    | Khepra House  | 105 West Harrison<br>Avenue       | Ventura CA 93001             |
| 107544    | Miracle House - Phase A   | 92 South Anacapa Street           | Ventura CA 93001             |
| 884       | Prototypes Women's<br>Center  | 3779 Monarch Lane                 | Oxnard CA 93036              |
| 961       | Services United   | 404 East Main Street              | Santa Paula CA 93060         |
| 752133    | Ventura County<br>Behavioral Health<br>Department   | 3150 East Los Angeles<br>Avenue   | Simi Valley CA 93063         |

| Ventura   |   |                     |                       |
|-----------|---|---------------------|-----------------------|
| I-SATS ID | Program Name  | Address             | City, State, Zip Code |
| 305668    | Ventura County Behavioral Health Department Alcohol & Drug Programs | 1911 Williams Drive | Oxnard CA 93031       |
| 305668    | Ventura County Behavioral Health Department Alcohol & Drug Programs | 200 Horizon Circle  | Camarillo CA 93010    |
| 305668    | Ventura County Behavioral Health Department Alcohol & Drug Programs | 545 Airport Way     | Camarillo CA 93010    |
| 103923    | Ventura County Department of Alcohol and Drug Programs              | 145 West El Roblar  | Ojai CA 93023         |
| 103923    | Ventura County Department of Alcohol/Drug Programs                  | 24 East Main Street | Ventura CA 93001      |

| Yolo      |   |                        |                       |
|-----------|---|------------------------|-----------------------|
| I-SATS ID | Program Name                              | Address                | City, State, Zip Code |
| 934368    | Beamer Street Detox & Residential Program | 178 West Beamer Street | Woodland CA 95695     |
| 933527    | Cache Creek Lodge                         | 435 Aspen Street       | Woodland CA 95695     |
|           | Progress House                            | 15450 County Road 99   | Woodland CA 95695     |

| Yuba-Sutter |   |                        |                       |
|-------------|---|------------------------|-----------------------|
| I-SATS ID   | Program Name                                  | Address                | City, State, Zip Code |
| 118426      | First Steps                                   | 1251 East Onstott Road | Yuba City CA 95991    |
| 105037      | The Salvation Army Depot Family Crisis Center | 408 & 410 J Street     | Marysville CA 95901   |

# California

## Attachment D: Program Compliance Monitoring

### **Attachment D: Program Compliance Monitoring** (See 45 C.F.R. 96.122(f)(3)(vii))

The Interim Final Rule (45 C.F.R. Part 96) requires effective strategies for monitoring programs' compliance with the following sections of Title XIX, Part B, Subpart II of the PHS Act: 42 U.S.C. 300x-23(a); 42 U.S.C. 300x-24(a); and 42 U.S.C. 300x-27(b).

### **For the fiscal year two years prior (FY 2007) to the fiscal year for which the State is applying for funds:**

In **up to three pages** provide the following:

- A description of the strategies developed by the State for monitoring compliance with each of the sections identified below; and
- A description of the problems identified and corrective actions taken:

1. **Notification of Reaching Capacity** 42 U.S.C. 300x-23(a)  
(See 45 C.F.R. 96.126(f) and 45 C.F.R. 96.122(f)(3)(vii));
2. **Tuberculosis Services** 42 U.S.C. 300x-24(a)  
(See 45 C.F.R. 96.127(b) and 45 C.F.R. 96.122(f)(3)(vii)); and
3. **Treatment Services for Pregnant Women** 42 U.S.C. 300x-27(b)  
(See 45 C.F.R. 96.131(f) and 45 C.F.R. 96.122(f)(3)(vii)).

**1. Notification of Reaching Capacity** 42 U.S.C. 300x-23(a)  
(See 45 C.F.R. 96.126(f) and 45 C.F.R. 96.122(f)(3)(vii));

Alcohol and other drug (AOD) treatment providers receiving State or federal funds, or licensed by the State to dispense methadone or levo-alpha-acetyl-methadol, are required to submit a monthly Drug and Alcohol Treatment Access Report (DATAR). This DATAR report is submitted via DATARWeb, a Web-based reporting system used at the county/provider and State level to compile data on and to monitor capacity, utilization, and waiting-list status of providers.

The Department of Alcohol and Drug Programs (ADP) carried out the following activities during Federal Fiscal Year (FFY) 2006 to comply with this federal requirement:

1. Performed annual on-site monitoring to ensure county compliance with Substance Abuse Prevention and Treatment (SAPT) Block Grant funding requirements.
2. Continued implementing the new Web-based technologies to improve data reporting, transfer, and processing. ADP began the implementation of DATARWeb with counties in early 2006 and gave counties until the end of June 2007 to complete their migration from submitting hard copy reports to Web-based electronic reporting application.
3. Upon request, worked with the counties by providing information on facility slot utilization to facilitate local AOD-related telephone referrals, program placement, and waiting list updates. Counties continued to supplement this tool as needed with activities suitable to the local situations and resources, (e.g., coordinated telephone referral services, logs of updated vacancy reports, etc.).
4. Conducted ongoing training and technical assistance (TA) to county administrators and providers to improve compliance and reduce error rates in data reporting.
5. Provided information bulletins and notification of any DATAR reporting changes.
6. Contacted and sent "late" notices each month to counties whose providers who did not submit their DATAR reports on time, in an effort to continuously improve on-time reporting.

**2. Tuberculosis Services** 42 U.S.C. 300x-24(a)  
(See 45 C.F.R. 96.127(b) and 45 C.F.R. 96.122(f)(3)(viii))

The ADP Performance Management Branch (PMB) performed annual on-site monitoring to ensure county compliance with SAPT Block Grant funding requirements.

ADP continued to improve the monitoring system to assure that county administrators and providers maintain informal arrangements with local public health departments to

provide education and testing of employees as well as referral of tuberculosis (TB) testing, treatment, and use of procedures for infection control among substance abusers in the community and upon admission of AOD treatment programs.

The California Department of Public Health distributed TB services information to the counties. Also, county alcohol administrators and AOD program service providers worked closely with county health departments to provide TB services.

ADP collaborated with county administrators and providers to make TB services available to each individual receiving treatment for substance abuse, to reduce barriers to patients' accepting TB treatment, and to develop strategies to improve follow-up monitoring, particularly after patients leave treatment, by disseminating information through educational bulletins and providing TA.

Counties were held responsible for ensuring that providers met interim service requirements for referrals for TB testing through informal arrangements with county health departments with follow-up by the providers and referral for treatment, if necessary.

**3. Treatment Services for Pregnant Women** 42 U.S.C. 300x-27(b)  
(See 45 C.F.R. 96.131(f) and 45 C.F.R. 96.122(f)(3)(vii)).

ADP's *Perinatal Services Network Guidelines* (2004) describes the parameters and successful strategies for starting, enhancing, and managing perinatal programs. These Guidelines were developed with input from perinatal treatment and recovery programs, community organizations, the State Medical Director, and the County Alcohol and Drug Program Administrators Association of California. The guidelines require counties to develop systems to monitor programs, clarify policy options and mandatory requirements for giving preference in admissions to pregnant women, and include requirements for the provision of interim services in the event that the facility is at capacity and cannot admit the woman to a facility.

Perinatal service requirements are incorporated by reference into the Negotiated Net Amount contracts with the counties.

The PMB performed annual on-site monitoring to ensure county compliance with SAPT Block Grant funding and contractual requirements.

Providers documented their compliance with requirements to provide interim services and priority placement for pregnant women by keeping a standardized Waiting List Record that includes a unique patient identifier. Providers extracted data for their monthly DATAR reports from the Waiting List Record.

***A description of the problems identified and corrective actions taken.***

County alcohol and drug program administrators are responsible for continually monitoring and enhancing their local programs and ensuring compliance with all required standards. The PMB performed annual on-site monitoring of the counties to ensure compliance with SAPT Block Grant funding requirements. We recommend that counties strengthen their subcontracts by expanding the language to include specific compliance with SAPT Block Grant guidelines.

State licensing and certification staff review residential AOD treatment programs at least every two years. When a complaint is filed with ADP, an analyst initiates an investigation within ten working days of receipt of the complaint. If the complaint is substantiated or deficiencies are noted, a written Notice of Deficiency is issued by ADP. Licensees are required to respond in writing with a plan of corrective action. Time limits are established for programs to complete corrective actions and vary according to the nature of the deficiency. If a licensed program fails to correct deficiencies within the time frame established, civil penalties in the form of daily fines are instituted.

## California

### Goal #5: TB Services

**GOAL # 5.** An agreement, directly or through arrangements with other public or nonprofit private entities, to routinely make available tuberculosis services to each individual receiving treatment for substance abuse and to monitor such service delivery (See 42 U.S.C. 300x-24(a) and 45 C.F.R. 96.127).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

### ***Background and Ongoing Activities***

The Department of Alcohol and Drug Programs (ADP) includes Substance Abuse Prevention and Treatment (SAPT) Block Grant requirements for tuberculosis services in the Negotiated Net Amount contracts with the counties, and monitors the counties for compliance with these provisions.

ADP utilizes the services of its State Medical Director for Substance Abuse Services to provide medical expertise, analysis, advice, and guidance on medical and policy issues associated with TB and other infectious diseases.

The California Department of Public Health (DPH) distributes information to county health departments. County alcohol and drug program administrators in turn work closely with county health departments—which oversee TB control activities—to ensure federal block grant requirements are being met for AOD clients.

ADP county liaisons and licensing analysts provide ongoing technical assistance (TA) to each county to ensure federal block grant requirements are being met.

### **FFY 2006 (Compliance):**

ADP performed the TB compliance procedures and activities and utilized the services of the State Medical Director as described in *Background and Ongoing Activities* above.

**FFY 2008 (Progress):**

ADP performed the compliance procedures and activities and utilized the services of the State Medical Director as described in the *Background and Ongoing Activities*. The State Medical Director is being proactive in investigating the incidence of and procedures for substance abuse treatment clients with multi-drug-resistant TB, through contacts with Alameda, Los Angeles, and San Francisco public health departments and the TB Controllers Association, which advises DPH. Recently, a requirement to obtain a physician's release indicating that clients with active TB seeking AOD treatment are no longer contagious has been initiated. In addition, the State Medical Director assisted ADP in updating the *SAPT Block Grant County and Provider Guidelines*.

**FFY 2009 (Intended Use):**

ADP will continue to perform the compliance procedures and activities and to utilize the services of the State Medical Director, as described in the *Background and Ongoing Activities*. ADP will finalize the update of the *SAPT Block Grant County and Provider Guidelines*. The guidelines, which were first issued in 1993, provide guidance on implementing TB procedures. ADP will provide TA to the counties as they implement the TB procedures.

## California

### Goal #6: HIV Services

**GOAL # 6.** An agreement, by designated States, to provide treatment for persons with substance abuse problems with an emphasis on making available within existing programs early intervention services for HIV in areas of the State that have the greatest need for such services and to monitor such service delivery (See 42 U.S.C. 300x-24(b) and 45 C.F.R. 96.128).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

## ***Background and Ongoing Activities***

The Department of Alcohol and Drug Programs (ADP) includes Substance Abuse Prevention and Treatment (SAPT) Block Grant requirements for human immunodeficiency virus (HIV) services in the Negotiated Net Amount Contracts with the counties.

ADP distributes 100 percent of the HIV Early Intervention Services (EIS) funding statewide to 52 of 58 counties. Six of its least populous counties (Alpine, Calaveras, Colusa, Mariposa, Modoc, and Trinity) have minimal need and have declined the funds. California requires counties that elect not to receive HIV funds to indicate, in writing, how the need for HIV services will be met using funds, other than SAPT discretionary funding.

Recipient counties contract to provide HIV EIS locally. California distributes at least \$7,500 to each of the 52 participating counties so the least populous have sufficient funds to provide an HIV EIS program. Beginning with the FFY 2007 funds, ADP revised the formula for distributing HIV set-aside funds and began using the California Department of Public Health, Office of AIDS (DPH-OA) needs-based methodology to allocate HIV set-aside funds. HIV funds are allocated on the following indicators and weighted amounts:

1. 70%: HIV Counseling and Testing (first time positives 2002-2004) + Living AIDS Cases through 12/31/2005
2. 15%: Sexually Transmitted Diseases (Syphilis, GC and Chlamydia in Men) in 2005
3. 8%: People Living Below Federal Poverty Line (2000 Census)
4. 7%: People of Color in General Population (2000 Census)

The HIV Counseling and Testing data refers to the cumulative sum of positive HIV tests (with no indication of a previously positive result) in the three most recent years of complete data (2002, 2003, and 2004) that were reported to the State. Because the funding is based on need, the amount each county receives may change annually. ADP allocates HIV set-aside funds to counties including at least one county with a program in an urban area and one in a rural area.

ADP reviews allocations and county cost reports to determine compliance with the five percent minimum and maximum set-aside amount for HIV services and to ensure that at least one program is in a rural area. ADP monitors county systems, including provider subcontracts, through on-site visits to ensure compliance with federal HIV requirements and takes appropriate action if instances of noncompliance are identified.

ADP collaborates with OA to promote HIV/AIDS prevention and treatment services and to maintain access to pre- and post-test HIV counselor training by alcohol and other drug service providers and county alcohol and drug program staff. OA is responsible for monitoring HIV counseling and testing for compliance with state and federal statutes, regulations, and policies.

**FFY 2006 (Compliance):**

ADP performed the compliance procedures described above.

California was excluded from participating in the rapid testing training being offered through SAMHSA for a variety of reasons, one being that California laws and regulations preclude the use of Centers for Disease Control and Prevention-based curriculum for training HIV Testing Counselors. The training does not meet the more rigorous training required by California. However, DPH-OA was able to utilize the rapid HIV test kits received through SAMHSA's May 2005 initiative.

**FFY 2008 (Progress):**

ADP performed the compliance procedures described in the *Background and Ongoing Activities*, above.

DHP–OA is in the process of implementing rapid HIV testing in counties throughout the state. There are a number of reasons that some jurisdictions and providers have not moved to HIV rapid testing. The primary benefit of rapid testing is reducing the number of clients not returning for test results. In places such as methadone treatment programs, where clients return on a daily basis, rapid testing, which is more expensive, is not necessary.

**FFY 2009 (Intended Use):**

ADP will perform the compliance procedures for HIV described in the *Background and Ongoing Activities*, above. ADP will finalize the update of the *SAPT Block Grant County and Provider Block Grant Authorization Guidelines* and will provide technical assistance to county agencies and providers as they implement HIV procedures.

- Foot Notes

Goal #6: Footnote

ADP does not collect State expenditure data at the provider level.

## California

### Attachment E: TB and Early Intervention Svcs for HIV

**Attachment E: Tuberculosis (TB) and Early Intervention Services for HIV** (See 45 C.F.R. 96.122(f)(1)(x))

**For the fiscal year three years prior (FY 2006) to the fiscal year for which the State is applying for funds:**

Provide a description of the State's procedures and activities and the total funds expended (or obligated if expenditure data is not available) for tuberculosis services. If a "designated State," provide funds expended (or obligated), for early intervention services for HIV.

Examples of procedures include, but are not limited to:

- development of procedures (and any subsequent amendments), for tuberculosis services and, if a designated State, early intervention services for HIV, e.g., Qualified Services Organization Agreements (QSOA) and Memoranda of Understanding (MOU) ;
- the role of the single State authority (SSA) for substance abuse prevention and treatment; and
- the role of the single State authority for public health and communicable diseases.

Examples of **activities** include, but are not limited to:

- the type and amount of training made available to providers to ensure that tuberculosis services are routinely made available to each individual receiving treatment for substance abuse ;
- the number and geographic locations (include sub-State planning area) of projects delivering early intervention services for HIV ;
- the linkages between IVDU outreach (See 42 U.S.C. 300x-23(b) and 45 C.F.R. 96.126(e)) and the projects delivering early intervention services for HIV; and
- technical assistance.

### Tuberculosis (TB) Services

The description of the State's procedures and activities for TB services can be found in the narrative response to Goal #5.

The total funds expended for TB services in the Federal Fiscal Year (FFY) 2006:  
\$96,966

### HIV Early Intervention Services

The Department of Alcohol and Drug Programs (ADP) allocates HIV set-aside funding to California counties. County AOD administrators are responsible for ensuring that SAPT Block Grant funds, including the HIV set-aside, are expended in compliance with federal and state requirements. ADP annually monitors the counties for compliance with SAPT block grant requirements and takes appropriate action if instances of non-compliance are identified.

The description of the State's procedures and activities for HIV early intervention services can be found in the narrative response to Goal #6.

The total funds expended for HIV early intervention services for FFY 2006:  
\$13,032,018.

Attached is a list of the counties and providers that received HIV set-aside funding in FY 2006-07. ADP does not require the counties to report information on the linkages between IVDU outreach and projects delivering HIV-EIS services.

## Early Intervention Services for HIV

| Provider Code | County Name  | Provider Name   |
|---------------|--------------|---|
| 010102        | Alameda      | Alameda County Public Health Department                                 |
| 010106        | Alameda      | East Bay Community Recovery Project                                     |
| 018100        | Alameda      | Bay Area Black Consortium   |
| 040404        | Butte        | Butte County Public Health  |
| 070724        | Contra Costa | Contra Costa County Public Health Department                            |
| 070731        | Contra Costa | Contra Costa County Health Services                                     |
| 070743        | Contra Costa | New Connections   |
| 070745        | Contra Costa | New Connections   |
| 070750        | Contra Costa | Discovery House II  |
| 070753        | Contra Costa | Reach Project   |
| 070761        | Contra Costa | Contra Costa County Health Services Department                          |
| 090026        | El Dorado    | EDCA Lifeskills   |
| 090026        | El Dorado    | El Dorado Council on Alcoholism   |
| 090908        | El Dorado    | El Dorado County Public Health  |
| 090923        | El Dorado    | Sierra Recovery Center  |
| 090925        | El Dorado    | Sierra Recovery Center  |
| 090927        | El Dorado    | Progress House, Inc.  |
| 101001        | Fresno       | Fresno County Department of Behavioral Health, Substance Abuse Services |
| 121231        | Humboldt     | Humboldt County Public Health Department                                |
| 131303        | Imperial     | Imperial County Behavioral Health Services                              |
| 131314        | Imperial     | Imperial County Alcohol and Drug Programs                               |
| 131360        | Imperial     | Imperial County Department of Behavioral Health Services A & D Prog     |
| 150027        | Kern         | College Community Services  |
| 150028        | Kern         | College Community Services  |
| 151503        | Kern         | College Community Services  |
| 151504        | Kern         | Ebony Counseling Center   |
| 151507        | Kern         | Kern County Mental Health Substance Abuse Program                       |
| 151524        | Kern         | Turning Point of Central California                                     |
| 151532        | Kern         | Kern County Mental Health Department                                    |
| 151538        | Kern         | Tabitha House Ministries, Inc.  |
| 151539        | Kern         | College Community Services  |
| 151546        | Kern         | College Community Services  |
| 151548        | Kern         | Kern County Mental Health Department                                    |
| 151572        | Kern         | Community Action Partnership of Kern, Inc.                              |
| 161617        | Kings        | Kings County Health Department  |
| 171706        | Lake         | Community Care/HIV Aids Project   |
| 171712        | Lake         | County of Lake  |
| 171717        | Lake         | Lake County Alcohol and Other Drug Services                             |
| 191921        | Los Angeles  | Behavioral Health Services, Inc.  |
| 191993        | Los Angeles  | Homeless Health Care Los Angeles, Inc.                                  |
| 196780        | Los Angeles  | Tarzana Treatment Center Inc.   |

|        |                |  |
|--------|----------------|--|
| 196920 | Los Angeles    | Alcohol and Drug Program Administration  |
| 197052 | Los Angeles    | California Hispanic Commission CASC  |
| 197053 | Los Angeles    | Prototypes   |
| 197056 | Los Angeles    | Didi Hirsch Psychiatric Services   |
| 199265 | Los Angeles    | Special Service for Groups   |
| 199280 | Los Angeles    | San Fernando Valley Community Mental Health Center, Inc.                         |
| 202000 | Madera         | Madera County Behavioral Health Services Alcohol, Drug, and Perinatal Services   |
| 202002 | Madera         | Madera County Department of Behavioral Health Services Alcohol and Drug Programs |
| 202003 | Madera         | Madera County Department of Behavioral Health Services Alcohol and Drug Programs |
| 212173 | Marin          | Marin Treatment Center   |
| 230002 | Mendocino      | Mendocino County Alcohol and Other Drug Programs                                 |
| 232305 | Mendocino      | Mendocino County Alcohol and Other Drug Programs                                 |
| 232306 | Mendocino      | Mendocino County Alcohol and Other Drug Programs                                 |
| 242401 | Merced         | The Center - Alcohol & Drug Services   |
| 272703 | Monterey       | Monterey County Health Department-Alcohol & Drug Program                         |
| 292908 | Nevada         | Nevada County Behavioral Health Department                                       |
| 303003 | Orange         | County of Orange Health Care Agency - Alcohol and Drug Abuse Services            |
| 303006 | Orange         | Orange County Health Care Agency, Alcohol & Drug Program, Ancillary Services     |
| 313128 | Placer         | Placer County Health and Human Services  |
| 333311 | Riverside      | Lopez and Associates   |
| 333316 | Riverside      | Riverside County   |
| 333323 | Riverside      | Inland AIDS Project  |
| 333331 | Riverside      | Mental Health HIV Services   |
| 333362 | Riverside      | Riverside County   |
| 333363 | Riverside      | Riverside County Substance Abuse Program   |
| 343405 | Sacramento     | Strategies For Change  |
| 343409 | Sacramento     | C.A.R.E.S  |
| 343410 | Sacramento     | The Effort - CICC  |
| 343414 | Sacramento     | Cnty of Sacramento Dept of Hlth & Human Svcs A & D Svcs Div                      |
| 343419 | Sacramento     | Breaking Barriers Community Services Center                                      |
| 353500 | San Benito     | San Benito Substance Abuse Program   |
| 363600 | San Bernardino | San Bernardino County Department of Behavioral Health                            |
| 370080 | San Diego      | Stepping Stone of San Diego-Residential  |
| 370081 | San Diego      | Stepping Stone of San Diego-Out Patient  |
| 378516 | San Diego      | Jewish Family Service of San Diego   |
| 383806 | San Francisco  | Walden House, Inc.   |
| 383812 | San Francisco  | Addiction Research and Treatment, Inc.   |
| 383813 | San Francisco  | San Francisco Co. Dept. of Public Health   |
| 383815 | San Francisco  | Westside Community Mental Health Center  |

|        |               |   |
|--------|---------------|---|
| 383816 | San Francisco | Bay View-Hunter's Point Foundation for Community                    |
| 383820 | San Francisco | Haight Ashbury Free Clinics   |
| 383824 | San Francisco | Horizons Unlimited  |
| 383832 | San Francisco | San Francisco General Hospital                                      |
| 383835 | San Francisco | Walden House, Inc.  |
| 383887 | San Francisco | Westside County Mental Health Center                                |
| 393901 | San Joaquin   | San Joaquin County Substance Abuse Services                         |
|        | San Luis      | San Luis Obispo County Drug & Alcohol Services                      |
| 404000 | Obispo        |   |
| 414101 | San Mateo     | San Mateo County Alcohol & Drug Programs                            |
| 414112 | San Mateo     | El Centrol de Libertad  |
| 414115 | San Mateo     | Asian American Recovery Services                                    |
| 424205 | Santa Barbara | Santa Barbara County Public Health                                  |
| 424238 | Santa Barbara | Santa Barbara Neighborhood Clinics                                  |
| 424278 | Santa Barbara | The Pacific Pride Foundation, Inc.                                  |
| 434303 | Santa Clara   | Santa Clara Valley Health & Hospital System                         |
| 434381 | Santa Clara   | Santa Clara County - East Valley Clinic                             |
| 434394 | Santa Clara   | Santa Clara County South County Drug Abuse Program                  |
| 434397 | Santa Clara   | Santa Clara County  |
| 444414 | Santa Cruz    | Santa Cruz County Administration                                    |
| 454516 | Shasta        | Shasta County Alcohol & Drug Program                                |
| 474701 | Siskiyou      | Siskiyou County Behavioral Health Services                          |
| 484802 | Solano        | Solano County   |
| 494907 | Sonoma        | Drug Abuse Alternative Center-Sonoma                                |
|        |               | Stanislaus County Behavioral Health and Recovery Services           |
| 505000 | Stanislaus    |   |
| 525201 | Tehama        | Tehama County Health Services Agency                                |
| 540001 | Tulare        | Porterville Halfway House   |
| 540002 | Tulare        | Tulare County Alcohol Council Inc.                                  |
| 540003 | Tulare        | Tulare County Alcoholism Council Inc.                               |
| 545409 | Tulare        | Tulare County Alcoholism Council, Inc.                              |
| 545414 | Tulare        | Porterville Halfway House   |
| 545416 | Tulare        | Primer Paso Institute, Inc.   |
| 545431 | Tulare        | Tulare County Health and Human Services Agency                      |
| 545432 | Tulare        | Tulare County Health and Human Services Agency                      |
| 545433 | Tulare        | Tulare County Health and Human Services Agency                      |
| 545434 | Tulare        | Tulare County Health and Human Services Agency                      |
| 545436 | Tulare        | Primer Paso Institute, Inc.   |
| 555510 | Tuolumne      | Kings View  |
| 565631 | Ventura       | West Ventura Medical Clinic   |
| 565655 | Ventura       | Ventura County Alcohol & Drug Programs                              |
|        |               | Ventura County Behavioral Health Department Alcohol & Drug Programs |
| 565683 | Ventura       |   |
| 575712 | Yolo          | Yolo County Department of Public Health                             |
| 585818 | Sutter/Yuba   | First Steps   |

## California

### Goal #7: Development of Group Homes

**GOAL # 7.** An agreement to continue to provide for and encourage the development of group homes for recovering substance abusers through the operation of a revolving loan fund (See 42 U.S.C. 300x-25). Effective FY 2001, the States may choose to maintain such a fund. If a State chooses to participate, reporting is required.

FY 2006 (Compliance): (Reporting REQUIRED if State chose to participate)

FY 2008 (Progress): (Reporting REQUIRED if State chose to participate)

FY 2009 (Intended Use): (State participation is OPTIONAL)

### ***Background and Ongoing Activities***

The Department of Alcohol and Drug Program's (ADP) Resident-Run Housing Program (RRHP) provided the opportunity for people in recovery to develop living situations that were affordable and provided a supportive community committed to recovery. The program goals and philosophy were based on the premise of providing the maximum opportunity to those individuals recovering from alcohol and other drug (AOD) abuse. The goals to achieve this commitment were:

1. Recovery - free of addiction
2. Responsibility - control over lifestyle, and
3. Replication - sharing this new lifestyle with others

The California Association of Addiction Recovery Resources (CAARR), under contract with the ADP, promoted and coordinated the RRHP from September 1, 1998, through December 31, 2004. CAARR attended meetings and conferences that had statewide representation to distribute the RRHP brochure, in order to promote the program to providers and interested individuals throughout the State.

ADP released a Request for Proposal in 2005. A one-year contract was awarded to ONTRACT Program Resources, Inc. (ONTRACT), with the option to renew for two subsequent years. The initial contract period was March 15, 2005, through March 14, 2006. The contract was renewed for the second period of March 15, 2006, through March 14, 2007, and the third period of March 15, 2007, through March 14, 2008.

### **FFY 2006 (Compliance – participation OPTIONAL):**

During the federal fiscal year, ONTRACT promoted the program, responded to inquiries from 55 interested individuals regarding the program, distributed 11 application packets, and received no new loan application packets. ADP collected loan payments from four groups. No loans were paid-in-full and no loans were placed into default.

**FFY 2008 (Progress - participation OPTIONAL):**

During the period of October 1, 2007, through April 30, 2008, ONTRACT received seven (7) requests for RRHP information, provided no application packets to organizations requesting information, and received two new loan application packages. Two new loan applications were approved. ADP collected loan payments from four groups. No loans were paid-in-full and no loans were placed into default.

**FFY 2009 (Intended Use - participation OPTIONAL):**

The contract for the RRHP expired on March 14, 2008, and ADP does not intend to solicit any new applications for resident run housing because the program has been discontinued. ADP will continue to collect payments on all outstanding loans.

# California

## Attachment F: Group Home Entities

### Attachment F: Group Home Entities and Programs (See 42 U.S.C. 300x-25)

If the State has chosen in Fiscal Year 2006 to participate and continue to provide for and encourage the development of group homes for recovering substance abusers through the operation of a revolving loan fund then Attachment F must be completed.

Provide a list of all entities that have received loans from the revolving fund during FY 2006 to establish group homes for recovering substance abusers. In a narrative of **up to two pages**, describe the following:

- the number and amount of loans made available during the applicable fiscal years;
- the amount available in the fund throughout the fiscal year ;
- the source of funds used to establish and maintain the revolving fund ;
- the loan requirements, application procedures, the number of loans made, the number of repayments, and any repayment problems encountered ;
- the private, nonprofit entity selected to manage the fund ;
- any written agreement that may exist between the State and the managing entity ;
- how the State monitors fund and loan operations ; and
- any changes from previous years' operations.

The Resident-Run Housing Program (RRHP) provided interest-free loans of up to \$4,000 to rent a house or an apartment to be used as an alcohol and drug-free, self-supporting living arrangement for individuals who were recovering from substance abuse. The Department of Alcohol and Drug Programs (ADP) administers the RRHP revolving fund. The federal legislation for this program was amended to allow each state to choose whether or not to establish and maintain a revolving fund to support group homes. If a state chooses to provide a program, it must make not less than \$100,000 available for the fund. California funded the program at a level of \$200,000 using Substance Abuse Prevention and Treatment Block Grant funds. The program was not renewed when the current contract expired in March 2008. ADP will continue to monitor payments on existing loans.

ADP's Accounting Office uses the statewide CALSTARS accounting system to maintain the revolving fund. The revolving fund account is monitored on a monthly basis through reconciliation with the State Controller's Office records.

The loan requirements are based on federal guidelines and State regulations for the RRHP. The requirements include, but are not limited to, the following: completion of an application by a non-profit alcohol and other drug treatment provider; establishment of a democratically operated house, with a minimum of six residents, that will be maintained alcohol and drug-free; a maximum loan amount not to exceed \$4,000 per house; and repayment of the loan within a two-year period.

ADP contracted for the promotion and coordination of the RRHP to the California Association of Addiction Recovery Resources (CAARR), a non-profit organization, in order to reach a larger sector of the population who can benefit from this program. CAARR was awarded a one-year contract, from September 1, 1998, through August 31, 1999. The contract was renewed for a sixth year for the period October 1, 2003, through December 31, 2004.

ADP released a Request for Proposal in 2005, to receive bids for contractors to promote and coordinate the RRHP. A one-year contract was awarded to ONTRACT Program Resources, Inc. (ONTRACT) with the option to renew for two subsequent years. The contract was awarded for the period from March 15, 2005, through March 14, 2006. The contract was renewed for the second year for the period March 15, 2006, through March 14, 2007, and for the third year for the period March 15, 2007 through March 14, 2008.

The non-profit organization applying for a loan must have each co-applicant submit a letter of recommendation, evidence of income, a copy of the house operating rules, and a network system and replacement plan.

Application packages are submitted to ONTRACT, which reviews the loan applications for compliance with requirements and forwards approved applications to ADP for review and approval by the RRHP Loan Committee.

Since the program began in 1989, 65 loans have been issued. No loans were issued during FFY 2006. As of May 1, 2008, 30 loans have been repaid, 31 loans have been placed in default, and monthly payments are currently being made on four loans. A list of entities that have received loans from the revolving fund, including loan status, follows.

**STATUS OF RESIDENT RUN HOUSING TRUST FUND**  
As of May 1, 2008

| LOAN NUMBER | HOUSE NAME                        | LOAN AMT DUE | LOAN AMOUNT | AMOUNT PD TO DATE | BALANCE OF LOAN | STATUS  |
|-------------|-----------------------------------|--------------|-------------|-------------------|-----------------|---------|
| 89-34-001   | Serenity House                    | 167.00       | 4,000.00    | 0.00              | 4,000.00        | Default |
| 89-19-002   | Rochester House                   | 166.67       | 4,000.00    | 1,333.35          | 2,666.65        | Default |
| 89-19-003   | Resident's Inn                    | 0.00         | 4,000.00    | 4,000.00          | 0.00            | Paid    |
| 89-19-004   | People in Recovery                | 166.67       | 4,000.00    | 1,334.26          | 2,665.74        | Default |
| 89-56-006   | Harrison House                    | 0.00         | 2,000.00    | 2,000.00          | 0.00            | Paid    |
| 89-19-008   | Friendship House                  | 166.67       | 4,000.00    | 833.35            | 3,166.65        | Default |
| 89-07-011   | Hercules House                    | 166.67       | 4,000.00    | 550.11            | 3,449.89        | Default |
| 89-19-014   | Oxford House - Long Beach         | 166.67       | 4,000.00    | 3,165.73          | 834.27          | Default |
| 89-01-015   | Chabot House                      | 0.00         | 0.00        | 0.00              | 0.00            | Paid    |
| 89-56-016   | K-IV                              | 0.00         | 4,000.00    | 4,000.00          | 0.00            | Paid    |
| 89-19-017   | Women In Sobriety                 | 166.67       | 4,000.00    | 833.35            | 3,166.65        | Default |
| 89-19-019   | Inglewood Charity - Agape House   | 166.67       | 4,000.00    | 2,166.71          | 1,833.29        | Default |
| 89-19-029   | Oxford House - Beeman             | 166.67       | 4,000.00    | 2,000.04          | 1,999.96        | Default |
| 89-19-030   | Fellowship House                  | 50.00        | 4,000.00    | 3,216.73          | 783.27          | Default |
| 89-19-031   | Miracles House                    | 0.00         | 3,500.00    | 3,500.00          | 0.00            | Paid    |
| 89-30-032   | RSG - Sycamore House              | 145.83       | 3,500.00    | 729.15            | 2,770.85        | Default |
| 89-57-034   | Freedom House - Pierce            | 75.00        | 2,750.00    | 2,550.92          | 199.08          | Default |
| 90-30-035   | Nova House                        | 163.88       | 3,933.00    | 1,172.16          | 2,760.84        | Default |
| 90-19-039   | Victory Foundation - Phase 3 Men  | 0.00         | 4,000.00    | 4,000.00          | 0.00            | Paid    |
| 90-19-041   | Programs Plus Residents Plus      | 0.00         | 3,500.00    | 3,500.00          | 0.00            | Paid    |
| 90-39-043   | Acclamation, Inc, Supptg House #1 | 84.00        | 2,000.00    | 836.00            | 1,164.00        | Default |
| 90-30-049   | RSG - Balboa II                   | 138.00       | 3,300.00    | 138.00            | 3,162.00        | Default |
| 90-30-050   | RSG - Balboa I                    | 146.00       | 3,500.00    | 146.00            | 3,354.00        | Default |
| 90-42-052   | Soberlife                         | 167.00       | 4,000.00    | 0.00              | 4,000.00        | Default |
| 90-19-054   | Clean Way Living                  | 109.00       | 2,600.00    | 437.00            | 2,163.00        | Default |
| 90-37-055   | Unity House                       | 96.00        | 2,300.00    | 672.00            | 1,628.00        | Default |
| 90-19-057   | Programs Plus Inc - Pluse #2      | 0.00         | 2,800.00    | 2,800.00          | 0.00            | Paid    |
| 90-19-063   | Oxford House - Woodland Hills     | 167.00       | 4,000.00    | 1,837.00          | 2,163.00        | Default |
| 91-38-065   | Oxford House - Darien Way         | 0.00         | 4,000.00    | 4,000.00          | 0.00            | Paid    |
| 91-19-067   | Victory Foundation - Phase III B  | 0.00         | 3,650.00    | 3,650.00          | 0.00            | Paid    |
| 91-19-068   | Victory Foundation - Phase III C  | 0.00         | 3,650.00    | 3,650.00          | 0.00            | Paid    |
| 93-41-079   | Vets Summit House                 | 0.00         | 4,000.00    | 4,000.08          | -0.08           | Paid    |
| 96-43-084   | New Birth II                      | 0.00         | 4,000.00    | 4,000.00          | 0.00            | Paid    |

| LOAN NUMBER   | HOUSE NAME                          | LOAN AMT DUE    | LOAN AMOUNT       | AMOUNT PD TO DATE | BALANCE OF LOAN  | STATUS   |
|---------------|-------------------------------------|-----------------|-------------------|-------------------|------------------|----------|
| 98-43-086     | All Nations Mission                 | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 99-34-092     | Bridges                             | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 99-42-091     | Our House                           | 166.67          | 4,000.00          | 2,333.38          | 1,666.62         | Default  |
| 99-49-090     | Casa Calmecac                       | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 00-19-093     | Break the Chains #1                 | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 00-19-094     | Break the Chains #2                 | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 00-34-095     | A Fresh Start                       | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 01-42-099     | The Lighthouse                      | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 01-34-100     | Casas Sober Living                  | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 01-37-101     | First Light                         | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 01-42-102     | The Lighthouse II                   | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 02-19-104     | Genesis House                       | 166.67          | 4,000.00          | 500.01            | 3,499.99         | Default  |
| 02-43-105     | Courage to Change                   | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 02-04-106     | Cherokee House #1                   | 0.00            | 2,900.00          | 2,900.00          | 0.00             | Paid     |
| 02-04-107     | Cherokee House #2                   | 0.00            | 2,900.00          | 2,900.00          | 0.00             | Paid     |
| 02-30-108     | Chandler House                      | 0.00            | 3,825.00          | 3,825.00          | 0.00             | Paid     |
| 02-49-109     | Hope House                          | 166.67          | 4,000.00          | 2,326.70          | 1,673.30         | Default  |
| 02-19-111     | Break the Chains #3                 | 116.67          | 2,800.00          | 1,350.01          | 1,449.99         | Default  |
| 02-49-112     | Anteeo House                        | 166.67          | 4,000.00          | 1,703.35          | 2,296.65         | Default  |
| 02-49-113     | Broadmoor House                     | 166.67          | 4,000.00          | 1,703.35          | 2,296.65         | Default  |
| 02-34-114     | Ujima Estates                       | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 03-07-115     | Adams House                         | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 03-19-116     | Pure Love Clean & Sober Living      | 0.00            | 4,000.00          | 4,000.00          | 0.00             | Paid     |
| 03-34-118     | Lafayettes Clean & Sober Living     | 166.67          | 4,000.00          | 3,366.73          | 633.27           | Default  |
| 03-34-119     | Lafayettes Clean & Sober Living II  | 166.67          | 4,000.00          | 2,333.38          | 1,666.62         | Default  |
| 04-34-122     | My Brother's Place                  | 166.67          | 4,000.00          | 166.67            | 3,833.33         | Default  |
| 04-34-123     | Lafayettes Clean & Sober Living III | 166.67          | 4,000.00          | 1,500.03          | 2,499.97         | Default  |
| 04-19-124     | Brenda's Place                      | 166.58          | 3,998.00          | 2,499.22          | 1,498.78         | Default  |
| 07-19-125     | Another Way                         | 166.67          | 4,000.00          | 1,083.35          | 2,916.65         | Payments |
| 07-19-126     | Stepping Into Greatness House       | 166.67          | 4,000.00          | 1,333.36          | 2,666.64         | Payments |
| 07-36-127     | Willow House                        | 160.00          | 3,840.00          | 480.00            | 3,360.00         | Payments |
| 08-34-128     | House of Hope                       | 166.67          | 4,000.00          | 500.01            | 3,499.99         | Payments |
| <b>Totals</b> |                                     | <b>5,285.36</b> | <b>239,246.00</b> | <b>155,856.49</b> | <b>83,389.51</b> |          |

## California

### Goal #8: Tobacco Products

#### GOAL # 8.

An agreement to continue to have in effect a State law that makes it unlawful for any manufacturer, retailer, or distributor of tobacco products to sell or distribute any such product to any individual under the age of 18; and, to enforce such laws in a manner that can reasonably be expected to reduce the extent to which tobacco products are available to individuals under age 18 (See 42 U.S.C. 300x-26, 45 C.F.R. 96.130 and 45 C.F.R. 96.122(d)).

- Is the State's FY 2009 Annual Synar Report included with the FY 2009 uniform application? (Yes/No)
- If No, please indicate when the State plans to submit the report: (mm/dd/2008)

Note: The statutory due date is December 31, 2008.

Is the State's FY 2009 Annual Synar Report included with the FY 2009 uniform application? No

If No, please indicate when the State plans to submit the report: 10/01/2008

## California

### Goal #9: Pregnant Women Preferences

**GOAL # 9.** An agreement to ensure that each pregnant woman be given preference in admission to treatment facilities; and, when the facility has insufficient capacity, to ensure that the pregnant woman be referred to the State, which will refer the woman to a facility that does have capacity to admit the woman, or if no such facility has the capacity to admit the woman, will make available interim services within 48 hours, including a referral for prenatal care (See 42 U.S.C. 300x-27 and 45 C.F.R. 96.131).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

### ***Background and Ongoing Activities***

The *Perinatal Services Network Guidelines (2004)* are incorporated by reference into the Negotiated Net Amount contracts with counties. In the contract submissions, counties indicate compliance with federal referral and interim services requirements. The Department of Alcohol and Drug Programs (ADP) Performance Management Branch staff conduct county monitoring visits to ensure appropriate processes are in place to comply with these requirements. The guidelines require counties to develop a system for monitoring the number of referrals made and interim services provided by each program. The guidelines' referral and interim services requirements are as follows:

#### **Referral to Other Programs and Interim Services**

When a program is unable to admit a substance-using pregnant woman because of insufficient capacity or because the program does not provide the necessary services, an appropriate referral to another program must be made and documented. Pregnant women must be referred to another program or provided with interim services within 48 hours. Pregnant women receiving interim services must also be placed at the top of the waiting list for program admission. To assist programs in making appropriate referrals, each county must make available a current directory of its community resources.

Interim services are defined as Human Immunodeficiency Virus (HIV) and tuberculosis (TB) education and counseling; referrals for HIV and TB testing; referrals for prenatal care; education on the effects of alcohol and drug use on the fetus; and referrals based on individual assessments that may include, but are not limited to, self-help recovery groups, pre-recovery and treatment support groups; sources for housing, food and legal aid; case management; children's services; medical services; and Temporary Assistance to Needy Families/Medi-Cal services.

#### **FFY 2006 (Compliance):**

ADP performed the compliance procedures and activities described above.

**FFY 2008 (Progress):**

ADP performed the compliance procedures and activities as described in the *Background and Ongoing Activities*.

**FFY 2009 (Intended Use):**

ADP will continue to perform the compliance procedures and activities as described in the *Background and Ongoing Activities*.

# California

## Attachment G: Capacity Management

### Attachment G: Capacity Management and Waiting List Systems

(See 45 C.F.R. 96.122(f)(3)(vi))

For the fiscal year two years prior (FY 2007) to the fiscal year for which the State is applying for funds:

In **up to five pages**, provide a description of the State's procedures and activities undertaken, and the total amount of funds expended (or obligated if expenditure data is not available), to comply with the requirement to develop capacity management and waiting list systems for intravenous drug users and pregnant women (See 45 C.F.R. 96.126(c) and 45 C.F.R. 96.131(c), respectively). This report should include information regarding the utilization of these systems. Examples of **procedures** may include, but not be limited to:

- development of procedures (and any subsequent amendments) to reasonably implement a capacity management and waiting list system ;
- the role of the Single State Authority (SSA) for substance abuse prevention and treatment ;
- the role of intermediaries (county or regional entity), if applicable, and substance abuse treatment providers; and
- the use of technology, e.g., toll-free telephone numbers, automated reporting systems, etc.

Examples of **activities** may include, but not be limited to:

- how interim services are made available to individuals awaiting admission to treatment ;
- the mechanism(s) utilized by programs for maintaining contact with individuals awaiting admission to treatment; and
- technical assistance.

The Department of Alcohol and Drug Program (ADP) Capacity/Waiting List Management Program includes a management information system, and DATARWeb, the Web-based Drug and Alcohol Treatment Access Report (DATAR) for submitting and reporting information on provider capacity, utilization, and waiting lists.

All alcohol and other drug (AOD) treatment providers receiving State or federal funds or licensed by the State to dispense methadone or Levo-alpha-acetylmethadol were required to submit a DATAR report to ADP each month. ADP processed and compiled the DATAR reports into a database and made the information available internally and externally.

County administrators have the ability to generate DATAR reports on an ongoing basis. These reports show whether their contracted providers are compliant with DATAR reporting requirements.

The ADP County Monitoring Branch staff obtained past DATAR reports to discuss with the county administrator during annual compliance reviews.

Providers used their own waiting list forms, which must include a unique patient identifier, to document their compliance with requirements to provide interim services and priority placement for pregnant women and injecting drug users. Providers extracted, summarized, and reported data for their monthly aggregated DATAR reports from their waiting list forms.

ADP provided technical assistance (TA) to improve DATAR reporting compliance and to reduce error rates. Training materials were made available via the Web to providers for the purpose of compiling and submitting monthly data for DATAR.

Per the NNA contract, counties monitor their treatment capacity and manage their waiting list system of dedicated service units. Many counties utilize DATAR and/or an internal system for a centralized intake, needs assessment, and program assignment process. Counties are responsible for ensuring treatment admission preference to pregnant women or IVDU clients on waiting lists and providing interim services until preferred clients are admitted into appropriate services. Waiting list maintenance and follow-up of clients is the responsibility of county AOD entities.

Several smaller counties have entered into memorandums of understanding (MOUs) with adjacent counties to provide specialized treatment services, such as perinatal residential, should capacity within the originating county be exceeded.

The annual on-site compliance reviews conducted by ADP's Performance Monitoring Branch (PMB) included the review of county policies for admission preference and interim services to perinatal and IVDU clients. PMB staff assisted counties that could not provide written policies of admission preference

and interim services to create these documents in adherence to Title 45, Code of Federal Regulations, Part 96.

An estimate of the State's direct cost (primarily the staffing cost of providing TA) of operating the Capacity Management Waiting List data system during FFY 2007 was \$73,000. Operation of the State's DATAR data system received partial support from the federal contract for implementation and maintenance of the Drug and Alcohol Services Information System.

## California

### Goal #10: Process for Referring

**GOAL # 10.** An agreement to improve the process in the State for referring individuals to the treatment modality that is most appropriate for the individual (See 42 U.S.C. 300x-28(a) and 45 C.F.R. 96.132(a)).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

## ***Background and Ongoing Activities***

Individuals who contact the Department of Alcohol and Drug Programs (ADP) Resource Center for alcohol and other drug (AOD) treatment referrals are given the phone number of the AOD program administrator for the county in which they seek treatment. Every county's alcohol and drug program office is listed in local telephone directories and on ADP's website under "Treatment."

ADP developed and maintains an Internet site that provides the public with comprehensive information on over 1,800 licensed or certified treatment/recovery programs in California and a statewide listing of county AOD offices, so individuals can access this information 24 hours a day. Also included on ADP's home page is a screening tool that provides the inquiring individual with locations for nearby AOD treatment centers and county help: AlcoholScreening.org is a service of Join Together, a project of the Boston University School of Public Health, supported by the Robert Wood Johnson Foundation.

Upon contact with a county provider or a treatment facility, the prospective client is directed by an administrator or a staff member to assessment. Based on the AOD assessment, the individual is referred to the most appropriate treatment modality and to the closest location of services.

ADP funds local affiliates of the National Council on Alcoholism and Drug Dependence, which also have staff and trained volunteers to provide information, assessment, and referral services. These agencies are listed in local telephone directories and on the Internet.

### **FFY 2006 (Compliance):**

In addition to the activities listed above, ADP's Performance Management Branch (PMB) determined from its 2006 site reviews that most of the 58 counties were using the Addiction Severity Index (ASI) and about half also were using other tools, such as the Patient Placement Criteria (PPC) developed by the American Society of Addiction Medicine (ASAM), or the Substance Abuse Subtle Screening Inventory (SASSI).

**FFY 2008 (Progress):**

ADP continued to provide the services listed in *Background and Ongoing Activities*. ADP's PMB conducted the annual compliance reviews of counties' adherence to state and federal regulations and programmatic requirements including the intake and assessment process and a walk-through of a treatment facility.

**FFY 2009 (Intended Use):**

The PMB will continue to implement the process described in *Background and Ongoing Activities* above and identify the assessment tools used by counties, as was done in FFY 2006.

## California

### Goal #11: Continuing Education

**GOAL # 11.** An agreement to provide continuing education for the employees of facilities which provide prevention activities or treatment services (or both as the case may be) (See 42 U.S.C. 300x-28(b) and 45 C.F.R. 96.132(b)).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

## ***Background and Ongoing Activities:***

### **PREVENTION**

Continuing education is a core element of the Department of Alcohol and Drug Program's (ADP) Prevention Strategic Plan goals, which states that ADP will "engage the prevention field in a continual process of learning about innovative and research-supported strategies and services."

ADP funds statewide technical assistance (TA) and training programs that provide prevention training workshops and services tailored to the needs of requesting groups and organizations. These training events provide both programmatic and administrative knowledge/skills for staff working in publicly-funded alcohol and other drug (AOD) prevention and treatment services programs. Organizations providing TA and training programs include:

ADP's Community Prevention Initiative (CPI) – CPI provides training and TA to California agencies and organizations that conduct community-based prevention. The primary purpose of CPI is to assist the prevention field in using evidence-based community prevention and providing training on Strategic Prevention Framework (SPF) methods. Special topic publications entitled *Prevention Tactics* are produced to address current prevention issues and applications; they are disseminated to over 2,000 recipients in the prevention field and posted at [www.ca-cpi.org](http://www.ca-cpi.org). Training and prevention workshops are also provided throughout the State to strengthen local community AOD prevention knowledge, skills, and capacity as well as TA services tailored to the needs of requesting groups or organizations. Examples of issues/subjects addressed include:

1. Introduction to AOD prevention approaches
2. Community-based and environmental prevention strategies
3. Culturally relevant prevention services
4. Community organizing
5. School-based prevention strategies
6. Alternative activity approaches
7. Program development
8. County prevention plans based on SPF
9. Resource development program evaluation

10. AOD prevention strategies for specific populations including women, youth, and seniors

CPI TA and training promote the Institute of Medicine (IOM) "Continuum of Service" model for an integrated approach to universal, selective, and indicated interventions for primary prevention services and the six Center for Substance Abuse Prevention (CSAP) prevention strategies. The TA outreach includes monthly newsletters and special issue papers that are sent to more than 2,000 addresses across the State.

Friday Night Live (FNL) (high school), Club Live (middle school), and FNL Kids (elementary school) – These programs build partnerships for positive and healthy youth development and engage youth as active leaders and resources in their communities. FNL, Club Live, and FNL Kids have 786 chapters in 56 counties and serve over 243,000 young people throughout California. FNL is based on youth/adult partnerships that create community activities that include educating policy-making officials, providing safe social outlets for youth, and hosting conferences and training on varying issues from leadership to social factors that contribute to substance abuse.

ADP also works with WestCAPT, which provides training support within California.

The ADP Prevention, Treatment and Recovery Conference, which is conducted at 18-month intervals, offers a forum to interact with counties, providers, community entities, colleges, and education professionals regarding community, public policy, and cross-organizational prevention approaches.

Another education-related goal is to develop a competent and culturally proficient prevention workforce. ADP is implementing the goal through the following types of activities:

1. Identifying core competencies for persons working in prevention and examining the issue of standards and certification
2. Advancing the FNL philosophy that youth will be directly involved in prevention, policy, and strategy development
3. Providing communications that link ADP, county AOD offices, direct service providers, and other State agencies to improve the delivery of services
4. Engaging the general public in a continual process of learning about the effects of, and healthful alternatives to, alcohol, tobacco, and other drug (ATOD) use and abuse through the Resource Center, which conducts the following activities:
  - Disseminating statewide, at no cost to the recipient, ATOD information through direct mail and Internet Web site

- Offering catalog information via the Internet for automated access at [http://www.adp.ca.gov/RC/rc\\_sub.shtml](http://www.adp.ca.gov/RC/rc_sub.shtml)
- Updating the catalog biannually on the Internet for automated access
- Maintaining two toll-free telephone numbers, which receive over 20,000 calls annually for prevention and treatment assistance referral services, and
- Operating California's special subject lending service for AOD books and videos

Major TA providers convey a common message to counties and their prevention providers about the federal National Outcome Measures (NOMs), SPF, and IOM prevention construct. Further education is provided through the County Alcohol and Drug Program Administrators Association of California (CADPAAC), its executive committee, and its prevention committee to reach the 58 counties that operate approximately 260 prevention programs with Substance Abuse Prevention and Treatment Block Grant funds.

## **TREATMENT**

As part of ADP's strategic planning and continued enhancement of treatment in the State, training to the AOD treatment/recovery field is provided through TA contracts. Training is tailored to the needs of county constituents, subpopulation groups, or program areas, and is delivered in the form of workshops, symposiums, and onsite assistance. In addition, TA contracts are used to provide programmatic, technical, and administrative assistance to AOD treatment programs. Preparation of research documents useful to policymakers as well as treatment providers is supported with block grant funds. Use of contracts allows ADP to respond more quickly to needs and emerging trends, and results in increased accessibility, improved quality, and delivery of more effective and culturally appropriate AOD services.

## **TECHNICAL ASSISTANCE AND TRAINING ORGANIZATIONS**

ADP co-sponsors the Substance Abuse Research Consortium (SARC) semi-annual meetings with the Pacific Southwest Addiction Technology Transfer Center (PSATTC). The meetings, coordinated by UCLA's Integrated Substance Abuse Programs (ISAP), benefit substance abuse researchers, treatment providers, administrators, and policymakers by offering participants the opportunity to exchange current information on California substance abuse trends, research data on promising prevention and treatment strategies, and to strengthen partnerships with criminal justice and social services.

UCLA's ISAP has provided to CADPAAC several training sessions entitled *NIATx 101 Aims and Principles*, an evidence-based approach to the referral process at the provider-client end of the engagement spectrum. NIATx is the acronym for "Network for the Improvements of Addiction Tx."

The California Association of Addiction Recovery Resources provides TA and training to entities in the AOD treatment/recovery field that are recipients of ADP-administered funds. Courses are offered on AOD-related topics such as motivational interviewing, trauma, treatment for addiction co-occurring with mental illness, criminal justice matters, confidentiality/HIPAA, cultural competence, and start-up and licensing.

Groups providing specialty training that extends the reach of effective treatment and prevention for California's diverse population of residents include Children and Family Futures; the American Society on Aging; the American Indian Training Institute; the California Hispanic Commission on Alcohol and Drug Abuse; the National Asian Pacific American Families Against Substance Abuse; ONTRACT Program Resources, Inc. serving California's African American population; and Progressive Research and Training for Action representing the lesbian, gay, bisexual, and transgender (LGBT) population.

## CONFERENCES

The California Conference on Alcohol and Other Drug Prevention, Treatment and Recovery is a conference hosted every 18 months by ADP and is attended by conferees from throughout California. Plenary speakers and extended workshops educate, update, and provide opportunity for discussions relating to AOD prevention, treatment and recovery.

The Substance Abuse Research Consortium (SARC), funded by the Center for Substance Abuse Treatment (CSAT), gives presentations that offer an opportunity for participants to exchange AOD-related epidemiology and clinical research efforts on California substance abuse trends, promising prevention and treatment strategies, criminal justice and social service partnerships, and other substance abuse-related topics. As California's geographic size and large population necessitate holding similar conferences in northern and southern California, one presentation is held in each part of the State.

### **FFY 2006 (Compliance):**

TA and training efforts listed in *Background and Ongoing Activities* continued.

ADP's 2006 conference, *Reengineering Our System of Services: Developing and Implementing a Comprehensive and Integrated Continuum of Services*, was held in Sacramento from September 6-8.

Both SARC sessions in 2006 focused on the methamphetamine burden on California communities. There were also presentations on the impact of California's Proposition 36 on drug-involved persons passing through the State's judicial system.

**FFY 2008 (Progress):**

TA and training efforts listed in *Background and Ongoing Activities* are ongoing.

ADP's 2008 conference was entitled *Implementing a Comprehensive Continuum of Services: Beyond Tradition – Creating Synergy* and was held in Burlingame, California from June 18-20. This year's topics addressed special populations, the continuum of care that includes recovery support such as housing and employment, co-occurring disorders, and smoking, and many other AOD-related topics.

ADP is in the planning stages for an ADP Academy that will provide AOD training both internally and externally. The first module, *Cultural Competency*, is planned to be presented internally in January 2009.

The SARC semi-annual meeting was held in Los Angeles on May 30, 2008. It focused on "Cultural Considerations for Substance Abuse Research and Treatment: What We Know and What We Can Learn from Other Health-Related Fields."

CADPAAC is scheduling and implementing regional NIATx training sessions throughout California. The training series is called "California Addiction Training and Education Series (CATES);" they are being conducted under the auspices of the Pacific Southwest Addiction Technology Transfer Center (PS-ATTC). Three daylong trainings were held in November 2007 in San Francisco, San Diego, and Bakersfield; three more were held in May 2008 in Redding, Santa Ana, and Fresno.

**FFY 2009 (Intended Use):**

TA and training efforts listed in *Background and Ongoing Activities* are expected to continue.

Continuation of the CATES NIATx trainings is being planned by CADPAAC with emphasis on NIATx implementation with training assistance.

## California

### Goal #12: Coordinate Services

**GOAL # 12.** An agreement to coordinate ,prevention activities and treatment services with the provision of other appropriate services (See 42 U.S.C. 300x-28(c) and 45 C.F.R. 96.132(c)).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

## ***Background and Ongoing Activities:***

### **PREVENTION**

Continuing education is a core element of the Department of Alcohol and Drug Program's (ADP) Prevention Strategic Plan goals, which states that ADP will "engage the prevention field in a continual process of learning about innovative and research-supported strategies and services."

ADP funds statewide technical assistance (TA) and training programs that provide prevention training workshops and services tailored to the needs of requesting groups and organizations. These training events provide both programmatic and administrative knowledge/skills for staff working in publicly-funded alcohol and other drug (AOD) prevention and treatment services programs. Organizations providing TA and training programs include:

ADP's Community Prevention Initiative (CPI) – CPI provides training and TA to California agencies and organizations that conduct community-based prevention. The primary purpose of CPI is to assist the prevention field in using evidence-based community prevention and providing training on Strategic Prevention Framework (SPF) methods. Special topic publications entitled *Prevention Tactics* are produced to address current prevention issues and applications; they are disseminated to over 2,000 recipients in the prevention field and posted at [www.ca-cpi.org](http://www.ca-cpi.org). Training and prevention workshops are also provided throughout the State to strengthen local community AOD prevention knowledge, skills, and capacity as well as TA services tailored to the needs of requesting groups or organizations. Examples of issues/subjects addressed include:

1. Introduction to AOD prevention approaches
2. Community-based and environmental prevention strategies
3. Culturally relevant prevention services
4. Community organizing
5. School-based prevention strategies
6. Alternative activity approaches
7. Program development
8. County prevention plans based on SPF
9. Resource development program evaluation

10. AOD prevention strategies for specific populations including women, youth, and seniors

CPI TA and training promote the Institute of Medicine (IOM) "Continuum of Service" model for an integrated approach to universal, selective, and indicated interventions for primary prevention services and the six Center for Substance Abuse Prevention (CSAP) prevention strategies. The TA outreach includes monthly newsletters and special issue papers that are sent to more than 2,000 addresses across the State.

Friday Night Live (FNL) (high school), Club Live (middle school), and FNL Kids (elementary school) – These programs build partnerships for positive and healthy youth development and engage youth as active leaders and resources in their communities. FNL, Club Live, and FNL Kids have 786 chapters in 56 counties and serve over 243,000 young people throughout California. FNL is based on youth/adult partnerships that create community activities that include educating policy-making officials, providing safe social outlets for youth, and hosting conferences and training on varying issues from leadership to social factors that contribute to substance abuse.

ADP also works with WestCAPT, which provides training support within California.

The ADP Prevention, Treatment and Recovery Conference, which is conducted at 18-month intervals, offers a forum to interact with counties, providers, community entities, colleges, and education professionals regarding community, public policy, and cross-organizational prevention approaches.

Another education-related goal is to develop a competent and culturally proficient prevention workforce. ADP is implementing the goal through the following types of activities:

1. Identifying core competencies for persons working in prevention and examining the issue of standards and certification
2. Advancing the FNL philosophy that youth will be directly involved in prevention, policy, and strategy development
3. Providing communications that link ADP, county AOD offices, direct service providers, and other State agencies to improve the delivery of services
4. Engaging the general public in a continual process of learning about the effects of, and healthful alternatives to, alcohol, tobacco, and other drug (ATOD) use and abuse through the Resource Center, which conducts the following activities:
  - Disseminating statewide, at no cost to the recipient, ATOD information through direct mail and Internet Web site

- Offering catalog information via the Internet for automated access at [http://www.adp.ca.gov/RC/rc\\_sub.shtml](http://www.adp.ca.gov/RC/rc_sub.shtml)
- Updating the catalog biannually on the Internet for automated access
- Maintaining two toll-free telephone numbers, which receive over 20,000 calls annually for prevention and treatment assistance referral services, and
- Operating California's special subject lending service for AOD books and videos

Major TA providers convey a common message to counties and their prevention providers about the federal National Outcome Measures (NOMs), SPF, and IOM prevention construct. Further education is provided through the County Alcohol and Drug Program Administrators Association of California (CADPAAC), its executive committee, and its prevention committee to reach the 58 counties that operate approximately 260 prevention programs with Substance Abuse Prevention and Treatment Block Grant funds.

## **TREATMENT**

As part of ADP's strategic planning and continued enhancement of treatment in the State, training to the AOD treatment/recovery field is provided through TA contracts. Training is tailored to the needs of county constituents, subpopulation groups, or program areas, and is delivered in the form of workshops, symposiums, and onsite assistance. In addition, TA contracts are used to provide programmatic, technical, and administrative assistance to AOD treatment programs. Preparation of research documents useful to policymakers as well as treatment providers is supported with block grant funds. Use of contracts allows ADP to respond more quickly to needs and emerging trends, and results in increased accessibility, improved quality, and delivery of more effective and culturally appropriate AOD services.

## **TECHNICAL ASSISTANCE AND TRAINING ORGANIZATIONS**

ADP co-sponsors the Substance Abuse Research Consortium (SARC) semi-annual meetings with the Pacific Southwest Addiction Technology Transfer Center (PSATTC). The meetings, coordinated by UCLA's Integrated Substance Abuse Programs (ISAP), benefit substance abuse researchers, treatment providers, administrators, and policymakers by offering participants the opportunity to exchange current information on California substance abuse trends, research data on promising prevention and treatment strategies, and to strengthen partnerships with criminal justice and social services.

UCLA's ISAP has provided to CADPAAC several training sessions entitled *NIATx 101 Aims and Principles*, an evidence-based approach to the referral process at the provider-client end of the engagement spectrum. NIATx is the acronym for "Network for the Improvements of Addiction Tx."

The California Association of Addiction Recovery Resources provides TA and training to entities in the AOD treatment/recovery field that are recipients of ADP-administered funds. Courses are offered on AOD-related topics such as motivational interviewing, trauma, treatment for addiction co-occurring with mental illness, criminal justice matters, confidentiality/HIPAA, cultural competence, and start-up and licensing.

Groups providing specialty training that extends the reach of effective treatment and prevention for California's diverse population of residents include Children and Family Futures; the American Society on Aging; the American Indian Training Institute; the California Hispanic Commission on Alcohol and Drug Abuse; the National Asian Pacific American Families Against Substance Abuse; ONTRACT Program Resources, Inc. serving California's African American population; and Progressive Research and Training for Action representing the lesbian, gay, bisexual, and transgender (LGBT) population.

## CONFERENCES

The California Conference on Alcohol and Other Drug Prevention, Treatment and Recovery is a conference hosted every 18 months by ADP and is attended by conferees from throughout California. Plenary speakers and extended workshops educate, update, and provide opportunity for discussions relating to AOD prevention, treatment and recovery.

The Substance Abuse Research Consortium (SARC), funded by the Center for Substance Abuse Treatment (CSAT), gives presentations that offer an opportunity for participants to exchange AOD-related epidemiology and clinical research efforts on California substance abuse trends, promising prevention and treatment strategies, criminal justice and social service partnerships, and other substance abuse-related topics. As California's geographic size and large population necessitate holding similar conferences in northern and southern California, one presentation is held in each part of the State.

### **FFY 2006 (Compliance):**

TA and training efforts listed in *Background and Ongoing Activities* continued.

ADP's 2006 conference, *Reengineering Our System of Services: Developing and Implementing a Comprehensive and Integrated Continuum of Services*, was held in Sacramento from September 6-8.

Both SARC sessions in 2006 focused on the methamphetamine burden on California communities. There were also presentations on the impact of California's Proposition 36 on drug-involved persons passing through the State's judicial system.

**FFY 2008 (Progress):**

TA and training efforts listed in *Background and Ongoing Activities* are ongoing.

ADP's 2008 conference was entitled *Implementing a Comprehensive Continuum of Services: Beyond Tradition – Creating Synergy* and was held in Burlingame, California from June 18-20. This year's topics addressed special populations, the continuum of care that includes recovery support such as housing and employment, co-occurring disorders, and smoking, and many other AOD-related topics.

ADP is in the planning stages for an ADP Academy that will provide AOD training both internally and externally. The first module, *Cultural Competency*, is planned to be presented internally in January 2009.

The SARC semi-annual meeting was held in Los Angeles on May 30, 2008. It focused on "Cultural Considerations for Substance Abuse Research and Treatment: What We Know and What We Can Learn from Other Health-Related Fields."

CADPAAC is scheduling and implementing regional NIATx training sessions throughout California. The training series is called "California Addiction Training and Education Series (CATES);" they are being conducted under the auspices of the Pacific Southwest Addiction Technology Transfer Center (PS-ATTC). Three daylong trainings were held in November 2007 in San Francisco, San Diego, and Bakersfield; three more were held in May 2008 in Redding, Santa Ana, and Fresno.

**FFY 2009 (Intended Use):**

TA and training efforts listed in *Background and Ongoing Activities* are expected to continue.

Continuation of the CATES NIATx trainings is being planned by CADPAAC with emphasis on NIATx implementation with training assistance.

## California

### Goal #13: Assessment of Need

**GOAL # 13.** An agreement to submit an assessment of the need for both treatment and prevention in the State for authorized activities, both by locality and by the State in general (See 42 U.S.C. 300x-29 and 45 C.F.R. 96.133).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

## ***Background and Ongoing Activities:***

### **PREVENTION**

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**FFY 2009 (Intended Use):**

TA and training efforts listed in *Background and Ongoing Activities* are expected to continue.

Continuation of the CATES NIATx trainings is being planned by CADPAAC with emphasis on NIATx implementation with training assistance.

## California

### Goal #14: Hypodermic Needle Program

**GOAL # 14.** An agreement to ensure that no program funded through the block grant will use funds to provide individuals with hypodermic needles or syringes so that such individuals may use illegal drugs (See 42 U.S.C. 300x-31(a)(1)(F) and 45 C.F.R. 96.135(a)(6)).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

### ***Background and Ongoing Activities***

Through Negotiated Net Amount contracts with counties, the Department of Alcohol and Drug Programs (ADP) passes down the federal prohibition of the use of Substance Abuse Prevention and Treatment (SAPT) Block Grant funds to provide hypodermic needles or syringes to individuals for injecting illegal drugs. Counties, in turn, are responsible for ensuring that their subcontractors do not use SAPT Block Grant funds to provide hypodermic needles or syringes to individuals.

ADP monitors county systems through on-site visits to ensure compliance with federal requirements, including Goal 14. ADP will take appropriate action if instances of noncompliance are identified; however, noncompliance with Goal 14 has not occurred.

### **FFY 2006 (Compliance):**

ADP assured compliance through the measures described above.

**FFY 2008 (Progress):**

ADP assured compliance through the measures described in the *Background and Ongoing Activities*.

**FFY 2009 (Intended Use):**

ADP will assure compliance through the measures described in the *Background and Ongoing Activities*.

## California

### Goal #15: Independent Peer Review

**GOAL # 15.** An agreement to assess and improve, through independent peer review, the quality and appropriateness of treatment services delivered by providers that receive funds from the block grant (See 42 U.S.C. 300x-53(a) and 45 C.F.R. 96.136).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

## ***Background and Ongoing Activities***

The Department of Alcohol and Drug Programs (ADP), in consultation with County Alcohol and Drug Program Administrators Association of California (CADPAAC), developed the Independent Peer Review Project (IPRP), and determined that an Invitation for Bid (IFB) would be the best avenue for complying with the federal independent peer review requirement. ADP drafted all IPRP-required working documents, awarded a possible three-year contract to the successful bidder, and trained the contractor on the usage and application of the guidebook and working instruments, and the principles of continuous quality improvement. The procedure for independent peer review was established, as described below:

ADP randomly selects five percent of the total number of programs receiving Substance Abuse Prevention and Treatment (SAPT) Block Grant funds. ADP provides program information to the contractor.

The contractor reviews and screens applications from potential independent peer reviewers and matches them to programs according to program modality and peer reviewers' experience and expertise. The contractor trains selected peer reviewers in the use and application of the working instruments. ADP's IPRP coordinator attends and assists in the training sessions.

The contractor notifies the selected programs of the date, time, and purpose of the peer review, and provides each program with a copy of the guidebook and a set of three working instruments to be completed by the program director, program staff, and clients. Once completed, the working instruments are returned to the peer reviewer for analysis, prior to the peer review.

The peer reviewer reviews the selected programs. Programs to be reviewed during a particular cycle are either from the northern, southern, or central part of the state to concentrate effort.

Each peer review concludes with the peer reviewer completing a summary and exit working instrument, and conducting a summary and exit review during which both the peer reviewer(s) and program director have the opportunity to address all aspects of the peer review. The reviewers also inform the programs of the availability of technical assistance (TA) and training, provided free of charge to the programs through ADP contracts, and provide the programs with ADP's directory of TA providers. The contractor provides ADP with monthly and quarterly progress reports and summaries of each review, as well as copies of all completed working instruments.

ADP evaluates the completed working instruments and, in conjunction with the federal requirements, assesses the quality, appropriateness, and efficacy of recovery and treatment services provided.

The contractor conducts a year-end wrap up, attended by ADP's IPRP coordinator, where peer reviewers are invited to share their experiences and offer suggestions.

The contractor also provides ADP with a review draft of the annual report and, following ADP review, issues a final report.

When necessary, ADP advertises an IFB, awards the contract for a possible three years, and introduces the IPRP and the successful bidder to the alcohol and other drug field.

The main objective of the peer review is to encourage continuous quality improvement—a proactive approach to treatment that recognizes that process largely determines outcome. It is ADP's goal to realize that continuous quality improvement is practiced in all of its programs. ADP monitors all review instruments and reports completed by the contractor and peer reviewers to determine how information gained from programs and their clients can be used in revising ADP's alcohol and drug standards and creating training manuals.

**FY 2006 (Compliance):**

Peer reviewers conducted 34 on-site reviews of AOD programs, which equate to five percent of the total number of programs receiving SAPT Block Grant funds, from the central part of the State. All the reviews were completed and the wrap-up meeting was conducted.

**FY 2008 (Progress):**

Peer reviewers conducted 35 on-site reviews of AOD programs, which equate to five percent of the total number of programs receiving SAPT Block Grant funds, from the southern part of the State. All the reviews were completed and the wrap up meeting was conducted.

**FY 2009 (Intended Use):**

Peer reviewers will conduct approximately 32-35 on-site reviews of AOD programs, which equate to five percent of the total number of programs receiving SAPT Block Grant funds, from the central part of the State.

ADP will develop, advertise, and award an IFB for the independent peer review of 32-35 programs. The term of the contract is for a possible three years.

## California

### Attachment H: Independent Peer Review

**Attachment H: Independent Peer Review** (See 45 C.F.R. 96.122(f)(3)(v))

In **up to three pages** provide a description of the State's procedures and activities undertaken to comply with the requirement to conduct independent peer review during FY 2007 (See 42 U.S.C. 300x-53(a)(1) and 45 C.F.R. 96.136).

Examples of **procedures** may include, but not be limited to:

- the role of the Single State Agency(SSA) for substance abuse prevention activities and treatment services in the development of operational procedures implementing independent peer review ;
- the role of the State Medical Director for Substance Abuse Services in the development of such procedures ;
- the role of the independent peer reviewers; and
- the role of the entity(ies) reviewed.

Examples of **activities** may include, but not be limited to:

- the number of entities reviewed during the applicable fiscal year ;
- technical assistance made available to the entity(ies) reviewed; and
- technical assistance made available to the reviewers, if applicable.

Peer reviewers were matched to programs according to program modality and peer reviewers' experience and expertise. Peer reviewers contacted the programs randomly selected by the Department of Alcohol and Drug Programs (ADP) for peer review, and sent the guidebook and pre-review working instruments to each program director for completion by the program, staff, and clients prior to the site visit. This information, coupled with statistical reports provided to the contract by ADP, aided the peer reviewer(s) in preparing for the site review.

Peer reviewers conducted 35 on-site independent peer reviews in the southern part of the State for quality, appropriateness and efficacy of recovery and treatment services, and stressed to the programs the need for continuous quality improvement. During the summary and exit portion of the peer review, the reviewers discussed with the program director technical assistance and training available free-of-charge to the program through an ADP contract. Reviewers also provided programs with a copy of ADP's directory of technical assistance contractors.

Once all 35 peer reviews were completed and all completed working instruments submitted to the contractor, the contractor held a "wrap-up session" attended by the peer reviewers and ADP's Independent Peer Review Project coordinator. The contractor prepared a final report, which contained names of programs at which a peer review was conducted, completed working instruments for each program, evaluation of and recommendations for improving the working instruments and the peer review process, and recommendations regarding possible technical assistance needed by the reviewed programs.

ADP analyzed the information gleaned from the peer review working instruments and developed a report on the efficacy of services.

## California

### Goal #16: Disclosure of Patient Records

**GOAL # 16.** An agreement to ensure that the State has in effect a system to protect patient records from inappropriate disclosure (See 42 U.S.C. 300x-53(b), 45 C.F.R. 96.132(e), and 42 C.F.R. part 2).

FY 2006 (Compliance):

FY 2008 (Progress):

FY 2009 (Intended Use):

### ***Background and Ongoing Activities***

The Department of Alcohol and Drug Programs (ADP) requires counties, through the Negotiated Net Amount (NNA) Contracts, to have systems in place to prevent inappropriate disclosure of confidential patient records, as directed by 42 Code of Federal Regulations (CFR), Part 2, and the Health Insurance Portability and Accountability Act (HIPAA) of 1996, Privacy Rule (45 CFR Part 164). The NNA contract requires counties to pass these provisions down to their providers.

ADP employs both a Privacy Officer and an Information Security Officer. To date, ADP has not received any privacy complaints, but has procedures in place directing prompt investigation of complaints and appropriate action(s) for violations. Policies and procedures are in place to ensure confidentiality of Protected Health Information (PHI) at the desk level, and include encryption of portable media, securing of computers and monitor positions, and internal reviews and audits.

New privacy training is being developed for ADP personnel that will address both HIPAA and 42 CFR Part 2 and will be focused on the types of records to which ADP employees have access. A uniform policy will be taught that adheres to the stricter of the two regulations for each situation.

### **FFY 2006 (Compliance):**

ADP encrypted its laptop computers and began planning for desktop e-mail encryption. In accordance with HIPAA mandates, ADP implemented policies and procedures that address client rights, research-related PHI requests, verification, information use disclosure and authorization, breach of privacy, complaints, and safeguard of PHI in communications.

**FFY 2008 (Progress):**

ADP continued its work to become fully compliant with the HIPAA National Provider Identifier (NPI). ADP continued to update computer security measures to prevent unauthorized access and to monitor files being accessed by ADP users.

**FFY 2009 (Intended Use):**

ADP anticipates providing uniform privacy and security compliance information during new employee orientation and annual training for all ADP staff to ensure privacy and security compliance. Computer security measures will be continuously updated.

## California

### Goal #17: Charitable Choice

**GOAL # 17.** An agreement to ensure that the State has in effect a system to comply with services provided by non-governmental organizations (See 42 U.S.C. 300x-65 and 42 C.F.R. part 54 (See 42 C.F.R. 54.8(b) and 54.8(c)(4), Charitable Choice Provisions; Final Rule (68 FR 189, pp. 56430-56449, September 30, 2003).

FY 2006 (Compliance):  
FY 2008 (Progress):  
FY 2009 (Intended Use):

## ***Background and Ongoing Activities***

The Department of Alcohol and Drug Programs (ADP) has informed counties of the requirements contained in Title 42 CFR Part 54 and established processes and procedures to ensure compliance with the requirements.

On March 29, 2004, ADP disseminated ADP Bulletin #04-5, notifying counties that they must comply with 42 CFR Part 54. Copies of the documents are included in Appendix A of this application. Annually, ADP issues a letter to the county administrators requesting them to report the number of Charitable Choice referrals during the year; ADP provides a copy of Bulletin #04-5 with the letter. In the bulletin, ADP provided a list of actions counties need to take to implement 42 CFR Part 54, as follows:

1. Identify religious providers. This is necessary in order to know the organizations to which Part 54 applies.

For existing providers who receive Substance Abuse Prevention and Treatment (SAPT) Block Grant funds, counties identified religious providers when contracts are renewed by requiring them to submit the "Survey for Ensuring Equal Opportunity for Applicants." This form is essentially a reproduction of the document used by the Substance Abuse and Mental Health Services Administration (SAMHSA) to identify religious providers.

For new providers, counties identify religious providers by requesting that applicants for the SAPT Block Grant funds submit the "Survey for Ensuring Equal Opportunity for Applicants."

2. Include the requirements of Part 54 in their contracts with providers who receive SAPT Block Grant funds.
3. Monitor religious providers to ensure they are complying with the provisions of Part 54, including Section 54.8 regarding:
  - Notice to program participants; and
  - Referral to an alternative provider
4. Establish processes for:
  - Being notified by a religious provider when a program beneficiary is referred to an alternative provider; and
  - Provision and funding of alternative services
5. Define and apply the terms "reasonably accessible," "a reasonable period of time," "comparable," "capacity," and "value that is not less than"

The Charitable Choice provisions and regulations have been incorporated into county Net Negotiated Amount contracts. Compliance with the Charitable Choice requirements has become part of the ongoing annual county compliance review process.

**FFY 2006 (Compliance)**

The Performance Management Branch, formerly known as County Monitoring Branch, monitored counties for compliance with Charitable Choice and reviewed the requirements with each county during site reviews. The State collected data regarding the number of client referrals annually and reported this to SAMHSA as part of the block grant application.

**FFY 2008 (Progress)**

ADP implemented the requirements as described above. Additionally, County Administrators were required to certify that the county has in place policies, procedures, and practices to ensure compliance with Charitable Choice requirements. If they could not certify, they were required to document why the requirement was not met and submit a corrective action plan that detailed the actions to be completed within 60 days to correct this deficiency.

**FFY 2009 (Intended Use)**

ADP will implement the process as described above and will make adjustments as necessary.

## Attachment I: Charitable Choice

Under Charitable Choice, States, local governments, and religious organizations, each as SAMHSA grant recipients, must: (1) ensure that religious organizations that are providers provide notice of their right to alternative services to all potential and actual program beneficiaries (services recipients); (2) ensure that religious organizations that are providers refer program beneficiaries to alternative services; and (3) fund and/or provide alternative services. The term "alternative services" means services determined by the State to be accessible and comparable and provided within a reasonable period of time from another substance abuse provider ("alternative provider") to which the program beneficiary ("services recipient") has no religious objection.

The purpose of Attachment I is to document how your State is complying with these provisions.

**For the fiscal year prior (FY 2008) to the fiscal year for which the State is applying for funds check the appropriate box(es) that describe the State's procedures and activities undertaken to comply with the provisions.**

### Notice to Program Beneficiaries -Check all that Apply

- Used model notice provided in final regulations
- Used notice developed by State (Please attach a copy in Appendix A)
- State has disseminated notice to religious organizations that are providers
- State requires these religious organizations to give notice to all potential beneficiaries

### Referrals to Alternative Services -Check all that Apply

- State has developed specific referral system for this requirement
- State has incorporated this requirement into existing referral system(s)
- SAMHSA's Treatment Facility Locator is used to help identify providers
- Other networks and information systems are used to help identify providers
- State maintains record of referrals made by religious organizations that are providers
- 8 Enter total number of referrals necessitated by religious objection to other substance abuse providers ("alternative providers"), as defined above, made in previous fiscal year. Provide total only; no information on specific referrals required.

**Brief description (one paragraph)** of any training for local governments and faith-based and community organizations on these requirements.

The State notified all counties of these requirements via a letter from the Performance Management Branch (PMB), which refers to Department of Alcohol and Drug Programs (ADP) Bulletin 04-5. ADP requires the counties to report information within the specified time frame. Counties are responsible for training local community organizations, including faith-based organizations on the Charitable Choice requirements. Implementation of these requirements is monitored by the PMB during the annual compliance review. ADP is available to answer questions and provide additional information to the counties regarding Charitable Choice implementation.

- Foot Notes

The number of referrals to other substance abuse providers necessitated by religious objection is based on an annual county survey conducted by the Department of Alcohol and Drug Programs.

## Attachment J

If your State plans to apply for any of the following waivers, check the appropriate box and submit the request for a waiver at the earliest possible date.

- To expend not less than an amount equal to the amount expended by the State for FY 1994 to establish new programs or expand the capacity of existing programs to make available treatment services designed for pregnant women and women with dependent children (See 42 U.S.C. 300x-22(b)(2) and 45 C.F.R. 96.124(d)).
- Rural area early intervention services HIV requirements (See 42 U.S.C. 300x-24(b)(5)(B) and 45 C.F.R. 96.128(d))
- Improvement of process for appropriate referrals for treatment, continuing education, or coordination of various activities and services (See 42 U.S.C. 300x-28(d) and 45 C.F.R. 96.132(d))
- Statewide maintenance of effort (MOE) expenditure levels (See 42 U.S.C. 300x-30(c) and 45 C.F.R. 96.134(b))
- Construction/rehabilitation (See 42 U.S.C. 300x-31(c) and 45 C.F.R. 96.135(d))

If your State proposes to request a waiver at this time for one or more of the above provisions, include the waiver request as an attachment to the application, if possible. The Interim Final Rule, 45 C.F.R. 96.124(d), 96.128(d), 96.132(d), 96.134(b), and 96.135(d), contains information regarding the criteria for each waiver, respectively. A formal waiver request must be submitted to SAMHSA at some point in time if not included as an attachment to the application.

## California

### Attachment J: Waivers

#### **Attachment J: Waivers**

If your State proposes to request a waiver at this time for one or more of the above provisions, include the waiver request as an attachment to the application, if possible. The Interim Final Rule, 45 C.F.R. 96.124(d), 96.128(d), 96.132(d), 96.134(b), and 96.135(d), contains information regarding the criteria for each waiver, respectively. A formal waiver request must be submitted to SAMHSA at some point in time if not included as an attachment to the application.

**SUBSTANCE ABUSE STATE AGENCY SPENDING REPORT**

**State: California**

**Dates of State Expenditure Period:** From: 7/1/2006 To: 6/30/2007

| Activity                                   | Source of Funds                          |                                       |  |                      |  |            |
|--|--|---------------------------------------|--|----------------------|--|------------|
|  | A.SAPT Block Grant FY 2006 Award (Spent) | B.Medicaid (Federal, State and Local) | C.Other Federal Funds (e.g., Medicare, other public welfare) | D.State Funds        | E.Local Funds (excluding local Medicaid) | F.Other    |
| Substance Abuse Prevention* and Treatment  | \$ 178,957,392                           | \$ 150,463,298                        | \$ 9,993,535   | \$ 222,560,997       | \$                                       | \$         |
| Primary Prevention                         | \$ 54,478,938                            |                                       | \$ 12,558,346  | \$ 9,945,548         | \$                                       | \$         |
| Tuberculosis Services                      | \$ 68,778                                | \$                                    | \$   | \$ 122               | \$                                       | \$         |
| HIV Early Intervention Services            | \$ 12,165,621                            | \$                                    | \$   | \$                   | \$                                       | \$         |
| Administration: Excluding Program/Provider | \$ 3,990,774                             |                                       | \$ 615,142   | \$ 2,747,999         | \$                                       | \$         |
| <b>Column Total</b>                        | <b>\$249,661,503</b>                     | <b>\$150,463,298</b>                  | <b>\$23,167,023</b>  | <b>\$235,254,666</b> | <b>\$0</b>                               | <b>\$0</b> |

\*Prevention other than Primary Prevention

- Foot Notes

California has increased its efforts to ensure all HIV funds are expended serving the intended population; however, it is very difficult for the large number of subgrantees to utilize all of the funds in a timely manner. California has revised the allocation formula beginning in State FY 07/08, which as noted in Goal 6 of this application, is needs-based. California anticipates being able to report the expenditure of its entire HIV funds in its 2011 Block Grant Application as reflective of its needs-based allocation methodology.

In addition, ADP is refining an internal process to monitor expenditures during the year and if recommended and necessary, redirect funding to other jurisdictions. To this end, California has directed staff resources to require the accurate and timely reporting of Quarterly Federal Fiscal Management Reports (QFFMRs) that are used to track SAPT Block Grant expenditures by category and federal award year. ADP has created a Webinar QFFMR training program that will initially be used within a month to train two counties that have requested technical assistance on QFFMR reporting. California will also be providing QFFMR training to county fiscal staff during the September 2008 Quarterly County Alcohol and Drug Program Administrators Association meeting.

California will solicit the thoughts of members of its Fiscal Work Group (comprised of four county AOD administrators and four treatment provider organization representatives) beginning in August 2008 in an attempt to ensure all of the HIV funds are appropriately spent as soon as possible.

## Form 4ab

State: California

### Form 4a. Primary Prevention Expenditures Checklist

| Activity                          | SAPT Block Grant<br>FY 2006 | Other Federal       | State Funds        | Local Funds | Other      |
|-----------------------------------|-----------------------------|---------------------|--------------------|-------------|------------|
| Information Dissemination         | \$ 5,265,721                | \$                  | \$ 3,284,480       | \$          | \$         |
| Education                         | \$ 19,081,345               | \$                  | \$ 2,748,422       | \$          | \$         |
| Alternatives                      | \$ 6,020,854                | \$                  | \$                 | \$          | \$         |
| Problem Identification & Referral | \$ 1,344,203                | \$                  | \$                 | \$          | \$         |
| Community Based Process           | \$ 17,722,674               | \$                  | \$ 3,912,647       | \$          | \$         |
| Environmental                     | \$ 3,044,140                | \$                  | \$                 | \$          | \$         |
| Other                             | \$                          | \$ 12,558,346       | \$                 | \$          | \$         |
| Section 1926 - Tobacco            | \$ 2,000,000                | \$                  | \$                 | \$          | \$         |
| <b>Column Total</b>               | <b>\$54,478,937</b>         | <b>\$12,558,346</b> | <b>\$9,945,549</b> | <b>\$0</b>  | <b>\$0</b> |

### Form 4b. Primary Prevention Expenditures Checklist

| Activity            | SAPT Block Grant<br>FY 2006 | Other Federal | State Funds | Local Funds | Other      |
|---------------------|-----------------------------|---------------|-------------|-------------|------------|
| Universal Indirect  | \$                          | \$            | \$          | \$          | \$         |
| Universal Direct    | \$                          | \$            | \$          | \$          | \$         |
| Selective           | \$                          | \$            | \$          | \$          | \$         |
| Indicated           | \$                          | \$            | \$          | \$          | \$         |
| <b>Column Total</b> | <b>\$0</b>                  | <b>\$0</b>    | <b>\$0</b>  | <b>\$0</b>  | <b>\$0</b> |

- Foot Notes

Beginning with Federal Fiscal Year 2206, "Other Federal" is comprised of discretionary grants and is not part of the cost report process. Therefore, categorical expenditures are not noted.

## Resource Development Expenditure Checklist

**State: California**

**Did your State fund resource development activities from the FY 2006 SAPT Block Grant?**

Yes  No

**Expenditures on Resource Development Activities are:**

Actual  Estimated

| Activity                                    | Column 1<br>Treatment | Column 2<br>Prevention | Column 3<br>Additional<br>Combined | Total              |
|---|-----------------------|------------------------|------------------------------------|--------------------|
| Planning, Coordination and Needs Assessment | \$ 362,919            | \$                     | \$                                 | \$ 362,919         |
| Quality Assurance                           | \$ 1,171,798          | \$                     | \$                                 | \$ 1,171,798       |
| Training (post-employment)                  | \$ 280,031            | \$                     | \$                                 | \$ 280,031         |
| Education (pre-employment)                  | \$                    | \$                     | \$                                 | \$                 |
| Program Development                         | \$ 2,162,411          | \$ 1,810,656           | \$                                 | \$ 3,973,067       |
| Research and Evaluation                     | \$ 725,907            | \$                     | \$                                 | \$ 725,907         |
| Information Systems                         | \$ 2,060,167          | \$                     | \$                                 | \$ 2,060,167       |
| <b>Column Total</b>                         | <b>\$6,763,233</b>    | <b>\$1,810,656</b>     | <b>\$0</b>                         | <b>\$8,573,889</b> |

- Foot Notes

Other Federal

Beginning with Federal Fiscal Year 2006, "Other Federal" is comprised of discretionary grants and is not part of the cost report process. Therefore, categorical expenditures are not noted.

## SUBSTANCE ABUSE ENTITY INVENTORY

State: California

| 1. Entity Number | 2. I-SATS ID<br>[X] if no I-SATS ID | 3. Area Served | 4. State Funds<br>(Spent during State expenditure period) | FISCAL YEAR 2006  |  |  |   |
|------------------|-------------------------------------|----------------|---|---|--|--|---|
|                  |                                     |                |   | 5. SAPT Block Grant Funds for Substance Abuse Prevention and Treatment Services (other than primary prevention) | 5a. SAPT Block Grant Funds for Services for Pregnant Women and Women with Dependent Children | 6. SAPT Block Grant Funds for Primary Prevention | 7. SAPT Block Grant Funds for Early Intervention Services for HIV (if applicable) |
| 01               | x                                   | Alameda County | \$6,426,047   |   | \$0  |  |   |
| 010001           | 936595                              | Alameda County |   | \$647,651   | \$0  | \$0  | \$0   |
| 010005           | x                                   | Alameda County |   | \$0   | \$0  | \$39,752   | \$0   |
| 010008           | 120208                              | Alameda County |   | \$51,366  | \$0  | \$0  | \$0   |
| 010010           | 102115                              | Alameda County |   | \$628,715   | \$0  | \$258,838  | \$0   |
| 010040           | 934004                              | Alameda County |   | \$365,917   | \$0  | \$0  | \$0   |
| 010055           | x                                   | Alameda County |   | \$0   | \$0  | \$63,313   | \$0   |
| 010070           | 107015                              | Alameda County |   | \$68,403  | \$68,403   | \$0  | \$0   |
| 010102           | x                                   | Alameda County |   | \$300,203   | \$0  | \$0  | \$300,203   |
| 010103           | x                                   | Alameda County |   | \$1,462,373   | \$381,075  | \$0  | \$0   |
| 010106           | 127260                              | Alameda County |   | \$317,807   | \$0  | \$0  | \$317,807   |
| 010107           | x                                   | Alameda County |   | \$0   | \$0  | \$42,185   | \$0   |
| 010108           | 117162                              | Alameda County |   | \$0   | \$0  | \$47,465   | \$0   |
| 010109           | 111892                              | Alameda County |   | \$473,656   | \$473,656  | \$0  | \$0   |
| 010116           | 117162                              | Alameda County |   | \$39,990  | \$0  | \$0  | \$0   |
| 010117           | 123459                              | Alameda County |   | \$262,871   | \$0  | \$0  | \$0   |
| 010129           | 001348                              | Alameda County |   | \$148,121   | \$0  | \$0  | \$0   |
| 010130           | 103171                              | Alameda County |   | \$0   | \$0  | \$16,938   | \$0   |
| 010134           | 302046                              | Alameda County |   | \$317,404   | \$0  | \$0  | \$0   |
| 010136           | 100275                              | Alameda County |   | \$749,647   | \$0  | \$0  | \$0   |
| 010139           | 001041                              | Alameda County |   | \$59,985  | \$0  | \$0  | \$0   |
| 010145           | 106017                              | Alameda County |   | \$0   | \$0  | \$189,362  | \$0   |
| 010146           | 907372                              | Alameda County |   | \$59,985  | \$0  | \$166,873  | \$0   |
| 010147           | 106371                              | Alameda County |   | \$0   | \$0  | \$166,167  | \$0   |
| 010149           | x                                   | Alameda County |   | \$0   | \$0  | \$67,770   | \$0   |
| 010153           | 118335                              | Alameda County |   | \$404,865   | \$404,865  | \$0  | \$0   |
| 010155           | 101257                              | Alameda County |   | \$34,920  | \$34,920   | \$0  | \$0   |
| 010156           | 113070                              | Alameda County |   | \$258,533   | \$258,533  | \$0  | \$0   |
| 010164           | 907372                              | Alameda County |   | \$0   | \$0  | \$35,200   | \$0   |
| 010165           | 907372                              | Alameda County |   | \$0   | \$0  | \$26,650   | \$0   |
| 010168           | 902084                              | Alameda County |   | \$0   | \$0  | \$175,515  | \$0   |
| 010175           | 905988                              | Alameda County |   | \$126,542   | \$126,542  | \$0  | \$0   |

|        |        |                     |             |           |           |           |           |
|--------|--------|---------------------|-------------|-----------|-----------|-----------|-----------|
| 010176 | 106124 | Alameda County      |             | \$0       | \$0       | \$309,547 | \$0       |
| 010178 | 134449 | Alameda County      |             | \$59,986  | \$0       | \$267,410 | \$0       |
| 010180 | 117089 | Alameda County      |             | \$127,580 | \$127,580 | \$0       | \$0       |
| 010185 | 123756 | Alameda County      |             | \$0       | \$0       | \$117,881 | \$0       |
| 010191 | 103336 | Alameda County      |             | \$0       | \$0       | \$55,308  | \$0       |
| 010192 | 103335 | Alameda County      |             | \$79,981  | \$0       | \$0       | \$0       |
| 010735 | 107395 | Contra Costa County |             | \$163,707 | \$0       | \$0       | \$0       |
| 018100 | x      | Alameda County      |             | \$142,975 | \$0       | \$0       | \$142,975 |
| 018115 | 103171 | Alameda County      |             | \$0       | \$0       | \$3,479   | \$0       |
| 02     | x      | Alpine County       | \$12,202    | \$0       | \$0       |           |           |
| 020215 | 914956 | Alpine County       |             | \$198,004 | \$0       | \$115,321 | \$0       |
| 03     | x      | Amador County       | \$308,349   | \$0       | \$0       |           |           |
| 030301 | 900799 | Amador County       |             | \$315,129 | \$116,381 | \$85,115  | \$0       |
| 04     | x      | Butte County        | \$1,192,085 | \$0       | \$0       |           |           |
| 040404 | x      | Butte County        |             | \$0       | \$0       | \$50,000  | \$0       |
| 040405 | 102886 | Butte County        |             | \$63,105  | \$0       | \$0       | \$1,472   |
| 040408 | 103242 | Butte County        |             | \$115,513 | \$1,030   | \$0       | \$2,786   |
| 040413 | 103531 | Butte County        |             | \$13,375  | \$3,850   | \$0       | \$0       |
| 040413 | 103531 | Plumas County       |             | \$4,500   | \$0       | \$0       | \$0       |
| 040416 | 907596 | Butte County        |             | \$97,254  | \$0       | \$0       | \$876     |
| 040418 | x      | Butte County        |             | \$77,908  | \$20,675  | \$652,713 | \$0       |
| 040420 | 115646 | Butte County        |             | \$351,354 | \$351,354 | \$0       | \$0       |
| 040428 | 102885 | Butte County        |             | \$16,329  | \$0       | \$0       | \$0       |
| 040429 | 130280 | Del Norte County    |             | \$15,670  | \$0       | \$0       | \$0       |
| 040429 | 130280 | Sutter/Yuba         |             | \$17,872  | \$2,506   | \$0       | \$0       |
| 040429 | 130280 | Tehama County       |             | \$1,202   | \$1,202   | \$0       | \$0       |
| 040432 | 126809 | Butte County        |             | \$101,939 | \$0       | \$0       | \$2,666   |
| 040433 | 000507 | Plumas County       |             | \$2,275   | \$0       | \$0       | \$0       |
| 040441 | 103151 | Plumas County       |             | \$4,500   | \$0       | \$0       | \$0       |
| 05     | x      | Calaveras County    | \$159,103   | \$0       | \$0       |           |           |
| 050501 | 907612 | Calaveras County    |             | \$306,942 | \$4,978   | \$83,243  | \$0       |
| 050562 | 113054 | Amador County       |             | \$6,625   | \$0       | \$0       | \$0       |
| 050562 | 113054 | Calaveras County    |             | \$5,917   | \$0       | \$0       | \$0       |
| 050562 | 113054 | Mariposa County     |             | \$19,772  | \$0       | \$0       | \$0       |
| 06     | x      | Colusa County       | \$315,412   | \$0       | \$0       |           |           |
| 060601 | 907638 | Colusa County       |             | \$279,897 | \$53,577  | \$107,243 | \$0       |
| 07     | x      | Contra Costa County | \$3,067,505 | \$0       | \$0       |           |           |
| 070004 | 932826 | Contra Costa County |             | \$150,627 | \$0       | \$0       | \$0       |
| 070010 | 931604 | Contra Costa County |             | \$240,795 | \$0       | \$0       | \$0       |
| 070020 | 933915 | Contra Costa County |             | \$285,546 | \$0       | \$0       | \$0       |
| 070040 | 107445 | Contra Costa County |             | \$203,471 | \$203,471 | \$0       | \$0       |
| 070060 | 931364 | Contra Costa County |             | \$248,393 | \$0       | \$0       | \$0       |
| 070707 | 123137 | Contra Costa County |             | \$0       | \$0       | \$167,294 | \$0       |
| 070712 | 115729 | Contra Costa County |             | \$12,619  | \$12,619  | \$0       | \$0       |
| 070713 | 116040 | Contra Costa County |             | \$98,162  | \$98,162  | \$0       | \$0       |
| 070714 | 001161 | Contra Costa County |             | \$0       | \$0       | \$60,000  | \$0       |
| 070715 | 123764 | Contra Costa County |             | \$148,732 | \$148,732 | \$0       | \$0       |
| 070724 | x      | Contra Costa County |             | \$147,411 | \$0       | \$0       | \$147,411 |
| 070731 | 903652 | Contra Costa County |             | \$188,085 | \$0       | \$177,783 | \$74,062  |
| 070733 | 906069 | Contra Costa County |             | \$0       | \$0       | \$127,310 | \$0       |

|        |        |                     |             |             |           |           |           |
|--------|--------|---------------------|-------------|-------------|-----------|-----------|-----------|
| 070738 | 932149 | Contra Costa County |             | \$275,324   | \$0       | \$0       | \$0       |
| 070741 | 113955 | Contra Costa County |             | \$189,357   |           |           |           |
| 070743 | 121321 | Contra Costa County |             | \$142,234   | \$0       | \$122,885 | \$19,762  |
| 070745 | 101901 | Contra Costa County |             | \$184,371   | \$0       | \$119,203 | \$30,238  |
| 070750 | 306435 | Contra Costa County |             | \$586,021   | \$0       | \$0       | \$50,000  |
| 070753 | 920342 | Contra Costa County |             | \$330,473   | \$0       | \$179,988 | \$11,798  |
| 070754 | 105670 | Contra Costa County |             | \$0         | \$0       | \$121,932 | \$0       |
| 070761 | 112460 | Contra Costa County |             | \$221,367   | \$0       | \$103,781 | \$2,497   |
| 070769 | 115539 | Contra Costa County |             | \$6,618     | \$0       | \$0       | \$0       |
| 070770 | 115547 | Contra Costa County |             | \$506,056   | \$504,762 | \$0       | \$0       |
| 070775 | 117469 | Contra Costa County |             | \$84,477    | \$84,477  | \$0       | \$0       |
| 070797 | 933782 | Contra Costa County |             | \$281,000   | \$0       | \$0       | \$0       |
| 070799 | x      | Contra Costa County |             | \$481,131   | \$430,590 | \$220,141 | \$0       |
| 08     | x      | Del Norte County    | \$107,481   | \$0         | \$0       |           |           |
| 080808 | 101307 | Del Norte County    |             | \$237,247   | \$4,969   | \$156,367 | \$0       |
| 09     | x      | El Dorado County    | \$684,405   | \$0         | \$0       |           |           |
| 090026 | 751200 | El Dorado County    |             | \$77,433    | \$0       | \$0       | \$101     |
| 090903 | 931554 | Amador County       |             | \$12,050    | \$0       | \$0       | \$0       |
| 090903 | 931554 | El Dorado County    |             | \$1,740     | \$0       | \$0       | \$0       |
| 090903 | 931554 | Lassen County       |             | \$825       | \$0       | \$0       | \$0       |
| 090903 | 931554 | Sierra County       |             | \$12,405    | \$0       | \$0       | \$0       |
| 090903 | 931554 | Sutter/Yuba         |             | \$19,676    | \$0       | \$0       | \$0       |
| 090905 | 113104 | El Dorado County    |             | \$21,437    | \$21,437  | \$0       | \$0       |
| 090905 | 113104 | Sutter/Yuba         |             | \$1,943     | \$0       | \$0       | \$0       |
| 090906 | 907661 | El Dorado County    |             | \$64,782    | \$1,829   | \$0       | \$0       |
| 090907 | 907679 | El Dorado County    |             | \$72,241    | \$0       | \$0       | \$0       |
| 090908 | x      | El Dorado County    |             | \$217,163   | \$7,357   | \$197,652 | \$8,262   |
| 090913 | 103201 | Lassen County       |             | \$2,340     | \$0       | \$0       | \$0       |
| 090913 | 103201 | Sutter/Yuba         |             | \$20,628    | \$0       | \$0       | \$0       |
| 090918 | 103203 | El Dorado County    |             | \$18,757    | \$7,140   | \$0       | \$400     |
| 090920 | 102583 | El Dorado County    |             | \$1,782     | \$0       | \$0       | \$0       |
| 090920 | 102583 | Sutter/Yuba         |             | \$2,358     | \$2,358   | \$0       | \$0       |
| 090923 | 107379 | El Dorado County    |             | \$129,982   | \$6,412   | \$0       | \$0       |
| 090924 | 104518 | El Dorado County    |             | \$5,490     | \$4,320   | \$0       | \$0       |
| 090925 | 113450 | Alpine County       |             | \$2,520     | \$0       | \$0       | \$0       |
| 090925 | 113450 | El Dorado County    |             | \$360       | \$0       | \$0       | \$0       |
| 090927 | 115703 | El Dorado County    |             | \$125,909   | \$0       | \$0       | \$1,040   |
| 10     | x      | Fresno County       | \$3,423,864 | \$0         | \$0       |           |           |
| 100030 | 110514 | Fresno County       |             | \$789,776   | \$0       | \$0       | \$0       |
| 100030 | 110514 | Madera County       |             | \$20,365    | \$0       | \$0       | \$0       |
| 100050 | 107668 | Fresno County       |             | \$112,848   | \$0       | \$0       | \$0       |
| 101001 | x      | Fresno County       |             | \$1,408,589 | \$32,381  | \$0       | \$432,020 |
| 101005 | x      | Fresno County       |             | \$0         | \$0       | \$15,489  | \$0       |
| 101007 | 116461 | Fresno County       |             | \$265,998   | \$260,188 | \$0       | \$0       |
| 101007 | 116461 | Madera County       |             | \$1,148     | \$0       | \$0       | \$0       |
| 101010 | 305932 | Fresno County       |             | \$0         | \$0       | \$55,785  | \$0       |
| 101015 | 900757 | Fresno County       |             | \$87,176    | \$0       | \$0       | \$0       |
| 101016 | 131379 | Fresno County       |             | \$108,193   | \$108,193 | \$0       | \$0       |
| 101017 | x      | Fresno County       |             | \$0         | \$0       | \$105,705 | \$0       |
| 101026 | 126585 | Fresno County       |             | \$60,795    | \$0       | \$0       | \$0       |

|        |        |                 |             |           |           |           |          |
|--------|--------|-----------------|-------------|-----------|-----------|-----------|----------|
| 101030 | 001043 | Fresno County   |             | \$82,303  | \$82,303  | \$0       | \$0      |
| 101031 | x      | Fresno County   |             | \$21,652  | \$0       | \$0       | \$0      |
| 101032 | x      | Fresno County   |             | \$118,934 | \$0       | \$0       | \$0      |
| 101034 | 130926 | Fresno County   |             | \$66,924  | \$66,924  | \$0       | \$0      |
| 101035 | 000951 | Fresno County   |             | \$18,712  | \$0       | \$0       | \$0      |
| 101042 | 103200 | Fresno County   |             | \$377,140 | \$0       | \$75,217  | \$0      |
| 101050 | x      | Fresno County   |             | \$15,000  | \$0       | \$0       | \$0      |
| 101062 | x      | Fresno County   |             | \$0       | \$0       | \$3,816   | \$0      |
| 101073 | x      | Fresno County   |             | \$0       | \$0       | \$36,640  | \$0      |
| 101078 | 103889 | Fresno County   |             | \$153,100 | \$0       | \$0       | \$0      |
| 101080 | 103883 | Fresno County   |             | \$0       | \$0       | \$28,482  | \$0      |
| 101081 | 103887 | Fresno County   |             | \$0       | \$0       | \$628,741 | \$0      |
| 101085 | 105042 | Fresno County   |             | \$35,000  | \$0       | \$0       | \$0      |
| 101087 | x      | Fresno County   |             | \$0       | \$0       | \$90,292  | \$0      |
| 11     | x      | Glenn County    | \$400,440   | \$0       | \$0       |           |          |
| 111101 | 111660 | Glenn County    |             | \$259,444 | \$92,353  | \$182,264 | \$0      |
| 111102 | 120034 | Glenn County    |             | \$132,163 | \$132,163 | \$0       | \$0      |
| 12     | x      | Humboldt County | \$767,292   | \$0       | \$0       |           |          |
| 121204 | 113062 | Humboldt County |             | \$1,492   | \$0       | \$0       | \$0      |
| 121208 | 116255 | Humboldt County |             | \$50,803  | \$50,803  | \$0       | \$0      |
| 121210 | 107163 | Humboldt County |             | \$626,298 | \$0       | \$212,990 | \$0      |
| 121214 | 103181 | Humboldt County |             | \$43,200  | \$0       | \$0       | \$0      |
| 121215 | 307078 | Humboldt County |             | \$10,556  | \$0       | \$0       | \$0      |
| 121218 | 103182 | Humboldt County |             | \$34,195  | \$0       | \$0       | \$0      |
| 121219 | 132450 | Humboldt County |             | \$1,200   | \$0       | \$0       | \$0      |
| 121221 | 103954 | Humboldt County |             | \$4,448   | \$0       | \$0       | \$0      |
| 121231 | x      | Humboldt County |             | \$45,909  | \$0       | \$0       | \$45,909 |
| 13     | x      | Imperial County | \$960,015   | \$0       | \$0       |           |          |
| 131302 | 914451 | Imperial County |             | \$0       | \$0       | \$24,708  | \$0      |
| 131303 | 916407 | Imperial County |             | \$412,527 | \$0       | \$91,449  | \$27,708 |
| 131307 | 308860 | Imperial County |             | \$0       | \$0       | \$19,447  | \$0      |
| 131314 | 115711 | Imperial County |             | \$12,379  | \$10,000  | \$0       | \$2,379  |
| 131330 | 106801 | Imperial County |             | \$96,251  | \$0       | \$0       | \$0      |
| 131340 | x      | Imperial County |             | \$48,413  | \$48,413  | \$0       | \$0      |
| 131360 | 001101 | Imperial County |             | \$260,982 | \$0       | \$76,362  | \$6,023  |
| 14     | x      | Inyo County     | \$106,731   | \$0       | \$0       |           |          |
| 141401 | x      | Inyo County     |             | \$0       | \$0       | \$50,000  | \$0      |
| 141402 | x      | Inyo County     |             | \$0       | \$0       | \$23,869  | \$0      |
| 141404 | 911333 | Inyo County     |             | \$307,597 | \$0       | \$0       | \$0      |
| 15     | x      | Kern County     | \$3,325,820 | \$0       | \$0       |           |          |
| 150020 | 109144 | Kern County     |             | \$127,748 | \$102,152 | \$0       | \$0      |
| 150022 | 132955 | Kern County     |             | \$138,752 | \$83,367  | \$0       | \$0      |
| 150027 | 114946 | Kern County     |             | \$76,888  | \$0       | \$0       | \$0      |
| 150028 | 114953 | Kern County     |             | \$48,410  | \$0       | \$0       | \$0      |
| 151503 | 907083 | Kern County     |             | \$54,990  | \$0       | \$0       | \$0      |
| 151504 | 114920 | Kern County     |             | \$11,076  | \$11,076  | \$0       | \$0      |
| 151507 | 914345 | Kern County     |             | \$833,169 | \$0       | \$743,832 | \$0      |
| 151510 | 916514 | Kern County     |             | \$68,382  | \$0       | \$0       | \$0      |
| 151512 | 914337 | Kern County     |             | \$39,538  | \$0       | \$0       | \$0      |
| 151514 | 118715 | Kern County     |             | \$83,160  | \$0       | \$0       | \$0      |

|        |        |                    |              |             |           |           |          |
|--------|--------|--------------------|--------------|-------------|-----------|-----------|----------|
| 151515 | 123798 | Kern County        |              | \$171,538   | \$171,538 | \$0       | \$0      |
| 151524 | 121107 | Kern County        |              | \$4,720     | \$0       | \$0       | \$0      |
| 151532 | 126791 | Kern County        |              | \$499,047   | \$0       | \$0       | \$0      |
| 151536 | 000342 | Kern County        |              | \$4,249     | \$4,249   | \$0       | \$0      |
| 151537 | 105191 | Kern County        |              | \$40,139    | \$0       | \$0       | \$0      |
| 151539 | 103213 | Kern County        |              | \$2,169     | \$0       | \$0       | \$0      |
| 151541 | 132963 | Kern County        |              | \$35,735    | \$0       | \$0       | \$0      |
| 151546 | 102407 | Kern County        |              | \$4,893     | \$0       | \$0       | \$0      |
| 151548 | 102991 | Kern County        |              | \$701,796   | \$0       | \$0       | \$0      |
| 151562 | 103516 | Kern County        |              | \$12,247    | \$0       | \$0       | \$0      |
| 151572 | x      | Kern County        |              | \$70,555    | \$0       | \$71,775  | \$70,555 |
| 151575 | x      | Kern County        |              | \$0         | \$0       | \$56,920  | \$0      |
| 16     | x      | Kings County       | \$709,289    | \$0         | \$0       |           |          |
| 161600 | x      | Kings County       |              | \$142,910   | \$0       | \$144,068 | \$0      |
| 161613 | 917579 | Kings County       |              | \$105,360   | \$0       | \$0       | \$0      |
| 161617 | x      | Kings County       |              | \$52,627    | \$37,751  | \$0       | \$14,876 |
| 161620 | x      | Kings County       |              | \$0         | \$0       | \$21,241  | \$0      |
| 161633 | 001624 | Kings County       |              | \$147,014   | \$0       | \$0       | \$0      |
| 161634 | 103197 | Kings County       |              | \$16,777    | \$0       | \$0       | \$0      |
| 161640 | 113898 | Kings County       |              | \$150,000   | \$0       | \$0       | \$0      |
| 161641 | 113960 | Kings County       |              | \$14,621    | \$14,621  | \$0       | \$0      |
| 17     | x      | Lake County        | \$645,702    | \$0         | \$0       |           |          |
| 171706 | x      | Lake County        |              | \$15,019    | \$0       | \$0       | \$15,019 |
| 171712 | 931224 | Lake County        |              | \$211,439   | \$0       | \$0       | \$2,653  |
| 171715 | 117568 | Lake County        |              | \$20,967    | \$20,967  | \$0       | \$0      |
| 171717 | 102203 | Lake County        |              | \$134,813   | \$0       | \$102,406 | \$6,632  |
| 18     | x      | Lassen County      | \$382,042    | \$0         | \$0       |           |          |
| 181801 | 907695 | Lassen County      |              | \$190,459   | \$996     | \$144,008 | \$0      |
| 181802 | 117642 | Lassen County      |              | \$43,979    | \$37,420  | \$0       | \$0      |
| 181804 | 102665 | Lassen County      |              | \$19,060    | \$8,946   | \$0       | \$0      |
| 19     | x      | Los Angeles County | \$38,900,209 | \$0         | \$0       |           |          |
| 190001 | 917645 | Los Angeles County |              | \$220,724   | \$0       | \$0       | \$0      |
| 190002 | 121180 | Los Angeles County |              | \$0         | \$0       | \$253,428 | \$0      |
| 190010 | 751929 | Los Angeles County |              | \$95,746    | \$0       | \$0       | \$0      |
| 190016 | 105456 | Los Angeles County |              | \$1,234,451 | \$0       | \$0       | \$0      |
| 190016 | 105456 | Orange County      |              | \$122,591   | \$0       | \$0       | \$0      |
| 190017 | 306633 | Los Angeles County |              | \$145,000   | \$0       | \$0       | \$0      |
| 190018 | 107908 | Los Angeles County |              | \$131,190   | \$0       | \$0       | \$0      |
| 190019 | 937205 | Los Angeles County |              | \$120,929   | \$0       | \$0       | \$0      |
| 190020 | 931844 | Los Angeles County |              | \$525,041   | \$0       | \$0       | \$0      |
| 190029 | 113187 | Los Angeles County |              | \$0         | \$0       | \$95,915  | \$0      |
| 190030 | 113146 | Los Angeles County |              | \$287,104   | \$0       | \$0       | \$0      |
| 190031 | 108732 | Los Angeles County |              | \$98,469    | \$0       | \$0       | \$0      |
| 190032 | 113153 | Los Angeles County |              | \$201,721   | \$0       | \$0       | \$0      |
| 190033 | 113195 | Los Angeles County |              | \$477,520   | \$0       | \$0       | \$0      |
| 190036 | 751135 | Los Angeles County |              | \$70,427    | \$0       | \$0       | \$0      |
| 190040 | 932503 | Los Angeles County |              | \$289,221   | \$0       | \$0       | \$0      |
| 190042 | 113211 | Los Angeles County |              | \$115,295   | \$0       | \$0       | \$0      |
| 190044 | 121230 | Los Angeles County |              | \$0         | \$0       | \$200,001 | \$0      |
| 190050 | 752661 | Los Angeles County |              | \$157,320   | \$0       | \$0       | \$0      |

|        |        |                    |           |           |           |     |
|--------|--------|--------------------|-----------|-----------|-----------|-----|
| 190052 | 931067 | Los Angeles County | \$0       | \$0       | \$141,637 | \$0 |
| 190055 | 134894 | Los Angeles County | \$370,734 | \$262,161 | \$0       | \$0 |
| 190060 | 753073 | Los Angeles County | \$38,342  | \$0       | \$0       | \$0 |
| 190065 | 752869 | Los Angeles County | \$99,950  | \$0       | \$0       | \$0 |
| 190066 | 110746 | Los Angeles County | \$69,492  | \$0       | \$0       | \$0 |
| 190071 | 752844 | Los Angeles County | \$149,629 | \$0       | \$0       | \$0 |
| 190075 | 101984 | Los Angeles County | \$171,822 | \$0       | \$0       | \$0 |
| 190077 | 118343 | Los Angeles County | \$155,144 | \$0       | \$0       | \$0 |
| 190080 | 752992 | Los Angeles County | \$147,312 | \$0       | \$0       | \$0 |
| 190081 | 112569 | Los Angeles County | \$0       | \$0       | \$180,019 | \$0 |
| 190085 | 114250 | Los Angeles County | \$0       | \$0       | \$131,853 | \$0 |
| 190086 | 107411 | Los Angeles County | \$141,845 | \$0       | \$0       | \$0 |
| 190090 | 931570 | Los Angeles County | \$78,791  | \$0       | \$0       | \$0 |
| 190091 | 919088 | Los Angeles County | \$194,841 | \$0       | \$66,926  | \$0 |
| 190092 | 112353 | Los Angeles County | \$0       | \$0       | \$86,231  | \$0 |
| 190100 | 753180 | Los Angeles County | \$141,047 | \$0       | \$0       | \$0 |
| 190101 | 110639 | Los Angeles County | \$75,096  | \$0       | \$0       | \$0 |
| 190106 | 108633 | Los Angeles County | \$350,006 | \$110,722 | \$0       | \$0 |
| 190107 | 121222 | Los Angeles County | \$170,818 | \$0       | \$0       | \$0 |
| 190108 | 933972 | Los Angeles County | \$354,186 | \$0       | \$0       | \$0 |
| 190109 | 931521 | Los Angeles County | \$91,203  | \$0       | \$0       | \$0 |
| 190111 | 752646 | Los Angeles County | \$116,607 | \$0       | \$0       | \$0 |
| 190115 | 107684 | Los Angeles County | \$380,432 | \$0       | \$0       | \$0 |
| 190117 | 119655 | Los Angeles County | \$0       | \$0       | \$40,342  | \$0 |
| 190120 | 103945 | Los Angeles County | \$509,355 | \$0       | \$0       | \$0 |
| 190126 | 112023 | Los Angeles County | \$94,341  | \$0       | \$0       | \$0 |
| 190130 | 750574 | Los Angeles County | \$0       | \$0       | \$134,404 | \$0 |
| 190131 | 111900 | Los Angeles County | \$0       | \$0       | \$57,829  | \$0 |
| 190132 | 752547 | Los Angeles County | \$0       | \$0       | \$56,560  | \$0 |
| 190145 | 902464 | Los Angeles County | \$0       | \$0       | \$344,511 | \$0 |
| 190150 | 111934 | Los Angeles County | \$0       | \$0       | \$143,196 | \$0 |
| 190160 | 112114 | Los Angeles County | \$0       | \$0       | \$197,017 | \$0 |
| 190165 | 753024 | Los Angeles County | \$0       | \$0       | \$149,025 | \$0 |
| 190166 | 114615 | Los Angeles County | \$0       | \$0       | \$146,779 | \$0 |
| 190170 | 751176 | Los Angeles County | \$0       | \$0       | \$202,337 | \$0 |
| 190175 | 117113 | Los Angeles County | \$0       | \$0       | \$128,263 | \$0 |
| 190180 | 919096 | Los Angeles County | \$0       | \$0       | \$44,472  | \$0 |
| 190185 | 110613 | Los Angeles County | \$0       | \$0       | \$31,176  | \$0 |
| 190190 | 906556 | Los Angeles County | \$0       | \$0       | \$263,140 | \$0 |
| 190195 | 117121 | Los Angeles County | \$0       | \$0       | \$156,817 | \$0 |
| 190201 | 750293 | Los Angeles County | \$0       | \$0       | \$126,024 | \$0 |
| 190203 | 114417 | Los Angeles County | \$0       | \$0       | \$80,229  | \$0 |
| 190204 | 104921 | Los Angeles County | \$0       | \$0       | \$50,478  | \$0 |
| 190210 | 121131 | Los Angeles County | \$0       | \$0       | \$182,923 | \$0 |
| 190220 | 919294 | Los Angeles County | \$0       | \$0       | \$73,186  | \$0 |
| 190225 | 102459 | Los Angeles County | \$0       | \$0       | \$169,561 | \$0 |
| 190226 | 121149 | Los Angeles County | \$0       | \$0       | \$267,161 | \$0 |
| 190227 | 111991 | Los Angeles County | \$0       | \$0       | \$149,259 | \$0 |
| 190230 | 112098 | Los Angeles County | \$0       | \$0       | \$85,231  | \$0 |
| 190250 | 121172 | Los Angeles County | \$0       | \$0       | \$101,747 | \$0 |

|        |        |                    |  |             |           |           |          |
|--------|--------|--------------------|--|-------------|-----------|-----------|----------|
| 190305 | 121164 | Los Angeles County |  | \$0         | \$0       | \$111,859 | \$0      |
| 190320 | x      | Los Angeles County |  | \$0         | \$0       | \$34,787  | \$0      |
| 190325 | 917686 | Los Angeles County |  | \$0         | \$0       | \$101,908 | \$0      |
| 190340 | x      | Los Angeles County |  | \$0         | \$0       | \$405,841 | \$0      |
| 190345 | 121164 | Los Angeles County |  | \$0         | \$0       | \$235,349 | \$0      |
| 190350 | x      | Los Angeles County |  | \$0         | \$0       | \$121,185 | \$0      |
| 191901 | 100135 | Los Angeles County |  | \$84,780    | \$0       | \$105,378 | \$0      |
| 191908 | 117386 | Los Angeles County |  | \$145,796   | \$145,796 | \$0       | \$0      |
| 191909 | 306419 | Los Angeles County |  | \$305,112   | \$0       | \$0       | \$0      |
| 191910 | 300107 | Los Angeles County |  | \$74,849    | \$0       | \$79,761  | \$0      |
| 191912 | 308217 | Los Angeles County |  | \$0         | \$0       | \$120,500 | \$0      |
| 191918 | 902647 | Los Angeles County |  | \$17,149    | \$0       | \$0       | \$0      |
| 191921 | 304802 | Los Angeles County |  | \$237,673   | \$0       | \$218,235 | \$53,191 |
| 191923 | 305569 | Los Angeles County |  | \$64,407    | \$0       | \$0       | \$0      |
| 191924 | 135461 | Los Angeles County |  | \$272,962   | \$0       | \$0       | \$0      |
| 191926 | 303309 | Los Angeles County |  | \$26,618    | \$0       | \$0       | \$0      |
| 191931 | 302715 | Los Angeles County |  | \$18,090    | \$0       | \$273,453 | \$0      |
| 191932 | 916506 | Los Angeles County |  | \$555,813   | \$0       | \$0       | \$0      |
| 191937 | 307961 | Los Angeles County |  | \$113,618   | \$0       | \$0       | \$0      |
| 191940 | 305288 | Los Angeles County |  | \$32,564    | \$0       | \$0       | \$0      |
| 191943 | 306534 | Los Angeles County |  | \$27,303    | \$0       | \$0       | \$0      |
| 191945 | 119143 | Los Angeles County |  | \$2,808,992 | \$0       | \$0       | \$0      |
| 191960 | 114136 | Los Angeles County |  | \$240,840   | \$0       | \$297,384 | \$0      |
| 191961 | 938518 | Los Angeles County |  | \$2,289,921 | \$0       | \$0       | \$0      |
| 191964 | 902431 | Los Angeles County |  | \$84,974    | \$0       | \$0       | \$0      |
| 191970 | 938641 | Los Angeles County |  | \$71,995    | \$0       | \$179,846 | \$0      |
| 191971 | 906549 | Los Angeles County |  | \$13,062    | \$0       | \$87,445  | \$0      |
| 191978 | 118996 | Los Angeles County |  | \$176,745   | \$176,745 | \$0       | \$0      |
| 191979 | x      | Los Angeles County |  | \$0         | \$0       | \$39,298  | \$0      |
| 191986 | 116081 | Los Angeles County |  | \$0         | \$0       | \$68,725  | \$0      |
| 191988 | 101281 | Los Angeles County |  | \$208,870   | \$0       | \$580,636 | \$0      |
| 191989 | 110555 | Los Angeles County |  | \$93,549    | \$0       | \$0       | \$0      |
| 191993 | 111785 | Los Angeles County |  | \$296,674   | \$0       | \$0       | \$60,000 |
| 191994 | 111777 | Los Angeles County |  | \$10,963    | \$0       | \$0       | \$0      |
| 191995 | 111793 | Los Angeles County |  | \$44,977    | \$0       | \$243,793 | \$0      |
| 191997 | 120752 | Los Angeles County |  | \$25,487    | \$25,487  | \$0       | \$0      |
| 196703 | 937825 | Los Angeles County |  | \$19,682    | \$0       | \$0       | \$0      |
| 196705 | x      | Los Angeles County |  | \$0         | \$0       | \$147,886 | \$0      |
| 196711 | 120687 | Los Angeles County |  | \$323,368   | \$323,368 | \$0       | \$0      |
| 196721 | x      | Los Angeles County |  | \$0         | \$0       | \$74,968  | \$0      |
| 196722 | x      | Los Angeles County |  | \$0         | \$0       | \$105,378 | \$0      |
| 196723 | x      | Los Angeles County |  | \$0         | \$0       | \$139,363 | \$0      |
| 196725 | x      | Los Angeles County |  | \$0         | \$0       | \$105,378 | \$0      |
| 196726 | 001203 | Los Angeles County |  | \$0         | \$0       | \$148,520 | \$0      |
| 196727 | x      | Los Angeles County |  | \$0         | \$0       | \$160,265 | \$0      |
| 196728 | x      | Los Angeles County |  | \$0         | \$0       | \$136,518 | \$0      |
| 196729 | x      | Los Angeles County |  | \$0         | \$0       | \$79,669  | \$0      |
| 196732 | x      | Los Angeles County |  | \$0         | \$0       | \$376,802 | \$0      |
| 196753 | x      | Los Angeles County |  | \$0         | \$0       | \$181,778 | \$0      |
| 196761 | x      | Los Angeles County |  | \$0         | \$0       | \$22,874  | \$0      |

|        |        |                    |  |             |           |           |             |
|--------|--------|--------------------|--|-------------|-----------|-----------|-------------|
| 196762 | x      | Los Angeles County |  | \$180,499   | \$0       | \$0       | \$0         |
| 196767 | 102506 | Los Angeles County |  | \$4,256,060 | \$0       | \$0       | \$0         |
| 196771 | 000814 | Los Angeles County |  | \$310,992   | \$0       | \$0       | \$0         |
| 196778 | 113278 | Los Angeles County |  | \$1,667,367 | \$30,507  | \$0       | \$0         |
| 196779 | 911911 | Los Angeles County |  | \$2,404,989 | \$0       | \$0       | \$0         |
| 196780 | 117766 | Los Angeles County |  | \$2,075,543 | \$399,253 | \$88,787  | \$60,000    |
| 196791 | 134407 | Los Angeles County |  | \$365,404   | \$0       | \$0       | \$0         |
| 196793 | 134613 | Los Angeles County |  | \$0         | \$0       | \$167,442 | \$0         |
| 196794 | 001305 | Los Angeles County |  | \$58,039    | \$0       | \$0       | \$0         |
| 196795 | 133037 | Los Angeles County |  | \$397,506   | \$0       | \$0       | \$0         |
| 196853 | 103199 | Los Angeles County |  | \$1,173,685 | \$0       | \$0       | \$0         |
| 196864 | x      | Los Angeles County |  | \$48,702    | \$0       | \$0       | \$0         |
| 196866 | 103064 | Los Angeles County |  | \$0         | \$0       | \$167,442 | \$0         |
| 196898 | 103291 | Los Angeles County |  | \$128,584   | \$0       | \$0       | \$0         |
| 196901 | 906432 | Los Angeles County |  | \$17,149    | \$0       | \$0       | \$0         |
| 196907 | 113864 | Los Angeles County |  | \$471,241   | \$471,241 | \$0       | \$0         |
| 196920 | x      | Los Angeles County |  | \$6,771,474 | \$388,313 | \$37,017  | \$3,250,430 |
| 196921 | 306542 | Los Angeles County |  | \$622,652   | \$0       | \$0       | \$0         |
| 196927 | 308787 | Los Angeles County |  | \$26,383    | \$0       | \$80,925  | \$0         |
| 196936 | 908610 | Los Angeles County |  | \$53,525    | \$0       | \$0       | \$0         |
| 196937 | x      | Los Angeles County |  | \$0         | \$0       | \$219,599 | \$0         |
| 196941 | 908776 | Los Angeles County |  | \$31,980    | \$0       | \$0       | \$0         |
| 196943 | 306393 | Los Angeles County |  | \$483,605   | \$0       | \$0       | \$0         |
| 196947 | 117378 | Los Angeles County |  | \$777,584   | \$777,584 | \$0       | \$0         |
| 196949 | 301113 | Los Angeles County |  | \$661,771   | \$0       | \$420,897 | \$0         |
| 196952 | 300842 | Los Angeles County |  | \$1,011,342 | \$0       | \$0       | \$0         |
| 196957 | 906713 | Los Angeles County |  | \$0         | \$0       | \$74,047  | \$0         |
| 196963 | 303101 | Los Angeles County |  | \$17,149    | \$0       | \$0       | \$0         |
| 196970 | 119119 | Los Angeles County |  | \$410,243   | \$0       | \$0       | \$0         |
| 196974 | 113849 | Los Angeles County |  | \$437,720   | \$437,720 | \$0       | \$0         |
| 196979 | 908628 | Los Angeles County |  | \$165,101   | \$0       | \$0       | \$0         |
| 196980 | 900872 | Los Angeles County |  | \$1,110,941 | \$0       | \$0       | \$0         |
| 196982 | 100192 | Los Angeles County |  | \$734,683   | \$122,624 | \$89,049  | \$0         |
| 196983 | 115018 | Los Angeles County |  | \$20,000    | \$0       | \$0       | \$0         |
| 196987 | 112056 | Los Angeles County |  | \$0         | \$0       | \$220,091 | \$0         |
| 196991 | 110035 | Los Angeles County |  | \$95,751    | \$0       | \$0       | \$0         |
| 196992 | 121677 | Los Angeles County |  | \$0         | \$0       | \$92,034  | \$0         |
| 197003 | 118954 | Los Angeles County |  | \$674,053   | \$0       | \$0       | \$0         |
| 197021 | 000820 | Los Angeles County |  | \$357,658   | \$0       | \$0       | \$0         |
| 197032 | 001323 | Los Angeles County |  | \$32,836    | \$32,836  | \$0       | \$0         |
| 197037 | x      | Los Angeles County |  | \$0         | \$0       | \$113,338 | \$0         |
| 197051 | 001076 | Los Angeles County |  | \$0         | \$0       | \$395,153 | \$0         |
| 197052 | x      | Los Angeles County |  | \$181,599   | \$0       | \$0       | \$60,000    |
| 197053 | 101970 | Los Angeles County |  | \$224,961   | \$0       | \$0       | \$60,000    |
| 197054 | x      | Los Angeles County |  | \$0         | \$0       | \$525,663 | \$0         |
| 197056 | x      | Los Angeles County |  | \$56,622    | \$0       | \$0       | \$56,622    |
| 197066 | x      | Los Angeles County |  | \$0         | \$0       | \$85,086  | \$0         |
| 197157 | 104139 | Los Angeles County |  | \$43,296    | \$0       | \$0       | \$0         |
| 197182 | 104994 | Los Angeles County |  | \$19,525    | \$0       | \$0       | \$0         |
| 197183 | x      | Los Angeles County |  | \$32,564    | \$0       | \$0       | \$0         |

|        |        |                    |             |           |          |           |           |
|--------|--------|--------------------|-------------|-----------|----------|-----------|-----------|
| 197253 | x      | Los Angeles County |             | \$0       | \$0      | \$116,959 | \$0       |
| 199251 | 112221 | Los Angeles County |             | \$0       | \$0      | \$167,689 | \$0       |
| 199256 | 001126 | Los Angeles County |             | \$0       | \$0      | \$101,580 | \$0       |
| 199258 | 001128 | Los Angeles County |             | \$0       | \$0      | \$323,051 | \$0       |
| 199260 | 001133 | Los Angeles County |             | \$0       | \$0      | \$208,837 | \$0       |
| 199262 | 125462 | Los Angeles County |             | \$0       | \$0      | \$89,638  | \$0       |
| 199265 | 001084 | Los Angeles County |             | \$129,526 | \$0      | \$333,466 | \$60,000  |
| 199272 | 135958 | Los Angeles County |             | \$396,711 | \$0      | \$0       | \$0       |
| 199280 | x      | Los Angeles County |             | \$126,793 | \$0      | \$0       | \$60,000  |
| 20     | x      | Madera County      | \$574,874   | \$0       | \$0      |           |           |
| 202000 | x      | Madera County      |             | \$112,015 | \$0      | \$0       | \$15,000  |
| 202002 | 914832 | Madera County      |             | \$179,995 | \$63,058 | \$76,707  | \$0       |
| 202003 | 115562 | Madera County      |             | \$211,517 | \$0      | \$17,627  | \$12,950  |
| 202004 | 114649 | Madera County      |             | \$55,396  | \$0      | \$0       | \$0       |
| 202006 | x      | Madera County      |             | \$0       | \$0      | \$34,000  | \$0       |
| 202013 | 103537 | Madera County      |             | \$27,346  | \$0      | \$0       | \$0       |
| 21     | x      | Marin County       | \$1,898,615 | \$0       | \$0      |           |           |
| 210010 | 936280 | Marin County       |             | \$145,679 | \$64,331 | \$0       | \$0       |
| 210020 | 931307 | Marin County       |             | \$17,244  | \$10,850 | \$2,800   | \$0       |
| 210030 | 115034 | Marin County       |             | \$4,716   | \$0      | \$0       | \$0       |
| 210040 | x      | Marin County       |             | \$0       | \$0      | \$100,938 | \$0       |
| 212101 | x      | Marin County       |             | \$69,990  | \$0      | \$0       | \$0       |
| 212104 | x      | Marin County       |             | \$0       | \$0      | \$81,000  | \$0       |
| 212105 | x      | Marin County       |             | \$0       | \$0      | \$70,658  | \$0       |
| 212112 | 132864 | Marin County       |             | \$302,976 | \$0      | \$0       | \$0       |
| 212116 | 109755 | Marin County       |             | \$113,663 | \$14,592 | \$0       | \$0       |
| 212117 | 131999 | Marin County       |             | \$39,200  | \$0      | \$0       | \$0       |
| 212150 | 118053 | Marin County       |             | \$224,333 | \$0      | \$0       | \$0       |
| 212173 | 305601 | Marin County       |             | \$275,435 | \$0      | \$0       | \$144,391 |
| 212179 | x      | Marin County       |             | \$88,906  | \$0      | \$145,340 | \$0       |
| 212183 | 930689 | Marin County       |             | \$22,267  | \$0      | \$0       | \$0       |
| 212190 | x      | Marin County       |             | \$36,534  | \$0      | \$8,000   | \$0       |
| 22     | x      | Mariposa County    | \$318,689   | \$0       | \$0      |           |           |
| 222201 | x      | Mariposa County    |             | \$21,776  | \$0      | \$15,909  | \$0       |
| 222205 | 914857 | Mariposa County    |             | \$232,385 | \$1,272  | \$91,637  | \$0       |
| 23     | x      | Mendocino County   | \$740,409   | \$0       | \$0      |           |           |
| 230002 | 117659 | Mendocino County   |             | \$55,345  | \$1,683  | \$0       | \$6,964   |
| 230010 | 752299 | Lake County        |             | \$21,060  | \$0      | \$0       | \$0       |
| 230010 | 752299 | Mendocino County   |             | \$16,783  | \$0      | \$0       | \$0       |
| 232305 | 001183 | Mendocino County   |             | \$481,351 | \$14,047 | \$180,022 | \$21,532  |
| 232306 | 117584 | Mendocino County   |             | \$116,258 | \$16,769 | \$0       | \$7,270   |
| 232307 | 930754 | Sonoma County      |             | \$0       | \$0      | \$12,250  | \$0       |
| 24     | x      | Merced County      | \$1,099,894 | \$0       | \$0      |           |           |
| 242401 | 306468 | Merced County      |             | \$571,238 | \$24,047 | \$0       | \$33,338  |
| 242402 | 113294 | Merced County      |             | \$9,304   | \$0      | \$0       | \$0       |
| 242403 | 113302 | Merced County      |             | \$77,666  | \$77,666 | \$0       | \$0       |
| 242404 | 116099 | Merced County      |             | \$331,245 | \$0      | \$266,709 | \$0       |
| 242405 | 130809 | Merced County      |             | \$14,678  | \$0      | \$0       | \$0       |
| 242408 | 131817 | Merced County      |             | \$23,211  | \$0      | \$0       | \$0       |
| 25     | x      | Modoc County       | \$140,358   | \$0       | \$0      |           |           |

|        |        |                 |             |             |           |             |           |
|--------|--------|-----------------|-------------|-------------|-----------|-------------|-----------|
| 252501 | 105068 | Modoc County    |             | \$265,719   | \$0       | \$88,931    | \$0       |
| 252507 | 111058 | Modoc County    |             | \$0         | \$0       | \$23,750    | \$0       |
| 26     | x      | Mono County     | \$303,973   | \$0         | \$0       |             |           |
| 262601 | 916746 | Mono County     |             | \$223,956   | \$0       | \$167,619   | \$0       |
| 27     | X      | Monterey County | \$1,463,432 | \$0         | \$0       |             |           |
| 270001 | 932875 | Monterey County |             | \$294,752   | \$0       | \$0         | \$0       |
| 270010 | 935134 | Monterey County |             | \$455,584   | \$0       | \$0         | \$0       |
| 272702 | 103340 | Monterey County |             | \$95,570    | \$0       | \$0         | \$0       |
| 272703 | x      | Monterey County |             | \$379,338   | \$0       | \$4,606     | \$93,285  |
| 272714 | 119002 | Monterey County |             | \$33,987    | \$0       | \$0         | \$0       |
| 272721 | 305239 | Monterey County |             | \$521,146   | \$95,402  | \$0         | \$0       |
| 272725 | 931398 | Monterey County |             | \$0         | \$0       | \$370,289   | \$0       |
| 272742 | x      | Monterey County |             | \$0         | \$0       | \$125,004   | \$0       |
| 272746 | 115059 | Monterey County |             | \$80,758    | \$0       | \$0         | \$0       |
| 272799 | 938575 | Monterey County |             | \$15,295    | \$0       | \$0         | \$0       |
| 28     | x      | Napa County     | \$670,645   | \$0         | \$0       |             |           |
| 282801 | 104273 | Napa County     |             | \$15,467    | \$0       | \$0         | \$0       |
| 282806 | 105014 | Napa County     |             | \$44,260    | \$0       | \$0         | \$0       |
| 282822 | x      | Napa County     |             | \$0         | \$0       | \$23,857    | \$0       |
| 282840 | 101331 | Napa County     |             | \$679,366   | \$171,792 | \$170,438   | \$24,457  |
| 29     | x      | Nevada County   | \$535,229   | \$0         | \$0       |             |           |
| 290001 | 931273 | Nevada County   |             | \$10,545    | \$0       | \$23,074    | \$0       |
| 290002 | 930374 | Nevada County   |             | \$0         | \$0       | \$13,000    | \$0       |
| 292901 | 000778 | Placer County   |             | \$71,398    | \$0       | \$0         | \$0       |
| 292908 | 907919 | Nevada County   |             | \$365,992   | \$29,161  | \$170,732   | \$26,812  |
| 292918 | 107635 | Nevada County   |             | \$59,488    | \$0       | \$0         | \$0       |
| 292918 | 107635 | Sierra County   |             | \$11,940    | \$0       | \$0         | \$0       |
| 292918 | 107635 | Sutter/Yuba     |             | \$19,244    | \$2,628   | \$0         | \$0       |
| 30     | x      | Orange County   | \$9,528,810 | \$0         | \$0       |             |           |
| 300021 | 932487 | Orange County   |             | \$826,480   | \$0       | \$0         | \$0       |
| 300030 | 919260 | Orange County   |             | \$204,428   | \$0       | \$56,009    | \$0       |
| 300042 | 752364 | Orange County   |             | \$0         | \$0       | \$1,471     | \$0       |
| 300043 | 934665 | Orange County   |             | \$212,229   | \$0       | \$0         | \$0       |
| 300044 | 115497 | Orange County   |             | \$96,680    | \$96,680  | \$0         | \$0       |
| 300050 | 113369 | Orange County   |             | \$1,308,526 | \$0       | \$0         | \$0       |
| 300060 | 103234 | Orange County   |             | \$258,266   | \$0       | \$0         | \$0       |
| 300070 | 115083 | Orange County   |             | \$472,443   | \$0       | \$0         | \$0       |
| 300080 | 116107 | Orange County   |             | \$539,466   | \$0       | \$0         | \$0       |
| 303003 | 102429 | Orange County   |             | \$921,220   | \$0       | \$0         | \$0       |
| 303004 | x      | Orange County   |             | \$0         | \$0       | \$1,247,613 | \$0       |
| 303006 | x      | Orange County   |             | \$431,307   | \$0       | \$616,770   | \$431,307 |
| 303020 | x      | Orange County   |             | \$0         | \$0       | \$288,996   | \$0       |
| 303024 | 119341 | Orange County   |             | \$452,512   | \$0       | \$169,472   | \$0       |
| 303027 | x      | Orange County   |             | \$0         | \$0       | \$377,932   | \$0       |
| 303028 | 000701 | Orange County   |             | \$175,311   | \$175,311 | \$0         | \$0       |
| 303032 | 126981 | Orange County   |             | \$403,285   | \$0       | \$0         | \$0       |
| 303040 | 936397 | Orange County   |             | \$655,708   | \$249,639 | \$33,122    | \$0       |
| 303042 | 752364 | Orange County   |             | \$666,532   | \$150,900 | \$0         | \$0       |
| 303096 | x      | Ventura County  |             | \$0         | \$0       | \$107,983   | \$0       |
| 308001 | x      | Orange County   |             | \$0         | \$0       | \$64,634    | \$0       |

|        |        |                  |             |             |             |           |           |
|--------|--------|------------------|-------------|-------------|-------------|-----------|-----------|
| 308002 | x      | Orange County    |             | \$0         | \$0         | \$285,273 | \$0       |
| 308003 | x      | Orange County    |             | \$0         | \$0         | \$250,620 | \$0       |
| 308004 | x      | Orange County    |             | \$0         | \$0         | \$60,555  | \$0       |
| 308007 | 909477 | Orange County    |             | \$749,780   | \$148,009   | \$222     | \$0       |
| 308013 | 934483 | Orange County    |             | \$1,600,729 | \$0         | \$0       | \$0       |
| 308015 | 118442 | Orange County    |             | \$145,401   | \$0         | \$0       | \$145,401 |
| 308016 | 909451 | Orange County    |             | \$1,579,578 | \$0         | \$0       | \$0       |
| 308024 | 305767 | Orange County    |             | \$240,678   | \$0         | \$5,979   | \$0       |
| 308031 | 937072 | Orange County    |             | \$868,152   | \$0         | \$0       | \$0       |
| 308033 | 916720 | Orange County    |             | \$0         | \$0         | \$490,711 | \$0       |
| 308090 | 107197 | Orange County    |             | \$453,832   | \$0         | \$33,315  | \$0       |
| 308096 | 115653 | Orange County    |             | \$1,024,732 | \$1,024,732 | \$0       | \$0       |
| 308097 | 113658 | Orange County    |             | \$175,014   | \$0         | \$0       | \$0       |
| 31     | x      | Placer County    | \$1,148,117 | \$0         | \$0         |           |           |
| 310010 | 751325 | Nevada County    |             | \$6,700     | \$0         | \$0       | \$0       |
| 310010 | 751325 | Placer County    |             | \$212,960   | \$0         | \$0       | \$0       |
| 310012 | 104590 | Placer County    |             | \$11,001    | \$0         | \$0       | \$0       |
| 310013 | 931158 | Placer County    |             | \$20,629    | \$0         | \$0       | \$0       |
| 310014 | 107692 | Placer County    |             | \$1,560     | \$0         | \$0       | \$0       |
| 310015 | x      | Sierra County    |             | \$32,680    | \$0         | \$0       | \$0       |
| 313109 | 918106 | Placer County    |             | \$10,942    | \$0         | \$0       | \$0       |
| 313110 | 907810 | Placer County    |             | \$1,920     | \$0         | \$0       | \$0       |
| 313113 | 911663 | Placer County    |             | \$34,168    | \$10,237    | \$0       | \$0       |
| 313114 | 115091 | Modoc County     |             | \$9,250     | \$0         | \$0       | \$0       |
| 313114 | 115091 | Placer County    |             | \$109,859   | \$0         | \$0       | \$0       |
| 313119 | 130595 | Placer County    |             | \$118,235   | \$0         | \$0       | \$0       |
| 313121 | 918759 | Placer County    |             | \$479,220   | \$113,025   | \$53,118  | \$0       |
| 313128 | x      | Placer County    |             | \$50,092    | \$0         | \$240,704 | \$50,092  |
| 32     | x      | Plumas County    | \$365,638   | \$0         | \$0         |           |           |
| 323205 | 911200 | Plumas County    |             | \$308,230   | \$17,622    | \$80,933  | \$0       |
| 33     | X      | Riverside County | \$5,260,928 | \$0         | \$0         |           |           |
| 330001 | 110837 | Riverside County |             | \$5,636     | \$0         | \$0       | \$0       |
| 330004 | 110399 | Riverside County |             | \$238,157   | \$50,302    | \$59,894  | \$0       |
| 330005 | 115117 | Riverside County |             | \$99,384    | \$0         | \$0       | \$0       |
| 330010 | 750525 | Riverside County |             | \$170,320   | \$34,730    | \$23,817  | \$0       |
| 330011 | 126999 | Riverside County |             | \$18,447    | \$0         | \$0       | \$0       |
| 330020 | 104152 | Riverside County |             | \$80,016    | \$42,495    | \$251,596 | \$0       |
| 330021 | 934400 | Riverside County |             | \$562,793   | \$0         | \$0       | \$0       |
| 330040 | 107072 | Riverside County |             | \$777,607   | \$0         | \$925,447 | \$0       |
| 331612 | 122105 | Riverside County |             | \$207,626   | \$80,229    | \$24,798  | \$0       |
| 333311 | x      | Riverside County |             | \$289,539   | \$0         | \$0       | \$289,539 |
| 333312 | 122600 | Riverside County |             | \$2,018     | \$0         | \$87,008  | \$0       |
| 333316 | 914667 | Riverside County |             | \$2,057,017 | \$548,457   | \$290,320 | \$0       |
| 333317 | 119010 | Riverside County |             | \$348,069   | \$348,069   | \$0       | \$0       |
| 333320 | 000895 | Riverside County |             | \$53,744    | \$48,122    | \$5,339   | \$0       |
| 333321 | 916290 | Riverside County |             | \$226,649   | \$0         | \$0       | \$0       |
| 333323 | x      | Riverside County |             | \$64,565    | \$0         | \$0       | \$64,565  |
| 333324 | 930119 | Riverside County |             | \$620       | \$0         | \$533     | \$0       |
| 333326 | 131064 | Riverside County |             | \$336,406   | \$0         | \$0       | \$0       |
| 333327 | 131197 | Riverside County |             | \$118,141   | \$0         | \$0       | \$0       |

|        |        |                   |             |             |           |           |           |
|--------|--------|-------------------|-------------|-------------|-----------|-----------|-----------|
| 333330 | 109094 | Riverside County  |             | \$38,557    | \$0       | \$0       | \$0       |
| 333331 | x      | Riverside County  |             | \$95,032    | \$0       | \$0       | \$95,032  |
| 333332 | 115943 | Riverside County  |             | \$37,078    | \$0       | \$0       | \$0       |
| 333334 | 750533 | Riverside County  |             | \$116,493   | \$56,972  | \$0       | \$0       |
| 333336 | 132658 | Riverside County  |             | \$13,072    | \$0       | \$0       | \$0       |
| 333338 | 102698 | Riverside County  |             | \$64,055    | \$0       | \$0       | \$0       |
| 333341 | 116438 | Riverside County  |             | \$63,819    | \$0       | \$0       | \$0       |
| 333353 | x      | Riverside County  |             | \$0         | \$0       | \$77,836  | \$0       |
| 333354 | 111702 | Riverside County  |             | \$33,867    | \$0       | \$0       | \$33,867  |
| 333356 | 102196 | Riverside County  |             | \$275       | \$0       | \$0       | \$0       |
| 333358 | x      | Riverside County  |             | \$0         | \$0       | \$21,409  | \$0       |
| 333359 | 131447 | Riverside County  |             | \$29        | \$0       | \$0       | \$0       |
| 333361 | 119713 | Riverside County  |             | \$0         | \$0       | \$8,065   | \$0       |
| 333362 | 101133 | Riverside County  |             | \$275,804   | \$0       | \$0       | \$4,150   |
| 333363 | 308621 | Riverside County  |             | \$1,242,412 | \$314,654 | \$143,679 | \$17,698  |
| 333365 | 307649 | Riverside County  |             | \$8,432     | \$0       | \$0       | \$0       |
| 333393 | x      | Riverside County  |             | \$0         | \$0       | \$206,695 | \$0       |
| 34     | x      | Sacramento County | \$4,895,588 | \$0         | \$0       |           |           |
| 340001 | 931760 | Sacramento County |             | \$162,060   | \$0       | \$0       | \$0       |
| 340020 | 751374 | Sacramento County |             | \$70,080    | \$0       | \$0       | \$0       |
| 340030 | 109482 | Sacramento County |             | \$65,070    | \$0       | \$0       | \$0       |
| 340031 | 932834 | Sacramento County |             | \$72,696    | \$0       | \$0       | \$0       |
| 340050 | 114243 | Sacramento County |             | \$61,320    | \$0       | \$0       | \$0       |
| 340070 | 935092 | Sacramento County |             | \$66,789    | \$0       | \$0       | \$0       |
| 340080 | 751416 | Sacramento County |             | \$79,065    | \$0       | \$0       | \$0       |
| 340090 | 101760 | Sacramento County |             | \$119,645   | \$0       | \$0       | \$0       |
| 340110 | x      | Sacramento County |             | \$0         | \$0       | \$38,905  | \$0       |
| 340130 | x      | Sacramento County |             | \$56,160    | \$0       | \$0       | \$0       |
| 343402 | 131874 | Sacramento County |             | \$218,267   | \$89,060  | \$0       | \$0       |
| 343403 | x      | Sacramento County |             | \$0         | \$0       | \$86,485  | \$0       |
| 343405 | 307599 | Sacramento County |             | \$391,300   | \$236,730 | \$74,285  | \$50,830  |
| 343408 | 919575 | Sacramento County |             | \$15,808    | \$0       | \$79,290  | \$0       |
| 343409 | 126965 | Sacramento County |             | \$103,094   | \$0       | \$0       | \$103,094 |
| 343410 | 300727 | Sacramento County |             | \$172,226   | \$0       | \$40,117  | \$45,810  |
| 343411 | 300719 | Calaveras County  |             | \$13,654    | \$0       | \$0       | \$0       |
| 343411 | 300719 | Sacramento County |             | \$202,940   | \$0       | \$0       | \$0       |
| 343412 | 305825 | Placer County     |             | \$19,175    | \$0       | \$0       | \$0       |
| 343412 | 305825 | Sacramento County |             | \$182,196   | \$0       | \$0       | \$0       |
| 343414 | x      | Sacramento County |             | \$812,619   | \$0       | \$67,061  | \$218,978 |
| 343418 | 123517 | Sacramento County |             | \$364,229   | \$364,229 | \$0       | \$0       |
| 343419 | x      | Sacramento County |             | \$75,396    | \$0       | \$0       | \$75,396  |
| 343422 | 000062 | Sacramento County |             | \$79,816    | \$0       | \$0       | \$0       |
| 343425 | 113732 | Sacramento County |             | \$296,211   | \$296,211 | \$0       | \$0       |
| 343427 | 130629 | Alpine County     |             | \$3,250     | \$0       | \$0       | \$0       |
| 343432 | 130959 | Sacramento County |             | \$27,836    | \$0       | \$0       | \$0       |
| 343433 | x      | Sacramento County |             | \$0         | \$0       | \$48,112  | \$0       |
| 343434 | 131908 | Sacramento County |             | \$52,110    | \$0       | \$0       | \$0       |
| 343437 | 000322 | Sacramento County |             | \$45,260    | \$0       | \$0       | \$0       |
| 343437 | 000322 | Sierra County     |             | \$888       | \$0       | \$0       | \$0       |
| 343437 | 000322 | Sutter/Yuba       |             | \$5,940     | \$0       | \$0       | \$0       |

|        |        |                       |             |             |           |           |           |
|--------|--------|-----------------------|-------------|-------------|-----------|-----------|-----------|
| 343452 | x      | Sacramento County     |             | \$0         | \$0       | \$300,017 | \$0       |
| 343460 | 113799 | Sacramento County     |             | \$289,178   | \$143,160 | \$0       | \$0       |
| 343461 | 117733 | Sacramento County     |             | \$1,180,023 | \$269,741 | \$0       | \$0       |
| 343462 | 000732 | Sacramento County     |             | \$63,765    | \$0       | \$0       | \$0       |
| 343482 | x      | Sacramento County     |             | \$0         | \$0       | \$49,344  | \$0       |
| 343484 | 113732 | Sacramento County     |             | \$0         | \$0       | \$78,120  | \$0       |
| 343487 | x      | Sacramento County     |             | \$0         | \$0       | \$172,831 | \$0       |
| 343489 | 001146 | Sacramento County     |             | \$45,650    | \$36,500  | \$0       | \$0       |
| 343498 | x      | Sacramento County     |             | \$0         | \$0       | \$81,550  | \$0       |
| 343499 | 107262 | Sacramento County     |             | \$0         | \$0       | \$300,973 | \$0       |
| 35     | x      | San Benito County     | \$388,860   | \$0         | \$0       |           |           |
| 353500 | 930994 | San Benito County     |             | \$354,550   | \$12,311  | \$108,535 | \$13,984  |
| 36     | x      | San Bernardino County | \$7,050,542 | \$0         | \$0       | \$0       | \$0       |
| 360010 | 104210 | San Bernardino County |             | \$131,277   | \$5,312   | \$0       | \$0       |
| 360011 | 115133 | San Bernardino County |             | \$172,230   | \$97,359  | \$0       | \$0       |
| 360020 | 107536 | San Bernardino County |             | \$104,855   | \$0       | \$0       | \$0       |
| 360021 | 107619 | San Bernardino County |             | \$85,075    | \$79,514  | \$0       | \$0       |
| 360022 | 115141 | San Bernardino County |             | \$34,505    | \$0       | \$0       | \$0       |
| 360024 | 118608 | San Bernardino County |             | \$62,779    | \$0       | \$0       | \$0       |
| 360025 | 117535 | San Bernardino County |             | \$144,756   | \$0       | \$0       | \$0       |
| 360030 | 115158 | San Bernardino County |             | \$0         | \$0       | \$17,692  | \$0       |
| 360040 | 938237 | San Bernardino County |             | \$238,377   | \$43,237  | \$0       | \$0       |
| 360050 | 108658 | San Bernardino County |             | \$185,040   | \$0       | \$0       | \$0       |
| 360051 | 115166 | San Bernardino County |             | \$276,189   | \$0       | \$0       | \$0       |
| 363600 | 106660 | San Bernardino County |             | \$2,361,107 | \$175,596 | \$0       | \$163,911 |
| 363601 | 106660 | San Bernardino County |             | \$73,751    | \$67,137  | \$0       | \$0       |
| 363604 | 919658 | San Bernardino County |             | \$291,531   | \$0       | \$276,630 | \$256,643 |
| 363608 | 102422 | San Bernardino County |             | \$117,646   | \$0       | \$0       | \$0       |
| 363609 | 109607 | San Bernardino County |             | \$0         | \$0       | \$200,000 | \$0       |
| 363615 | 938534 | San Bernardino County |             | \$241,101   | \$0       | \$0       | \$0       |
| 363616 | 106652 | San Bernardino County |             | \$9,698     | \$0       | \$0       | \$0       |
| 363617 | 916464 | San Bernardino County |             | \$196,317   | \$0       | \$0       | \$0       |
| 363619 | 113872 | San Bernardino County |             | \$155,032   | \$0       | \$147,400 | \$0       |
| 363623 | 932743 | San Bernardino County |             | \$767,834   | \$0       | \$55,115  | \$0       |

|        |        |                       |  |           |           |           |     |
|--------|--------|-----------------------|--|-----------|-----------|-----------|-----|
| 363627 | 114508 | San Bernardino County |  | \$231,508 | \$0       | \$79,977  | \$0 |
| 363628 | 116115 | San Bernardino County |  | \$91,844  | \$0       | \$0       | \$0 |
| 363629 | 118491 | San Bernardino County |  | \$107,463 | \$0       | \$0       | \$0 |
| 363630 | 120117 | San Bernardino County |  | \$213,533 | \$0       | \$0       | \$0 |
| 363640 | 918122 | Riverside County      |  | \$205,438 | \$0       | \$0       | \$0 |
| 363640 | 918122 | San Bernardino County |  | \$363,307 | \$0       | \$0       | \$0 |
| 363646 | 305387 | San Bernardino County |  | \$166,161 | \$0       | \$0       | \$0 |
| 363658 | 001147 | San Bernardino County |  | \$0       | \$0       | \$233,288 | \$0 |
| 363660 | 130967 | San Bernardino County |  | \$70,496  | \$0       | \$0       | \$0 |
| 363661 | 001039 | San Bernardino County |  | \$113,217 | \$0       | \$0       | \$0 |
| 363662 | 930457 | San Bernardino County |  | \$102,820 | \$0       | \$186,447 | \$0 |
| 363666 | 306369 | San Bernardino County |  | \$238,878 | \$0       | \$66,666  | \$0 |
| 363668 | 103319 | San Bernardino County |  | \$24,307  | \$0       | \$0       | \$0 |
| 363669 | 123483 | San Bernardino County |  | \$17,342  | \$0       | \$0       | \$0 |
| 363676 | 103277 | San Bernardino County |  | \$201,468 | \$201,468 | \$0       | \$0 |
| 363678 | 103174 | San Bernardino County |  | \$194,591 | \$0       | \$70,710  | \$0 |
| 363679 | 001080 | San Bernardino County |  | \$4,720   | \$0       | \$0       | \$0 |
| 363681 | 103491 | San Bernardino County |  | \$53,712  | \$0       | \$0       | \$0 |
| 363683 | 103518 | San Bernardino County |  | \$12,496  | \$0       | \$0       | \$0 |
| 363686 | x      | San Bernardino County |  | \$0       | \$0       | \$66,468  | \$0 |
| 363687 | x      | San Bernardino County |  | \$0       | \$0       | \$132,000 | \$0 |
| 363688 | x      | San Bernardino County |  | \$0       | \$0       | \$64,625  | \$0 |
| 363689 | 103950 | San Bernardino County |  | \$0       | \$0       | \$110,999 | \$0 |
| 363691 | 103959 | San Bernardino County |  | \$15,246  | \$0       | \$81,295  | \$0 |
| 363693 | x      | San Bernardino County |  | \$150,000 | \$0       | \$366,662 | \$0 |
| 363694 | 103850 | San Bernardino County |  | \$7,423   | \$0       | \$0       | \$0 |
| 363697 | 105020 | San Bernardino County |  | \$2,107   | \$0       | \$0       | \$0 |
| 363699 | 116263 | San Bernardino County |  | \$219,521 | \$1,550   | \$0       | \$0 |
| 368601 | 103918 | San Bernardino County |  | \$32,051  | \$0       | \$0       | \$0 |
| 368602 | 105021 | San Bernardino        |  | \$25,509  | \$0       | \$131,000 | \$0 |

|        |        | County                |              |           |           |           |           |
|--------|--------|-----------------------|--------------|-----------|-----------|-----------|-----------|
| 368606 | x      | San Bernardino County |              | \$212,660 | \$0       | \$0       | \$0       |
| 37     | x      | San Diego County      | \$10,506,806 | \$0       | \$0       |           |           |
| 370001 | 751499 | San Diego County      |              | \$200,392 | \$0       | \$0       | \$0       |
| 370020 | 102490 | San Diego County      |              | \$613,200 | \$0       | \$0       | \$0       |
| 370030 | 931356 | San Diego County      |              | \$289,458 | \$0       | \$0       | \$0       |
| 370040 | 933261 | San Diego County      |              | \$122,285 | \$0       | \$0       | \$0       |
| 370050 | 107312 | San Diego County      |              | \$222,445 | \$0       | \$0       | \$0       |
| 370051 | 115190 | San Diego County      |              | \$82,747  | \$0       | \$0       | \$0       |
| 370061 | 118160 | San Diego County      |              | \$27,021  | \$0       | \$0       | \$0       |
| 370070 | 751556 | San Diego County      |              | \$188,541 | \$0       | \$0       | \$0       |
| 370080 | 933253 | San Diego County      |              | \$496,323 | \$0       | \$0       | \$156,377 |
| 370081 | 115208 | San Diego County      |              | \$350,751 | \$0       | \$0       | \$206,880 |
| 370090 | 930135 | San Diego County      |              | \$368,712 | \$0       | \$0       | \$0       |
| 370100 | 107338 | San Diego County      |              | \$730,704 | \$0       | \$0       | \$0       |
| 370110 | 751564 | San Diego County      |              | \$289,350 | \$0       | \$0       | \$0       |
| 370120 | 107320 | San Diego County      |              | \$409,545 | \$0       | \$0       | \$0       |
| 370130 | 104731 | San Diego County      |              | \$104,403 | \$0       | \$0       | \$0       |
| 370131 | 104723 | San Diego County      |              | \$821,948 | \$0       | \$0       | \$0       |
| 370142 | x      | San Diego County      |              | \$18,005  | \$0       | \$0       | \$0       |
| 370150 | 108104 | San Diego County      |              | \$70,000  | \$0       | \$0       | \$0       |
| 370190 | 117170 | San Diego County      |              | \$640     | \$0       | \$0       | \$0       |
| 370191 | 118558 | San Diego County      |              | \$104,171 | \$0       | \$324,632 | \$0       |
| 370210 | 930259 | San Diego County      |              | \$171,727 | \$0       | \$215,922 | \$0       |
| 370220 | 117238 | San Diego County      |              | \$26,486  | \$0       | \$0       | \$0       |
| 370300 | x      | San Diego County      |              | \$0       | \$0       | \$868,186 | \$0       |
| 370360 | x      | San Diego County      |              | \$0       | \$0       | \$114,998 | \$0       |
| 370371 | x      | San Diego County      |              | \$765,201 | \$765,201 | \$0       | \$0       |
| 370380 | x      | San Diego County      |              | \$0       | \$0       | \$187,115 | \$0       |
| 370430 | x      | San Diego County      |              | \$289,895 | \$0       | \$0       | \$0       |
| 373704 | 119283 | San Diego County      |              | \$176,284 | \$0       | \$0       | \$0       |
| 373706 | 131452 | San Diego County      |              | \$201,819 | \$0       | \$0       | \$0       |
| 373711 | 102669 | San Diego County      |              | \$358,357 | \$0       | \$0       | \$0       |
| 373727 | 112684 | San Diego County      |              | \$146,406 | \$146,406 | \$0       | \$0       |
| 373728 | 113013 | San Diego County      |              | \$153,957 | \$0       | \$0       | \$0       |
| 373729 | 117220 | San Diego County      |              | \$606,363 | \$0       | \$0       | \$0       |
| 373736 | 120828 | San Diego County      |              | \$1,732   | \$0       | \$0       | \$0       |
| 373759 | 132070 | San Diego County      |              | \$171,803 | \$0       | \$0       | \$0       |
| 373769 | 001388 | San Diego County      |              | \$18,604  | \$0       | \$0       | \$0       |
| 373771 | 111967 | San Diego County      |              | \$5,269   | \$5,269   | \$0       | \$0       |
| 373773 | 119275 | San Diego County      |              | \$171,816 | \$163,149 | \$0       | \$0       |
| 373775 | x      | San Diego County      |              | \$0       | \$0       | \$82,658  | \$0       |
| 373779 | 113633 | San Diego County      |              | \$45,389  | \$0       | \$0       | \$0       |
| 373780 | 935852 | San Diego County      |              | \$62,370  | \$42,585  | \$0       | \$0       |
| 373781 | 113641 | San Diego County      |              | \$48,553  | \$0       | \$0       | \$0       |
| 373782 | 917249 | San Diego County      |              | \$103,503 | \$0       | \$0       | \$0       |
| 373789 | 120497 | San Diego County      |              | \$3,095   | \$0       | \$0       | \$0       |
| 373790 | 127104 | San Diego County      |              | \$193,120 | \$0       | \$0       | \$0       |
| 373791 | 000907 | San Diego County      |              | \$69,457  | \$0       | \$0       | \$0       |

|        |        |                      |             |             |           |           |           |
|--------|--------|----------------------|-------------|-------------|-----------|-----------|-----------|
| 373795 | x      | San Diego County     |             | \$15,522    | \$0       | \$0       | \$0       |
| 373798 | x      | San Diego County     |             | \$0         | \$0       | \$379,455 | \$0       |
| 373799 | x      | San Diego County     |             | \$391,058   | \$0       | \$692,819 | \$0       |
| 378501 | x      | Los Angeles County   |             | \$1,059,973 | \$0       | \$0       | \$0       |
| 378503 | x      | San Diego County     |             | \$26,766    | \$0       | \$0       | \$0       |
| 378507 | x      | San Diego County     |             | \$0         | \$0       | \$403,955 | \$0       |
| 378508 | 131478 | San Diego County     |             | \$205,900   | \$0       | \$0       | \$0       |
| 378515 | 103886 | San Diego County     |             | \$44,561    | \$0       | \$0       | \$0       |
| 378516 | x      | San Diego County     |             | \$509,369   | \$0       | \$0       | \$509,369 |
| 378521 | 103856 | San Diego County     |             | \$57,091    | \$0       | \$0       | \$0       |
| 378524 | 103933 | San Diego County     |             | \$39,000    | \$0       | \$0       | \$0       |
| 378525 | 105026 | San Diego County     |             | \$106,217   | \$0       | \$0       | \$0       |
| 378529 | 120471 | San Diego County     |             | \$5,387     | \$0       | \$0       | \$0       |
| 378538 | 103947 | San Diego County     |             | \$103,259   | \$0       | \$0       | \$0       |
| 378542 | 103946 | San Diego County     |             | \$78,697    | \$0       | \$0       | \$0       |
| 378543 | x      | San Diego County     |             | \$0         | \$0       | \$12,000  | \$0       |
| 378751 | 920078 | San Diego County     |             | \$0         | \$0       | \$495,500 | \$0       |
| 378754 | 306286 | San Diego County     |             | \$5,750     | \$0       | \$0       | \$0       |
| 378755 | 103261 | San Diego County     |             | \$12,140    | \$0       | \$0       | \$0       |
| 378756 | 306690 | San Diego County     |             | \$328,506   | \$0       | \$0       | \$0       |
| 378760 | 308878 | San Diego County     |             | \$1,185,145 | \$0       | \$0       | \$0       |
| 378764 | 116131 | San Diego County     |             | \$594,515   | \$0       | \$0       | \$0       |
| 378766 | 111728 | San Diego County     |             | \$14,254    | \$0       | \$0       | \$0       |
| 378768 | 114441 | San Diego County     |             | \$33,979    | \$0       | \$0       | \$0       |
| 378770 | 106595 | San Diego County     |             | \$109,679   | \$0       | \$0       | \$0       |
| 378771 | 110043 | San Diego County     |             | \$153,881   | \$0       | \$0       | \$0       |
| 378774 | 917983 | San Diego County     |             | \$106,219   | \$0       | \$0       | \$0       |
| 378775 | 917991 | San Diego County     |             | \$585,025   | \$585,025 | \$0       | \$0       |
| 378785 | 102607 | San Diego County     |             | \$11,738    | \$0       | \$0       | \$0       |
| 378786 | 102663 | San Diego County     |             | \$12,711    | \$0       | \$0       | \$0       |
| 38     | x      | San Francisco County | \$1,572,212 | \$0         | \$0       |           |           |
| 380010 | 107841 | San Francisco County |             | \$32,054    | \$0       | \$0       | \$0       |
| 380030 | 108112 | San Francisco County |             | \$22,909    | \$0       | \$0       | \$0       |
| 380031 | 115265 | San Francisco County |             | \$231,146   | \$0       | \$0       | \$0       |
| 380032 | 115273 | San Francisco County |             | \$80,000    | \$0       | \$0       | \$0       |
| 380033 | 115596 | San Francisco County |             | \$168,940   | \$0       | \$0       | \$0       |
| 380070 | 932453 | San Francisco County |             | \$302,416   | \$0       | \$0       | \$0       |
| 380081 | 751739 | San Francisco County |             | \$439,756   | \$0       | \$0       | \$0       |
| 380120 | 116149 | San Francisco County |             | \$164,076   | \$1,687   | \$0       | \$0       |
| 380145 | 116875 | San Francisco County |             | \$159,849   | \$159,849 | \$0       | \$0       |
| 383800 | x      | San Francisco County |             | \$55,000    | \$0       | \$0       | \$55,000  |
| 383805 | 109748 | San Francisco        |             | \$104,374   | \$0       | \$0       | \$104,374 |

|        |        | County               |             |           |          |           |           |
|--------|--------|----------------------|-------------|-----------|----------|-----------|-----------|
| 383806 | 100523 | San Francisco County |             | \$101,630 | \$0      | \$0       | \$0       |
| 383809 | 001188 | San Francisco County |             | \$0       | \$0      | \$247,462 | \$0       |
| 383813 | 500292 | San Francisco County |             | \$814,260 | \$0      | \$0       | \$814,260 |
| 383815 | 100614 | San Francisco County |             | \$156,591 | \$0      | \$0       | \$156,591 |
| 383816 | 101174 | San Francisco County |             | \$673,815 | \$0      | \$0       | \$114,464 |
| 383817 | 307987 | San Francisco County |             | \$169,926 | \$0      | \$81,606  | \$0       |
| 383819 | 917439 | San Francisco County |             | \$62,000  | \$62,000 | \$0       | \$0       |
| 383820 | 100481 | San Francisco County |             | \$622,726 | \$0      | \$0       | \$67,285  |
| 383821 | x      | San Francisco County |             | \$188,389 | \$0      | \$0       | \$0       |
| 383824 | 308886 | San Francisco County |             | \$334,718 | \$0      | \$436,473 | \$99,717  |
| 383825 | 308894 | San Francisco County |             | \$400,000 | \$0      | \$266,703 | \$0       |
| 383831 | 132138 | San Francisco County |             | \$156,277 | \$0      | \$0       | \$0       |
| 383834 | 135289 | San Francisco County |             | \$74,697  | \$0      | \$0       | \$0       |
| 383835 | 110084 | San Francisco County |             | \$181,536 | \$0      | \$0       | \$0       |
| 383837 | 123475 | San Francisco County |             | \$450,000 | \$0      | \$0       | \$0       |
| 383838 | 132799 | San Francisco County |             | \$53,999  | \$0      | \$0       | \$0       |
| 383846 | x      | San Francisco County |             | \$24,145  | \$0      | \$569,843 | \$0       |
| 383853 | 103134 | San Francisco County |             | \$71,205  | \$0      | \$202,251 | \$0       |
| 383855 | 110241 | San Francisco County |             | \$388,746 | \$0      | \$0       | \$116,448 |
| 383856 | 110191 | San Francisco County |             | \$162,149 | \$0      | \$0       | \$0       |
| 383857 | 001148 | San Francisco County |             | \$23,673  | \$0      | \$0       | \$0       |
| 383870 | 305940 | San Francisco County |             | \$0       | \$0      | \$112,666 | \$0       |
| 383871 | 132906 | San Francisco County |             | \$200,000 | \$0      | \$0       | \$0       |
| 383887 | 100671 | San Francisco County |             | \$816,077 | \$0      | \$0       | \$0       |
| 383892 | x      | Marin County         |             | \$0       | \$0      | \$146,250 | \$0       |
| 383893 | 103573 | San Francisco County |             | \$80,431  | \$80,431 | \$0       | \$0       |
| 383896 | x      | San Francisco County |             | \$0       | \$0      | \$146,766 | \$0       |
| 389140 | 104376 | San Francisco County |             | \$0       | \$0      | \$142,554 | \$0       |
| 39     | x      | San Joaquin County   | \$3,203,148 | \$0       | \$0      |           |           |
| 390010 | 116248 | San Joaquin County   |             | \$33,880  | \$0      | \$0       | \$0       |

|        |        |                        |             |             |           |           |           |
|--------|--------|------------------------|-------------|-------------|-----------|-----------|-----------|
| 390012 | 936256 | San Joaquin County     |             | \$1,180,315 | \$0       | \$0       | \$0       |
| 390014 | 117717 | San Joaquin County     |             | \$82,297    | \$0       | \$0       | \$0       |
| 393901 | 306559 | San Joaquin County     |             | \$238,464   | \$0       | \$0       | \$218,259 |
| 393903 | 102596 | San Joaquin County     |             | \$5,353     | \$0       | \$0       | \$0       |
| 393950 | 132641 | San Joaquin County     |             | \$3,909     | \$0       | \$0       | \$0       |
| 393955 | 306559 | San Joaquin County     |             | \$26,074    | \$0       | \$0       | \$0       |
| 393968 | 307821 | San Joaquin County     |             | \$393,780   | \$0       | \$0       | \$0       |
| 393970 | 103634 | San Joaquin County     |             | \$0         | \$0       | \$671,490 | \$0       |
| 393971 | 118616 | Calaveras County       |             | \$292       | \$0       | \$0       | \$0       |
| 393973 | 122667 | San Joaquin County     |             | \$599,120   | \$599,120 | \$0       | \$0       |
| 393997 | 000992 | San Joaquin County     |             | \$1,760     | \$0       | \$0       | \$0       |
| 393998 | 931414 | San Joaquin County     |             | \$83        | \$0       | \$0       | \$0       |
| 40     | x      | San Luis Obispo County | \$1,870,061 | \$0         | \$0       |           |           |
| 404000 | 901854 | San Luis Obispo County |             | \$1,287,551 | \$112,623 | \$336,185 | \$66,252  |
| 41     | x      | San Mateo County       | \$2,491,558 | \$0         | \$0       |           |           |
| 414101 | 102131 | San Mateo County       |             | \$500,361   | \$40,647  | \$282,307 | \$187,873 |
| 414103 | x      | San Mateo County       |             | \$0         | \$0       | \$40,000  | \$0       |
| 414104 | x      | San Mateo County       |             | \$0         | \$0       | \$44,021  | \$0       |
| 414107 | 306492 | San Mateo County       |             | \$537,438   | \$0       | \$0       | \$0       |
| 414109 | x      | San Mateo County       |             | \$0         | \$0       | \$80,000  | \$0       |
| 414110 | 118707 | San Mateo County       |             | \$198,541   | \$157,038 | \$86,497  | \$0       |
| 414111 | x      | San Mateo County       |             | \$0         | \$0       | \$53,702  | \$0       |
| 414112 | 119069 | San Mateo County       |             | \$136,107   | \$0       | \$20,000  | \$22,435  |
| 414115 | 119168 | San Mateo County       |             | \$108,721   | \$0       | \$60,000  | \$11,218  |
| 414118 | 108997 | San Mateo County       |             | \$49,389    | \$0       | \$0       | \$0       |
| 414120 | 918197 | San Mateo County       |             | \$80,604    | \$0       | \$80,000  | \$0       |
| 414132 | 101323 | San Mateo County       |             | \$609,048   | \$0       | \$0       | \$0       |
| 414135 | 751903 | San Mateo County       |             | \$286,667   | \$23,663  | \$0       | \$0       |
| 414136 | 753222 | San Mateo County       |             | \$542,518   | \$0       | \$0       | \$0       |
| 414137 | 113922 | San Mateo County       |             | \$122,223   | \$0       | \$0       | \$0       |
| 414149 | 101804 | San Mateo County       |             | \$292,969   | \$0       | \$0       | \$0       |
| 414164 | x      | San Mateo County       |             | \$0         | \$0       | \$78,251  | \$0       |
| 414171 | 932131 | San Mateo County       |             | \$179,655   | \$0       | \$0       | \$0       |
| 414183 | x      | San Mateo County       |             | \$0         | \$0       | \$48,450  | \$0       |
| 414189 | x      | San Mateo County       |             | \$0         | \$0       | \$79,000  | \$0       |
| 414190 | x      | San Mateo County       |             | \$0         | \$0       | \$30,000  | \$0       |
| 42     | x      | Santa Barbara County   | \$2,195,359 | \$0         | \$0       |           |           |
| 424203 | 102243 | Santa Barbara County   |             | \$18,369    | \$0       | \$0       | \$0       |
| 424204 | x      | Santa Barbara County   |             | \$20,904    | \$20,904  | \$11,400  | \$0       |
| 424205 | x      | Santa Barbara County   |             | \$5,097     | \$0       | \$0       | \$0       |
| 424208 | x      | Santa Barbara County   |             | \$16,554    | \$0       | \$0       | \$0       |
| 424217 | x      | Santa Barbara County   |             | \$0         | \$0       | \$38,395  | \$0       |
| 424220 | x      | Santa Barbara County   |             | \$179,134   | \$16,844  | \$355,350 | \$0       |

|        |        |                      |             |             |           |           |           |
|--------|--------|----------------------|-------------|-------------|-----------|-----------|-----------|
| 424221 | x      | Santa Barbara County |             | \$423,325   | \$0       | \$0       | \$0       |
| 424224 | 751994 | Santa Barbara County |             | \$226,851   | \$179,678 | \$45,194  | \$0       |
| 424225 | 115323 | Santa Barbara County |             | \$75,572    | \$0       | \$0       | \$0       |
| 424238 | 914691 | Santa Barbara County |             | \$49,077    | \$0       | \$0       | \$47,077  |
| 424241 | 919450 | Santa Barbara County |             | \$86,930    | \$0       | \$150,700 | \$0       |
| 424253 | 001168 | Santa Barbara County |             | \$118,762   | \$0       | \$0       | \$0       |
| 424262 | 103543 | Santa Barbara County |             | \$131,983   | \$0       | \$0       | \$0       |
| 424270 | 116222 | Santa Barbara County |             | \$150,000   | \$0       | \$0       | \$0       |
| 424275 | 001195 | Santa Barbara County |             | \$1,143     | \$0       | \$0       | \$0       |
| 424277 | 132526 | Santa Barbara County |             | \$37,198    | \$37,198  | \$0       | \$0       |
| 424278 | 916936 | Santa Barbara County |             | \$44,046    | \$0       | \$0       | \$40,710  |
| 424293 | x      | Santa Barbara County |             | \$21,250    | \$0       | \$0       | \$0       |
| 424295 | 933139 | Santa Barbara County |             | \$21,522    | \$21,522  | \$0       | \$0       |
| 426001 | x      | Santa Barbara County |             | \$18,750    | \$0       | \$0       | \$0       |
| 426004 | x      | Santa Barbara County |             | \$0         | \$0       | \$12,500  | \$0       |
| 426008 | 105408 | Santa Barbara County |             | \$108,397   | \$0       | \$0       | \$0       |
| 426009 | x      | Santa Barbara County |             | \$35,891    | \$35,891  | \$0       | \$0       |
| 426010 | x      | Santa Barbara County |             | \$0         | \$0       | \$40,000  | \$0       |
| 426012 | 122063 | Santa Barbara County |             | \$23,765    | \$0       | \$0       | \$0       |
| 426013 | 103597 | Santa Barbara County |             | \$34,864    | \$0       | \$0       | \$0       |
| 426015 | 103914 | Santa Barbara County |             | \$28,947    | \$0       | \$0       | \$0       |
| 426017 | x      | Santa Barbara County |             | \$0         | \$0       | \$8,797   | \$0       |
| 426024 | 105060 | Santa Barbara County |             | \$0         | \$0       | \$26,881  | \$0       |
| 43     | x      | Santa Clara County   | \$5,761,757 | \$0         | \$0       |           |           |
| 430001 | 933428 | Santa Clara County   |             | \$160,000   | \$0       | \$0       | \$0       |
| 430010 | 933394 | Santa Clara County   |             | \$197,296   | \$0       | \$0       | \$0       |
| 430030 | 937155 | Santa Clara County   |             | \$1,149,000 | \$0       | \$0       | \$0       |
| 430031 | 121768 | Santa Clara County   |             | \$323,674   | \$323,674 | \$0       | \$0       |
| 430040 | 751085 | Santa Clara County   |             | \$109,988   | \$0       | \$0       | \$0       |
| 430150 | 752059 | Santa Clara County   |             | \$0         | \$0       | \$293,575 | \$0       |
| 434303 | 102887 | Santa Clara County   |             | \$1,323,140 | \$26,525  | \$756,590 | \$208,544 |
| 434307 | 130892 | Santa Clara County   |             | \$422,257   | \$0       | \$0       | \$0       |
| 434308 | 105030 | Santa Clara County   |             | \$432,267   | \$0       | \$0       | \$0       |

|        |        |                    |             |             |           |           |          |
|--------|--------|--------------------|-------------|-------------|-----------|-----------|----------|
| 434325 | x      | Santa Clara County |             | \$0         | \$0       | \$43,352  | \$0      |
| 434326 | x      | Santa Clara County |             | \$0         | \$0       | \$43,220  | \$0      |
| 434331 | x      | Santa Clara County |             | \$0         | \$0       | \$42,546  | \$0      |
| 434334 | x      | Santa Clara County |             | \$0         | \$0       | \$57,380  | \$0      |
| 434336 | x      | Santa Clara County |             | \$0         | \$0       | \$21,263  | \$0      |
| 434340 | x      | Santa Clara County |             | \$0         | \$0       | \$32,038  | \$0      |
| 434343 | 304406 | Santa Clara County |             | \$900,000   | \$0       | \$0       | \$0      |
| 434345 | 117485 | Santa Clara County |             | \$0         | \$0       | \$26,217  | \$0      |
| 434350 | 105409 | Santa Clara County |             | \$174,000   | \$0       | \$0       | \$0      |
| 434353 | x      | Santa Clara County |             | \$0         | \$0       | \$23,000  | \$0      |
| 434379 | 113765 | Santa Clara County |             | \$0         | \$0       | \$846,119 | \$0      |
| 434381 | 102905 | Santa Clara County |             | \$88,000    | \$0       | \$0       | \$28,000 |
| 434387 | 118509 | Santa Clara County |             | \$399,470   | \$0       | \$0       | \$34,418 |
| 434394 | 100721 | Santa Clara County |             | \$197,230   | \$0       | \$0       | \$28,000 |
| 434397 | 100705 | Santa Clara County |             | \$1,223,251 | \$180,315 | \$0       | \$28,000 |
| 438309 | x      | Santa Clara County |             | \$0         | \$0       | \$228,341 | \$0      |
| 438397 | 120380 | Santa Clara County |             | \$353,488   | \$0       | \$0       | \$0      |
| 438398 | 113765 | Santa Clara County |             | \$1,403,399 | \$0       | \$0       | \$0      |
| 44     | x      | Santa Cruz County  | \$1,269,206 | \$0         | \$0       |           |          |
| 440001 | 102309 | Santa Cruz County  |             | \$8,706     | \$569     | \$0       | \$0      |
| 440010 | 107478 | Santa Cruz County  |             | \$119,482   | \$119,444 | \$0       | \$0      |
| 444406 | 122089 | Santa Cruz County  |             | \$0         | \$0       | \$24,156  | \$0      |
| 444407 | x      | Santa Cruz County  |             | \$0         | \$0       | \$11,826  | \$0      |
| 444414 | x      | Santa Cruz County  |             | \$72,957    | \$0       | \$251,857 | \$59,202 |
| 444415 | x      | Santa Cruz County  |             | \$0         | \$0       | \$3,280   | \$0      |
| 444418 | 120299 | Santa Cruz County  |             | \$5,863     | \$0       | \$0       | \$0      |
| 444460 | 918064 | Santa Cruz County  |             | \$763       | \$0       | \$0       | \$0      |
| 444482 | 114458 | Santa Cruz County  |             | \$397,072   | \$0       | \$0       | \$0      |
| 444486 | 305627 | Santa Cruz County  |             | \$334,259   | \$0       | \$0       | \$0      |
| 444487 | 305759 | Santa Cruz County  |             | \$4,988     | \$0       | \$0       | \$0      |
| 444488 | 307540 | Santa Cruz County  |             | \$169,728   | \$0       | \$26,726  | \$0      |
| 444489 | 307797 | Santa Cruz County  |             | \$0         | \$0       | \$63,950  | \$0      |
| 444496 | 117758 | Santa Cruz County  |             | \$100,931   | \$100,394 | \$0       | \$0      |
| 444498 | 933162 | Santa Cruz County  |             | \$235,166   | \$0       | \$0       | \$0      |
| 45     | x      | Shasta County      | \$890,930   | \$0         | \$0       |           |          |
| 450001 | 751259 | Lassen County      |             | \$2,100     | \$0       | \$0       | \$0      |
| 450001 | 751259 | Modoc County       |             | \$280       | \$0       | \$0       | \$0      |
| 450001 | 751259 | Shasta County      |             | \$42,370    | \$0       | \$0       | \$0      |
| 450001 | 751259 | Siskiyou County    |             | \$5,810     | \$0       | \$0       | \$0      |
| 454502 | 102397 | Shasta County      |             | \$6,377     | \$0       | \$0       | \$0      |
| 454504 | 001170 | Shasta County      |             | \$142,027   | \$0       | \$0       | \$0      |
| 454505 | 103827 | Shasta County      |             | \$72        | \$0       | \$0       | \$0      |
| 454507 | x      | Shasta County      |             | \$0         | \$0       | \$99,179  | \$0      |
| 454511 | 103176 | Shasta County      |             | \$782       | \$0       | \$0       | \$0      |
| 454512 | 115604 | Shasta County      |             | \$246,107   | \$246,107 | \$0       | \$0      |
| 454514 | 105410 | Shasta County      |             | \$11,565    | \$0       | \$0       | \$0      |
| 454516 | 901037 | Shasta County      |             | \$594,738   | \$129,984 | \$229,594 | \$52,072 |
| 454519 | 113740 | Shasta County      |             | \$37,826    | \$0       | \$0       | \$0      |
| 454520 | 132781 | Lassen County      |             | \$10,408    | \$0       | \$0       | \$0      |
| 454520 | 132781 | Modoc County       |             | \$6,464     | \$0       | \$0       | \$0      |

|        |        |                   |             |             |           |           |           |
|--------|--------|-------------------|-------------|-------------|-----------|-----------|-----------|
| 454520 | 132781 | Plumas County     |             | \$8,640     | \$0       | \$0       | \$0       |
| 454520 | 132781 | Siskiyou County   |             | \$1,984     | \$0       | \$0       | \$0       |
| 454520 | 132781 | Solano County     |             | \$18,185    | \$0       | \$0       | \$0       |
| 454520 | 132781 | Sutter/Yuba       |             | \$36,324    | \$0       | \$0       | \$0       |
| 454521 | 130983 | Lassen County     |             | \$12,525    | \$0       | \$0       | \$0       |
| 454521 | 130983 | Modoc County      |             | \$4,544     | \$0       | \$0       | \$0       |
| 454521 | 130983 | Solano County     |             | \$20,826    | \$0       | \$0       | \$0       |
| 46     | x      | Sierra County     | \$274,216   | \$0         | \$0       |           |           |
| 464614 | 104541 | Sierra County     |             | \$233,278   | \$0       | \$116,169 | \$0       |
| 464618 | x      | Sierra County     |             | \$12,000    | \$0       | \$0       | \$0       |
| 47     | x      | Siskiyou County   | \$506,360   | \$0         | \$0       |           |           |
| 474701 | 908206 | Siskiyou County   |             | \$543,162   | \$266,527 | \$113,488 | \$6,781   |
| 48     | x      | Solano County     | \$1,836,671 | \$0         | \$0       |           |           |
| 484802 | 916548 | Solano County     |             | \$798,158   | \$38,340  | \$174,093 | \$140,440 |
| 484803 | 938351 | Solano County     |             | \$148,452   | \$0       | \$0       | \$0       |
| 484804 | 115331 | Solano County     |             | \$78,496    | \$78,496  | \$0       | \$0       |
| 484806 | 131957 | Solano County     |             | \$61,533    | \$0       | \$0       | \$0       |
| 484809 | 305635 | Solano County     |             | \$252,203   | \$0       | \$0       | \$0       |
| 484810 | 936017 | Solano County     |             | \$6,147     | \$0       | \$32,632  | \$0       |
| 484812 | 111736 | Solano County     |             | \$39,066    | \$0       | \$25,660  | \$0       |
| 484813 | 106223 | Solano County     |             | \$21,195    | \$0       | \$29,701  | \$0       |
| 484814 | 117360 | Solano County     |             | \$35,585    | \$0       | \$47,715  | \$0       |
| 484817 | 109987 | Sacramento County |             | \$19,369    | \$0       | \$0       | \$0       |
| 484817 | 109987 | Solano County     |             | \$21,873    | \$0       | \$20,955  | \$0       |
| 484821 | 102242 | Solano County     |             | \$23,128    | \$23,128  | \$0       | \$0       |
| 484824 | x      | Solano County     |             | \$0         | \$0       | \$96,990  | \$0       |
| 484825 | 121784 | Solano County     |             | \$1,816     | \$0       | \$0       | \$0       |
| 484827 | 103479 | Solano County     |             | \$9,276     | \$9,276   | \$13,164  | \$0       |
| 484831 | x      | Solano County     |             | \$0         | \$0       | \$56,764  | \$0       |
| 484832 | 133797 | Solano County     |             | \$100,600   | \$0       | \$0       | \$0       |
| 484833 | x      | Solano County     |             | \$28,802    | \$0       | \$0       | \$0       |
| 484834 | 129449 | Solano County     |             | \$85,166    | \$0       | \$0       | \$0       |
| 484838 | 131106 | Solano County     |             | \$34,185    | \$21,348  | \$0       | \$0       |
| 484839 | 101929 | Solano County     |             | \$108,824   | \$0       | \$0       | \$0       |
| 49     | x      | Sonoma County     | \$1,969,316 | \$0         | \$0       |           |           |
| 490010 | 753115 | Sonoma County     |             | \$1,278,376 | \$0       | \$146,913 | \$11,640  |
| 490011 | 107882 | Sonoma County     |             | \$111,677   | \$0       | \$0       | \$0       |
| 490022 | x      | Sonoma County     |             | \$0         | \$0       | \$24,898  | \$0       |
| 490030 | 933170 | Sonoma County     |             | \$138,810   | \$138,810 | \$0       | \$0       |
| 494903 | 910699 | Sonoma County     |             | \$0         | \$0       | \$98,000  | \$0       |
| 494904 | x      | Sonoma County     |             | \$0         | \$0       | \$22,000  | \$0       |
| 494907 | 307557 | Sonoma County     |             | \$239,822   | \$0       | \$67,500  | \$190,540 |
| 494908 | 112445 | Sonoma County     |             | \$7,026     | \$7,026   | \$0       | \$0       |
| 494911 | 110332 | Sonoma County     |             | \$0         | \$0       | \$15,000  | \$0       |
| 494922 | 934137 | Sonoma County     |             | \$0         | \$0       | \$34,000  | \$0       |
| 494923 | 100796 | Mendocino County  |             | \$6,550     | \$0       | \$0       | \$0       |
| 494923 | 100796 | Napa County       |             | \$4,130     | \$0       | \$0       | \$0       |
| 494923 | 100796 | Sonoma County     |             | \$320,707   | \$0       | \$0       | \$0       |
| 494928 | x      | Sonoma County     |             | \$0         | \$0       | \$40,000  | \$0       |
| 494930 | x      | Sonoma County     |             | \$0         | \$0       | \$24,629  | \$0       |

|        |        |                   |             |           |           |           |           |
|--------|--------|-------------------|-------------|-----------|-----------|-----------|-----------|
| 494938 | 122121 | Sonoma County     |             | \$0       | \$0       | \$44,500  | \$0       |
| 494940 | x      | Sonoma County     |             | \$0       | \$0       | \$30,000  | \$0       |
| 494992 | 122097 | Sonoma County     |             | \$48,655  | \$0       | \$0       | \$0       |
| 50     | x      | Stanislaus County | \$1,852,421 | \$0       | \$0       |           |           |
| 505000 | 111678 | Stanislaus County |             | \$494,320 | \$0       | \$231,914 | \$100,225 |
| 505003 | 001563 | Stanislaus County |             | \$850,257 | \$0       | \$0       | \$0       |
| 505005 | 115356 | Stanislaus County |             | \$0       | \$0       | \$397,367 | \$0       |
| 505009 | 121370 | Stanislaus County |             | \$14,292  | \$0       | \$0       | \$0       |
| 505011 | 121396 | Stanislaus County |             | \$27,760  | \$0       | \$0       | \$0       |
| 505013 | x      | Stanislaus County |             | \$41,503  | \$0       | \$0       | \$0       |
| 505017 | 910855 | Stanislaus County |             | \$142,782 | \$142,782 | \$7,498   | \$0       |
| 505018 | 121412 | Stanislaus County |             | \$200,991 | \$0       | \$0       | \$0       |
| 505020 | 117618 | Stanislaus County |             | \$170,520 | \$0       | \$0       | \$0       |
| 505025 | 127153 | Stanislaus County |             | \$36,965  | \$0       | \$240     | \$0       |
| 505033 | 101702 | Tuolumne County   |             | \$1,994   | \$0       | \$0       | \$0       |
| 505040 | 121420 | Stanislaus County |             | \$170,308 | \$0       | \$0       | \$0       |
| 51     | x      | Sutter/Yuba       | \$814,345   | \$0       | \$0       | \$0       | \$0       |
| 52     | x      | Tehama County     | \$531,244   | \$0       | \$0       |           |           |
| 525201 | 908321 | Tehama County     |             | \$620,584 | \$262,168 | \$169,243 | \$22,187  |
| 53     | x      | Trinity County    | \$320,604   | \$0       | \$0       |           |           |
| 535300 | 908339 | Trinity County    |             | \$131,162 | \$15,263  | \$89,434  | \$0       |
| 535301 | 118541 | Trinity County    |             | \$87,340  | \$0       | \$70,604  | \$0       |
| 54     | x      | Tulare County     | \$1,876,693 | \$0       | \$0       |           |           |
| 540001 | 751242 | Tulare County     |             | \$231,544 | \$0       | \$0       | \$43,036  |
| 540002 | 933493 | Tulare County     |             | \$180,599 | \$0       | \$0       | \$9,796   |
| 540003 | 111637 | Tulare County     |             | \$235,794 | \$0       | \$0       | \$2,137   |
| 545402 | 102659 | Tulare County     |             | \$3,583   | \$3,583   | \$0       | \$0       |
| 545404 | x      | Tulare County     |             | \$228,188 | \$40,370  | \$160,731 | \$0       |
| 545406 | x      | Tulare County     |             | \$0       | \$0       | \$23,891  | \$0       |
| 545409 | 103015 | Tulare County     |             | \$103,833 | \$0       | \$0       | \$4,784   |
| 545414 | 113948 | Tulare County     |             | \$151,152 | \$0       | \$0       | \$11,959  |
| 545415 | 116230 | Tulare County     |             | \$13,803  | \$13,803  | \$0       | \$0       |
| 545416 | 119523 | Tulare County     |             | \$46,910  | \$0       | \$0       | \$4,784   |
| 545429 | x      | Tulare County     |             | \$0       | \$0       | \$34,941  | \$0       |
| 545430 | 107718 | Tulare County     |             | \$5,000   | \$0       | \$179,871 | \$0       |
| 545431 | 103554 | Tulare County     |             | \$33,928  | \$0       | \$0       | \$13,598  |
| 545432 | 103555 | Tulare County     |             | \$29,741  | \$0       | \$0       | \$5,344   |
| 545433 | 103556 | Tulare County     |             | \$26,209  | \$0       | \$0       | \$5,879   |
| 545434 | 103557 | Tulare County     |             | \$15,242  | \$0       | \$0       | \$2,137   |
| 545436 | 102525 | Tulare County     |             | \$139,724 | \$0       | \$0       | \$14,778  |
| 545437 | 103997 | Tulare County     |             | \$30,021  | \$30,021  | \$0       | \$0       |
| 545441 | 105054 | Tulare County     |             | \$61,200  | \$0       | \$0       | \$0       |
| 545476 | 100978 | Tulare County     |             | \$1,260   | \$0       | \$0       | \$0       |
| 545477 | x      | Tulare County     |             | \$0       | \$0       | \$29,451  | \$0       |
| 545478 | 938294 | Tulare County     |             | \$154,349 | \$0       | \$26,905  | \$0       |
| 55     | x      | Tuolumne County   | \$516,090   | \$0       | \$0       |           |           |
| 555510 | 915334 | Tuolumne County   |             | \$276,930 | \$13,756  | \$201,051 | \$21,353  |
| 56     | x      | Ventura County    | \$3,394,495 | \$0       | \$0       |           |           |
| 560010 | 103899 | Ventura County    |             | \$76,147  | \$0       | \$0       | \$0       |
| 560031 | 115372 | Ventura County    |             | \$79,382  | \$0       | \$0       | \$0       |

|        |        |                      |             |             |           |             |           |
|--------|--------|----------------------|-------------|-------------|-----------|-------------|-----------|
| 560035 | 752133 | Ventura County       |             | \$348,435   | \$0       | \$0         | \$11      |
| 560040 | 103923 | Ventura County       |             | \$401,323   | \$0       | \$0         | \$591     |
| 565611 | x      | Ventura County       |             | \$0         | \$0       | \$48,527    | \$0       |
| 565620 | 107544 | Ventura County       |             | \$137,117   | \$137,117 | \$0         | \$0       |
| 565629 | x      | Ventura County       |             | \$15,751    | \$0       | \$0         | \$0       |
| 565632 | 113831 | Ventura County       |             | \$265,132   | \$265,132 | \$0         | \$0       |
| 565639 | x      | Ventura County       |             | \$1,018,335 | \$0       | \$527,222   | \$0       |
| 565641 | 000961 | Ventura County       |             | \$134,315   | \$0       | \$0         | \$0       |
| 565642 | 102548 | Ventura County       |             | \$36,762    | \$0       | \$0         | \$0       |
| 565643 | x      | Ventura County       |             | \$591       | \$0       | \$0         | \$0       |
| 565648 | x      | Santa Barbara County |             | \$5,361     | \$0       | \$0         | \$0       |
| 565648 | x      | Ventura County       |             | \$99,328    | \$0       | \$0         | \$0       |
| 565651 | x      | Ventura County       |             | \$0         | \$0       | \$56,386    | \$0       |
| 565655 | 115414 | Ventura County       |             | \$142,653   | \$0       | \$0         | \$142,653 |
| 565657 | 115414 | Ventura County       |             | \$236,178   | \$0       | \$0         | \$123     |
| 565659 | 104873 | Ventura County       |             | \$1,043     | \$0       | \$0         | \$0       |
| 565663 | x      | Ventura County       |             | \$0         | \$0       | \$109,422   | \$0       |
| 565673 | 101620 | Ventura County       |             | \$149,697   | \$0       | \$0         | \$0       |
| 565680 | 113047 | Ventura County       |             | \$56,970    | \$0       | \$0         | \$0       |
| 565683 | 113708 | Ventura County       |             | \$422,787   | \$39,637  | \$0         | \$2,364   |
| 565929 | x      | Ventura County       |             | \$0         | \$0       | \$64,247    | \$0       |
| 566402 | 104146 | Ventura County       |             | \$11,825    | \$0       | \$0         | \$0       |
| 566403 | 104145 | Ventura County       |             | \$27,395    | \$0       | \$0         | \$0       |
| 566406 | x      | Ventura County       |             | \$0         | \$0       | \$9,990     | \$0       |
| 566407 | x      | Ventura County       |             | \$0         | \$0       | \$9,937     | \$0       |
| 566408 | x      | Ventura County       |             | \$0         | \$0       | \$11,234    | \$0       |
| 566412 | x      | Ventura County       |             | \$0         | \$0       | \$4,775     | \$0       |
| 57     | x      | Yolo County          | \$1,097,544 | \$0         | \$0       |             |           |
| 570001 | 933527 | Calaveras County     |             | \$11,046    | \$0       | \$0         | \$0       |
| 570001 | 933527 | Yolo County          |             | \$53,020    | \$0       | \$0         | \$0       |
| 570003 | 115471 | Yolo County          |             | \$82,425    | \$0       | \$0         | \$0       |
| 575702 | 104072 | Yolo County          |             | \$945       | \$0       | \$0         | \$0       |
| 575703 | x      | Yolo County          |             | \$0         | \$0       | \$206,132   | \$0       |
| 575707 | 934368 | Yolo County          |             | \$170,329   | \$0       | \$0         | \$0       |
| 575708 | 917488 | Yolo County          |             | \$255,983   | \$0       | \$0         | \$0       |
| 575709 | 120364 | Yolo County          |             | \$63,065    | \$0       | \$0         | \$0       |
| 575712 | x      | Yolo County          |             | \$32,635    | \$0       | \$0         | \$32,635  |
| 575714 | 305270 | Yolo County          |             | \$13,560    | \$0       | \$0         | \$0       |
| 575717 | 102470 | Yolo County          |             | \$78,347    | \$78,347  | \$0         | \$0       |
| 575758 | x      | Yolo County          |             | \$23,059    |           |             |           |
| 575908 | 901979 | Yolo County          |             | \$48,109    | \$0       | \$0         | \$0       |
| 58     | x      | Sutter/Yuba          |             |             |           |             |           |
| 580001 | 933444 | Sutter/Yuba          |             | \$347,732   | \$7,935   | \$0         | \$0       |
| 580003 | 105480 | Sutter/Yuba          |             | \$0         | \$0       | \$23,889    | \$0       |
| 585805 | 105037 | Sutter/Yuba          |             | \$88        | \$0       | \$0         | \$0       |
| 585811 | 901987 | Sutter/Yuba          |             | \$245,920   | \$12,339  | \$162,717   | \$2,037   |
| 585818 | 118426 | Sutter/Yuba          |             | \$164,664   | \$128,349 | \$0         | \$36,315  |
| 585819 | x      | Sutter/Yuba          |             | \$0         | \$0       | \$21,367    | \$0       |
| 98     | x      | Dept. of Public      |             |             |           | \$2,000,000 |           |

|                |   |                      |                      |                      |                     |                     |                     |
|----------------|---|----------------------|----------------------|----------------------|---------------------|---------------------|---------------------|
|                |   | Health               |                      |                      |                     |                     |                     |
| 99             | x | Statewide (optional) | \$89,477,037         | \$12,554,722         | \$346,287           | \$4,289,920         |                     |
| <b>Totals:</b> |   |                      | <b>\$232,506,667</b> | <b>\$189,061,166</b> | <b>\$21,771,103</b> | <b>\$56,609,563</b> | <b>\$12,165,621</b> |

Form6 - Foot Notes

The WebBGAS Form 6 automatically sorts entries by entity number. This limitation in the sorting capability of the on-line system does not allow the actual area served to be reflected when a county purchases services from a provider located in another county. For example, Contra Costa County contracts with a provider (Entity Number 010735) located in Alameda County. The provider is actually providing services to clients from Contra Costa County; therefore the area served is Contra Costa County. The entry, ideally, should be displayed under Contra Costa County, not Alameda County. This sorting limitation explains the anomalies in the "Area Served" column of Form 6.

Additionally, provider numbers for Sutter/Yuba begin with "58," rather than "51." Therefore, Sutter/Yuba providers are listed under Entity number 58.

ADP does not collect State expenditure data at the provider level.

## PROVIDER ADDRESS TABLE

**State: California**

| Provider ID | Description         | Provider Address  |
|-------------|---------------------|---|
| 01          | Alameda County      | Behavioral Health Care Services<br>2000 Embarcadero Cove, Suite 400<br>2000 Embarcadero Cove, Suite 400<br>Oakland, CA 94606<br>(510) 567-8120                        |
| 010005      | CA010005            | 2288 Fulton Street #103 Room 1<br>Berkeley, CA 94704<br>510-451-7099  |
| 010055      | CA010055            | 2025 East 12th<br>Oakland, CA 94704<br>510-533-1092   |
| 010102      | CA010102            | 1000 Broadway Suite 55<br>Oakland, CA 94607   |
| 010103      | CA010103            | 2000 Embarcadero Cove Suite 400<br>Oakland, CA 94607<br>510-383-1590  |
| 010107      | CA010107            | 2065 Kittredge Street Suite E<br>Berkeley, CA 94704<br>510-649-8174   |
| 010149      | CA010149            | 3124 International Boulevard<br>Oakland, CA 94601<br>510-535-4492   |
| 018100      | CA018100            | 1440 Broadway Suite 209<br>Oakland, CA 94612  |
| 02          | Alpine County       | Alpine County Behavioral Health Services<br>75-C Diamond Valley Road<br>75-C Diamond Valley Road<br>Markleeville, CA 96120<br>(530) 694-2287                          |
| 03          | Amador County       | Amador County Health and Human Services Agency<br>10877 Conductor Blvd.<br>10877 Conductor Blvd.<br>Sutter Creek, CA 95685<br>(209) 223-6412                          |
| 04          | Butte County        | Butte County Department of Behavioral Health Alcohol and<br>Drug Program<br>107 Parmac Road, Suite 4<br>107 Parmac Road, Suite 4<br>Chico, CA 95926<br>(530) 891-2999 |
| 040404      | CA040404            | 202 Mira Loma Drive<br>Oroville, CA 95965<br>530-538-7581   |
| 040418      | CA040418            | 109 Parmac Road Suite 2<br>Chico, CA 95926  |
| 05          | Calaveras County    | Calaveras County Behavioral Health Services<br>891 Mountain Ranch Road<br>891 Mountain Ranch Road<br>San Andreas, CA 95249<br>(209) 754-6555                          |
| 06          | Colusa County       | Colusa County Substance Abuse Services<br>162 E. Carson Street<br>162 E. Carson Street<br>Colusa, CA 95932<br>(530) 458-0520  |
| 07          | Contra Costa County | Contra Costa County Health Services Substance Abuse Services<br>597 Center Avenue, Suite 320<br>597 Center Avenue, Suite 320  |

|        |                  |   |
|--------|------------------|---|
|        |                  | Martinez , CA 94553<br>(925) 313-6350   |
| 070724 | CA070724         | 597 Center Avenue Suite 200<br>Martinez, CA 94553<br>925-313-6787   |
| 070799 | CA070799         | 597 Center Avenue Suite 320<br>Martinez, CA 94553<br>925-957-5544   |
| 08     | Del Norte County | Del Norte County Health and Human Services<br>880 Northcrest Drive<br>880 Northcrest Drive<br>Crescent City , CA 95531<br>(707) 464-3191  |
| 09     | El Dorado County | El Dorado County Public Health Alcohol Drug Program Division<br>415 Placerville Drive, Suite R<br>415 Placerville Drive, Suite R<br>Placerville , CA 95667<br>(530) 621-7571                  |
| 090908 | CA090908         | 415 Placerville Drive Suite R<br>Placerville, CA 95667<br>530-621-6130  |
| 10     | Fresno County    | Fresno County Department of Behavioral Health Substance<br>Abuse Services<br>515 South Cedar Ave, Building # 320<br>515 South Cedar Ave, Building # 320<br>Fresno, CA 93702<br>(559) 453-6336 |
| 101001 | CA101001         | 515 South Cedar Avenue<br>Fresno, CA 93702  |
| 101005 | CA101005         | 2224 North Fine Suite 104<br>Fresno, CA 93727<br>559-252-8659   |
| 101017 | CA101017         | 1920 Mariposa Mall<br>Fresno, CA 93721  |
| 101031 | CA101031         | 3800 McCall Avenue<br>Selma, CA 93662<br>559-898-5100   |
| 101032 | CA101032         | 1404 L Street<br>Fresno, CA 93721<br>559-253-9418   |
| 101050 | CA101050         | 3122 North Millbrook Avenue Suite A & B<br>Fresno, CA 93703<br>559-593-1129   |
| 101062 | CA101062         | 985 Sunset Street<br>Coalinga, CA 93210<br>599-357-355  |
| 101073 | CA101073         | 5340 North Fresno Street<br>Fresno, CA 93710  |
| 101087 | CA101087         | 1900 Mariposa Mall Suite 208<br>Fresno, CA 93721<br>559-263-1564  |
| 11     | Glenn County     | Glenn County Health Services<br>242 North Villa Avenue<br>242 North Villa Avenue<br>Willows, CA 95988<br>(530) 934-6347   |
| 12     | Humboldt County  | Humboldt County Mental Health Alcohol and Other Drug<br>Division<br>720 Wood Street<br>720 Wood Street<br>Eureka, CA 95501<br>(707) 268-2990  |
| 121231 | CA121231         | 908-7th Street<br>Eureka, CA 95501<br>707-445-6023  |
|        |                  | Alcohol and Drug Programs Imperial County Behavioral Health<br>Services   |

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|--------|--------------------|---|
| 13     | Imperial County    | 1295 State Street, Suite 202<br>1295 State Street, Suite 202<br>El Centro, CA 92243<br>(760) 482-4025   |
| 131340 | CA131340           | 202 North 8th Street<br>El Centro, CA 92243<br>760-337-7767   |
| 14     | Inyo County        | County of Inyo Health and Human Services<br>1351 Rocking "W" Drive<br>1351 Rocking "W" Drive<br>Bishop, CA 93514<br>(760) 872-4245  |
| 141401 | CA141401           | 308 West Line Street Suite #5<br>Bishop, CA 93514<br>760-872-7604   |
| 141402 | CA141402           | 621 West Line Street #106<br>Bishop, CA 93514<br>760-872-2124   |
| 15     | Kern County        | Alcohol and Drug Programs Kern County Mental Health<br>P.O. Box 1000<br>P.O. Box 1000<br>Bakersfield, CA 93302-1000<br>(661) 868-6705   |
| 151572 | CA151572           | 238 Eighteenth Street Suite 4<br>Bakersfield, CA 93301<br>661-336-5354  |
| 151575 | CA151575           | 14402 Siera Way<br>Kernville, CA 93238<br>760-379-3412  |
| 16     | Kings County       | Kings County Behavioral Health – AOD Programs<br>450 Kings County Drive, Suite 104<br>450 Kings County Drive, Suite 104<br>Hanford, CA 93230<br>(559) 582-3211  |
| 161600 | CA161600           | 450 Kings County Drive Suite 104<br>Hanford, CA 93230<br>209-582-3211   |
| 161617 | CA161617           | 330 West Campus Drive<br>Hanford, CA 93230  |
| 161620 | CA161620           | 1144 West Lacey Boulevard<br>Hanford, CA 93230  |
| 17     | Lake County        | Mental Health Department Division of Alcohol and Other Drug<br>Services<br>991 Parallel Drive<br>991 Parallel Drive<br>Lakeport , CA 95453<br>(707) 263-8162  |
| 171706 | CA171706           | 14642 Lakeshore Drive Suite B<br>Clearlake, CA 95422  |
| 18     | Lassen County      | Lassen County Alcohol and Other Drug Programs<br>1410 Chestnut Street<br>1410 Chestnut Street<br>Susanville , CA 96130<br>(530) 251-8112  |
| 19     | Los Angeles County | Los Angeles County, Alcohol and Drug Program Administration<br>1000 South Fremont Avenue, Building A-9 East, 3rd Floor<br>1000 South Fremont Avenue, Building A-9 East, 3rd Floor<br>Alhambra, CA 91803<br>(626) 299-4595 |
| 190320 | CA190320           | 680 South Wilton Place<br>Los Angeles, CA 90005   |
| 190340 | CA190340           | 9300 Imperial Highway #284<br>Downey, CA 90242  |
| 190350 | CA190350           | 123 South Alvarado Street<br>Los Angeles, CA 90057  |
| 191979 | CA191979           | 8650 California Avenue<br>South Gate, CA 90280  |

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|--------|----------|---|
|        |          | 323-564-5233  |
| 196705 | CA196705 | 11325 South Central Avenue<br>Los Angeles, CA 90059   |
| 196721 | CA196721 | 1621 Centinela Avenue<br>Inglewood, CA 90302<br>310-330-7999                                |
| 196722 | CA196722 | 320 Knob Hill<br>Redondo Beach, CA 90277<br>310-372-7724                                    |
| 196723 | CA196723 | 175 North Euclid Avenue<br>Pasadena, CA 91101<br>626-229-9750                               |
| 196725 | CA196725 | 6043 Hollywood Boulevard<br>Hollywood, CA 90028<br>213-653-8622                             |
| 196727 | CA196727 | 1024 North Maclay Avenue Suite M-13<br>San Fernando, CA 91340<br>818-837-2272               |
| 196728 | CA196728 | 1131 Cellis Street<br>San Fernando, CA 91340<br>818-837-7767                                |
| 196729 | CA196729 | 3200 West Temple Street<br>Los Angeles, CA 90026<br>213-382-1819                            |
| 196732 | CA196732 | 804 East 6th Street<br>Los Angeles, CA 90021<br>213-622-1621                                |
| 196753 | CA196753 | 9401 South Painter Avenue<br>Whittier, CA 90605<br>562-698-9436                             |
| 196761 | CA196761 | 1125 West 6th Street Suite 400<br>Los Angeles, CA 90017<br>213-202-3970                     |
| 196762 | CA196762 | 1640 South Sepulveda Boulevard Suite 200<br>Los Angeles, CA 90025<br>310-445-0874           |
| 196864 | CA196864 | 2623 East Foothill Boulevard Suite 104 & 105<br>Pasadena, CA 91107<br>626-564-4240          |
| 196920 | CA196920 | 1000 South Fremont Avenue building A-9 East 3rd Floor<br>Alhambra, CA 91803<br>626-299-4193 |
| 196937 | CA196937 | 11515 South Colima Road Building D-111<br>Whittier, CA 90604                                |
| 197037 | CA197037 | 5601 West Slauson Avenue Suite 200<br>Culver City, CA 90230<br>310-641-7795                 |
| 197052 | CA197052 | 9033 Washington Boulevard<br>Pico Rivera, CA 90660<br>562-942-9625                          |
| 197054 | CA197054 | 12420 Venice Boulevard Suite 200<br>Los Angeles, CA 90066<br>310-751-1200                   |
| 197056 | CA197056 | 11133 Washington Boulevard<br>Culver City, CA 90230<br>310-895-2300                         |
| 197066 | CA197066 | 22110 Roscoe Boulevard Suite 204<br>Canoga Park, CA 91304<br>818-757-2188                   |
| 197183 | CA197183 | 2210 Santa Monica Boulevard Suite C<br>Santa Monica, CA 90404<br>310-586-0059               |
| 197253 | CA197253 | 2501 Atlantic Avenue<br>Long Beach, CA 90806<br>562-988-1863                                |
|        |          | 14568 Oxnard Street   |

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| 199280 | CA199280         | Van Nuys, CA 91411<br>818-285-1900   |
| 20     | Madera County    | Madera County Behavioral Health Services<br>P. O. Box 1288<br>P. O. Box 1288<br>Madera, CA 93639<br>(559) 675-7926   |
| 202000 | CA202000         | 14277 Road 28<br>Madera, CA 93639<br>559-673-3508  |
| 202006 | CA202006         | 28123 Avenue 14<br>Madera, CA 93638<br>209-673-6051  |
| 21     | Marin County     | Marin County Alcohol and Drug Programs<br>10 North San Pedro Road, Room 1013<br>10 North San Pedro Road, Room 1013<br>San Rafael, CA 94903<br>(415) 499-6652                         |
| 210040 | CA210040         | 555 Northgate Drive Suite 265<br>San Rafael, CA 94903<br>415-455-1676  |
| 212101 | CA212101         | 555 Northgate Drive<br>San Rafael, CA 94903<br>415-456-3853  |
| 212104 | CA212104         | 1111 Las Gallinas Avenue<br>San Rafael, CA 94903<br>415-499-5801   |
| 212105 | CA212105         | 17 Skylark Drive #43<br>Larkspur, CA 94939<br>415-927-4627   |
| 212179 | CA212179         | 10 North San Pedro Suite 1013<br>San Rafael, CA 94903<br>415-499-6652  |
| 212190 | CA212190         | 361 Third Street Suite G<br>San Rafael, CA 94901   |
| 22     | Mariposa County  | Mariposa County, Alcohol and Drug Programs<br>5037 Stroming Road<br>5037 Stroming Road<br>Mariposa, CA 95338<br>(209) 966-2000   |
| 222201 | CA222201         | 5037 Stroming Road<br>Mariposa, CA 95338<br>209-966-2000   |
| 23     | Mendocino County | Mendocino County Department of Public Health Division of<br>Alcohol and Other Drug Programs<br>1120 South Dora Street<br>1120 South Dora Street<br>Ukiah, CA 95482<br>(707) 472-2607 |
| 24     | Merced County    | Merced County Alcohol and Drug Programs<br>480 E. 13th Street, Building 2<br>480 E. 13th Street, Building 2<br>Merced, CA 95340<br>(209) 381-6813                                    |
| 25     | Modoc County     | Modoc County Alcohol and Drug Services<br>441 North Main Street<br>441 North Main Street<br>Alturas, CA 96101<br>(530) 233-6320  |
| 26     | Mono County      | Mono County Mental Health Department<br>P.O. Box 2619<br>P.O. Box 2619<br>Mammoth Lakes, CA 93546<br>(760) 924-1740  |
| 27     | Monterey County  | Monterey County Behavioral Health<br>1270 Natividad Road<br>1270 Natividad Road  |

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|--------|---------------|---|
|        |               | Salinas, CA 93906<br>(831) 755-4509   |
| 272703 | CA272703      | 1270 Natividad Road<br>Salinas, CA 93906<br>408-647-7920  |
| 272742 | CA272742      | 106 Lincoln Avenue<br>Salinas, CA 93901   |
| 28     | Napa County   | Napa County Health & Human Services Agency, Alcohol and<br>Drug Services<br>2261 Elm Street, Building F<br>2261 Elm Street, Building F<br>Napa, CA 94559-3721<br>(707) 299-2151 |
| 282822 | CA282822      | 1831 First Street<br>Napa, CA 94559<br>707-224-8266   |
| 29     | Nevada County | Nevada County Alcohol and Drug Programs<br>500 Crown Point Circle, Suite 120<br>500 Crown Point Circle, Suite 120<br>Grass Valley, CA 95945<br>(530) 265-1437                   |
| 30     | Orange County | Behavioral Health Services Orange County Health Care Agency<br>405 West Fifth Street, Suite 724<br>405 West Fifth Street, Suite 724<br>Santa Ana, CA 92701<br>(714) 834-7024    |
| 303004 | CA303004      | 405 West Fifth Street Suite 211<br>Santa Ana, CA 92701<br>714-834-4058  |
| 303006 | CA303006      | 1200 North Main Street Suite 301<br>Santa Ana, CA 92701<br>714-834-3941   |
| 303020 | CA303020      | 200 Kalmus Drive Suite YC<br>Costa Mesa, CA 92626<br>714-966-4458   |
| 303027 | CA303027      | 15707 Rockfield #255<br>Irvine, CA 92618<br>949-595-2288  |
| 303096 | CA303096      | 2 Enterprise Suite 5303<br>Aliso Viejo, CA 92656<br>949-433-4103  |
| 308001 | CA308001      | 200 Kalmus Drive Suite PC<br>Costa Mesa, CA 92628<br>714-966-4467   |
| 308002 | CA308002      | 200 Kalmus Drive Suite FNL<br>Costa Mesa, CA 92628<br>714-966-4405  |
| 308003 | CA308003      | 1501 East Orangethorpe Avenue Suite 120<br>Fullerton, CA 92831<br>714-441-0807  |
| 308004 | CA308004      | 1821 Dyer Road Suite 200 F<br>Santa Ana, CA 92705<br>949-757-1096   |
| 31     | Placer County | Placer County Health and Human Services<br>11533 C Avenue<br>11533 C Avenue<br>Auburn, CA 95603-2703<br>(530) 889-7256  |
| 310015 | CA310015      | 6140 Horseshoe Bar Road<br>Loomis, CA 95650   |
| 313128 | CA313128      | 11484 B Avenue<br>Auburn, CA 95603<br>530-889-7141  |
| 32     | Plumas County | Plumas County Alcohol and Drug Services<br>270 County Hospital Road, Suite 128<br>270 County Hospital Road, Suite 128<br>Quincy, CA 95971-9126                                  |

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|--------|-----------------------|--|
|        |                       | (530) 283-6316   |
| 33     | Riverside County      | Riverside County Department of Mental Health Substance Abuse Program<br>3525 Presley Avenue<br>3525 Presley Avenue<br>Riverside, CA 92507<br>(951) 782-2400  |
| 333311 | CA333311              | 100 South Sunrise Way Suite 292<br>Palm Springs, CA 92262<br>760-329-4457  |
| 333323 | CA333323              | 3756 Elizabeth Street<br>Riverside, CA 92506<br>909-637-9112   |
| 333331 | CA333331              | 4065 County Circle Drive Room 316<br>Riverside, CA 92503<br>909-683-4904   |
| 333353 | CA333353              | 7680 Casa Blanca Street<br>Riverside, CA 92504<br>909-688-3043   |
| 333358 | CA333358              | 3939 Thirteenth Street<br>Riverside, CA 92502<br>909-222-4426  |
| 333393 | CA333393              | 1536 Seventh Street<br>Coachella, CA 92236   |
| 34     | Sacramento County     | Sacramento County Alcohol and Drug Services Department of Health and Human Services<br>7001-A East Parkway, Suite 500<br>7001-A East Parkway, Suite 500<br>Sacramento, CA 95823-2501<br>(916) 875-2055 |
| 340110 | CA340110              | 1901 60th Avenue<br>Sacramento, CA 95822   |
| 340130 | CA340130              | 1900 Point West Way Suite 250<br>Sacramento, CA 95815  |
| 343403 | CA343403              | 21 C Street<br>Galt, CA 95632<br>209-745-2911  |
| 343414 | CA343414              | 7001 A East Parkway Suite 500<br>Sacramento, CA 95823<br>916-875-2050  |
| 343419 | CA343419              | 2020 V Street<br>Sacramento, CA 95814<br>916-447-2437  |
| 343433 | CA343433              | 4708 Roseville Road Suite 112<br>North Highlands, CA 95660<br>916-349-7500   |
| 343452 | CA343452              | P. O. Box 269003<br>Sacramento, CA 95855<br>916-228-2202   |
| 343482 | CA343482              | 5330 Power Inn Road Suite A<br>Sacramento, CA 95820  |
| 343487 | CA343487              | 2150 Butano Drive<br>Sacramento, CA 95825  |
| 343498 | CA343498              | 915 T Street<br>Sacramento, CA 95814   |
| 35     | San Benito County     | San Benito County Behavioral Health Department, Substance Abuse Programs<br>1131 San Felipe Road<br>1131 San Felipe Road<br>Hollister, CA 95023-2809<br>(831) 636-4020                                 |
| 36     | San Bernardino County | Department of Behavioral Health and Alcohol and Drug Programs<br>268 W. Hospitality Lane, Suite 400<br>268 W. Hospitality Lane, Suite 400<br>San Bernardino, CA 92415-0026                             |

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|        |                  | (909) 382-3133  |
| 363686 | CA363686         | 800 West Broadway Suite D<br>Needles, CA 92363<br>928-763-1945  |
| 363687 | CA363687         | 17205 Arrow Boulevard<br>Fontana, CA 92335<br>909-356-9390  |
| 363688 | CA363688         | 1535 North Baker Avenue Suite 2 C<br>Ontario, CA 91764<br>909-981-5550  |
| 363693 | CA363693         | 939 North D Street<br>San Bernardino, CA 92401<br>909-381-5100  |
| 368606 | CA368606         | 236 236-Rear 300 304 and 308 East Fredericks Avenue<br>Barstow, CA 92311<br>760-256-7313  |
| 37     | San Diego County | Alcohol and Drug Services, San Diego County Health and<br>Human Services<br>3255 Camino Del Rio South<br>3255 Camino Del Rio South<br>San Diego, CA 92108<br>(619) 584-5030 |
| 370142 | CA370142         | 2535 Camino Del Rio South Suite 230<br>San Diego, CA 92108<br>619-220-7090  |
| 370300 | CA370300         | 409 Camino Del Rio South Suite 305<br>San Diego, CA 92108<br>619-296-3355   |
| 370360 | CA370360         | 4691 Cypress Glenn<br>Del Mar, CA 92130<br>858-755-6598   |
| 370371 | CA370371         | 9170 Camino Santa Fe<br>San Diego, CA 92121<br>858-514-7545   |
| 370380 | CA370380         | 2191 El Camino Real Suite 103-A<br>Oceanside, CA 92054  |
| 370430 | CA370430         | 50100 Golsh Road<br>Valley Center, CA 92082   |
| 373775 | CA373775         | 6401 Linda Vista Road<br>San Diego, CA 92111<br>619-718-6667  |
| 373795 | CA373795         | 1105 Broadway Suites 207-209<br>Chula Vista, CA 91911<br>619-425-5609   |
| 373798 | CA373798         | 5150 University Avenue<br>San Diego, CA 92105<br>619-283-9624   |
| 373799 | CA373799         | 3255 Camino del Rio South<br>San Diego, CA 92108<br>619-584-5007  |
| 378501 | CA378501         | 10788 Roselle Street<br>San Diego, CA 92121<br>800-882-7272   |
| 378503 | CA378503         | 785 Gran Avenue Suite 220<br>Carlsbad, CA 92008<br>760-729-2830   |
| 378507 | CA378507         | 6505 Alvarado Road Suite 205<br>San Diego, CA 92120<br>619-594-3384   |
| 378516 | CA378516         | 2700 Adams Avenue Suite 101<br>San Diego, CA 92116<br>619-325-0522  |
| 378543 | CA378543         | 1010 Second Avenue Suite 1940<br>San Diego, CA 92101<br>619-557-6635  |
|        |                  | San Francisco Department of Public Health, Community  |

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| 38     | San Francisco County   | Behavioral Health Services<br>1380 Howard Street, #401<br>1380 Howard Street, #401<br>San Francisco, CA 94103<br>(415) 255-3717   |
| 383800 | CA383800               | 1380 Howard Street Room 419<br>San Francisco, CA 94103  |
| 383821 | CA383821               | 1044 Larkin Street<br>San Francisco, CA 94103   |
| 383846 | CA383846               | 1596 Post Street<br>San Francisco, CA 94102   |
| 383892 | CA383892               | 55 Hawthorne Street Suite 430<br>San Francisco, CA 94105<br>415-543-9119  |
| 383896 | CA383896               | 717 Market Street<br>San Francisco, CA 94103  |
| 39     | San Joaquin County     | San Joaquin County Behavioral Health Services<br>620 N. Aurora Street, Suite 1<br>620 N. Aurora Street, Suite 1<br>Stockton, CA 95202<br>(209) 468-8483   |
| 40     | San Luis Obispo County | San Luis Obispo County Drug and Alcohol Services, Behavioral Health Services<br>2180 Johnson Avenue<br>2180 Johnson Avenue<br>San Luis Obispo, CA 93401<br>(805) 781-4759                           |
| 41     | San Mateo County       | Alcohol and Drug Services, San Mateo County Behavioral Health and Recovery Services<br>225 37th Avenue, 3rd Floor<br>225 37th Avenue, 3rd Floor<br>San Mateo, CA 94403<br>(650) 573-3609            |
| 414103 | CA414103               | 498 Kelly Avenue<br>Half Moon Bay, CA 94019   |
| 414104 | CA414104               | 101 Lincoln Avenue<br>Daly City, CA 94015   |
| 414109 | CA414109               | 1848 B Bay Road<br>East Palo Alto, CA 94303   |
| 414111 | CA414111               | 555 Richmond Drive<br>Millbrae, CA 94030  |
| 414164 | CA414164               | 1155 Broadway #129<br>Redwood City, CA 94063<br>650-216-5896  |
| 414183 | CA414183               | 4 Maywood Lane<br>Menlo Park, CA 94025  |
| 414189 | CA414189               | 4 West 4th Avenue<br>San Mateo, CA 94402<br>415-455-1676  |
| 414190 | CA414190               | 1200 6th Avenue Suite 105<br>Belmont, CA 94200<br>650-591-3306  |
| 42     | Santa Barbara County   | Santa Barbara County Alcohol, Drug and Mental Health Services<br>300 North San Antonio Road, Building 1<br>300 North San Antonio Road, Building 1<br>Santa Barbara, CA 93110-1316<br>(805) 681-4908 |
| 424204 | CA424204               | 845 Willoughlen Road<br>Santa Barbara, CA 93106<br>805-893-2263   |
| 424205 | CA424205               | 300 North San Antonio Road<br>Santa Barbara, CA 93110<br>805-681-5443   |
| 424208 | CA424208               | 117 East Carrillo Street<br>Santa Barbara, CA 93101   |

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|--------|--------------------|---|
|        |                    | 805-749-8600  |
| 424217 | CA424217           | 950 Gilman Street Suite 210<br>Berkeley, CA 94710<br>510-526-6001   |
| 424220 | CA424220           | 300 Norh San Antonio Road Room B101<br>Santa Barbara, CA 93110<br>805-681-5440  |
| 424221 | CA424221           | 300 North San Antonio Road<br>Santa Barbara, CA 93110<br>805-681-5440   |
| 424293 | CA424293           | 4710 West Main Street<br>Guadalupe, CA 93434<br>805-922-2243  |
| 426001 | CA426001           | 4490 Tenth Street<br>Guadalupe, CA 93434<br>805-343-2112  |
| 426004 | CA426004           | 100 East Carrillo<br>Santa Barbara, CA 93102<br>805-897-2650  |
| 426009 | CA426009           | 412 East Tunnel Street<br>Santa Maria, CA 93454<br>805-346-8185   |
| 426010 | CA426010           | 889 Camino Del Sur<br>Goleta, CA 93117<br>805-560-3635  |
| 426017 | CA426017           | 777 Camino Pescadero #6<br>Goleta, CA 93117<br>805-570-5599   |
| 43     | Santa Clara County | Santa Clara County Department of Alcohol and Drug Services<br>976 Lenzen Avenue, 3rd Floor<br>976 Lenzen Avenue, 3rd Floor<br>San Jose, CA 95126-2737<br>(408) 792-5691 |
| 434325 | CA434325           | 17421 Farley Road West<br>Los Gatos, CA 95030<br>408-354-2520   |
| 434326 | CA434326           | 290 100F Avenue #2<br>Gilroy, CA 95020<br>408-686-2285  |
| 434331 | CA434331           | 290 100F Avenue #1<br>Gilroy, CA 95020<br>408-686-2285  |
| 434334 | CA434334           | 16530 Monterey Road<br>Morgan Hill, CA 95037<br>408-686-2285  |
| 434336 | CA434336           | 2400 Moorpark Avenue Suite 316B<br>San Jose, CA 95128<br>650-354-8109   |
| 434340 | CA434340           | 976 Lenzen Avenue Park Alameda Conference Room First Floor<br>San Jose, CA 95126<br>408-439-6083  |
| 434353 | CA434353           | 25 Churchill Avenue<br>Palo Alto, CA 94306<br>650-326-7463  |
| 438309 | CA438309           | 2221 Enborg Lane<br>San Jose, CA 95128<br>800-488-9919  |
| 44     | Santa Cruz County  | Santa Cruz County Alcohol and Drug Program<br>1400 Emeline Avenue<br>1400 Emeline Avenue<br>Santa Cruz, CA 95060<br>(831) 454-4050                                      |
| 444407 | CA444407           | 405 Old San Jose Road<br>Soquel, CA 95073   |
| 444414 | CA444414           | 1400 Emline Avenue Building K<br>Santa Cruz, CA 95060   |

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|--------|-------------------|--|
|        |                   | 408-425-2126   |
| 444415 | CA444415          | 809 Bay Avenue Suite H<br>Capitola, CA 95010   |
| 45     | Shasta County     | Shasta County Mental Health<br>2640 Breslauer Way<br>2640 Breslauer Way<br>Redding, CA 96001<br>(530) 225-5900   |
| 454507 | CA454507          | 4352 Caterpillar Road<br>Redding, CA 96003   |
| 46     | Sierra County     | Sierra County Alcohol and Drug Programs, Department of<br>Human Services<br>202 Front Street<br>202 Front Street<br>Loyalton, CA 96118-0265<br>(530) 993-6707                                      |
| 464618 | CA464618          | Court House Square (P.O. Box 67)<br>Downieville, CA 95936  |
| 47     | Siskiyou County   | County of Siskiyou Behavioral Health Services<br>2060 Campus Drive<br>2060 Campus Drive<br>Yreka, CA 96097<br>(530) 841-4801   |
| 48     | Solano County     | Solano County Health and Social Services, Substance Abuse<br>Division<br>2101 Courage Drive, MS 10-100<br>2101 Courage Drive, MS 10-100<br>Fairfield, CA 94533-0677<br>(707) 784-2228              |
| 484824 | CA484824          | 4522 Abernathy Road<br>Suisun, CA 94585  |
| 484831 | CA484831          | 1 Positive Place<br>Vallejo, CA 94589  |
| 484833 | CA484833          | 40 Eldredge Street Suite-9B<br>Vacaville, CA 95688<br>650-244-1440   |
| 49     | Sonoma County     | Sonoma County Department of Health Services, Alcohol and<br>Other Drug Services Division<br>1221 Farmers Lane, Suite 200<br>1221 Farmers Lane, Suite 200<br>Santa Rosa, CA 95405<br>(707) 565-6945 |
| 490022 | CA490022          | 3650 Standish Avenue<br>Santa Rosa, CA 95407   |
| 494904 | CA494904          | 1300 North Dutton<br>Santa Rosa, CA 95401<br>707-544-6911  |
| 494928 | CA494928          | 1200 College Avenue<br>Santa Rosa, CA 95404<br>707-568-2300  |
| 494930 | CA494930          | 153 Stony Circle Suite 100<br>Santa Rosa, CA 95401<br>707-573-3399   |
| 494940 | CA494940          | 9619 Old Redwood Highway<br>Windsor, CA 95492  |
| 50     | Stanislaus County | Stanislaus County Behavioral Health and Recovery Services<br>800 Scenic Drive<br>800 Scenic Drive<br>Modesto, CA 95350-6195<br>(209) 525-6225  |
| 505013 | CA505013          | 901-11th Street<br>MODESTO, CA 95354<br>209-567-4145   |
| 51     | Sutter/Yuba       | [NO ADDRESS PROVIDED]  |
|        |                   | Drug and Alcohol Division, Tehama County Health Services<br>Agency   |

|        |                 |   |
|--------|-----------------|---|
| 52     | Tehama County   | P.O. Box 400<br>P.O. Box 400<br>Red Bluff, CA 96080<br>(530) 527-7893   |
| 53     | Trinity County  | Trinity County Behavioral Health Services<br>P.O. Box 1640<br>P.O. Box 1640<br>Weaverville, CA 96093<br>(530) 623-1822                                    |
| 54     | Tulare County   | Alcohol and Drug Programs for Tulare County<br>5957 South Mooney Boulevard<br>5957 South Mooney Boulevard<br>Visalia, CA 93277-9394<br>(559) 737-4660     |
| 545404 | CA545404        | 132 North Valley Oaks Drive<br>Visalia, CA 93292<br>209-733-6690  |
| 545406 | CA545406        | 815 West Oak Street<br>Visalia, CA 93291<br>559-741-7310  |
| 545429 | CA545429        | 1830 North Dinuba Boulevard<br>Visalia, CA 93291<br>559-733-5423  |
| 545477 | CA545477        | 7000 Doe Avenue Building 300<br>Visalia, CA 93291<br>559-651-0155   |
| 55     | Tuolumne County | Tuolumne County Behavioral Health Department<br>2 South Green Street<br>2 South Green Street<br>Sonora, CA 95370<br>(209) 533-6245                        |
| 56     | Ventura County  | Ventura County Behavioral Health Administration<br>1911 Williams Drive, Suite 200<br>1911 Williams Drive, Suite 200<br>Oxnard, CA 93036<br>(805) 981-2214 |
| 565611 | CA565611        | 586 Donizetti Avenue<br>Ventura, CA 93003<br>805-652-6775   |
| 565629 | CA565629        | 501 Marin Street Suite 103<br>Thousand Oaks, CA 91360<br>805-379-3611   |
| 565639 | CA565639        | 1911 Williams Drive Suite 200<br>Oxnard, CA 93036<br>805-652-3345   |
| 565643 | CA565643        | 5700 Ralston Street Suite 210<br>Ventura, CA 93003<br>805-289-3303  |
| 565648 | CA565648        | 1100 California Avenue<br>Bakersfield, CA 93304<br>661-322-4250   |
| 565651 | CA565651        | 201 Church Road<br>Ojai, CA 93024<br>805-640-9555   |
| 565663 | CA565663        | 856 East Thompson Avenue<br>Ventura, CA 93001<br>805-647-4622   |
| 565929 | CA565929        | 4635 Talmadge Drive<br>San Diego, CA 92116<br>619-281-0445  |
| 566406 | CA566406        | 167 South Kalorama Street<br>Ventura, CA 93001<br>805-648-5521  |
| 566407 | CA566407        | 501 South Meta Street<br>Oxnard, CA 93030<br>805-240-2158   |
|        |                 | 673 Weaver Street   |

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|--------|---|---|
| 566408 | CA566408                                | Simi Valley, CA 93065<br>805-527-3401   |
| 566412 | CA566412                                | 4954 Cardinal Way<br>Oak Park, CA 91377<br>818-970-6236   |
| 57     | Yolo County                             | Yolo County Alcohol, Drug and Mental Health Department<br>137 North Cottonwood Street, Suite 2500 - Admin<br>137 North Cottonwood Street, Suite 2500 - Admin<br>Woodland, CA 95695-2510<br>(530) 666-8532 |
| 575703 | CA575703                                | 120 West Main Street Suite F<br>Woodland, CA 95695<br>530-406-4855  |
| 575712 | CA575712                                | 20 Cottonwood Street<br>Woodland, CA 95695  |
| 575758 | CA575758                                | Yolo County Alcohol and Drug Program<br>500 B Jefferson Blvd., Suite 150<br>500 B Jefferson Blvd., Suite 150<br>West Sacramento, CA 95606<br>916-375-6350   |
| 58     | Sutter/Yuba Providers                   | For Sutter/Yuba providers,<br>please see listing under #58<br>please see listing under #58<br>on Form 6   |
| 585819 | CA585819                                | 301 4th Street Suite 4<br>Marysville, CA 95901  |
| 98     | Department of Public Health             | Tobacco Control Program<br>1616 Capitol Avenue, Suite 74.516<br>1616 Capitol Avenue, Suite 74.516<br>Sacramento, CA 95811   |
| 99     | Department of Alcohol and Drug Programs | 1700 K Street<br>Sacramento, CA 95811<br>916-322-3014   |

**Form 6a**

**State: California**

**Prevention Strategy Report**

| <b>Column A (Risks)</b>           | <b>Column B(Strategies)</b>  | <b>Column C (Providers)</b> |
|-----------------------------------|--|-----------------------------|
| Children of Substance Abusers [1] | Clearinghouse/information resources centers [ 1 ]  | 5                           |
|                                   | Resources directories [ 2 ]  | 26                          |
|                                   | Media campaigns [ 3 ]  | 22                          |
|                                   | Brochures [ 4 ]  | 89                          |
|                                   | Radio and TV public service announcements [ 5 ]  | 18                          |
|                                   | Speaking engagements [ 6 ]   | 53                          |
|                                   | Health fairs and other health promotion, e.g., conferences, meetings, seminars [ 7 ]   | 25                          |
|                                   | Information lines/Hot lines [ 8 ]  | 37                          |
|                                   | Audio/Visual Material Development & Dissemination [ 9 ]  | 28                          |
|                                   | Parenting and family management [ 11 ]   | 30                          |
|                                   | Ongoing classroom and/or small group sessions [ 12 ]   | 102                         |
|                                   | Peer leader/helper programs [ 13 ]   | 16                          |
|                                   | Education programs for youth groups [ 14 ]   | 64                          |
|                                   | Mentors [ 15 ]   | 14                          |
|                                   | Preschool ATOD prevention programs [ 16 ]  | 4                           |
|                                   | [ 17 ]   | 17                          |
|                                   | Drug free dances and parties [ 21 ]  | 51                          |
|                                   | Youth/adult leadership activities [ 22 ]   | 41                          |
|                                   | Community drop-in centers [ 23 ]   | 18                          |
|                                   | Community service activities [ 24 ]  | 26                          |
|                                   | Outward Bound [ 25 ]   | 3                           |
|                                   | Recreation activities [ 26 ]   | 26                          |
|                                   | Student Assistance Programs [ 32 ]   | 10                          |
|                                   | Prevention Assessment & Referral Services [ 34 ]   | 38                          |
|                                   | Community and volunteer training, e.g., neighborhood action training, impactor training, staff/officials training [ 41 ]                           | 37                          |
|                                   | Systematic planning [ 42 ]   | 23                          |
|                                   | Multi-agency coordination and collaboration/coalition [ 43 ]   | 75                          |
|                                   | Community team-building [ 44 ]   | 41                          |
|                                   | Accessing services and funding [ 45 ]  | 26                          |
|                                   | Assessing Community Needs/Assets [ 46 ]  | 22                          |
|                                   | Promoting the establishment of review of alcohol, tobacco, and drug use policies in schools [ 51 ]   | 13                          |
|                                   | Guidance and technical assistance on monitoring enforcement governing availability and distribution of alcohol, tobacco, and other drug use [ 52 ] | 18                          |
|                                   | Modifying alcohol and tobacco advertising practices [ 53 ]   | 5                           |
|                                   | Product pricing strategies [ 54 ]  | 3                           |

|                          |  |    |
|--------------------------|--|----|
|                          | Community Development [ 55 ]   | 34 |
|                          | Assessing Community Needs/Assets [ 71 ]  | 35 |
|                          | Curricula Development & Dissemination [ 72 ]   | 30 |
|                          | Educational Services for Adult Groups [ 73 ]   | 33 |
|                          | Efforts with City and/or County Officials [ 74 ]   | 27 |
|                          | Holiday Campaigns & Special Events [ 75 ]  | 27 |
|                          | Conferences/Fairs [ 76 ]   | 21 |
|                          | Media Advocacy [ 77 ]  | 18 |
|                          | Neighborhood Mobilization [ 78 ]   | 21 |
|                          | Evaluation Services [ 79 ]   | 10 |
|                          | AOD Warning Posters, Notices & Signs [ 80 ]  | 14 |
|                          | Newsletter Development & Dissemination [ 81 ]  | 20 |
|                          | Printed Material Development & Dissemination [ 82 ]  | 87 |
|                          | Social Norms Marketing [ 83 ]  | 14 |
|                          | Technical Assistance [ 84 ]  | 14 |
| Pregnant Women/Teens [2] | Clearinghouse/information resources centers [ 1 ]  | 4  |
|                          | Resources directories [ 2 ]  | 18 |
|                          | Media campaigns [ 3 ]  | 16 |
|                          | Brochures [ 4 ]  | 78 |
|                          | Radio and TV public service announcements [ 5 ]  | 15 |
|                          | Speaking engagements [ 6 ]   | 37 |
|                          | Health fairs and other health promotion, e.g., conferences, meetings, seminars [ 7 ]                                     | 29 |
|                          | Information lines/Hot lines [ 8 ]  | 32 |
|                          | Parenting and family management [ 11 ]   | 19 |
|                          | Ongoing classroom and/or small group sessions [ 12 ]   | 45 |
|                          | Peer leader/helper programs [ 13 ]   | 5  |
|                          | Education programs for youth groups [ 14 ]   | 22 |
|                          | Mentors [ 15 ]   | 7  |
|                          | Preschool ATOD prevention programs [ 16 ]  | 1  |
|                          | Drug free dances and parties [ 21 ]  | 27 |
|                          | Youth/adult leadership activities [ 22 ]   | 21 |
|                          | Community drop-in centers [ 23 ]   | 9  |
|                          | Community service activities [ 24 ]  | 14 |
|                          | Recreation activities [ 26 ]   | 9  |
|                          | Student Assistance Programs [ 32 ]   | 3  |
|                          | Prevention Assessment & Referral Services [ 34 ]   | 24 |
|                          | Community and volunteer training, e.g., neighborhood action training, impactor training, staff/officials training [ 41 ] | 26 |
|                          | Systematic planning [ 42 ]   | 13 |
|                          | Multi-agency coordination and collaboration/coalition [ 43 ]   | 52 |
|                          | Community team-building [ 44 ]   | 30 |
|                          | Accessing services and funding [ 45 ]  | 16 |
|                          | Promoting the establishment of review of alcohol, tobacco, and drug use policies in schools [ 51 ]                       | 5  |

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|---------------|--|----|
|               | Guidance and technical assistance on monitoring enforcement governing availability and distribution of alcohol, tobacco, and other drug use [ 52 ] | 16 |
|               | Modifying alcohol and tobacco advertising practices [ 53 ]   | 5  |
|               | Product pricing strategies [ 54 ]  | 1  |
|               | Assessing Community Needs/Assets [ 71 ]  | 24 |
|               | Curricula Development & Dissemination [ 72 ]   | 26 |
|               | Educational Services for Adult Groups [ 73 ]   | 35 |
|               | Efforts with City and/or County Officials [ 74 ]   | 22 |
|               | Holiday Campaigns & Special Events [ 75 ]  | 18 |
|               | Conferences/Fairs [ 76 ]   | 16 |
|               | Media Advocacy [ 77 ]  | 17 |
|               | Neighborhood Mobilization [ 78 ]   | 21 |
|               | Evaluation Services [ 79 ]   | 7  |
|               | AOD Warning Posters, Notices & Signs [ 80 ]  | 9  |
|               | Newsletter Development & Dissemination [ 81 ]  | 15 |
|               | Printed Material Development & Dissemination [ 82 ]  | 69 |
|               | Social Norms Marketing [ 83 ]  | 12 |
|               | Technical Assistance [ 84 ]  | 12 |
|               | Audio/Visual Development & Dissemination [ 85 ]  | 15 |
|               | Community Development [ 86 ]   | 31 |
|               | Facility Design to Prevent AOD Problems [ 87 ]   | 2  |
|               | Training Services [ 88 ]   | 15 |
|               | Zoning Ordinances [ 89 ]   | 2  |
| Drop-Outs [3] | Clearinghouse/information resources centers [ 1 ]  | 3  |
|               | Resources directories [ 2 ]  | 16 |
|               | Media campaigns [ 3 ]  | 14 |
|               | Brochures [ 4 ]  | 63 |
|               | Radio and TV public service announcements [ 5 ]  | 12 |
|               | Speaking engagements [ 6 ]   | 30 |
|               | Health fairs and other health promotion, e.g., conferences, meetings, seminars [ 7 ]   | 16 |
|               | Information lines/Hot lines [ 8 ]  | 24 |
|               | Parenting and family management [ 11 ]   | 18 |
|               | Ongoing classroom and/or small group sessions [ 12 ]   | 52 |
|               | Peer leader/helper programs [ 13 ]   | 5  |
|               | Education programs for youth groups [ 14 ]   | 38 |
|               | Mentors [ 15 ]   | 11 |
|               | Drug free dances and parties [ 21 ]  | 26 |
|               | Youth/adult leadership activities [ 22 ]   | 21 |
|               | Community drop-in centers [ 23 ]   | 10 |
|               | Community service activities [ 24 ]  | 12 |
|               | Outward Bound [ 25 ]   | 1  |
|               | Recreation activities [ 26 ]   | 13 |
|               | Student Assistance Programs [ 32 ]   | 3  |
|               | Prevention Assessment & Referral Services [ 34 ]   | 26 |

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|-------------------------------------|--|-----|
|                                     | Community and volunteer training, e.g., neighborhood action training, impactor training, staff/officials training [ 41 ]                           | 23  |
|                                     | Systematic planning [ 42 ]   | 9   |
|                                     | Multi-agency coordination and collaboration/coalition [ 43 ]   | 50  |
|                                     | Community team-building [ 44 ]   | 32  |
|                                     | Accessing services and funding [ 45 ]  | 15  |
|                                     | Promoting the establishment of review of alcohol, tobacco, and drug use policies in schools [ 51 ]   | 6   |
|                                     | Guidance and technical assistance on monitoring enforcement governing availability and distribution of alcohol, tobacco, and other drug use [ 52 ] | 16  |
|                                     | Modifying alcohol and tobacco advertising practices [ 53 ]   | 4   |
|                                     | Product pricing strategies [ 54 ]  | 1   |
|                                     | Assessing Community Needs/Assets [ 71 ]  | 20  |
|                                     | Curricula Development & Dissemination [ 72 ]   | 16  |
|                                     | Educational Services for Adult Groups [ 73 ]   | 21  |
|                                     | Efforts with City and/or County Officials [ 74 ]   | 19  |
|                                     | Holiday Campaigns & Special Events [ 75 ]  | 11  |
|                                     | Conferences/Fairs [ 76 ]   | 9   |
|                                     | Media Advocacy [ 77 ]  | 12  |
|                                     | Neighborhood Mobilization [ 78 ]   | 17  |
|                                     | Evaluation Services [ 79 ]   | 8   |
|                                     | AOD Warning Posters, Notices & Signs [ 80 ]  | 7   |
|                                     | Newsletter Development & Dissemination [ 81 ]  | 10  |
|                                     | Printed Material Development & Dissemination [ 82 ]  | 50  |
|                                     | Social Norms Marketing [ 83 ]  | 10  |
|                                     | Technical Assistance [ 84 ]  | 9   |
|                                     | Audio/Visual Development & Dissemination [ 85 ]  | 20  |
|                                     | Community Development [ 86 ]   | 24  |
|                                     | Training Services [ 88 ]   | 12  |
|                                     | Zoning Ordinances [ 89 ]   | 0   |
| Violent and Delinquent Behavior [4] | Clearinghouse/information resources centers [ 1 ]  | 2   |
|                                     | Resources directories [ 2 ]  | 24  |
|                                     | Media campaigns [ 3 ]  | 17  |
|                                     | Brochures [ 4 ]  | 82  |
|                                     | Radio and TV public service announcements [ 5 ]  | 15  |
|                                     | Speaking engagements [ 6 ]   | 47  |
|                                     | Health fairs and other health promotion, e.g., conferences, meetings, seminars [ 7 ]   | 23  |
|                                     | Information lines/Hot lines [ 8 ]  | 34  |
|                                     | Audio/Visual Material Development & Dissemination [ 9 ]  | 21  |
|                                     | Parenting and family management [ 11 ]   | 22  |
|                                     | Ongoing classroom and/or small group sessions [ 12 ]   | 106 |
|                                     | Peer leader/helper programs [ 13 ]   | 14  |
|                                     | Education programs for youth groups [ 14 ]   | 67  |

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|----------------------------|--|----|
|                            | Mentors [ 15 ]   | 19 |
|                            | Preschool ATOD prevention programs [ 16 ]  | 2  |
|                            | [ 17 ]   | 0  |
|                            | Drug free dances and parties [ 21 ]  | 43 |
|                            | Youth/adult leadership activities [ 22 ]   | 44 |
|                            | Community drop-in centers [ 23 ]   | 10 |
|                            | Community service activities [ 24 ]  | 18 |
|                            | Outward Bound [ 25 ]   | 1  |
|                            | Recreation activities [ 26 ]   | 26 |
|                            | Student Assistance Programs [ 32 ]   | 10 |
|                            | Prevention Assessment & Referral Services [ 34 ]   | 0  |
|                            | Community and volunteer training, e.g., neighborhood action training, impactor training, staff/officials training [ 41 ]                           | 30 |
|                            | Systematic planning [ 42 ]   | 22 |
|                            | Multi-agency coordination and collaboration/coalition [ 43 ]   | 71 |
|                            | Community team-building [ 44 ]   | 39 |
|                            | Accessing services and funding [ 45 ]  | 23 |
|                            | Assessing Community Needs/Assets [ 46 ]  | 13 |
|                            | Promoting the establishment of review of alcohol, tobacco, and drug use policies in schools [ 51 ]   | 9  |
|                            | Guidance and technical assistance on monitoring enforcement governing availability and distribution of alcohol, tobacco, and other drug use [ 52 ] | 20 |
|                            | Modifying alcohol and tobacco advertising practices [ 53 ]   | 4  |
|                            | Product pricing strategies [ 54 ]  | 1  |
|                            | Community Development [ 55 ]   | 31 |
|                            | Assessing Community Needs/Assets [ 71 ]  | 33 |
|                            | Curricula Development & Dissemination [ 72 ]   | 28 |
|                            | Educational Services for Adult Groups [ 73 ]   | 14 |
|                            | Efforts with City and/or County Officials [ 74 ]   | 25 |
|                            | Holiday Campaigns & Special Events [ 75 ]  | 22 |
|                            | Conferences/Fairs [ 76 ]   | 16 |
|                            | Media Advocacy [ 77 ]  | 19 |
|                            | Neighborhood Mobilization [ 78 ]   | 22 |
|                            | Evaluation Services [ 79 ]   | 13 |
|                            | AOD Warning Posters, Notices & Signs [ 80 ]  | 11 |
|                            | Newsletter Development & Dissemination [ 81 ]  | 15 |
|                            | Printed Material Development & Dissemination [ 82 ]  | 78 |
|                            | Social Norms Marketing [ 83 ]  | 11 |
|                            | Technical Assistance [ 84 ]  | 13 |
| Mental Health Problems [5] | Clearinghouse/information resources centers [ 1 ]  | 6  |
|                            | Resources directories [ 2 ]  | 32 |
|                            | Media campaigns [ 3 ]  | 17 |
|                            | Brochures [ 4 ]  | 87 |
|                            | Radio and TV public service announcements [ 5 ]  | 13 |
|                            | Speaking engagements [ 6 ]   | 47 |

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|--|--|----|
|  | Health fairs and other health promotion, e.g., conferences, meetings, seminars [ 7 ]   | 24 |
|  | Information lines/Hot lines [ 8 ]  | 43 |
|  | Parenting and family management [ 11 ]   | 21 |
|  | Ongoing classroom and/or small group sessions [ 12 ]   | 69 |
|  | Peer leader/helper programs [ 13 ]   | 10 |
|  | Education programs for youth groups [ 14 ]   | 34 |
|  | Mentors [ 15 ]   | 12 |
|  | Drug free dances and parties [ 21 ]  | 37 |
|  | Youth/adult leadership activities [ 22 ]   | 26 |
|  | Community drop-in centers [ 23 ]   | 13 |
|  | Community service activities [ 24 ]  | 15 |
|  | Outward Bound [ 25 ]   | 2  |
|  | Recreation activities [ 26 ]   | 11 |
|  | Student Assistance Programs [ 32 ]   | 7  |
|  | Prevention Assessment & Referral Services [ 34 ]   | 46 |
|  | Community and volunteer training, e.g., neighborhood action training, impactor training, staff/officials training [ 41 ]                           | 32 |
|  | Systematic planning [ 42 ]   | 17 |
|  | Multi-agency coordination and collaboration/coalition [ 43 ]   | 59 |
|  | Community team-building [ 44 ]   | 35 |
|  | Accessing services and funding [ 45 ]  | 19 |
|  | Promoting the establishment of review of alcohol, tobacco, and drug use policies in schools [ 51 ]   | 2  |
|  | Guidance and technical assistance on monitoring enforcement governing availability and distribution of alcohol, tobacco, and other drug use [ 52 ] | 18 |
|  | Modifying alcohol and tobacco advertising practices [ 53 ]   | 2  |
|  | Product pricing strategies [ 54 ]  | 1  |
|  | Assessing Community Needs/Assets [ 71 ]  | 29 |
|  | Curricula Development & Dissemination [ 72 ]   | 22 |
|  | Educational Services for Adult Groups [ 73 ]   | 47 |
|  | Efforts with City and/or County Officials [ 74 ]   | 25 |
|  | Holiday Campaigns & Special Events [ 75 ]  | 21 |
|  | Conferences/Fairs [ 76 ]   | 20 |
|  | Media Advocacy [ 77 ]  | 15 |
|  | Neighborhood Mobilization [ 78 ]   | 17 |
|  | Evaluation Services [ 79 ]   | 12 |
|  | AOD Warning Posters, Notices & Signs [ 80 ]  | 9  |
|  | Newsletter Development & Dissemination [ 81 ]  | 18 |
|  | Printed Material Development & Dissemination [ 82 ]  | 80 |
|  | Social Norms Marketing [ 83 ]  | 9  |
|  | Technical Assistance [ 84 ]  | 16 |
|  | Audio/Visual Development & Dissemination [ 85 ]  | 24 |
|  | Community Development [ 86 ]   | 30 |

|                                |  |     |
|--------------------------------|--|-----|
|                                | Facility Design to Prevent AOD Problems [ 87 ]   | 3   |
|                                | Training Services [ 88 ]   | 16  |
| Economically Disadvantaged [6] | Clearinghouse/information resources centers [ 1 ]  | 8   |
|                                | Resources directories [ 2 ]  | 38  |
|                                | Media campaigns [ 3 ]  | 26  |
|                                | Brochures [ 4 ]  | 117 |
|                                | Radio and TV public service announcements [ 5 ]  | 19  |
|                                | Speaking engagements [ 6 ]   | 79  |
|                                | Health fairs and other health promotion, e.g., conferences, meetings, seminars [ 7 ]   | 48  |
|                                | Information lines/Hot lines [ 8 ]  | 48  |
|                                | Parenting and family management [ 11 ]   | 42  |
|                                | Ongoing classroom and/or small group sessions [ 12 ]   | 126 |
|                                | Peer leader/helper programs [ 13 ]   | 24  |
|                                | Education programs for youth groups [ 14 ]   | 76  |
|                                | Mentors [ 15 ]   | 27  |
|                                | Preschool ATOD prevention programs [ 16 ]  | 3   |
|                                | Drug free dances and parties [ 21 ]  | 72  |
|                                | Youth/adult leadership activities [ 22 ]   | 53  |
|                                | Community drop-in centers [ 23 ]   | 23  |
|                                | Community service activities [ 24 ]  | 34  |
|                                | Outward Bound [ 25 ]   | 3   |
|                                | Recreation activities [ 26 ]   | 38  |
|                                | Employee Assistance Programs [ 31 ]  | 1   |
|                                | Student Assistance Programs [ 32 ]   | 9   |
|                                | Prevention Assessment & Referral Services [ 34 ]   | 54  |
|                                | Community and volunteer training, e.g., neighborhood action training, impactor training, staff/officials training [ 41 ]                           | 58  |
|                                | Systematic planning [ 42 ]   | 26  |
|                                | Multi-agency coordination and collaboration/coalition [ 43 ]   | 93  |
|                                | Community team-building [ 44 ]   | 58  |
|                                | Accessing services and funding [ 45 ]  | 32  |
|                                | Promoting the establishment of review of alcohol, tobacco, and drug use policies in schools [ 51 ]   | 12  |
|                                | Guidance and technical assistance on monitoring enforcement governing availability and distribution of alcohol, tobacco, and other drug use [ 52 ] | 42  |
|                                | Modifying alcohol and tobacco advertising practices [ 53 ]   | 5   |
|                                | Product pricing strategies [ 54 ]  | 2   |
|                                | Assessing Community Needs/Assets [ 71 ]  | 50  |
|                                | Curricula Development & Dissemination [ 72 ]   | 46  |
|                                | Educational Services for Adult Groups [ 73 ]   | 59  |
|                                | Efforts with City and/or County Officials [ 74 ]   | 36  |
|                                | Holiday Campaigns & Special Events [ 75 ]  | 35  |
|                                | Conferences/Fairs [ 76 ]   | 32  |
|                                | Media Advocacy [ 77 ]  | 24  |

|                         |  |     |
|-------------------------|--|-----|
|                         | Neighborhood Mobilization [ 78 ]   | 37  |
|                         | Evaluation Services [ 79 ]   | 23  |
|                         | AOD Warning Posters, Notices & Signs [ 80 ]  | 18  |
|                         | Newsletter Development & Dissemination [ 81 ]  | 35  |
|                         | Printed Material Development & Dissemination [ 82 ]  | 113 |
|                         | Social Norms Marketing [ 83 ]  | 14  |
|                         | Technical Assistance [ 84 ]  | 27  |
|                         | Audio/Visual Development & Dissemination [ 85 ]  | 37  |
|                         | Community Development [ 86 ]   | 49  |
|                         | Facility Design to Prevent AOD Problems [ 87 ]   | 10  |
|                         | Training Services [ 88 ]   | 26  |
|                         | Zoning Ordinances [ 89 ]   | 10  |
| Physically Disabled [7] | Clearinghouse/information resources centers [ 1 ]  | 5   |
|                         | Resources directories [ 2 ]  | 19  |
|                         | Media campaigns [ 3 ]  | 16  |
|                         | Brochures [ 4 ]  | 65  |
|                         | Radio and TV public service announcements [ 5 ]  | 12  |
|                         | Speaking engagements [ 6 ]   | 38  |
|                         | Health fairs and other health promotion, e.g., conferences, meetings, seminars [ 7 ]   | 25  |
|                         | Information lines/Hot lines [ 8 ]  | 30  |
|                         | Parenting and family management [ 11 ]   | 18  |
|                         | Ongoing classroom and/or small group sessions [ 12 ]   | 51  |
|                         | Peer leader/helper programs [ 13 ]   | 8   |
|                         | Education programs for youth groups [ 14 ]   | 18  |
|                         | Mentors [ 15 ]   | 7   |
|                         | Drug free dances and parties [ 21 ]  | 30  |
|                         | Youth/adult leadership activities [ 22 ]   | 27  |
|                         | Community drop-in centers [ 23 ]   | 13  |
|                         | Community service activities [ 24 ]  | 15  |
|                         | Recreation activities [ 26 ]   | 7   |
|                         | Student Assistance Programs [ 32 ]   | 4   |
|                         | Prevention Assessment & Referral Services [ 34 ]   | 25  |
|                         | Community and volunteer training, e.g., neighborhood action training, impactor training, staff/officials training [ 41 ]                           | 30  |
|                         | Systematic planning [ 42 ]   | 12  |
|                         | Multi-agency coordination and collaboration/coalition [ 43 ]   | 51  |
|                         | Community team-building [ 44 ]   | 29  |
|                         | Accessing services and funding [ 45 ]  | 13  |
|                         | Promoting the establishment of review of alcohol, tobacco, and drug use policies in schools [ 51 ]   | 2   |
|                         | Guidance and technical assistance on monitoring enforcement governing availability and distribution of alcohol, tobacco, and other drug use [ 52 ] | 15  |
|                         | Modifying alcohol and tobacco advertising practices [ 53 ]   | 2   |

|                   |  |    |
|-------------------|--|----|
|                   | Product pricing strategies [ 54 ]  | 1  |
|                   | Assessing Community Needs/Assets [ 71 ]  | 22 |
|                   | Curricula Development & Dissemination [ 72 ]   | 21 |
|                   | Educational Services for Adult Groups [ 73 ]   | 35 |
|                   | Efforts with City and/or County Officials [ 74 ]   | 19 |
|                   | Holiday Campaigns & Special Events [ 75 ]  | 19 |
|                   | Conferences/Fairs [ 76 ]   | 14 |
|                   | Media Advocacy [ 77 ]  | 14 |
|                   | Neighborhood Mobilization [ 78 ]   | 15 |
|                   | Evaluation Services [ 79 ]   | 7  |
|                   | AOD Warning Posters, Notices & Signs [ 80 ]  | 9  |
|                   | Newsletter Development & Dissemination [ 81 ]  | 20 |
|                   | Printed Material Development & Dissemination [ 82 ]  | 68 |
|                   | Social Norms Marketing [ 83 ]  | 9  |
|                   | Technical Assistance [ 84 ]  | 12 |
|                   | Audio/Visual Development & Dissemination [ 85 ]  | 18 |
|                   | Community Development [ 86 ]   | 23 |
|                   | Training Services [ 88 ]   | 13 |
|                   | Zoning Ordinances [ 89 ]   | 5  |
| Abuse Victims [8] | Clearinghouse/information resources centers [ 1 ]  | 6  |
|                   | Resources directories [ 2 ]  | 24 |
|                   | Media campaigns [ 3 ]  | 16 |
|                   | Brochures [ 4 ]  | 71 |
|                   | Radio and TV public service announcements [ 5 ]  | 14 |
|                   | Speaking engagements [ 6 ]   | 39 |
|                   | Health fairs and other health promotion, e.g., conferences, meetings, seminars [ 7 ]                                     | 23 |
|                   | Information lines/Hot lines [ 8 ]  | 36 |
|                   | Parenting and family management [ 11 ]   | 26 |
|                   | Ongoing classroom and/or small group sessions [ 12 ]   | 73 |
|                   | Peer leader/helper programs [ 13 ]   | 14 |
|                   | Education programs for youth groups [ 14 ]   | 37 |
|                   | Mentors [ 15 ]   | 11 |
|                   | Drug free dances and parties [ 21 ]  | 34 |
|                   | Youth/adult leadership activities [ 22 ]   | 25 |
|                   | Community drop-in centers [ 23 ]   | 10 |
|                   | Community service activities [ 24 ]  | 13 |
|                   | Recreation activities [ 26 ]   | 13 |
|                   | Student Assistance Programs [ 32 ]   | 6  |
|                   | Prevention Assessment & Referral Services [ 34 ]   | 39 |
|                   | Community and volunteer training, e.g., neighborhood action training, impactor training, staff/officials training [ 41 ] | 31 |
|                   | Systematic planning [ 42 ]   | 12 |
|                   | Multi-agency coordination and collaboration/coalition [ 43 ]   | 49 |
|                   | Community team-building [ 44 ]   | 33 |

|                              |  |     |
|------------------------------|--|-----|
|                              | Accessing services and funding [ 45 ]  | 13  |
|                              | Promoting the establishment of review of alcohol, tobacco, and drug use policies in schools [ 51 ]   | 3   |
|                              | Guidance and technical assistance on monitoring enforcement governing availability and distribution of alcohol, tobacco, and other drug use [ 52 ] | 14  |
|                              | Modifying alcohol and tobacco advertising practices [ 53 ]   | 2   |
|                              | Product pricing strategies [ 54 ]  | 1   |
|                              | Assessing Community Needs/Assets [ 71 ]  | 19  |
|                              | Curricula Development & Dissemination [ 72 ]   | 24  |
|                              | Educational Services for Adult Groups [ 73 ]   | 42  |
|                              | Efforts with City and/or County Officials [ 74 ]   | 18  |
|                              | Holiday Campaigns & Special Events [ 75 ]  | 15  |
|                              | Conferences/Fairs [ 76 ]   | 14  |
|                              | Media Advocacy [ 77 ]  | 16  |
|                              | Neighborhood Mobilization [ 78 ]   | 15  |
|                              | Evaluation Services [ 79 ]   | 9   |
|                              | AOD Warning Posters, Notices & Signs [ 80 ]  | 7   |
|                              | Newsletter Development & Dissemination [ 81 ]  | 14  |
|                              | Printed Material Development & Dissemination [ 82 ]  | 69  |
|                              | Social Norms Marketing [ 83 ]  | 9   |
|                              | Technical Assistance [ 84 ]  | 15  |
|                              | Audio/Visual Development & Dissemination [ 85 ]  | 21  |
|                              | Community Development [ 86 ]   | 22  |
|                              | Training Services [ 88 ]   | 18  |
| Already Using Substances [9] | Clearinghouse/information resources centers [ 1 ]  | 9   |
|                              | Resources directories [ 2 ]  | 40  |
|                              | Media campaigns [ 3 ]  | 28  |
|                              | Brochures [ 4 ]  | 111 |
|                              | Radio and TV public service announcements [ 5 ]  | 21  |
|                              | Speaking engagements [ 6 ]   | 63  |
|                              | Health fairs and other health promotion, e.g., conferences, meetings, seminars [ 7 ]   | 28  |
|                              | Information lines/Hot lines [ 8 ]  | 55  |
|                              | Parenting and family management [ 11 ]   | 37  |
|                              | Ongoing classroom and/or small group sessions [ 12 ]   | 110 |
|                              | Peer leader/helper programs [ 13 ]   | 11  |
|                              | Education programs for youth groups [ 14 ]   | 63  |
|                              | Mentors [ 15 ]   | 15  |
|                              | Drug free dances and parties [ 21 ]  | 44  |
|                              | Youth/adult leadership activities [ 22 ]   | 28  |
|                              | Community drop-in centers [ 23 ]   | 19  |
|                              | Community service activities [ 24 ]  | 20  |
|                              | Outward Bound [ 25 ]   | 2   |
|                              | Recreation activities [ 26 ]   | 19  |
|                              | Student Assistance Programs [ 32 ]   | 11  |

|                                     |  |     |
|-------------------------------------|--|-----|
|                                     | Driving while under the influence/driving while intoxicated education programs [ 33 ]  | 2   |
|                                     | Prevention Assessment & Referral Services [ 34 ]   | 63  |
|                                     | Community and volunteer training, e.g., neighborhood action training, impactor training, staff/officials training [ 41 ]                           | 34  |
|                                     | Systematic planning [ 42 ]   | 26  |
|                                     | Multi-agency coordination and collaboration/coalition [ 43 ]   | 82  |
|                                     | Community team-building [ 44 ]   | 51  |
|                                     | Accessing services and funding [ 45 ]  | 32  |
|                                     | Promoting the establishment of review of alcohol, tobacco, and drug use policies in schools [ 51 ]   | 14  |
|                                     | Guidance and technical assistance on monitoring enforcement governing availability and distribution of alcohol, tobacco, and other drug use [ 52 ] | 26  |
|                                     | Modifying alcohol and tobacco advertising practices [ 53 ]   | 3   |
|                                     | Product pricing strategies [ 54 ]  | 1   |
|                                     | Assessing Community Needs/Assets [ 71 ]  | 55  |
|                                     | Curricula Development & Dissemination [ 72 ]   | 44  |
|                                     | Educational Services for Adult Groups [ 73 ]   | 66  |
|                                     | Efforts with City and/or County Officials [ 74 ]   | 38  |
|                                     | Holiday Campaigns & Special Events [ 75 ]  | 26  |
|                                     | Conferences/Fairs [ 76 ]   | 27  |
|                                     | Media Advocacy [ 77 ]  | 22  |
|                                     | Neighborhood Mobilization [ 78 ]   | 27  |
|                                     | Evaluation Services [ 79 ]   | 14  |
|                                     | AOD Warning Posters, Notices & Signs [ 80 ]  | 18  |
|                                     | Newsletter Development & Dissemination [ 81 ]  | 26  |
|                                     | Printed Material Development & Dissemination [ 82 ]  | 102 |
|                                     | Social Norms Marketing [ 83 ]  | 14  |
|                                     | Technical Assistance [ 84 ]  | 20  |
|                                     | Audio/Visual Development & Dissemination [ 85 ]  | 39  |
|                                     | Community Development [ 86 ]   | 43  |
|                                     | Facility Design to Prevent AOD Problems [ 87 ]   | 7   |
|                                     | Training Services [ 88 ]   | 21  |
|                                     | Zoning Ordinances [ 89 ]   | 8   |
| Homeless and/or Run away Youth [10] | Clearinghouse/information resources centers [ 1 ]  | 4   |
|                                     | Resources directories [ 2 ]  | 10  |
|                                     | Media campaigns [ 3 ]  | 12  |
|                                     | Brochures [ 4 ]  | 49  |
|                                     | Radio and TV public service announcements [ 5 ]  | 10  |
|                                     | Speaking engagements [ 6 ]   | 24  |
|                                     | Health fairs and other health promotion, e.g., conferences, meetings, seminars [ 7 ]   | 13  |
|                                     | Information lines/Hot lines [ 8 ]  | 17  |
|                                     | Parenting and family management [ 11 ]   | 9   |
|                                     | Ongoing classroom and/or small group sessions [ 12 ]   | 43  |

|  |  |    |
|--|--|----|
|  | Peer leader/helper programs [ 13 ]   | 6  |
|  | Education programs for youth groups [ 14 ]   | 27 |
|  | Mentors [ 15 ]   | 8  |
|  | Drug free dances and parties [ 21 ]  | 16 |
|  | Youth/adult leadership activities [ 22 ]   | 22 |
|  | Community drop-in centers [ 23 ]   | 10 |
|  | Community service activities [ 24 ]  | 9  |
|  | Outward Bound [ 25 ]   | 1  |
|  | Recreation activities [ 26 ]   | 8  |
|  | Student Assistance Programs [ 32 ]   | 6  |
|  | Prevention Assessment & Referral Services [ 34 ]   | 23 |
|  | Community and volunteer training, e.g., neighborhood action training, impactor training, staff/officials training [ 41 ]                           | 20 |
|  | Systematic planning [ 42 ]   | 12 |
|  | Multi-agency coordination and collaboration/coalition [ 43 ]   | 43 |
|  | Community team-building [ 44 ]   | 24 |
|  | Accessing services and funding [ 45 ]  | 11 |
|  | Promoting the establishment of review of alcohol, tobacco, and drug use policies in schools [ 51 ]   | 3  |
|  | Guidance and technical assistance on monitoring enforcement governing availability and distribution of alcohol, tobacco, and other drug use [ 52 ] | 15 |
|  | Modifying alcohol and tobacco advertising practices [ 53 ]   | 3  |
|  | Product pricing strategies [ 54 ]  | 1  |
|  | Assessing Community Needs/Assets [ 71 ]  | 20 |
|  | Curricula Development & Dissemination [ 72 ]   | 16 |
|  | Educational Services for Adult Groups [ 73 ]   | 9  |
|  | Efforts with City and/or County Officials [ 74 ]   | 16 |
|  | Holiday Campaigns & Special Events [ 75 ]  | 13 |
|  | Conferences/Fairs [ 76 ]   | 11 |
|  | Media Advocacy [ 77 ]  | 11 |
|  | Neighborhood Mobilization [ 78 ]   | 16 |
|  | Evaluation Services [ 79 ]   | 9  |
|  | AOD Warning Posters, Notices & Signs [ 80 ]  | 8  |
|  | Newsletter Development & Dissemination [ 81 ]  | 13 |
|  | Printed Material Development & Dissemination [ 82 ]  | 46 |
|  | Social Norms Marketing [ 83 ]  | 8  |
|  | Technical Assistance [ 84 ]  | 8  |
|  | Audio/Visual Development & Dissemination [ 85 ]  | 13 |
|  | Community Development [ 86 ]   | 16 |
|  | Training Services [ 88 ]   | 9  |

**TREATMENT UTILIZATION MATRIX**

**State: California**

**Dates of State Expenditure Period: From: 7/1/2006 To: 6/30/2007**

| Level of Care                           | Number of Admissions ≥ Number of Persons |                     | Costs per Person                   |                                      |   |
|---|--|---------------------|------------------------------------|--------------------------------------|---|
|   | A.Number of Admissions                   | B.Number of Persons | C.Mean Cost of Services per Person | D.Median Cost of Services per Person | E.Standard Deviation of Cost per Person |
| <b>Detoxification (24-Hour Care)</b>    |  |                     |                                    |                                      |   |
| Hospital Inpatient (Detox)              | 0  | 0                   | \$ 0                               | \$                                   | \$                                      |
| Free-standing Residential               | 25747                                    | 16423               | \$ 1329                            | \$                                   | \$                                      |
| <b>Rehabilitation / Residential</b>     |  |                     |                                    |                                      |   |
| Hospital Inpatient (Rehabilitation)     | 0  |                     | \$ 0                               | \$                                   | \$                                      |
| Short-term (up to 30 days)              | 3419                                     | 2507                | \$ 3504                            | \$                                   | \$                                      |
| Long-term (over 30 days)                | 38595                                    | 25174               | \$ 4753                            | \$                                   | \$                                      |
| <b>Ambulatory (Outpatient)</b>          |  |                     |                                    |                                      |   |
| Outpatient                              | 118569                                   | 98859               | \$ 1311                            | \$                                   | \$                                      |
| Intensive Outpatient                    | 11637                                    | 9134                | \$ 3677                            | \$                                   | \$                                      |
| Detoxification                          | 10413                                    | 6478                | \$ 136                             | \$                                   | \$                                      |
| <b>Opioid Replacement Therapy (ORT)</b> |  |                     |                                    |                                      |   |
| Opioid Replacement Therapy              | 13841                                    | 10826               | \$ 2124                            | \$                                   | \$                                      |

- Foot Notes

Treatment Data

Treatment admission data consists of data collected by the California Outcomes Measurement System - Treatment (CalOMS-Tx) for the period from July 1, 2006, through June 30, 2007 (SFY 2006-07). Alcohol and other drug treatment providers receiving State or federal funds, or licensed by the State to dispense Opioid replacement therapy, are required to report participant data to CalOMS-Tx.

Expenditure Data

The expenditure data reflects expenditures incurred during SFY 2006-07. Counties/providers report expenditures from all sources of public funds; they are not required to report expenditures from private funding sources. Many counties/providers receive funds from a combination of public and private sources.

Calculating Costs per Person

Mean Cost of Services (Column C) was calculated by dividing total expenditures by total clients served during state fiscal year (SFY) 2006-2007. Total clients served includes cases remaining open from previous fiscal years plus cases opened during SFY 2006-2007. Column B reflects the number of unique clients admitted for services during SFY 2006-2007.

Median Cost of Services (Column D) and Standard Deviation of Cost (Column E) cannot be calculated because costs are not reported via a client unit of service format. Costs are reported in total expenditures by service category.

**Form 7b**

**Number of Persons Served (Unduplicated Count) for alcohol and other drug use in state-funded services by age, sex, and race/ethnicity**

**State: California**

| Age               | A. Total | B. White |       | C. Black or African American |      | D. Native Hawaiian / Other Pacific Islander |     | E. Asian |      | F. American Indian / Alaska Native |      | G. More than one race reported |      | H. Unknown |       | I. Not Hispanic or Latino |       | J. Hispanic or Latino |       |
|-------------------|----------|----------|-------|------------------------------|------|---|-----|----------|------|------------------------------------|------|--------------------------------|------|------------|-------|---------------------------|-------|-----------------------|-------|
|                   |          | M        | F     | M                            | F    | M   | F   | M        | F    | M                                  | F    | M                              | F    | M          | F     | M                         | F     | M                     | F     |
| 1. 17 and under   | 17811    | 3658     | 1954  | 1751                         | 1017 | 46  | 29  | 391      | 182  | 173                                | 102  | 520                            | 339  | 5074       | 2575  | 5170                      | 2772  | 6443                  | 3426  |
| 2. 18-24          | 27444    | 8088     | 5217  | 1686                         | 1049 | 63  | 42  | 674      | 303  | 268                                | 258  | 663                            | 491  | 5916       | 2726  | 9759                      | 6259  | 7599                  | 3830  |
| 3. 25-44          | 85497    | 26489    | 17780 | 6805                         | 4552 | 218   | 146 | 1759     | 693  | 1110                               | 1040 | 1493                           | 1238 | 15152      | 7022  | 33357                     | 22548 | 19669                 | 9923  |
| 4. 45-64          | 40436    | 14611    | 6776  | 7033                         | 2925 | 75  | 37  | 545      | 186  | 510                                | 335  | 488                            | 306  | 5058       | 1551  | 21620                     | 8983  | 6700                  | 2223  |
| 5. 65 and over    | 1102     | 358      | 149   | 265                          | 58   | 1   | 0   | 27       | 8    | 14                                 | 4    | 5                              | 1    | 179        | 33    | 624                       | 218   | 225                   | 35    |
| 6. Total          | 172290   | 53204    | 31876 | 17540                        | 9601 | 403   | 254 | 3396     | 1372 | 2075                               | 1739 | 3169                           | 2375 | 31379      | 13907 | 70530                     | 40780 | 40636                 | 19437 |
| 7. Pregnant Women | 3328     |          | 1545  |                              | 461  |   | 9   |          | 89   |                                    | 104  |                                | 176  |            | 944   |                           | 2036  |                       | 1292  |

Did the values reported by your State on Forms 7a and 7b come from a client-based system(s) with unique client identifiers?  Yes  No

Numbers of Persons Served who were admitted in a period prior to the 12 month reporting period. 96723

# California

## Description of Calculations

### Description of Calculations

If revisions or changes are necessary to prior years' description of the following, please provide: a brief narrative describing the amounts and methods used to calculate the following: (a) the base for services to pregnant women and women with dependent children as required by 42 U.S.C. 300x-22(b) (1); and, for 1994 and subsequent fiscal years report the Federal and State expenditures for such services; (b) the base and Maintenance of Effort (MOE) for tuberculosis services as required by 42 U.S.C. 300x-24(d); and, (c) for designated States, the base and MOE for HIV early intervention services as required by 42 U.S.C. 300x-24(d) (See 45 C.F.R. 96.122(f)(5)(ii)(A)(B)(C)).

Methodology for services to pregnant women and women with dependent children:

The base calculation for federal fiscal year (FFY) 1992 was \$10,795,134; \$9,485,000 in State General Funds and \$1,310,134 in Substance Abuse Prevention and Treatment (SAPT) Block Grant funds. In 1993, \$7,612,000 was added to the base calculation, which represented five percent of the California Alcohol and Drug Program (ADP) SAPT Block Grant award, which was also added to the base calculation. The base established in FFY 1994 was \$26,349,134.

Methodology for Tuberculosis (TB) base:

1. Data was obtained from the California Department of Public Health (DPH) on the non-federal expenditures that met the federal definition of TB services in each of the two base years, as follows: counseling the individual with respect to TB; testing to determine infection of mycobacteria TB and the appropriate form of treatment; and providing for or referring for appropriate medical evaluation and treatment.
2. A per-case cost was then developed by dividing the total expenditures by the total reported TB cases in each year.
3. The number of reported TB cases attributable to substance abuse was then developed, based upon extrapolation from verified TB cases reporting excess alcohol use and/or drug use within the past year. This data was first available for calendar year 1993 only; the extrapolated rate was estimated at 21.1 percent for substance abuse-associated TB.
4. The extrapolated rate for 1993 then was adjusted and applied retrospectively for fiscal year (FY) 1991-92 and 1992-93. Because the rates of TB and substance abuse were increasing during that time period, the rate of substance abuse-associated TB was also assumed to have been increasing in those base years. We estimated the rates to have been 20 percent in those years.
5. For FY 2001-02, the non-federal expenditures and the substance abuse-associated TB case rate of 20.8 percent was obtained from DPH, Tuberculosis Control Branch. For FY 2002-03, the budgeted non-federal expenditures and the substance abuse-associated TB case rate of 18.3 percent was also obtained from , Tuberculosis Control Branch.

Methodology for HIV base:

Data was obtained from the Department of Finance (State of California Acquired Immune Deficiency Syndrome [AIDS] Program Funding Detail) on the non-federal expenditures for early intervention services. Using the estimate of eight percent (as provided by the Office of AIDS in their Annual Report) of AIDS cases with the identified

risk factor of injection drug use, the total funds spent in each of the two base years are as follows:

Total State Funds Spent on  
Early Intervention Services for HIV

|                        |                    |
|------------------------|--------------------|
| SFY 1991               | \$1,698,000        |
| SFY 1992               | <u>\$2,402,000</u> |
| MOE Base               | \$2,050,000        |
| (average of two years) |                    |

## SSA (MOE TABLE I)

**State: California**

### Total Single State Agency (SSA) Expenditures for Substance Abuse (Table I)

| PERIOD<br><br>(A) | EXPENDITURES<br><br>(B) | B1(2006) + B2(2007)<br><br>-----<br>2<br>(C) |
|-------------------|-------------------------|--|
| SFY 2006 (1)      | \$255,679,000           | \$282,378,500                                |
| SFY 2007 (2)      | \$309,078,000           |  |
| SFY 2008 (3)      | \$ 297,542,000          |  |

Are the expenditure amounts reported in Column B "actual" expenditures for the State fiscal years involved?

- FY 2006  Yes  No  
 FY 2007  Yes  No  
 FY 2008  Yes  No

If estimated expenditures are provided, please indicate when "actual" expenditure data will be submitted to SAMHSA (mm/dd/yyyy): 10/1/2010

The MOE for State fiscal year(SFY) 2008 is met if the amount in Box B3 is greater than or equal to the amount in Box C2 assuming the State complied with MOE Requirements in these previous years.

The State may request an exclusion of certain non-recurring expenditures for a singular purpose from the calculation of the MOE, provided it meets CSAT approval based on review of the following information:

Did the State have any non-recurring expenditures for a specific purpose which were not included in the MOE calculation?

- Yes  No If yes, specify the amount and the State fiscal year: \$ , (SFY)

Did the State include these funds in previous year MOE calculations?

- Yes  No

When did the State submit an official request to the SAMHSA Administrator to exclude these funds from the MOE calculations? (Date)

- Foot Notes

FY 07 & 08: the numbers are based on budget estimates. Therefore, ADP will request the numbers to be updated in future SAPT Block Grant applications, as has been done in the past. Numbers may change in the two subsequent applications.

**TB (MOE TABLE II)**

**State: California**

**Statewide Non-Federal Expenditures for Tuberculosis Services to Substance Abusers in Treatment (Table II)**

**(BASE TABLE)**

| <b>Period</b> | <b>Total of All State Funds Spent on TB Services (A)</b> | <b>% of TB Expenditures Spent on Clients who were Substance Abusers in Treatment (B)</b> | <b>Total State Funds Spent on Clients who were Substance Abusers in Treatment<br/>A X B<br/>(C)</b> | <b>Average of Columns C1 and C2<br/>C1 + C2<br/>-----<br/>2<br/>(D)</b> |
|---------------|--|--|---|---|
| SFY 1991 (1)  | \$ 1,186,000   | 20 %   | \$ 237,200  | \$ 237,200  |
| SFY 1992 (2)  | \$ 1,186,000   | 20 %   | \$ 237,200  |   |

**(MAINTENANCE TABLE)**

| <b>Period</b> | <b>Total of All State Funds Spent on TB Services (A)</b> | <b>% of TB Expenditures Spent on Clients who were Substance Abusers in Treatment (B)</b> | <b>Total State Funds Spent on Clients who were Substance Abusers in Treatment<br/>A X B<br/>(C)</b> |
|---------------|--|--|---|
| SFY 2008 (3)  | \$ 7,484,000   | 17 %   | \$ 1,272,280  |

### HIV (MOE TABLE III)

**State: California**

#### Statewide Non-Federal Expenditures for HIV Early Intervention Services to Substance Abusers in Treatment (Table III)

##### (BASE TABLE)

| Period          | Total of All State Funds Spent on Early Intervention Services for HIV (A) | Average of Columns A1 and A2<br>A1 + A2<br>-----<br>2<br>(B) |
|-----------------|---|--|
| SFY 1991<br>(1) | \$ 1,698,000  | \$ 2,050,000   |
| SFY 1992<br>(2) | \$ 2,402,000  |  |

##### (MAINTENANCE TABLE)

| Period       | Total of All State Funds Spent on Early Intervention Services for HIV* (A) |
|--------------|--|
| SFY 2008 (3) | \$ 10,712,000  |

\* Provided to substance abusers at the site at which they receive substance abuse treatment

## Womens (MOE TABLE IV)

State: California

### Expenditures for Services to Pregnant Women and Women with Dependent Children (Table IV)

#### (MAINTENANCE TABLE)

| Period | Total Women's Base (A) | Total Expenditures (B) |
|--------|------------------------|------------------------|
| 1994   | \$26,349,134           |                        |
| 2006   |                        | \$44,189,000           |
| 2007   |                        | \$45,324,000           |
| 2008   |                        | \$ 46,345,000          |

Enter the amount the State plans to expend in FY 2009 for services for pregnant women and women with dependent children (amount entered must be not less than amount entered in Table IV Maintenance - Box A {1994}): \$ 26,349,134

- Foot Notes

FY 07 & 08: The numbers are based on budget estimates. Therefore, ADP will request the numbers to be updated in future SAPT Block Grant applications, as has been done in the past. Numbers may change in the two subsequent applications.

# California

## 1. Planning

### 1. Planning

This item addresses compliance of the State's planning procedures with several statutory requirements. It requires completion of narratives and a checklist.

These are the statutory requirements:

- 42 U.S.C. 300x-29, 45 C.F.R. 96.133 and 45 C.F.R. 96.122(g)(13) require the State to submit a Statewide assessment of need for both treatment and prevention.

In a narrative of **up to three pages**, describe how your State carries out sub-State area planning and determines which areas have the highest incidence, prevalence, and greatest need. Include a definition of your State's sub-State planning areas. Identify what data is collected, how it is collected, and how it is used in making these decisions. If there is a State, regional, or local advisory council, describe their composition and their role in the planning process. Describe the monitoring process the State will use to assure that funded programs serve communities with the highest prevalence and need. Those States that have a State Epidemiological Workgroup or a State Epidemiological Outcomes Workgroup, must describe its composition and its contribution to needs assessment, planning, and evaluation processes for primary prevention and treatment planning. States are encouraged to utilize the epidemiological analyses and profiles to establish substance abuse prevention and treatment goals at the State level.

- 42 U.S.C. 300x-51 and 45 C.F.R. 96.123(a)(13) require the State to make the State plan public in such a manner as to facilitate public comment from any person during the development of the plan.

In a narrative of **up to two pages**, describe the process your State used to facilitate public comment in developing the State's plan and its FY 2009 application for SAPT Block Grant funds.

The Department of Alcohol and Drug Programs (ADP) allocates funding to the counties according to State statute, which allows for considerable flexibility in addressing local substance abuse prevention, treatment and recovery needs.

ADP provides two specific reports to support needs assessment. *Community Indicators*, prepared by the Center for Applied Research Solutions, Inc., contains five-year cycles of county data on AOD-related consequences and indicators of community health and healthy families. *Indicators of Alcohol and Drug Abuse*, prepared by ADP's Office of Applied Research and Analysis, contains five-year cycles of county data on AOD-related consequences. The information in both of these publications is considered valuable for needs assessment and planning, but is usually three years old by the time of publication.

As discussed in Goal 13, California embarked on a major overhaul of its needs assessment and planning process in October 2007 through the Statewide Needs Assessment and Planning (SNAP) project. This effort will provide the counties with timely information and allow the State and its counties to communicate and collaborate more effectively in the planning and assessment process. Through discussions and by using on-line tools such, ADP envisions working with the counties to jointly review data and develop plans to respond to county and statewide needs.

In addition to the data sources described in Goal 13, anecdotal and experiential information comes through interaction with the County Alcohol and Drug Program Administrators' Association of California (CADPAAC). ADP also chairs the Governor's Prevention Advisory Council (GPAC), which offers insight from an extensive range of sources from 13 other major state systems, including the University of California, California State University, community colleges, and the California Department of Education. The GPAC also includes the Office of the Attorney General, National Guard, Alcoholic Beverage Control, Office of Traffic Safety, California Highway Patrol, and California Department of Health Services, which prepares the annual Synar report. GPAC members operate systems that involve millions of public participants and wide-ranging networks of interest groups. Their focus is on common concerns about the impact of alcohol, tobacco, and other drugs and how prevention can benefit their disparate missions. GPAC has created workgroups addressing high-use youth, underage alcohol use, binge drinking, and methamphetamine use.

Substate Area Planning Process: Public AOD prevention, treatment, and recovery services in California are provided through a partnership between the State and counties. ADP analyzes federal and State laws and regulations and develops policies and guidelines for the counties to use in developing plans for local prevention, treatment and recovery services. ADP receives these plans

through the Negotiated Net Amount (NNA) contract process, the method by which California contracts for services using federal and State funds.

Existing State statutes [Health and Safety Code Sections 11814] require ADP to allocate State and federal funds based on the population of each county. Statutes require that small counties (population under 100,000) receive a minimum allocation of funds for the provision of services, and that each county receives at least as much funding as in State Fiscal Year (SFY) 1984-85. If new non-categorical funds are made available, ADP utilizes a standard methodology of \$2,500 per \$1 million increase, with the remainder based on population. For funds with a specific purpose, ADP develops and utilizes allocation methodologies that meet the intent of the funds. An example is SAPT HIV set aside funds. A needs-based allocation methodology with five needs indicators is used to distribute funds to counties with the greatest need. The indicators use a variety of datasets: persons living with AIDS cases, HIV counseling and testing prevalence, population with sexually transmitted disease, population in poverty, and people of color in the general population. While State statute provides maximum flexibility to counties to structure their delivery systems to be responsive to the needs of their particular community, current budget language requires the counties to give priority for use of any new funds to serve traditionally under-served populations.

NNA Contract Process: Pursuant to Division 10.5 of the Health and Safety Code, Chapter 3 (commencing with Section 11758.10), all counties are required to submit an NNA contract for AOD services. The NNA contract is the funding vehicle between the State and the counties. NNA contract provisions include:

1. Assurance of an adequate quality and quantity of services
2. In-county and out-of-county provisions for citizens to access substance abuse services
3. Access by ADP to programs' financial records and utilization statistics for the purpose of verifying conformance to the negotiated contract
4. Budget information
5. Assurance that funds will be spent according to federal requirements
6. Assurances and certifications signed by the county alcohol and drug program administrator and approved by the county board of supervisors

ADP monitors county AOD services; counties are also responsible for monitoring to assure that funded programs serve communities with the highest prevalence and need, and that set-aside requirements are met.

Substate planning areas (counties):

|                |                    |
|----------------|--------------------|
| 1 Alameda      | 30 Orange          |
| 2 Alpine       | 31 Placer          |
| 3 Amador       | 32 Plumas          |
| 4 Butte        | 33 Riverside       |
| 5 Calaveras    | 34 Sacramento      |
| 6 Colusa       | 35 San Benito      |
| 7 Contra Costa | 36 San Bernardino  |
| 8 Del Norte    | 37 San Diego       |
| 9 El Dorado    | 38 San Francisco   |
| 10 Fresno      | 39 San Joaquin     |
| 11 Glenn       | 40 San Luis Obispo |
| 12 Humboldt    | 41 San Mateo       |
| 13 Imperial    | 42 Santa Barbara   |
| 14 Inyo        | 43 Santa Clara     |
| 15 Kern        | 44 Santa Cruz      |
| 16 Kings       | 45 Shasta          |
| 17 Lake        | 46 Sierra          |
| 18 Lassen      | 47 Siskiyou        |
| 19 Los Angeles | 48 Solano          |
| 20 Madera      | 49 Sonoma          |
| 21 Marin       | 50 Stanislaus      |
| 22 Mariposa    | 51 Sutter/Yuba     |
| 23 Mendocino   | 52 Tehama          |
| 24 Merced      | 53 Trinity         |
| 25 Modoc       | 54 Tulare          |
| 26 Mono        | 55 Tuolumne        |
| 27 Monterey    | 56 Ventura         |
| 28 Napa        | 57 Yolo            |
| 29 Nevada      |                    |

Public Comment: Opportunities for public input into the State's planning process during the year preceding the development and after submission of the application at both the State and county levels include:

1. The Director's Advisory Council (DAC) advises the Director on AOD program and policy issues. The DAC is responsive to critical issues from judges, counties and the larger alcohol and drug field. Members identify barriers to access for underserved populations and provide feedback to the communities they represent. Stakeholders identify and discuss issues and build

consensus in major policy areas that impact the alcohol and other drug service systems and clients. The DAC includes eight constituent committees that represent a broad spectrum of our State's population to ensure appropriate services for the Californians that they represent. The DAC is comprised of the presidents of statewide AOD provider organizations, county alcohol and drug program administrators, and the chair of each constituent committee (African American; Aging; Asian, Pacific Islander; Disability; Lesbian, Gay, Bisexual, Transgender; Latino; Native American; and Women). The DAC provides a vehicle to communicate with and motivate all Californians to be involved in alcohol and drug policy issues; its meetings are open to the public.

2. ADP staff regularly meets with CADPAAC to update members on federal, State, and other funding requirements that impact the alcohol and drug field. ADP staff works in collaboration with CADPAAC staff and *ad hoc* committees to discuss policy, allocation methodologies, the equitable distribution of funds consistent with federal and State requirements, and other issues affecting State/county administration of alcohol and other drug programs and services.
3. The legislative budget hearing process provides a broad-based public forum for discussion and revision of proposed expenditures of both federal block grant and State general funds. The budget hearing process invites and welcomes input on AOD concerns (i.e., funding constraints, decreases, increases, etc.) from various constituent groups, county alcohol and drug program administrators, provider organizations, consumers, and any interested California citizen.
4. ADP forms various workgroups and task forces as needed to address current and emerging issues. The workgroups include representatives from CADPAAC, DAC, program executives, other social services systems, constituents/clients of the target population, and individuals with a wide variety of expertise in the related area. Examples of such workgroups include: Statewide Advisory Group for the Substance Abuse and Crime Prevention Act, the Fiscal Workgroup, the Drug Medi-Cal Workgroup, the Licensing and Certification Regulations Workgroup, and the Continuum of Services System Re-Engineering Task Force.
5. External constituent groups that meet with, or invite participation from, ADP staff are the California Prevention Collaborative, California Organization of Methadone Providers, DUI Advisory Workgroup, High Rate Underage Users Workgroup, Counselor Certification Oversight Workgroup, Counselor Certification Organizations Workgroup, and the California Association of Alcohol and Drug Program Executives.

Copies of the Substance Abuse Prevention and Treatment Block Grant application are distributed to the: 1) Library of Congress, Washington, D.C.; 2) Governor's Office of Planning and Research; 3) Depository Libraries; 4) State Library; 5) State Archivist; 6) Research Librarian, Council of State Governments; and 7) ADP's Resource Center, which distributes copies of the application upon request. The application is also available for review and comment online at ADP's Web site.

# Planning Checklist

**State: California**

## Criteria for Allocating Funds

Use the following checklist to indicate the criteria your State will use how to allocate FY 2009 Block Grant funds. Mark all criteria that apply. Indicate the priority of the criteria by placing numbers in the boxes. For example, if the most important criterion is 'incidence and prevalence levels', put a '1' in the box beside that option. If two or more criteria are equal, assign them the same number.

1 Population levels, Specify formula:

Please see footnote.

Incidence and prevalence levels

Problem levels as estimated by alcohol/drug-related crime statistics

Problem levels as estimated by alcohol/drug-related health statistics

Problem levels as estimated by social indicator data

Problem levels as estimated by expert opinion

Resource levels as determined by (specify method)

Size of gaps between resources (as measured by)

and needs (as estimated by)

2 Other (specify method)

Please see footnote.

- Foot Notes

Criteria For Allocating Funds

The State will allocate Federal Fiscal Year 2009 Substance Abuse Prevention and Treatment Block Grant funds according to the following standards:

**Base funds:** Base funds are allocated to counties based on historical levels. Base funds are defined as ongoing funds, excluding special projects.

**New funds:** New funds are distributed at \$2,500 per each \$1 million of increased amount to all counties, with the balance distributed on a straight per-capita basis (population).

**New funds with a specific intent:** New funds with specific intent are distributed in a method that is consistent with the stated purpose of the funding. For example, HIV set-aside funds are allocated based on a set of needs indicators that are recommended by the Department of Health Care Services, Office of AIDS.

**Funding reductions:** Funding reductions to counties are standardized on a per capita basis. Counties with populations less than 100,000 are held harmless for the first five percent of any reduction which would be shared by those counties with populations over 100,000. If the reduction exceeds five percent of the fund's previous year allocation, large counties would share the first five percent of the reduction and then all counties would share proportionally, based upon population, that part of the reduction that exceeds five percent.

The Department of Alcohol and Drug Programs continues to develop systems that will incorporate data into its planning processes to provide information for National Outcome Measures.

# Form 8

State: California

## Treatment Needs Assessment Summary Matrix

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Alameda County            | 1507310             | 176486                        | 486                          | 0                             | 47                           | 91109                         | 251                          | 7464   | 11667                             | 6683                              | 0.13                                  | 14.78            | 9.70                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Amador County             | 37837               | 4499                          | 0                            | 0                             | 0                            | 2024                          | 0                            | 311  | 411                               | 163                               | 2.63                                  | 2                | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Butte County              | 216351              | 25638                         | 57                           | 0                             | 13                           | 13240                         | 29                           | 1439   | 1759                              | 2120                              | 1.37                                  | 5.73             | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need |         | 4. Number of IVDUs in need |         | 5. Number of women in need |         | 6. Prevalence of substance-related criminal activity |           |           | 7. Incidence of communicable diseases |          |                 |
|---------------------------|---------------------|-----------------------------|---------|----------------------------|---------|----------------------------|---------|--|-----------|-----------|---------------------------------------|----------|-----------------|
|                           |                     | A. Needing                  | B. That | A. Needing                 | B. That | A. Needing                 | B. That | A. Number  | B. Number | C. Other: | A. Hepatitis                          | B. AIDS/ | C. Tuberculosis |
|                           |                     |                             |         |                            |         |                            |         |  |           |           |                                       |          |                 |

|                  |       |                    |                      |                    |                      |                    |                      |                |                         |                         |            |         |          |
|------------------|-------|--------------------|----------------------|--------------------|----------------------|--------------------|----------------------|----------------|-------------------------|-------------------------|------------|---------|----------|
|                  |       | treatment services | would seek treatment | treatment services | would seek treatment | treatment services | would seek treatment | of DWI arrests | of drug-related arrests | Non-DUI Alcohol-Related | B /100,000 | 100,000 | /100,000 |
| Calaveras County | 45372 | 5406               | 0                    | 0                  | 0                    | 2753               | 0                    | 314            | 416                     | 287                     | 0          | 1.71    | 0        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Colusa County             | 21330               | 2599                          | 0                            | 0                             | 0                            | 1269                          | 0                            | 295  | 115                               | 90                                | 4.70                                  | 0                | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Contra Costa County       | 1026234             | 121857                        | 183                          | 0                             | 19                           | 63006                         | 95                           | 3562   | 5123                              | 1866                              | 0.57                                  | 9.36             | 4.80                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Del Norte County          | 28985               | 3461                          | 1                            | 0                             | 0                            | 1514                          | 1                            | 332  | 203                               | 311                               | 0                                     | 6.69             | 0                        |

Calendar Year: 2006

| 1. Substate Planning | 2. Total Population | 3. Total Population in need |  | 4. Number of IVDUs in need |  | 5. Number of women in need |  | 6. Prevalence of substance-related criminal |  |  | 7. Incidence of communicable diseases |  |  |
|----------------------|---------------------|-----------------------------|--|----------------------------|--|----------------------------|--|---|--|--|---------------------------------------|--|--|
|----------------------|---------------------|-----------------------------|--|----------------------------|--|----------------------------|--|---|--|--|---------------------------------------|--|--|

| Area             |        | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|------------------|--------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                  |        | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| El Dorado County | 175729 | 21104                         | 103                          | 0                             | 17                           | 10687                         | 52                           | 1381   | 1237                              | 853                               | 0                                     | 1.37            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Fresno County             | 897835              | 110192                        | 302                          | 0                             | 18                           | 55319                         | 151                          | 6467   | 8403                              | 4589                              | 1                                     | 6.07            | 6.90                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Glenn County              | 28422               | 3458                          | 0                            | 0                             | 0                            | 1719                          | 0                            | 589  | 394                               | 163                               | 3.51                                  | 2.95            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Humboldt County           | 131575              | 15516                         | 133                          | 0                             | 30                           | 7911                          | 68                           | 1246   | 1344                              | 2032                              | 6.09                                  | 6.01            | 0                        |

Calendar Year: 2006

| 1. | 2. Total | 3. Total Population in need |  | 4. Number of IVDUs in need |  | 5. Number of women in need |  | 6. Prevalence of |  |  | 7. Incidence of |  |  |
|----|----------|-----------------------------|--|----------------------------|--|----------------------------|--|------------------|--|--|-----------------|--|--|
|----|----------|-----------------------------|--|----------------------------|--|----------------------------|--|------------------|--|--|-----------------|--|--|

| Substate Planning Area | Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|------------------------|------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------------|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                        |            | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests            | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Imperial County        | 166232     | 20566                         | 0                            | 0                             | 0                            | 9622                          | 0                            | 1188                                | 2660                              | 545                               | 3.66                                  | 8               | 20.10                    |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Kern County               | 777719              | 95494                         | 0                            | 0                             | 0                            | 46471                         | 0                            | 5125   | 9700                              | 2797                              | 3.03                                  | 13.79           | 5.30                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Kings County              | 148290              | 17787                         | 191                          | 0                             | 8                            | 7291                          | 78                           | 1032   | 1945                              | 1013                              | 5.47                                  | 6.72            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Lake County               | 63368               | 7553                          | 21                           | 0                             | 1                            | 3854                          | 11                           | 477  | 843                               | 542                               | 4.59                                  | 3.06            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Lassen County             | 35246               | 4192                          | 0                            | 0                             | 0                            | 1472                          | 0                            | 245  | 231                               | 221                               | 16.46                                 | 4.31            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Los Angeles County        | 10223263            | 1216249                       | 1338                         | 0                             | 227                          | 619862                        | 682                          | 39511  | 80413                             | 17294                             | 0.78                                  | 16.74           | 9.20                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Madera County             | 144257              | 17419                         | 0                            | 0                             | 0                            | 9282                          | 0                            | 1020   | 736                               | 463                               | 11.34                                 | 2.71            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Marin County              | 253075              | 29122                         | 71                           | 0                             | 5                            | 14802                         | 36                           | 1557   | 987                               | 1198                              | 0.40                                  | 9.34            | 2.40                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Mendocino County          | 89320               | 10634                         | 88                           | 0                             | 11                           | 5379                          | 45                           | 760  | 1337                              | 614                               | 1.09                                  | 4.41            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Merced County             | 245186              | 30425                         | 74                           | 0                             | 4                            | 15277                         | 37                           | 1754   | 2828                              | 1010                              | 0.40                                  | 3.45            | 3.60                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Monterey County           | 421417              | 49883                         | 129                          | 0                             | 18                           | 24163                         | 62                           | 2975   | 2686                              | 1776                              | 0                                     | 7.20            | 6.70                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Napa County               | 133493              | 15749                         | 31                           | 0                             | 8                            | 7962                          | 15                           | 1002   | 830                               | 758                               | 0                                     | 3.66            | 5.10                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Nevada County             | 98798               | 11612                         | 33                           | 0                             | 5                            | 5924                          | 17                           | 664  | 773                               | 513                               | 0                                     | 1.17             | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Orange County             | 3066483             | 365622                        | 480                          | 0                             | 77                           | 185959                        | 244                          | 13604  | 24921                             | 7443                              | 0.71                                  | 7.54             | 7.30                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Placer County             | 317702              | 38777                         | 48                           | 0                             | 5                            | 20071                         | 25                           | 1843   | 2254                              | 1258                              | 0.96                                  | 2.15             | 1.90                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Plumas County             | 21011               | 2470                          | 0                            | 0                             | 0                            | 1247                          | 0                            | 222  | 269                               | 289                               | 4.73                                  | 5.32             | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Riverside County          | 1962801             | 239663                        | 933                          | 0                             | 98                           | 121681                        | 474                          | 8799   | 13832                             | 6061                              | 1.97                                  | 12.04           | 3.80                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Sacramento County         | 1387257             | 165534                        | 334                          | 0                             | 53                           | 85651                         | 173                          | 7198   | 10696                             | 5512                              | 0.84                                  | 8.10            | 6.80                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| San Benito County         | 57134               | 6951                          | 0                            | 0                             | 0                            | 3463                          | 0                            | 379  | 477                               | 139                               | 0                                     | 1.49            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| San                       |                     |                               |                              |                               |                              |                               |                              |  |                                   |                                   |                                       |                 |                          |

|                   |         |        |      |   |    |        |     |       |       |      |      |      |      |
|-------------------|---------|--------|------|---|----|--------|-----|-------|-------|------|------|------|------|
| Bernardino County | 1990967 | 244451 | 1106 | 0 | 99 | 123793 | 560 | 10895 | 23835 | 5414 | 0.51 | 8.63 | 2.90 |
|-------------------|---------|--------|------|---|----|--------|-----|-------|-------|------|------|------|------|

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| San Diego County          | 3065077             | 363577                        | 1418                         | 0                             | 185                          | 182152                        | 711                          | 16482  | 26472                             | 12124                             | 0.55                                  | 16.61            | 10.20                    |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| San Francisco County      | 802994              | 90299                         | 694                          | 0                             | 309                          | 44168                         | 339                          | 1367   | 7725                              | 1806                              | 2.62                                  | 63.32            | 15                       |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| San Joaquin County        | 665157              | 80864                         | 598                          | 0                             | 343                          | 40864                         | 302                          | 4015   | 5041                              | 1921                              | 2.51                                  | 9.88             | 11.50                    |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
|                           |                     |                               |                              |                               |                              |                               |                              |  |                                   |                                   |                                       |                  |                          |

|                        |        |           |           |           |           |           |           |         |         |          |          |      |   |
|------------------------|--------|-----------|-----------|-----------|-----------|-----------|-----------|---------|---------|----------|----------|------|---|
|                        |        | services  | seek      | services  | seek      | services  | seek      | DWI     | drug-   | Non-     | /100,000 |      |   |
|                        |        | treatment | treatment | treatment | treatment | treatment | treatment | arrests | related | DUI      |          |      |   |
|                        |        |           |           |           |           |           |           |         | arrests | Alcohol- |          |      |   |
|                        |        |           |           |           |           |           |           |         |         | Related  |          |      |   |
| San Luis Obispo County | 263801 | 31070     | 16        | 0         | 2         | 15170     | 8         | 2288    | 1956    | 2581     | 0.75     | 6.28 | 0 |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| San Mateo County          | 724091              | 83662                         | 655                          | 0                             | 60                           | 42601                         | 334                          | 3317   | 3421                              | 2271                              | 1.24                                  | 5.21             | 10.70                    |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Santa Barbara County      | 420038              | 49783                         | 103                          | 0                             | 18                           | 25006                         | 52                           | 2520   | 4064                              | 5827                              | 0.47                                  | 7.10             | 3.50                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Santa Clara County        | 1776586             | 207687                        | 442                          | 0                             | 15                           | 102815                        | 219                          | 6623   | 11762                             | 5848                              | 1.13                                  | 6.97             | 12.80                    |

Calendar Year: 2006

| 1. Substate | 2. Total Population | 3. Total |  | 4. Number of |  | 5. Number of |  | 6. Prevalence of substance- |  |  | 7. Incidence of |  |  |
|-------------|---------------------|----------|--|--------------|--|--------------|--|-----------------------------|--|--|-----------------|--|--|
|-------------|---------------------|----------|--|--------------|--|--------------|--|-----------------------------|--|--|-----------------|--|--|

| Planning Area     |        | Population in need            |                              | IVDUs in need                 |                              | women in need                 |                              | related criminal activity |                                   |                                   | communicable diseases   |                  |                          |
|-------------------|--------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|---------------------------|-----------------------------------|-----------------------------------|-------------------------|------------------|--------------------------|
|                   |        | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests  | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000 | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Santa Cruz County | 261294 | 30687                         | 145                          | 0                             | 18                           | 15516                         | 73                           | 1606                      | 2521                              | 2785                              | 1.89                    | 6.24             | 2.30                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Shasta County             | 179259              | 21460                         | 70                           | 0                             | 13                           | 11147                         | 37                           | 892  | 1196                              | 1014                              | 6.51                                  | 4.26             | 2.70                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Siskiyou County           | 45615               | 5369                          | 60                           | 0                             | 4                            | 2758                          | 31                           | 366  | 349                               | 385                               | 4.41                                  | 0.85             | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                  |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|------------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/ 100,000 | C. Tuberculosis /100,000 |
| Solano County             | 420353              | 50236                         | 137                          | 0                             | 7                            | 25019                         | 68                           | 1750   | 2891                              | 1270                              | 0                                     | 12.76            | 5.80                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Sonoma County             | 476956              | 56276                         | 105                          | 0                             | 19                           | 28855                         | 54                           | 2990   | 3621                              | 2402                              | 1.22                                  | 12.38           | 2.80                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Stanislaus County         | 511848              | 62387                         | 12                           | 0                             | 2                            | 32198                         | 6                            | 2669   | 4508                              | 1791                              | 1.54                                  | 5.90            | 3.10                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Sutter/Yuba               | 160591              | 19622                         | 54                           | 0                             | 4                            | 9963                          | 27                           | 1036   | 1339                              | 1325                              | 1.86                                  | 1.84            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Tehama County             | 60790               | 7316                          | 0                            | 0                             | 0                            | 3733                          | 0                            | 735  | 790                               | 568                               | 9.95                                  | 2.01            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Tulare County             | 418060              | 51203                         | 12                           | 0                             | 11                           | 25773                         | 6                            | 3331   | 6100                              | 983                               | 1.92                                  | 5.10            | 5.10                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Tuolumne County           | 56861               | 6696                          | 0                            | 0                             | 0                            | 3170                          | 0                            | 458  | 377                               | 444                               | 6.85                                  | 1.32            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Ventura County            | 815758              | 97779                         | 182                          | 0                             | 18                           | 49149                         | 91                           | 4145   | 8280                              | 1692                              | 0.97                                  | 5.39            | 5.90                     |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Yolo County               | 191280              | 22573                         | 53                           | 0                             | 8                            | 11676                         | 28                           | 1284   | 1559                              | 1187                              | 6.98                                  | 4.32            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| County Aggregate*         | 78190               | 9397                          | 0                            | 0                             | 0                            | 4590                          | 0                            | 890  | 656                               | 517                               | 2.07                                  | 0.70            | 0                        |

Calendar Year: 2006

| 1. Substate Planning Area | 2. Total Population | 3. Total Population in need   |                              | 4. Number of IVDUs in need    |                              | 5. Number of women in need    |                              | 6. Prevalence of substance-related criminal activity |                                   |                                   | 7. Incidence of communicable diseases |                 |                          |
|---------------------------|---------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|-------------------------------|------------------------------|--|-----------------------------------|-----------------------------------|---------------------------------------|-----------------|--------------------------|
|                           |                     | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Needing treatment services | B. That would seek treatment | A. Number of DWI arrests                             | B. Number of drug-related arrests | C. Other: Non-DUI Alcohol-Related | A. Hepatitis B /100,000               | B. AIDS/100,000 | C. Tuberculosis /100,000 |
| Statewide (optional)      | 37114598            | 4428314                       | 10896                        | 0                             | 1800                         | 2240102                       | 5490                         | 182414   | 307953                            | 12758                             | 1.15                                  | 12.97           | 7.40                     |

- Foot Notes

The number of IVDU in need of treatment (Column 4a) could not be determined at this time. ADP relies on the NSDUH for prevalence data; however, NSDUH does not provide IVDU prevalence data. IVDU is not included on any of the various state surveys. ADP is currently researching the possibility of redirecting Substance Abuse Prevention and Treatment Block Grant funds to purchase inquiries about IVDU use on the California portion of the Behavioral Risk Factor Surveillance System. A review of CADDIS and CalOMS data for the past five years shows that injection has not been the primary or secondary route of administration for the primary drug, and that the rate injection as the route of administration has been decreasing during this timeframe.

County Aggregate = Total findings from counties with populations less than 20,000 (Alpine, Inyo, Mariposa, Modoc, Mono, Sierra and Trinity). These findings were combined to address HIPPA disclosure concerns.

People needing treatment (Table 8, Column 3b) figures were derived by summing the total waiting list figures from the Drug and Alcohol Treatment Access Report (DATAR) reports by county for state fiscal year (SFY) 2007. The 13 counties reporting no treatment need are the smaller counties, some of which have fewer than 100 clients per year.

The one-month, annualized average for the total population that would seek treatment was derived from DATAR. The monthly county totals of persons on the waiting list vary considerably from month-to-month, based on demand for services versus the available slots. To produce a single statistic that is most representative, we averaged the monthly figures from the waiting list for each county by month for SFY 2007. The average was then multiplied by 12 to provide a representative total for the year by county.

Column 7c: Rates are not calculated by the California Department of Public Health when the number of cases is less than five. Therefore, the entry for counties with fewer than five cases is "0."

**Form 9**

**State: California**

**Substate Planning Area [95]: State Total**

**Treatment Needs by Age, Sex, and Race/ Ethnicity**

| AGE GROUP              | A. Total | B. White |   | C. Black or African American |   | D. Native Hawaiian / Other Pacific Islander |   | E. Asian |   | F. American Indian / Alaska Native |   | G. More than one race reported |   | H. Unknown |   | I. Not Hispanic Or Latino |   | J. Hispanic Or Latino |   |
|------------------------|----------|----------|---|------------------------------|---|---|---|----------|---|------------------------------------|---|--------------------------------|---|------------|---|---------------------------|---|-----------------------|---|
|                        |          | M        | F | M                            | F | M   | F | M        | F | M                                  | F | M                              | F | M          | F | M                         | F | M                     | F |
| 17 Years Old and Under | 0        |          |   |                              |   |   |   |          |   |                                    |   |                                |   |            |   |                           |   |                       |   |
| 18 - 24 Years Old      | 0        |          |   |                              |   |   |   |          |   |                                    |   |                                |   |            |   |                           |   |                       |   |
| 25 - 44 Years Old      | 0        |          |   |                              |   |   |   |          |   |                                    |   |                                |   |            |   |                           |   |                       |   |
| 45 - 64 Years Old      | 0        |          |   |                              |   |   |   |          |   |                                    |   |                                |   |            |   |                           |   |                       |   |
| 65 and Over            | 0        |          |   |                              |   |   |   |          |   |                                    |   |                                |   |            |   |                           |   |                       |   |
| Total                  | 0        | 0        | 0 | 0                            | 0 | 0   | 0 | 0        | 0 | 0                                  | 0 | 0                              | 0 | 0          | 0 | 0                         | 0 | 0                     | 0 |

- Foot Notes

Please see Appendix A: Form 9 Footnote

## California

### How your State determined the estimates for Form 8 and Form 9

#### **How your State determined the estimates for Form 8 and Form 9**

Under 42 U.S.C. 300x-29 and 45 C.F.R. 96.133, States are required to submit annually a needs assessment. This requirement is not contingent on the receipt of Federal needs assessment resources. States are required to use the best available data. Using **up to three pages**, explain what methods your State used to estimate the numbers of people in need of substance abuse treatment services, the biases of the data, and how the State intends to improve the reliability and validity of the data. Also indicate the sources and dates or timeframes for the data used in making these estimates reported in both Forms 8 and 9. In addition, provide any necessary explanation of the way your State records data or interprets the indices in columns 6 and 7, Form 8.

## **Column 2. Total Population**

County population was obtained from the State of California, Department of Finance, California County Population Estimates and Components of Change by Year, July 1, 2000-2006. Sacramento, California, December 2006.

## **Column 3. Total Population in Need**

### 3A. Needing Treatment Services

The estimate of need was calculated with prevalence estimates from two surveys: the *National Survey on Drug Use and Health* (NSDUH), 2005 and 2006; and the *Eleventh Biennial California Student Survey* (CSS). The NSDUH is provided by the Substance Abuse and Mental Health Services Administration, United States Department of Health and Human Services, and provides yearly national and state level estimates of alcohol, tobacco, illicit drug, and non-medical prescription drug use.

Results from the 2005-2006 NSDUH survey were used to calculate need estimates in Form 8. Prevalence estimates for adults were obtained from Table 10: Selected Drug Use, Perceptions of Great Risk, Average Annual Rates of First Use of Marijuana, Past Year Substance Dependence or Abuse, Needing But Not Receiving Treatment, Serious Psychological Distress, and Having at Least One Major Depressive Episode in California, by Age Group: Percentages, Annual Averages Based on 2005-2006 NSDUHs. Prevalence estimates from the categories "Needing but Not Receiving Treatment for Illicit Drug Use" and "Needing But Not Receiving Treatment for Alcohol Use" were combined and multiplied by population estimates for California counties as of July 2006.

Prevalence estimates for California youth were provided by CSS for the 2005-2006 school year. The CSS provides longitudinal information about drug use among California youth in grades 7, 9, and 11 and has been administered biennially since 1989. The 2005-2006 sample included 10,638 randomly-selected students from California middle schools and high schools. Prevalence estimates were obtained from Table 2.19: High Risk Use and Excessive Alcohol Use. The percentages from the row entitled "Total Excessive Alcohol (EAU) and/or High Risk Use (HRU)" 2005-2006 were used as prevalence estimates.

Prevalence percentages are provided in the CSS for grades 7, 9, and 11. Prevalence percentages were interpolated for grades 8 and 10 to provide a full span of students in California. Prevalence percentages were multiplied by student populations in grades 7 through 11 by California county to calculate need estimates. Student population numbers were obtained from *Statewide Enrollment by Grade (with county data)*,

2006-07, California Department of Education, Educational Demographics Office. The five need estimates were then summed to provide a total estimate of need based on the CSS.

For a total estimate of need by county, totals from the NSDUH were combined with totals from the CSS.

### 3B. That Would Seek Treatment

The State uses a data collection instrument, the Drug Abuse Treatment Access Report (DATAR), to determine the number of individuals on waiting lists during the month at facilities that receive public funds or have State licensure requirements. Data on the number of people on the waiting list during the month are obtained for each substate planning region (county). A one-month, annualized average was used to estimate the total population that would seek treatment.

### **Column 4. Number of IVDU's in Need**

#### 4A. Needing treatment services

Unable to estimate at this time.

#### 4B. That Would Seek Treatment

The State uses a data collection instrument, DATAR, to determine the number of individuals that are injection drug users (IDU) on waiting lists at facilities that receive public funds or have State licensure requirements. Data on the number of IDU's on the waiting list during the month is obtained for each substate region and the State. Results from a one-month average were used to estimate the number of IDU's that would seek treatment. This is a one-month, annualized estimate of those that would seek treatment.

### **Column 5. Number of Women in Need**

#### A. Needing treatment services

Figures for Column 5A were obtained by multiplying the number of individuals needing treatment (Column 3A) by the percent of adult women in each of the counties.

#### B. That Would Seek Treatment

To obtain the figures for Column 5B, the number of people that would seek treatment (Column 3B) was multiplied by the proportion of women in each county. This is a one-month, annualized average number of adult women who would seek treatment.

## **Column 6. Prevalence of Substance-Related Criminal Activity**

Totals for substance-related arrests were obtained from the State of California, Department of Justice, Criminal Justice Statistics Center, Total Misdemeanor and Felony Arrests, 2005.

## **Column 7. Incidence of Communicable Diseases**

7A. Table 1.11. Acute Hepatitis B Cases by Year of Onset of Disease – California, 2001-2006, California Department of Public Health.

7B. Table 20. Reported Incidence of AIDS Among Population Ages 13 Years and Older, 2003-2005. County Health Status Profiles 2007, California Department of Public Health.

7C. Table F. Tuberculosis Case Rates per 100,000 Population: Reporting Jurisdictions in California, 1998-2007, Tuberculosis Control Branch, California Department of Public Health.

### **Limitations**

Prevalence estimates of individuals needing treatment services are not available at the California county level. As a consequence, it was necessary to extrapolate need estimates based on California state level prevalence data.

Estimates of individuals who would seek treatment are derived from waiting list data of publicly funded treatment facilities and facilities that have a licensing requirement to dispense medications. Because the State of California does not collect information on privately owned facilities, our estimates of individuals who would seek treatment are conservative.

**Form 11****State: California****INTENDED USE PLAN**

(Include ONLY Funds to be spent by the agency administering the block grant. Estimated data are acceptable on this form)

**SOURCE OF FUNDS**

| Activity   | (24 Month Projections)           |                                       |  |                      |  |            |
|--|----------------------------------|---------------------------------------|--|----------------------|--|------------|
|  | A.SAPT Block Grant FY 2009 Award | B.Medicaid (Federal, State and Local) | C.Other Federal Funds (e.g., Medicare, other public welfare) | D.State Funds        | E.Local Funds (excluding local Medicaid) | F.Other    |
| Substance Abuse Prevention* and Treatment        | \$ 183,215,930                   | \$ 154,288,000                        | \$ 10,302,000  | \$ 589,588,000       | \$                                       | \$         |
| Primary Prevention                               | \$ 49,985,913                    |                                       | \$ 28,066,000  | \$ 18,000,000        | \$                                       | \$         |
| Tuberculosis Services                            | \$                               | \$                                    | \$   | \$                   | \$                                       | \$         |
| HIV Early Intervention Services                  | \$ 12,496,478                    | \$                                    | \$   | \$                   | \$                                       | \$         |
| Administration: (Excluding Program/Provider Lvl) | \$ 4,231,243                     |                                       | \$ 931,436   | \$ 11,481,920        | \$                                       | \$         |
| <b>Column Total</b>                              | <b>\$249,929,564</b>             | <b>\$154,288,000</b>                  | <b>\$39,299,436</b>  | <b>\$619,069,920</b> | <b>\$0</b>                               | <b>\$0</b> |

## Form 11ab

State: California

### Form 11a. Primary Prevention Planned Expenditures Checklist

| Activity                          | Block Grant FY 2009 | Other Federal       | State Funds         | Local Funds | Other      |
|-----------------------------------|---------------------|---------------------|---------------------|-------------|------------|
| Information Dissemination         | \$ 4,814,892        | \$                  | \$ 16,000,000       | \$          | \$         |
| Education                         | \$ 17,447,681       | \$                  | \$                  | \$          | \$         |
| Alternatives                      | \$ 5,505,374        | \$                  | \$                  | \$          | \$         |
| Problem Identification & Referral | \$ 1,229,118        | \$                  | \$                  | \$          | \$         |
| Community Based Process           | \$ 16,205,334       | \$                  | \$                  | \$          | \$         |
| Environmental                     | \$ 2,783,514        | \$                  | \$                  | \$          | \$         |
| Other                             | \$ 0                | \$ 28,066,000       | \$                  | \$          | \$         |
| Section 1926 - Tobacco            | \$ 2,000,000        | \$                  | \$                  | \$          | \$         |
| <b>Column Total</b>               | <b>\$49,985,913</b> | <b>\$28,066,000</b> | <b>\$16,000,000</b> | <b>\$0</b>  | <b>\$0</b> |

### Form 11b. Primary Prevention Planned Expenditures Checklist

| Activity            | Block Grant FY 2009 | Other Federal | State Funds | Local Funds | Other      |
|---------------------|---------------------|---------------|-------------|-------------|------------|
| Universal Direct    | \$                  | \$            | \$          | \$          | \$         |
| Universal Indirect  | \$                  | \$            | \$          | \$          | \$         |
| Selective           | \$                  | \$            | \$          | \$          | \$         |
| Indicated           | \$                  | \$            | \$          | \$          | \$         |
| <b>Column Total</b> | <b>\$0</b>          | <b>\$0</b>    | <b>\$0</b>  | <b>\$0</b>  | <b>\$0</b> |

- Foot Notes

Beginning with Federal Fiscal Year 2007, "Other Federal" is comprised of discretionary grants, which are not part of the cost report process. Therefore, categorical expenditures are not noted.

**Resource Development Planned Expenditure Checklist**

**State: California**

**Did your State plan to fund resource development activities with FY 2009 funds?**

**Yes**  **No**

| <b>Activity</b>                             | <b>Treatment</b>   | <b>Prevention</b> | <b>Additional Combined</b> | <b>Total</b>       |
|---|--------------------|-------------------|----------------------------|--------------------|
| Planning, Coordination and Needs Assessment | \$ 362,919         | \$                | \$                         | \$ 362,919         |
| Quality Assurance                           | \$ 1,185,191       | \$                | \$                         | \$ 1,185,191       |
| Training (post-employment)                  | \$ 280,031         | \$                | \$                         | \$ 280,031         |
| Education (pre-employment)                  | \$                 | \$                | \$                         | \$                 |
| Program Development                         | \$ 2,136,916       | \$ 956,000        | \$                         | \$ 3,092,916       |
| Research and Evaluation                     | \$ 725,907         | \$                | \$                         | \$ 725,907         |
| Information Systems                         | \$ 1,828,000       | \$                | \$                         | \$ 1,828,000       |
| <b>Column Total</b>                         | <b>\$6,518,964</b> | <b>\$956,000</b>  | <b>\$0</b>                 | <b>\$7,474,964</b> |

## Form 12

State: California

### TREATMENT CAPACITY MATRIX

This form contains data covering a 24- month projection for the period during which your principal agency of the State is permitted to spend the FY 2009 block grant award.

| Level of Care                           | A.Number of Admissions | B.Number of Persons |
|---|------------------------|---------------------|
| <b>Detoxification (24-Hour Care)</b>    |                        |                     |
| Hospital Inpatient (Detox)              | 0                      | 0                   |
| Free-standing Residential               | 51,494                 | 32,846              |
| <b>Rehabilitation / Residential</b>     |                        |                     |
| Hospital Inpatient (Rehabilitation)     | 0                      | 0                   |
| Short-term (up to 30 days)              | 6,838                  | 5,014               |
| Long-term (over 30 days)                | 77,190                 | 56,348              |
| <b>Ambulatory (Outpatient)</b>          |                        |                     |
| Outpatient                              | 237,138                | 197,718             |
| Intensive Outpatient                    | 23,274                 | 18,268              |
| Detoxification                          | 20,826                 | 12,956              |
| <b>Opioid Replacement Therapy (ORT)</b> |                        |                     |
| Opioid Replacement Therapy              | 27,682                 | 21,652              |

- Foot Notes

The planned admissions and unique client count were derived from figures on Form 7a. Admission and unique client counts from Form 7a were doubled to estimate the number of planned admissions and unique clients to be served during the 24-month period in which the FY2009 block grant award will be expended.

### Purchasing Services

This item requires completing two checklists.

#### Methods for Purchasing

There are many methods the State can use to purchase substance abuse services. Use the following checklist to describe how your State will purchase services with the FY 2009 block grant award. Indicate the proportion of funding that is expended through the applicable procurement mechanism.

- Competitive grants Percent of Expense: %
- Competitive contracts Percent of Expense: 8 %
- Non-competitive grants Percent of Expense: %
- Non-competitive contracts Percent of Expense: 92 %
- Statutory or regulatory allocation to governmental agencies serving as umbrella agencies that purchase or directly operate services Percent of Expense: %
- Other Percent of Expense: %

**(The total for the above categories should equal 100 percent.)**

- According to county or regional priorities Percent of Expense: %

#### Methods for Determining Prices

There are also alternative ways a State can decide how much it will pay for services. Use the following checklist to describe how your State pays for services. Complete any that apply. In addressing a State's allocation of resources through various payment methods, a State may choose to report either the proportion of expenditures or proportion of clients served through these payment methods. Estimated proportions are acceptable.

- Line item program budget Percent of Clients Served: %  
Percent of Expenditures: %

- Price per slot Percent of Clients Served: %  
Percent of Expenditures: %
- Rate: \$ Type of slot:  
Rate: \$ Type of slot:  
Rate: \$ Type of slot:

- Price per unit of service Percent of Clients Served: %  
Percent of Expenditures: %
- Unit: Rate: \$  
Unit: Rate: \$

Unit:

Rate: \$

Per capita allocation (Formula: Please see footnote)

Percent of Clients Served: %

Percent of Expenditures: %

Price per episode of care

Percent of Clients Served: %

Percent of Expenditures: %

Rate: \$

Diagnostic Group:

Rate: \$

Diagnostic Group:

Rate: \$

Diagnostic Group:

- Foot Notes

**Non-Competitive Contracts**

Substance Abuse Prevention and Treatment Block Grant funds are distributed to the counties through non-competitive contracts. California allocates virtually all of its local assistance to county governments, which contract with local providers for direct prevention and treatment services based upon local needs. Existing State statute [Health and Safety Code Section 11814 (a)] requires the State to estimate an allocation of State and federal funds available for each county to implement the approved plan for the provision of Alcohol and Other Drug services.

**Base Plus Per Capita Allocation**

Base funds, which are ongoing funds, are allocated on historical levels. New funds are allocated by a base plus per capita to ensure each county receives a minimum amount of funds to provide adequate alcohol and other drug (AOD) services. New funds with a specific intent utilize needs indicator data consistent with the funding intent. Reductions in base funding from historical funding levels occur by formula: if the reduction is greater than five percent then all counties participate in the reduction. A description of the Department of Alcohol and Drug Program's funding methodology is included in the Planning Checklist Footnotes.

## Program Performance Monitoring

On-site inspections

Frequency for treatment: OTHER Please see footnote.

Frequency for prevention: NONE SELECTED

Activity Reports

Frequency for treatment: MONTHLY

Frequency for prevention: OTHER Please see footnote.

Management Information System

Patient/participant data reporting system

Frequency for treatment: MONTHLY

Frequency for prevention: OTHER Please see footnote.

Performance Contracts

Cost reports

Independent Peer Review

Licensure standards - programs and facilities

Frequency for treatment: OTHER Please see footnote.

Frequency for prevention: OTHER Please see footnote.

Licensure standards - personnel

Frequency for treatment: OTHER Please see footnote.

Frequency for prevention: NONE SELECTED

Other:

Specify: See footnotes

## - Foot Notes

### On-site Inspections

Licensed Residential Alcohol and Other Drug (AOD) Programs: Site inspections of licensed residential AOD programs are performed at least once during every two-year period of licensure.

Certified AOD Residential and Nonresidential Programs: Site inspections of certified AOD residential and nonresidential programs are conducted at least once during the two-year period of certification. Program certification is voluntary.

Narcotic Treatment Programs: A licensed Narcotic Treatment Program is subject to site inspection by the Department of Alcohol and Drug Programs (ADP) without prior notice at least annually.

The State delegates the authority as part of the Net Negotiated Amount (NNA) contract to the counties to ensure their prevention and treatment providers are in compliance with the requirements and agreements of the Substance Abuse Prevention and Treatment (SAPT) Block Grant. ADP's Performance Management Branch conducts regular site-visits to assure compliance with the NNA contract.

### Activity Reports

All contractors receiving SAPT Block Grant funds are monitored and evaluated. Providers are required to submit Drug and Alcohol Treatment Access Report (DATAR) information to ADP by the 10th of each month. Technical assistance contractors receiving SAPT Block Grant funds are monitored and evaluated through monthly contact and monthly activity reports. Contract monitors attend training events and technical assistance presentations as needed. Program Services Division-Prevention also evaluates its prevention-funded contractors through attendance at trainings/ services provided by technical assistance contractors, as well as by making direct inquiries of service recipients.

### Management Information Systems

Treatment providers are required to submit client admission and discharge data to ADP monthly via the California Outcomes Measurement System-Treatment (CalOMS-Tx). In general, data are collected from providers and processed through county data systems, then submitted to ADP for inclusion in CalOMS-Tx, although a small number of providers submit CalOMS-Tx data directly to ADP. Narcotic Treatment Providers also provide data directly to the ADP Licensing Division.

### Patient/Participant Data Reporting System

Frequency of Treatment: ADP receives treatment patient/participant data through CalOMS-Tx, which is submitted to ADP monthly.

Frequency of Prevention: ADP collects primary prevention data via the CalOMS-Prevention (Pv) data collection service, which is provided by KIT Solutions, Inc. through a service contract. Prevention-funded counties/providers input data on a weekly basis as services occur. Counties review all data submitted by county staff and sub-contracted prevention providers and then release the data to ADP at the end of each quarter. CalOMS-Pv staff review all data for accuracy and report findings/requests for corrections to each county.

### Performance Contracts

Counties are mandated by NNA contracts to submit a range of reports and data which are used, in part, to monitor and evaluate the performance of counties that receive SAPT Block Grant funds. Examples are monthly CalOMS-Tx data, monthly DATAR reports, quarterly CalOMS-Pv data, annual cost reports, etc. County compliance reviews are conducted annually.

### Cost Reports

The counties are required to submit cost reports by November 1 of each year.

### Independent Peer Review

The independent peer review function is the responsibility of the Licensing and Certification Division in ADP. The independent peer review contractor is required to submit monthly, quarterly, and final reports to ADP. See response to Federal Goal #15, Independent Peer Review for more information.

### Licensure Standards

Programs and facilities: Licensing standards for residential AOD programs are promulgated as regulations in the California Code of Regulations (CCR). Chapter 5, Title 9 of the CCR establishes the minimum requirement for site inspections at every two years.

Personnel: Requirements for personnel in a licensed residential program are established in the California Code of Regulations, Chapter 5, Title 9. Applicants for licensure are required to submit personnel specifications with the license application. Personnel specifications are monitored every two years during the site inspections.

### Other - Audit Requirements

The Single Audit Act of 1984 sets forth standards for obtaining consistency and uniformity among federal agencies for the audit of states, local governments, and nonprofit organizations expending federal awards. Providers subject to Office of Management and Budget Circular A-133 (A-133) are required to obtain audits annually. ADP reviews these audit reports and assures overpayments are recovered and corrective actions are taken. In addition to the A-133 audits, ADP conducts financial and compliance audits on some number of SAPT Block Grant recipients each year. Audits are designed to rely upon A-133 audits that have been conducted. A primary focus is to ensure that SAPT Block Grant and various other federal and State funding sources are charged for their fair share of costs and to ensure that costs are allowable in accordance with SAPT Block Grant or other federal funding source requirements.

### Other - County Monitoring

Funds are allocated to counties through the NNA contracts for provision of AOD services. ADP conducts annual compliance reviews of counties, including the county's sub-contractor oversight to ensure compliance with federal and State requirements. With the CalOMS-Tx and CalOMS-Pv data and outcome reports becoming available July 1, 2006, and with National Outcome Measures (NOMS) in effect, this information will improve the county compliance function and identify the programmatic and contractual performance of NNA- and Drug MediCal-funded AOD programs. This additional level

of monitoring allows the State and counties to work cooperatively to assure that services are provided in a manner that meets federal and State requirements and promptly addresses any issues of noncompliance.

# Form T1

State: California

## Performance Measure Data Collection Interim Standard – Change in Employment Status (from Admission to Discharge)

**GOAL** To improve the employment status of persons treated in the State's substance abuse treatment system.

**MEASURE** The change in *all clients receiving treatment* who reported being employed (including part-time) at discharge.

**DEFINITIONS** Change in *all clients receiving treatment* who reported being employed (including part-time) at admission and discharge.

|   |   |  |
|---|---|--|
| Most recent year for which data are available | From: <input type="text" value="7/1/2006"/> | To: <input type="text" value="6/30/2007"/> |
|---|---|--|

| Employment Status – Clients employed (full-time and part-time) (prior 30 days) at admission vs. discharge | Admission Clients (T <sub>1</sub> ) | Discharge Clients (T <sub>2</sub> ) |
|---|-------------------------------------|-------------------------------------|
| Number of clients employed (full-time and part-time) [numerator]  | <input type="text" value="20400"/>  | <input type="text" value="24777"/>  |
| Total number of clients with non-missing values on employment status [denominator]                        | <input type="text" value="38139"/>  | <input type="text" value="38139"/>  |
| Percent of clients employed (full-time and part-time)   | 53.49%                              | 64.96%                              |

### State Description of Employment Status Data Collection (Form T1)

|                                       |  |
|---------------------------------------|--|
| STATE CONFORMANCE TO INTERIM STANDARD | <p><b>States should detail exactly how this information is collected. Where data and methods vary from interim standard, variance should be described</b></p> <p>Data for this variable are collected at admission and discharge. The data represent the clients' self-report as to their status on the variable during the 30 days before admission and during the 30 days before discharge. Admission and discharge records are matched with a unique statewide client ID to provide client-level data for analysis.</p> |
|---------------------------------------|--|

|             |  |
|-------------|--|
| DATA SOURCE | <p><b>What is the source of data for table T1? (Select all that apply)</b></p> <p><input checked="" type="checkbox"/> Client Self Report</p> <p>Client self-report confirmed by another source:</p> <p><input type="checkbox"/> Collateral source</p> <p><input type="checkbox"/> Administrative data source</p> <p><input type="checkbox"/> Other: Specify <input type="text"/></p> |
|-------------|--|

EPISODE OF CARE

**How is the admission/discharge basis defined for table T1? (Select one)**

- Admission is on the first date of service, prior to which no service has been received for 30 days AND discharge is on the last date of service, subsequent to which no service has been received for 30 days
- Admission is on the first date of service in a Program/Service Delivery Unit and Discharge is on the last date of service in a Program/Service Delivery Unit
- Other, Specify:

DISCHARGE DATA COLLECTION

**How was discharge data collected for table T1? (Select all that apply)**

- Not applicable, data reported on form is collected at time period other than discharge  
Specify:
  - In-Treatment data  days post admission
  - Follow-up data  months post
  - Other, Specify:
- Discharge data is collected for the census of all (or almost all) clients who were admitted to treatment
- Discharge data is collected for a sample of all clients who were admitted to treatment
- Discharge records are directly collected (or in the case of early dropouts) are created for all (or almost all) clients who were admitted to treatment
- Discharge records are not collected for approximately  % of clients who were admitted for treatment

RECORD LINKING

**Was the admission and discharge data linked for table T1? (Select all that apply)**

- Yes, all clients at admission were linked with discharge data using an Unique Client Identifier (UCID)  
Select type of UCID:
  - Master Client Index or Master Patient Index, centrally assigned
  - Social Security Number (SSN)
  - Unique client ID based on fixed client characteristics (such as date of birth, gender, partial SSN, etc.)
  - Some other Statewide unique ID
  - Provider-entity-specific unique ID
- No, State Management Information System does not utilize UCID that allows comparison of admission and discharge data on a client specific basis (data developed on a cohorts basis) or State relied on other data sources for post admission data
- No, admission and discharge records were matched using probabilistic record matching

IF DATA IS UNAVAILABLE

**If data is not reported, why is State unable to report? (Select all that apply)**

- Information is not collected at admission
- Information is not collected at discharge
- Information is not collected by the categories requested
- State collects information on the indicator area but utilizes a different measure.

DATA PLANS IF DATA IS  
NOT AVAILABLE

**State must provide time-framed plans for capturing employment status data on all clients, if data is not currently available. Plans should also discuss barriers, resource needs and estimates of cost.**

- Foot Notes

Discharge Data Collection

"Administrative Discharges" are completed when clients are not available for interview at cessation of treatment. An "Administrative Discharge" contains no information about alcohol or drug use, or functioning in the life domains at case closure. "Administrative Discharges" (n = 37,404) were not included in this analysis due to missing data in the area under examination.

## Form T2

State: **California**

### Performance Measure Data Collection Interim Standard – Number of Clients and Change in Homelessness (Living Status)

**GOAL** To improve living conditions of persons treated in the State's substance abuse treatment system.

**MEASURE** The change in *all clients receiving treatment* who reported being homeless at discharge.

**DEFINITIONS** Change in *all clients receiving treatment* who reported being homeless at discharge equals the clients reporting being homeless at admission subtracted from the clients reporting being homeless at discharge.

|   |   |  |
|---|---|--|
| Most recent year for which data are available  | From: <input type="text" value="7/1/2006"/> | To: <input type="text" value="6/30/2007"/> |
|---|---|--|

| Homelessness – Clients homeless (prior 30 days) at admission vs. discharge           | Admission Clients (T <sub>1</sub> ) | Discharge Clients (T <sub>2</sub> ) |
|--|-------------------------------------|-------------------------------------|
| Number of clients homeless [numerator]   | <input type="text" value="13942"/>  | <input type="text" value="11831"/>  |
| Total number of clients with non-missing values on living arrangements [denominator] | <input type="text" value="85197"/>  | <input type="text" value="85197"/>  |
| Percent of clients homeless  | 16.36%                              | 13.89%                              |

### State Description of Homelessness (Living Status) Data Collection (Form T2)

|                                       |  |
|---------------------------------------|--|
| STATE CONFORMANCE TO INTERIM STANDARD | <p><b>States should detail exactly how this information is collected. Where data and methods vary from interim standard, variance should be described</b></p> <p>Data for this variable are collected at admission and discharge. The data represent the clients' self-report as to their status on the variable during the 30 days before admission and during the 30 days before discharge. Admission and discharge records are matched with a unique statewide client ID to provide client-level data for analysis.</p> |
|---------------------------------------|--|

|             |  |
|-------------|--|
| DATA SOURCE | <p><b>What is the source of data for table T2? (Select all that apply)</b></p> <p><input checked="" type="checkbox"/> Client Self Report</p> <p>Client self-report confirmed by another source:</p> <p><input type="checkbox"/> Collateral source</p> <p><input type="checkbox"/> Administrative data source</p> <p><input type="checkbox"/> Other: Specify <input type="text"/></p> |
|-------------|--|

EPISODE OF CARE

**How is the admission/discharge basis defined for table T2? (Select one)**

- Admission is on the first date of service, prior to which no service has been received for 30 days AND discharge is on the last date of service, subsequent to which no service has been received for 30 days
- Admission is on the first date of service in a Program/Service Delivery Unit and Discharge is on the last date of service in a Program/Service Delivery Unit
- Other, Specify:

DISCHARGE DATA COLLECTION

**How was discharge data collected for table T2? (Select all that apply)**

- Not applicable, data reported on form is collected at time period other than discharge  
Specify:
  - In-Treatment data  days post admission
  - Follow-up data  months post
  - Other, Specify:
- Discharge data is collected for the census of all (or almost all) clients who were admitted to treatment
- Discharge data is collected for a sample of all clients who were admitted to treatment
- Discharge records are directly collected (or in the case of early dropouts) are created for all (or almost all) clients who were admitted to treatment
- Discharge records are not collected for approximately  % of clients who were admitted for treatment

RECORD LINKING

**Was the admission and discharge data linked for table T2? (Select all that apply)**

- Yes, all clients at admission were linked with discharge data using an Unique Client Identifier (UCID)  
Select type of UCID:
  - Master Client Index or Master Patient Index, centrally assigned
  - Social Security Number (SSN)
  - Unique client ID based on fixed client characteristics (such as date of birth, gender, partial SSN, etc.)
  - Some other Statewide unique ID
  - Provider-entity-specific unique ID
- No, State Management Information System does not utilize UCID that allows comparison of admission and discharge data on a client specific basis (data developed on a cohorts basis) or State relied on other data sources for post admission data
- No, admission and discharge records were matched using probabilistic record matching

IF DATA IS UNAVAILABLE

**If data is not reported, why is State unable to report? (Select all that apply)**

- Information is not collected at admission
- Information is not collected at discharge
- Information is not collected by the categories requested
- State collects information on the indicator area but utilizes a different measure.

DATA PLANS IF DATA IS  
NOT AVAILABLE

**State must provide time-framed plans for capturing living status data on all clients, if data is not currently available. Plans should also discuss barriers, resource needs and estimates of cost.**

- Foot Notes

Form T2 Footnote  
Discharge Data Collection

"Administrative Discharges" are completed when clients are not available for interview at cessation of treatment. An "Administrative Discharge" contains no information about alcohol or drug use, or functioning in the life domains at case closure. "Administrative Discharges" (n = 77,012) were not included in this analysis due to missing data in the area under examination.

## Form T3

State: California

### Performance Measure Data Collection Interim Standard – Change of Persons Arrested

**GOAL** To reduce the criminal justice involvement of persons treated in the State's substance abuse treatment system.

**MEASURE** The change in persons arrested in the last 30 days at discharge for *all clients receiving treatment*.

**DEFINITIONS** Change in persons arrested in the last 30 days at discharge for *all clients receiving treatment* equals clients who were arrested in the 30 days prior to admission subtracted from clients who were arrested in the last 30 days at discharge. An arrest is any arrest.

Most recent year for which data are available  From:  To:

| Arrests – Clients arrested (any charge) (prior 30 days) at admission vs. discharge | Admission Clients (T <sub>1</sub> ) | Discharge Clients (T <sub>2</sub> ) |
|--|-------------------------------------|-------------------------------------|
| Number of Clients arrested [numerator]   | <input type="text" value="10696"/>  | <input type="text" value="3213"/>   |
| Total number of clients with non-missing values on arrests [denominator]           | <input type="text" value="78211"/>  | <input type="text" value="78211"/>  |
| Percent of clients arrested  | 13.68%                              | 4.11%                               |

### State Description of Number of Arrests Data Collection (Form T3)

|                                       |  |
|---------------------------------------|--|
| STATE CONFORMANCE TO INTERIM STANDARD | <p><b>States should detail exactly how this information is collected. Where data and methods vary from interim standard, variance should be described</b></p> <p>Data for this variable are collected at admission and discharge. The data represent the clients' self-report as to their status on the variable during the 30 days before admission and during the 30 days before discharge. Admission and discharge records are matched with a unique statewide client ID to provide client-level data for analysis.</p> |
|---------------------------------------|--|

|             |  |
|-------------|--|
| DATA SOURCE | <p><b>What is the source of data for table T3? (Select all that apply)</b></p> <p><input checked="" type="checkbox"/> Client Self Report</p> <p>Client self-report confirmed by another source:</p> <p><input type="checkbox"/> Collateral source</p> <p><input type="checkbox"/> Administrative data source</p> <p><input type="checkbox"/> Other: Specify <input type="text"/></p> |
|-------------|--|

|                 |  |
|-----------------|--|
| EPISODE OF CARE | <p><b>How is the admission/discharge basis defined for table T3? (Select one)</b></p> <p><input type="radio"/> Admission is on the first date of service, prior to which no service has been received for 30 days AND discharge is on the last date of service, subsequent to which no service has been received for 30 days</p> <p><input checked="" type="radio"/> Admission is on the first date of service in a Program/Service Delivery Unit and Discharge is on the last date of service in a Program/Service Delivery Unit</p> <p><input type="radio"/> Other, Specify:</p> <div style="border: 1px solid black; height: 15px; width: 100%;"></div> |
|-----------------|--|

|                           |  |
|---------------------------|--|
| DISCHARGE DATA COLLECTION | <p><b>How was discharge data collected for table T3? (Select all that apply)</b></p> <div style="border: 1px solid black; padding: 5px;"> <p><input type="checkbox"/> Not applicable, data reported on form is collected at time period other than discharge<br/>Specify:</p> <p><input type="radio"/> In-Treatment data <input type="text" value=""/> days post admission</p> <p><input type="radio"/> Follow-up data <input type="text" value=""/> months post <input type="text" value="admission"/></p> <p><input type="radio"/> Other, Specify: <input type="text" value=""/></p> </div> <p><input type="checkbox"/> Discharge data is collected for the census of all (or almost all) clients who were admitted to treatment</p> <p><input type="checkbox"/> Discharge data is collected for a sample of all clients who were admitted to treatment</p> <p><input checked="" type="checkbox"/> Discharge records are directly collected (or in the case of early dropouts) are created for all (or almost all) clients who were admitted to treatment</p> <p><input type="checkbox"/> Discharge records are not collected for approximately <input type="text" value=""/> % of clients who were admitted for treatment</p> |
|---------------------------|--|

|                |  |
|----------------|--|
| RECORD LINKING | <p><b>Was the admission and discharge data linked for table T3? (Select all that apply)</b></p> <div style="border: 1px solid black; padding: 5px;"> <p><input checked="" type="checkbox"/> Yes, all clients at admission were linked with discharge data using an Unique Client Identifier (UCID)<br/>Select type of UCID:</p> <p><input checked="" type="radio"/> Master Client Index or Master Patient Index, centrally assigned</p> <p><input type="radio"/> Social Security Number (SSN)</p> <p><input type="radio"/> Unique client ID based on fixed client characteristics (such as date of birth, gender, partial SSN, etc.)</p> <p><input type="radio"/> Some other Statewide unique ID</p> <p><input type="radio"/> Provider-entity-specific unique ID</p> </div> <p><input type="checkbox"/> No, State Management Information System does not utilize UCID that allows comparison of admission and discharge data on a client specific basis (data developed on a cohorts basis) or State relied on other data sources for post admission data</p> <p><input type="checkbox"/> No, admission and discharge records were matched using probabilistic record matching</p> |
|----------------|--|

|                        |   |
|------------------------|---|
| IF DATA IS UNAVAILABLE | <p><b>If data is not reported, why is State unable to report? (Select all that apply)</b></p> <p><input type="checkbox"/> Information is not collected at admission</p> <p><input type="checkbox"/> Information is not collected at discharge</p> <p><input type="checkbox"/> Information is not collected by the categories requested</p> <p><input type="checkbox"/> State collects information on the indicator area but utilizes a different measure.</p> |
|------------------------|---|

DATA PLANS IF DATA IS  
NOT AVAILABLE

**State must provide time-framed plans for capturing arrest data on all clients, if data is not currently available. Plans should also discuss barriers, resource needs and estimates of cost.**

- Foot Notes

Discharge Data Collection

"Administrative Discharges" are completed when clients are not available for interview at cessation of treatment. An "Administrative Discharge" contains no information about alcohol or drug use, or functioning in the life domains at case closure. "Administrative Discharges" (n = 72,897) were not included in this analysis due to missing data in the area under examination.

## Form T4

State: California

### Performance Measure Data Collection Interim Standard – Percentage Point Change in Abstinence - Alcohol Use

**GOAL** To reduce substance abuse to protect the health, safety, and quality of life for all.

**MEASURE** The change in *all clients receiving treatment* who reported abstinence at discharge.

**DEFINITIONS** Change in *all clients receiving treatment* who reported abstinence at discharge equals clients reporting abstinence at admission subtracted from clients reporting abstinence at discharge.

Most recent year for which data are available  From:  To:

| Alcohol Abstinence – Clients with no alcohol use (all clients regardless of primary problem) (use Alcohol Use in last 30 days field) at admission vs. discharge.  | Admission Clients (T <sub>1</sub> ) | Discharge Clients (T <sub>2</sub> ) |
|---|-------------------------------------|-------------------------------------|
| Number of clients abstinent from alcohol [numerator]  | <input type="text" value="66395"/>  | <input type="text" value="78545"/>  |
| Total number of clients with non-missing values on "used any alcohol" variable [denominator]  | <input type="text" value="86337"/>  | <input type="text" value="86337"/>  |
| Percent of clients abstinent from alcohol   | 76.90%                              | 90.97%                              |
| (1) If State does not have a "used any alcohol" variable, calculate instead using frequency of use variables for all primary, secondary, or tertiary problem codes in which the coded problem is Alcohol (e.g., TEDS Code 02) |                                     |                                     |

## State Description of Alcohol Use Data Collection (Form T4)

|                                       |  |
|---------------------------------------|--|
| STATE CONFORMANCE TO INTERIM STANDARD | <p><b>States should detail exactly how this information is collected. Where data and methods vary from interim standard, variance should be described</b></p> <p>Data for this variable are collected at admission and discharge. The data represent the clients' self-report as to their status on the variable during the 30 days before admission and during the 30 days before discharge. Admission and discharge records are matched with a unique statewide client ID to provide client-level data for analysis.</p> |
|---------------------------------------|--|

|             |   |
|-------------|---|
| DATA SOURCE | <p><b>What is the source of data for table T4? (Select all that apply)</b></p> <p><input checked="" type="checkbox"/> Client Self Report</p> <p>Client self-report confirmed by another source:</p> <p><input checked="" type="checkbox"/> Collateral source</p> <p><input type="checkbox"/> Administrative data source</p> |
|-------------|---|

Other: Specify

EPISODE OF CARE

**How is the admission/discharge basis defined for table T4? (Select one)**

- Admission is on the first date of service, prior to which no service has been received for 30 days AND discharge is on the last date of service, subsequent to which no service has been received for 30 days
- Admission is on the first date of service in a Program/Service Delivery Unit and Discharge is on the last date of service in a Program/Service Delivery Unit
- Other, Specify:

DISCHARGE DATA COLLECTION

**How was discharge data collected for table T4? (Select all that apply)**

- Not applicable, data reported on form is collected at time period other than discharge  
Specify:
- In-Treatment data  days post admission
- Follow-up data  months post
- Other, Specify:
- Discharge data is collected for the census of all (or almost all) clients who were admitted to treatment
- Discharge data is collected for a sample of all clients who were admitted to treatment
- Discharge records are directly collected (or in the case of early dropouts) are created for all (or almost all) clients who were admitted to treatment
- Discharge records are not collected for approximately  % of clients who were admitted for treatment

RECORD LINKING

**Was the admission and discharge data linked for table T4? (Select all that apply)**

- Yes, all clients at admission were linked with discharge data using an Unique Client Identifier (UCID)  
Select type of UCID:
- Master Client Index or Master Patient Index, centrally assigned
- Social Security Number (SSN)
- Unique client ID based on fixed client characteristics (such as date of birth, gender, partial SSN, etc.)
- Some other Statewide unique ID
- Provider-entity-specific unique ID
- No, State Management Information System does not utilize UCID that allows comparison of admission and discharge data on a client specific basis (data developed on a cohorts basis) or State relied on other data sources for post admission data
- No, admission and discharge records were matched using probabilistic record matching

IF DATA IS UNAVAILABLE

**If data is not reported, why is State unable to report? (Select all that apply)**

- Information is not collected at admission

- Information is not collected at discharge
- Information is not collected by the categories requested
- State collects information on the indicator area but utilizes a different measure.

DATA PLANS IF DATA IS NOT AVAILABLE

**State must provide time-framed plans for capturing alcohol abstinence data on all clients, if data is not currently available. Plans should also discuss barriers, resource needs and estimates of cost.**

- Foot Notes

Discharge Data Collection

"Administrative Discharges" are completed when clients are not available for interview at cessation of treatment. An "Administrative Discharge" contains no information about alcohol or drug use, or functioning in the life domains at case closure. "Administrative Discharges" (n = 77,156) were not included in this analysis due to missing data in the area under examination.

## Form T5

State: California

### Performance Measure Data Collection Interim Standard – Percentage Point Change in Abstinence - Other Drug Use

**GOAL** To reduce substance abuse to protect the health, safety, and quality of life for all.

**MEASURE** The change in *all clients receiving treatment* who reported abstinence at discharge.

**DEFINITIONS** Change in *all clients receiving treatment* who reported abstinence at discharge equals clients reporting abstinence at admission subtracted from clients reporting abstinence at discharge.

Most recent year for which data are available  From:  To:

| Drug Abstinence – Clients with no drug use (all clients regardless of primary problem) (use Any Drug Use in last 30 days field) at admission vs. discharge.  | Admission Clients (T <sub>1</sub> ) | Discharge Clients (T <sub>2</sub> ) |
|--|-------------------------------------|-------------------------------------|
| Number of Clients abstinent from illegal drugs [numerator]   | <input type="text" value="33459"/>  | <input type="text" value="54891"/>  |
| Total number of clients with non-missing values on "used any drug" variable [denominator]  | <input type="text" value="75125"/>  | <input type="text" value="75125"/>  |
| Percent of clients abstinent from drugs  | 44.54%                              | 73.07%                              |
| (2) If State does not have a "used any drug" variable, calculate instead using frequency of use variables for all primary, secondary, or tertiary problem codes in which the coded problem is Drugs (e.g., TEDS Codes 03-20) |                                     |                                     |

## State Description of Other Drug Use Data Collection (Form T5)

### STATE CONFORMANCE TO INTERIM STANDARD

**States should detail exactly how this information is collected. Where data and methods vary from interim standard, variance should be described**

Data for this variable are collected at admission and discharge. The data represent the clients' self-report as to their status on the variable during the 30 days before admission and during the 30 days before discharge. Admission and discharge records are matched with a unique statewide client ID to provide client-level data for analysis.

### DATA SOURCE

**What is the source of data for table T5? (Select all that apply)**

Client Self Report

Client self-report confirmed by another source:

Collateral source

Administrative data source

Other: Specify

EPISODE OF CARE

**How is the admission/discharge basis defined for table T5? (Select one)**

- Admission is on the first date of service, prior to which no service has been received for 30 days AND discharge is on the last date of service, subsequent to which no service has been received for 30 days
- Admission is on the first date of service in a Program/Service Delivery Unit and Discharge is on the last date of service in a Program/Service Delivery Unit
- Other, Specify:

DISCHARGE DATA COLLECTION

**How was discharge data collected for table T5? (Select all that apply)**

- Not applicable, data reported on form is collected at time period other than discharge  
Specify:
- In-Treatment data  days post admission
- Follow-up data  months post
- Other, Specify:
- Discharge data is collected for the census of all (or almost all) clients who were admitted to treatment
- Discharge data is collected for a sample of all clients who were admitted to treatment
- Discharge records are directly collected (or in the case of early dropouts) are created for all (or almost all) clients who were admitted to treatment
- Discharge records are not collected for approximately  % of clients who were admitted for treatment

RECORD LINKING

**Was the admission and discharge data linked for table T5? (Select all that apply)**

- Yes, all clients at admission were linked with discharge data using an Unique Client Identifier (UCID)  
Select type of UCID:
- Master Client Index or Master Patient Index, centrally assigned
- Social Security Number (SSN)
- Unique client ID based on fixed client characteristics (such as date of birth, gender, partial SSN, etc.)
- Some other Statewide unique ID
- Provider-entity-specific unique ID
- No, State Management Information System does not utilize UCID that allows comparison of admission and discharge data on a client specific basis (data developed on a cohorts basis) or State relied on other data sources for post admission data
- No, admission and discharge records were matched using probabilistic record matching

IF DATA IS UNAVAILABLE

**If data is not reported, why is State unable to report? (Select all that apply)**

- Information is not collected at admission

- Information is not collected at discharge
- Information is not collected by the categories requested
- State collects information on the indicator area but utilizes a different measure.

DATA PLANS IF DATA IS NOT AVAILABLE

**State must provide time-framed plans for capturing drug abstinence data on all clients, if data is not currently available. Plans should also discuss barriers, resource needs and estimates of cost.**

- Foot Notes

Discharge Data Collection

"Administrative Discharges" are completed when clients are not available for interview at cessation of treatment. An "Administrative Discharge" contains no information about alcohol or drug use, or functioning in the life domains at case closure. "Administrative Discharges" (n = 77,156) were not included in this analysis due to missing data in the area under examination.

## Form T6

State: California

### Performance Measure Data Collection Interim Standard – Percentage Point Change in Social Support of Recovery

**GOAL** To improve clients' participation in social support of recovery activities to reduce substance abuse to protect the health, safety, and quality of life for all.

**MEASURE** The change in *all clients receiving treatment* who reported participation in one or more social and or recovery support activity at discharge.

**DEFINITIONS** Change in *all clients receiving treatment* who reported participation in one or more social and recovery support activities at discharge equals clients reporting participation at admission subtracted from clients reporting participation at discharge.

Most recent year for which data are available  From:  To:

| Social Support of Recovery – Clients participating in self-help groups, support groups (e.g., AA, NA, etc.) (prior 30 days) at admission vs. discharge | Admission Clients (T <sub>1</sub> ) | Discharge Clients (T <sub>2</sub> ) |
|--|-------------------------------------|-------------------------------------|
| Number of clients with one or more such activities (AA NA meetings attended, etc.) [numerator]   | <input type="text" value="34820"/>  | <input type="text" value="50778"/>  |
| Total number of Admission and Discharge clients with non-missing values on social support activities [denominator]                                     | <input type="text" value="78211"/>  | <input type="text" value="78211"/>  |
| Percent of clients participating in social support activities  | 44.52%                              | 64.92%                              |

### State Description of Social Support of Recovery Data Collection (Form T6)

|                                       |  |
|---------------------------------------|--|
| STATE CONFORMANCE TO INTERIM STANDARD | <p><b>States should detail exactly how this information is collected. Where data and methods vary from interim standard, variance should be described</b></p> <p>Data for this variable are collected at admission and discharge. The data represent the clients' self-report as to their status on the variable during the 30 days before admission and during the 30 days before discharge. Admission and discharge records are matched with a unique statewide client ID to provide client-level data for analysis.</p> |
|---------------------------------------|--|

|             |  |
|-------------|--|
| DATA SOURCE | <p><b>What is the source of data for table T6? (Select all that apply)</b></p> <p><input checked="" type="checkbox"/> Client Self Report</p> <p>Client self-report confirmed by another source:</p> <p><input type="checkbox"/> Collateral source</p> <p><input type="checkbox"/> Administrative data source</p> |
|-------------|--|

Other: Specify

EPISODE OF CARE

**How is the admission/discharge basis defined for table T6? (Select one)**

- Admission is on the first date of service, prior to which no service has been received for 30 days AND discharge is on the last date of service, subsequent to which no service has been received for 30 days
- Admission is on the first date of service in a Program/Service Delivery Unit and Discharge is on the last date of service in a Program/Service Delivery Unit
- Other, Specify:

DISCHARGE DATA COLLECTION

**How was discharge data collected for table T6? (Select all that apply)**

- Not applicable, data reported on form is collected at time period other than discharge  
Specify:
- In-Treatment data  days post admission
- Follow-up data  months post
- Other, Specify:
- Discharge data is collected for the census of all (or almost all) clients who were admitted to treatment
- Discharge data is collected for a sample of all clients who were admitted to treatment
- Discharge records are directly collected (or in the case of early dropouts) are created for all (or almost all) clients who were admitted to treatment
- Discharge records are not collected for approximately  % of clients who were admitted for treatment

RECORD LINKING

**Was the admission and discharge data linked for table T6? (Select all that apply)**

- Yes, all clients at admission were linked with discharge data using an Unique Client Identifier (UCID)  
Select type of UCID:
- Master Client Index or Master Patient Index, centrally assigned
- Social Security Number (SSN)
- Unique client ID based on fixed client characteristics (such as date of birth, gender, partial SSN, etc.)
- Some other Statewide unique ID
- Provider-entity-specific unique ID
- No, State Management Information System does not utilize UCID that allows comparison of admission and discharge data on a client specific basis (data developed on a cohorts basis) or State relied on other data sources for post admission data
- No, admission and discharge records were matched using probabilistic record matching

IF DATA IS UNAVAILABLE

**If data is not reported, why is State unable to report? (Select all that apply)**

- Information is not collected at admission

- Information is not collected at discharge
- Information is not collected by the categories requested
- State collects information on the indicator area but utilizes a different measure.

DATA PLANS IF DATA IS NOT AVAILABLE

**State must provide time-framed plans for capturing social support of recovery data data on all clients, if data is not currently available. Plans should also discuss barriers, resource needs and estimates of cost.**

- Foot Notes

Discharge Data Collection

"Administrative Discharges" are completed when clients are not available for interview at cessation of treatment. An "Administrative Discharge" contains no information about alcohol or drug use, or functioning in the life domains at case closure. "Administrative Discharges" (n = 72,897) were not included in this analysis due to missing data in the area under examination.

# Form T7

State: California

## Length of Stay (in Days) of All Discharges

Most recent year for which data are available

From: 7/1/2006 To: 6/30/2007

| Length of Stay                          |         |        |                    |
|---|---------|--------|--------------------|
| Level of Care                           | Average | Median | Standard Deviation |
| <b>Detoxification (24-Hour Care)</b>    |         |        |                    |
| 1. Hospital Inpatient                   | 0       | 0      | 0                  |
| 2. Free-standing Residential            | 7       | 4      | 18                 |
| <b>Rehabilitation / Residential</b>     |         |        |                    |
| 3. Hospital Inpatient                   | 0       | 0      | 0                  |
| 4. Short-term (up to 30 days)           | 22      | 21     | 36                 |
| 5. Long-term (over 30 days)             | 70      | 52     | 71                 |
| <b>Ambulatory (Outpatient)</b>          |         |        |                    |
| 6. Outpatient                           | 129     | 84     | 159                |
| 7. Intensive Outpatient                 | 111     | 70     | 124                |
| 8. Detoxification                       | 44      | 18     | 165                |
| <b>Opioid Replacement Therapy (ORT)</b> |         |        |                    |
| 9. Opioid Replacement therapy           | 390     | 123    | 724                |

- Foot Notes

Hospital Inpatient: California Department of Alcohol and Drug did not fund Hospital Inpatient services.

# California

## INSERT OVERALL NARRATIVE:

### INSERT OVERALL NARRATIVE:

*The State should address as many of these questions as possible and may provide other relevant information if so desired. Responses to questions that are already provided in other sections of the application (e.g., planning, needs assessment) should be referenced whenever possible.*

### **State Performance Management and Leadership**

*Describe the Single State Agency's capacity and capability to make data driven decisions based on performance measures. Describe any potential barriers and necessary changes that would enhance the SSA's leadership role in this capacity.*

*Describe the types of regular and ad hoc reports generated by the State and identify to whom they are distributed and how.*

*If the State sets benchmarks, performance targets or quantified objectives, what methods are used by the State in setting these values?*

*What actions does the State take as a result of analyzing performance management data?*

*Has the State developed evidence-based practices (EBPs) or programs and, if so, does the State require that providers use these EBPs?*

### **Provider Involvement**

*What actions does the State expect the provider or intermediary to take as a result of analyzing performance management data?*

*If the SSA has a regular training program for State and provider staff that collect and report client information, describe the training program, its participants and frequency. Do workforce development plans address NOMs implementation and performance-based management practices? Does the State require providers to supply information about the intensity or number of services received?*

## State Performance Management and Leadership

Describe the Single State Authority capacity and capability to make data driven decisions based on performance measure. Describe any potential barriers and necessary changes that would enhance the SSA's leadership role in this capacity.

The California Outcomes Measurement System–Treatment (CalOMS–Tx) was implemented in 2006 by the Single State Authority in California, the Department of Alcohol and Drug Programs (ADP). CalOMS–Tx gives the State the capacity and capability to make data driven decisions based on the following information.

Indicators of system performance:

- 1) Access to Services – mean and median wait list time
- 2) Continuity of Care – transfer from one treatment modality to another (within 30 days)
- 3) Retention – mean and median length of stay; clients staying 60 days or more; clients staying 90 days or more
- 4) Completion – discharge upon treatment completion
- 5) Number of Individual Clients Served, and

Changes in client well-being from admission to discharge, past month self-report:

- 1) Substance Use
- 2) Employment and Education – days worked, enrollments, grade completed
- 3) Criminal Justice Involvement – arrests, jail days, prison days
- 4) Living Conditions – dependent, independent or homeless; AOD use in household
- 5) Physical and Mental Health – emergency room use, hospital use, medical problems
- 6) Family and Connectedness – family conflict, children in/out-of-care, social support

Efforts are ongoing by the State and its counties to identify data elements that will provide the most useful picture of how well treatment efforts facilitate and support a client's progress along the recovery continuum.

In the fall of 2007, the State commissioned the University of California Los Angeles Integrated Substance Abuse Programs (UCLA ISAP) to evaluate CalOMS–Tx data services. In addition to evaluating data quality and validity, the ISAP team evaluated CalOMS from the county and provider perspective using 1) focus groups that included a total of 25 county administrators, 2) written surveys completed by 41 of 58 county administrators, 3) 16 site visits representative of small, medium, and large counties, and 4) surveys completed by a random, stratified sampling of 104 individual treatment providers. Usefulness of CalOMS–Tx reports was evaluated and barriers to accessing data were identified. UCLA ISAP has presented ADP with the draft report. ADP is already addressing issues related to training needs described by the counties (see training question, below).

Describe the types of regular and ad hoc reports generated by the State and identify to whom they are distributed and how.

Standardized Reports are available for county download from the ADP CalOMS–Tx website. Examples include:

- Accessing services – source of referral; types of service modality
- Changes during treatment – alcohol and drug use, criminal justice
- Service utilization – completion rates, length of stay
- Treatment population – client characteristics, demographics

Data can be sorted and filtered to meet numerous criteria, at the state, county, provider or modality level. ADP plans to launch provider access in September 2008.

Data Quality and Compliance Reports provide summary and supporting metrics regarding the timeliness, completeness, and accuracy of CalOMS–Tx data submissions.

Error and Submission Detail Reports provide record-by-record summaries of all errors encountered during CalOMS–Tx data submissions.

Open Admissions Reports list all open alcohol and other drug (AOD) treatment admissions older than a user-selected number of months.

Services Provided Outside County Reports provide a listing of AOD treatment services performed by providers located outside the county, by request.

Parolee Services Network (PSN) Reports provide quarterly summary data on clients enrolled in this program; only applicable to counties participating in the PSN program.

Substance Abuse and Crime Prevention Act (SACPA) Program Management Reports provide quarterly summary data on clients treated under the SACPA program.

In addition to county-specific data accessed directly by county administrators, ADP's Office of Applied Research and Analysis prepares various CalOMS–Tx ad hoc data reports for a variety of stakeholders.

If the State sets benchmarks, performance targets or quantified objectives, what methods are used by the State in setting these values?

The State has not yet set benchmarks. ADP will first ensure data quality and completeness prior to setting performance targets. Currently, ADP supports performance measurement through the CalOMS data system, so that counties and their providers can plan for and monitor progress toward improvements in service delivery.

As a strategy for moving the system forward, ADP is participating in and promoting NIATx. The State of California, represented by ADP, the County Alcohol and Drug Program Administrators' Association of California (CADPAAC,) and the Pacific

Southwest Addiction Technology Transfer Center (PSATTC), was recently recognized at the June 2008 NIATx Summit as having the largest number of agencies join the Action campaign. A total of 700 individuals from 35 counties have been trained in the NIATx process improvement model in the fall 2007 and spring 2008 trainings. In Los Angeles County, 14 agencies at 20 locations are implementing NIATx. Orange County and Sonoma County are on the verge of beginning system-wide implementation. The PSATTC will hold a daylong training for Marin County and Napa County providers in September 2008.

## **Provider Involvement**

### *What actions does the State take as a result of analyzing performance management data?*

ADP will host an executive meeting in August 2008 between UCLA ISAP and ADP Staff to discuss UCLA's evaluation and report on the SFY 2006-07 CalOMS-Tx data. A lively discussion of findings on California's treatment performance is expected at that meeting, as are continued discussions both internally and with the counties on next steps. Many of our providers have already expressed an interest in using this data for monitoring program improvements (see below).

### *Has the State developed evidence-based practices (EBPs) or programs and, if so, does the State require that providers use these EBPs?*

Although many providers recognize and use EBPs, the State does not require them at this time. A first step in consideration is collection of information from providers on the types of interventions and support they provide.

### *What actions does the State expect the provider or intermediary to take as a result of analyzing performance management data?*

In UCLA's recent survey of treatment providers in California (described above), 45 percent of providers reported that CalOMS-Tx data was useful to their program in client assessment and for documenting client progress, and about one-third of providers indicated its usefulness in planning for improvements in performance and outcomes. The State and County Administrators are working together to identify data elements that will extend the usefulness of CalOMS-Tx to the counties and providers for monitoring, evaluating, and improving service performance.

### *If the SSA has a regular training program for State and provider staff that collect and report client information, describe the training program, its participants and frequency.*

In addition to the start-up trainings held in 2006, ADP hosted regional county training programs by UCLA ISAP for CalOMS users in 2007. Topics covered were 1) use Data & Outcome Reports, 2) use of performance and outcome data to

improve treatment services, and 3) giving feedback to programs and decision makers about data.

In January 2008, ADP staff provided a CalOMS–Tx Data Utilization Workshop to county administrators. Six one-day training sessions by UCLA ISAP were held around the State in summer 2008 to provide additional training in the use of output reports. Additionally, the State and counties have initiated an ongoing series of user group meetings in six regions throughout the State to provide a forum for identifying, discussing and resolving CalOMS–Tx issues and concerns.

Implemented in the summer of 2008, CalOMS–Tx web-based training teaches counties and providers who submit CalOMS–Tx data how to collect and submit to, and retrieve useful data from, the CalOMS–Tx system. This training tool is available via ADP’s website. Six lessons are available and are independent from each other and can be taken in any order that the user feels appropriate. Each lesson is divided into multiple chapters with a non-graded quiz at the end of each chapter. At the end of each lesson, there is a graded exam with a certificate available for printing upon successful completion of the lesson.

*Do workforce development plans address National Outcome Measures implementation and performance-based management practices?*

No, not at this time.

*Does the State require providers to supply information about the intensity or number of services received?*

No, not at this time.

## **California**

### **Treatment Corrective Action Plan (submit upon request)**

1. Describe the corrective action plan, including critical steps and actions the State and its providers will employ to collect and report the National Outcome Measures data.
2. Discuss the timeframes for the State's corrective action plan detailing the planned milestones and other measures of progress the State has incorporated into its corrective action plan.
3. Describe the State's corrective action plan implementation monitoring activities including interventions or adjustments the State will employ when timeframes or milestones are not achieved.

# Form P1

State: California

## NOMs Domain: Reduced Morbidity—Abstinence from Drug Use/Alcohol Use Measure: 30-Day Use

| A. Measure  | B. Question/Response  | C. Pre-Populated Data | D. Approved Substitute Data |  |
|---|---|-----------------------|-----------------------------|--|
| 1. 30-day Alcohol Use                               | <b>Source Survey Item:</b> NSDUH Questionnaire.<br>"Think specifically about the past 30 days, that is, from [DATEFILL] through today. During the past 30 days, on how many days did you drink one or more drinks of an alcoholic beverage?" [Response option: Write in a number between 0 and 30.]<br><b>Outcome Reported:</b> Percent who reported having used alcohol during the past 30 days.   | Ages 12-17 - FFY 2006 | 25.50                       |  |
|   |   | Ages 18+ - FFY 2006   | 56                          |  |
| 2. 30-day Cigarette Use                             | <b>Source Survey Item:</b> NSDUH Questionnaire:<br>"During the past 30 days, that is, since [DATEFILL], on how many days did you smoke part or all of a cigarette?" [Response option: Write in a number between 0 and 30.]  | Ages 12-17 - FFY 2006 | 7.50                        |  |
|   |   | Ages 18+ - FFY 2006   | 20.90                       |  |
| 3. 30-day Use of Other Tobacco Product              | <b>Source Survey Item:</b> NSDUH Questionnaire:<br>"During the past 30 days, that is, since [DATEFILL], on how many days did you use [other tobacco products] † ?" [Response option: Write in a number between 0 and 30.]<br><b>Outcome Reported:</b> Percent who reported having used a tobacco product other than cigarettes during the past 30 days,   | Ages 12-17 - FFY 2006 | 3.50                        |  |
|   |   | Ages 18+ - FFY 2006   | 5.70                        |  |
| 4. 30-day Use of Marijuana                          | <b>Source Survey Item:</b> NSDUH Questionnaire:<br>"Think specifically about the past 30 days, from [DATEFILL] up to and including today. During the past 30 days, on how many days did you use marijuana or hashish?" [Response option: Write in a number between 0 and 30.]<br><b>Outcome Reported:</b> Percent who reported having used marijuana or hashish during the past 30 days.  | Ages 12-17 - FFY 2006 | 6.30                        |  |
|   |   | Ages 18+ - FFY 2006   | 7.10                        |  |
| 5. 30-day Use of Illegal Drugs Other Than Marijuana | <b>Source Survey Item:</b> NSDUH Questionnaire:<br>"Think specifically about the past 30 days, from [DATEFILL] up to and including today. During the past 30 days, on how many days did you use [any other illegal drug] ‡ ?"<br><b>Outcome Reported:</b> Percent who reported having used illegal drugs other than marijuana or hashish during the past 30 days, calculated by combining responses to questions about individual drugs (heroin, cocaine, stimulants, hallucinogens, inhalants, prescription drugs used without doctors' orders). | Ages 12-17 - FFY 2006 | 4.60                        |  |
|   |   | Ages 18+ - FFY 2006   | 3.90                        |  |

((s)) Suppressed due to insufficient or non-comparable data

† NSDUH asks separate questions for each tobacco product. The number provided combines responses to all questions about tobacco products other than cigarettes.

‡ NSDUH asks separate questions for each illegal drug. The number provided combines responses to all questions about illegal drugs other than marijuana or hashish.

# Form P2

**State: California**

## NOMs Domain: Reduced Morbidity—Abstinence from Drug Use/Alcohol Use Measure: Perception of Risk/Harm of Use

| A. Measure                            | B. Question/Response  | C. Pre-<br>Populated<br>Data   | D. Approved<br>Substitute<br>Data |
|---------------------------------------|---|--------------------------------|-----------------------------------|
| 1. Perception of Risk From Cigarette  | <b>Source Survey Item:</b> NSDUH Questionnaire: "How much do people risk harming themselves physically and in other ways when they smoke one or more packs of cigarettes per day?" [Response options: No risk, slight risk, moderate risk, great risk]<br><b>Outcome Reported:</b> Percent reporting moderate or great risk.                          | Ages 12–17 - FFY 2006<br>92.40 |                                   |
|                                       |   | Ages 18+ - FFY 2006<br>94.50   |                                   |
| 1. Perception of Risk From Cigarettes | <b>Source Survey Item:</b> NSDUH Questionnaire: "How much do people risk harming themselves physically and in other ways when they have five or more drinks of an alcoholic beverage once or twice a week?" [Response options: No risk, slight risk, moderate risk, great risk]<br><b>Outcome Reported:</b> Percent reporting moderate or great risk. | Ages 12–17 - FFY 2006<br>81.90 |                                   |
|                                       |   | Ages 18+ - FFY 2006<br>81.80   |                                   |
| 3. Perception of Risk From Marijuana  | <b>Source Survey Item:</b> NSDUH Questionnaire: "How much do people risk harming themselves physically and in other ways when they smoke marijuana once or twice a week?" [Response options: No risk, slight risk, moderate risk, great risk]<br><b>Outcome Reported:</b> Percent reporting moderate or great risk.                                   | Ages 12–17 - FFY 2006<br>82.70 |                                   |
|                                       |   | Ages 18+ - FFY 2006<br>74.80   |                                   |

((s)) Suppressed due to insufficient or non-comparable data

# Form P3

**State: California**

## NOMs Domain: Reduced Morbidity—Abstinence from Drug Use/Alcohol Use Measure: Age of First Use

| A. Measure   | B. Question/Response  | C. Pre-Populated Data          | D. Approved Substitute Data |
|--|---|--------------------------------|-----------------------------|
| 1. Age at First Use of Alcohol                                       | <b>Source Survey Item:</b> NSDUH Questionnaire: "Think about the first time you had a drink of an alcoholic beverage. How old were you the first time you had a drink of an alcoholic beverage? Please do not include any time when you only had a sip or two from a drink." [Response option: Write in age at first use.]<br><b>Outcome Reported:</b> Average age at first use of alcohol. | Ages 12–17 - FFY 2006<br>13.30 |                             |
|  |   | Ages 18+ - FFY 2006<br>17.40   |                             |
| 2. Age at First Use of Cigarettes                                    | <b>Source Survey Item:</b> NSDUH Questionnaire: "How old were you the first time you smoked part or all of a cigarette?" [Response option: Write in age at first use.]<br><b>Outcome Reported:</b> Average age at first use of cigarettes.  | Ages 12–17 - FFY 2006<br>12.90 |                             |
|  |   | Ages 18+ - FFY 2006<br>16      |                             |
| 3. Age at First Use of Tobacco Products Other Than Cigarettes        | <b>Source Survey Item:</b> NSDUH Questionnaire: "How old were you the first time you used [any other tobacco product] † ?" [Response option: Write in age at first use.]<br><b>Outcome Reported:</b> Average age at first use of tobacco products other than cigarettes.  | Ages 12–17 - FFY 2006<br>13.80 |                             |
|  |   | Ages 18+ - FFY 2006<br>20.80   |                             |
| 4. Age at First Use of Marijuana or Hashish                          | <b>Source Survey Item:</b> NSDUH Questionnaire: "How old were you the first time you used marijuana or hashish?" [Response option: Write in age at first use.]<br><b>Outcome Reported:</b> Average age at first use of marijuana or hashish.  | Ages 12–17 - FFY 2006<br>13.60 |                             |
|  |   | Ages 18+ - FFY 2006<br>18.10   |                             |
| 5. Age at First Use of Illegal Drugs Other Than Marijuana or Hashish | <b>Source Survey Item:</b> NSDUH Questionnaire: "How old were you the first time you used [other illegal drugs] ‡ ?" [Response option: Write in age at first use.]<br><b>Outcome Reported:</b> Average age at first use of other illegal drugs.   | Ages 12–17 - FFY 2006<br>12.60 |                             |
|  |   | Ages 18+ - FFY 2006<br>20.20   |                             |

((s)) Suppressed due to insufficient or non-comparable data

† The question was asked about each tobacco product separately, and the youngest age at first use was taken as the measure.

‡ The question was asked about each drug in this category separately, and the youngest age at first use was taken as the measure.

# Form P4

**State: California**

## NOMs Domain: Reduced Morbidity—Abstinence from Drug Use/Alcohol Use Measure: Perception of Disapproval/Attitudes

| A. Measure                                       | B. Question/Response  | C. Pre-<br>Populated<br>Data | D. Approved<br>Substitute<br>Data |
|--|---|------------------------------|-----------------------------------|
| 1. Disapproval of Cigarettes                     | <p><b>Source Survey Item:</b> NSDUH Questionnaire: "How do you feel about someone your age smoking one or more packs of cigarettes a day?" [Response options: Neither approve nor disapprove, somewhat disapprove, strongly disapprove]</p> <p><b>Outcome Reported:</b> Percent somewhat or strongly disapproving.</p>  | 90.10                        |                                   |
| 2. Perception of Disapproval of Cigarettes       | <p><b>Source Survey Item:</b> NSDUH Questionnaire: "How do you think your close friends would feel about you smoking one or more packs of cigarettes a day?" [Response options: Neither approve nor disapprove, somewhat disapprove, strongly disapprove]</p> <p><b>Outcome Reported:</b> Percent reporting that their friends would somewhat or strongly disapprove.</p> | 89.90                        |                                   |
| 3. Disapproval of Using Marijuana Experimentally | <p><b>Source Survey Item:</b> NSDUH Questionnaire: "How do you feel about someone your age trying marijuana or hashish once or twice?" [Response options: Neither approve nor disapprove, somewhat disapprove, strongly disapprove]</p> <p><b>Outcome Reported:</b> Percent somewhat or strongly disapproving.</p>  | 81.30                        |                                   |
| 4. Disapproval of Using Marijuana Regularly      | <p><b>Source Survey Item:</b> NSDUH Questionnaire: "How do you feel about someone your age using marijuana once a month or more?" [Response options: Neither approve nor disapprove, somewhat disapprove, strongly disapprove]</p> <p><b>Outcome Reported:</b> Percent somewhat or strongly disapproving.</p>   | 82.20                        |                                   |
| 5. Disapproval of Alcohol                        | <p><b>Source Survey Item:</b> NSDUH Questionnaire: "How do you feel about someone your age having one or two drinks of an alcoholic beverage nearly every day?" [Response options: Neither approve nor disapprove, somewhat disapprove, strongly disapprove]</p> <p><b>Outcome Reported:</b> Percent somewhat or strongly disapproving.</p>                               | 87.70                        |                                   |

((s)) Suppressed due to insufficient or non-comparable data

# Form P5

**State: California**

**NOMs Domain: Employment/Education**  
**Measure: Perception of Workplace Policy**

| A. Measure                     | B. Question/Response   |  | C. Pre-Populated Data          | D. Approved Substitute Data |
|--------------------------------|--|--|--------------------------------|-----------------------------|
| Perception of Workplace Policy | <p><b>Source Survey Item:</b> NSDUH Questionnaire: "Would you be more or less likely to want to work for an employer that tests its employees for drug or alcohol use on a random basis? Would you say more likely, less likely, or would it make no difference to you?" [Response options: More likely, less likely, would make no difference]</p> <p><b>Outcome Reported:</b> Percent reporting that they would be more likely to work for an employer conducting random drug and alcohol tests.</p> |  | Ages 15-17 - FFY 2006<br>29.10 |                             |
|                                |  |  | Ages 18+ - FFY 2006<br>38.70   |                             |

((s)) Suppressed due to insufficient or non-comparable data

# Form P7

**State: California**

**NOMs Domain: Employment/Education**  
**Measure: Average Daily School Attendance Rate**

| A. Measure                           | B. Question/Response  |          | C. Pre-Populated Data | D. Approved Substitute Data |
|--------------------------------------|---|----------|-----------------------|-----------------------------|
| Average Daily School Attendance Rate | <p><b>Source:</b> National Center for Education Statistics, Common Core of Data: The National Public Education Finance Survey available for download at <a href="http://nces.ed.gov/ccd/stfis.asp">http://nces.ed.gov/ccd/stfis.asp</a></p> <p><b>Measure calculation:</b> Average daily attendance (NCES defined) divided by total enrollment and multiplied by 100.</p> | FFY 2006 | 99                    |                             |

((s)) Suppressed due to insufficient or non-comparable data

# Form P8

**State: California**

## NOMs Domain: Crime and Criminal Justice Measure: Alcohol-Related Traffic Fatalities

| A. Measure                         | B. Question/Response  | C. Pre-Populated Data   | D. Approved Substitute Data                        |
|------------------------------------|---|---|--|
| Alcohol-Related Traffic Fatalities | <b>Source:</b> National Highway Traffic Safety Administration Fatality Analysis Reporting System<br><b>Measure calculation:</b> The number of alcohol-related traffic fatalities divided by the total number of traffic fatalities and multiplied by 100. | FFY 2006<br><input data-bbox="1027 548 1154 590" type="text" value="42"/> | <input data-bbox="1195 548 1321 590" type="text"/> |

((s)) Suppressed due to insufficient or non-comparable data

# Form P9

**State: California**

## NOMs Domain: Crime and Criminal Justice Measure: Alcohol- and Drug-Related Arrests

| A. Measure                        | B. Question/Response   |          | C. Pre-Populated Data | D. Approved Substitute Data |
|-----------------------------------|--|----------|-----------------------|-----------------------------|
| Alcohol- and Drug-Related Arrests | <p><b>Source:</b> Federal Bureau of Investigation Uniform Crime Reports</p> <p><b>Measure calculation:</b> The number of alcohol- and drug-related arrests divided by the total number of arrests and multiplied by 100.</p> | FFY 2006 | 112.80                |                             |

((s)) Suppressed due to insufficient or non-comparable data

# Form P10

State: California

## NOMs Domain: Social Connectedness

### Measure: Family Communications Around Drug and Alcohol Use

| A. Measure  | B. Question/Response  | C. Pre-Populated Data                                       | D. Approved Substitute Data |
|---|---|---|-----------------------------|
| 1. Family Communications Around Drug and Alcohol Use (Parents of children aged 12-17) | <p><b>Source Survey Item:</b> NSDUH<br/>           Questionnaire: "Now think about the past 12 months, that is, from [DATEFILL] through today. During the past 12 months, have you talked with at least one of your parents about the dangers of tobacco, alcohol, or drug use? By parents, we mean either your biological parents, adoptive parents, stepparents, or adult guardians, whether or not they live with you." [Response options: Yes, No]<br/> <b>Outcome Reported:</b> Percent reporting having talked with a parent.</p> | Ages 12-17 - FFY 2006<br><input type="text" value="62.10"/> | <input type="text"/>        |
| 2. Family Communications Around Drug and Alcohol Use (Parents of children aged 12-17) | <p><b>Source Survey Item:</b> NSDUH<br/>           Questionnaire: "During the past 12 months, how many times have you talked with your child about the dangers or problems associated with the use of tobacco, alcohol, or other drugs?" † [Response options: 0 times, 1 to 2 times, a few times, many times]<br/> <b>Outcome Reported:</b> Percent of parents reporting that they have talked to their child.</p>  | Ages 18+ - FFY 2006<br><input type="text" value="89.70"/>   | <input type="text"/>        |

((s)) Suppressed due to insufficient or non-comparable data

† NSDUH does not ask this question of all sampled parents. It is a validation question posed to parents of 12- to 17-year-old survey respondents. Therefore, the responses are not representative of the population of parents in a State. The sample sizes are often too small for valid reporting.

# Form P11

**State: California**

## NOMs Domain: Retention

### Measure: Percentage of Youth Seeing, Reading, Watching, or Listening to a Prevention Message

| A. Measure                      | B. Question/Response   |                       | C. Pre-Populated Data | D. Approved Substitute Data |
|---------------------------------|--|-----------------------|-----------------------|-----------------------------|
| Exposure to Prevention Messages | <p><b>Source Survey Item:</b> NSDUH Questionnaire:<br/>           "During the past 12 months, do you recall [hearing, reading, or watching an advertisement about the prevention of substance use] † ?"<br/> <b>Outcome Reported:</b> Percent reporting having been exposed to prevention message.</p> | Ages 12-17 - FFY 2006 | 90.70                 |                             |

((s)) Suppressed due to insufficient or non-comparable data

† This is a summary of four separate NSDUH questions each asking about a specific type of prevention message delivered within a specific context.

# Form P12A

**State: California**

## Programs and Strategies—Number of Persons Served by Age, Gender, Race, and Ethnicity

**Question 1:** Describe the data collection system you used to collect the NOMs data (e.g., MDS, DbB, KIT Solutions, manual process).

ADP uses KIT Solutions for primary prevention data collection services.

**Question 2:** Describe how your State's data collection and reporting processes record a participant's race, specifically for participants who are more than one race. Indicate whether the State added those participants to the number for each applicable racial category or whether the State added all those participants to the More Than One Race subcategory.

Each individual served can be categorized into only one race/ethnicity category. ADP has a category for Multiracial/Multiethnic. This data is submitted under the "More than one Race" category.

| Category  | Description       | Total Served |
|-----------|-------------------|--------------|
| A. Age    | 1. 0-4            | 10762        |
|           | 2. 5-11           | 131952       |
|           | 3. 12-14          | 242105       |
|           | 4. 15-17          | 270375       |
|           | 5. 18-20          | 79043        |
|           | 6. 21-24          | 43384        |
|           | 7.25-44           | 132754       |
|           | 8. 45-64          | 69891        |
|           | 9. 65 And Over    | 16432        |
|           | 10. Age Not Known | 0            |
| B. Gender | Male              | 471055       |
|           | Female            | 520048       |
|           | Gender Unknown    | 5603         |
|           | White             | 334896       |

|              |  |        |
|--------------|--|--------|
| C. Race      | Black or African American                  | 110549 |
|              | Native Hawaiian/Other Pacific Islander     | 13600  |
|              | Asian                                      | 62832  |
|              | American indian/Alaska Native              | 22927  |
|              | Race Not Known or Other (not OMB required) | 10160  |
| D. Ethnicity | Hispanic or Latino                         | 400785 |
|              | Not Hispanic or Latino                     | 595717 |

# Form P12B

**State: California**

## Population-Based Programs and Strategies—Number of Persons Served by Age, Gender, Race, and Ethnicity

| Category  | Description                            | Total Served |
|-----------|--|--------------|
| A. Age    | 1. 0-4                                 | 242893       |
|           | 2. 5-11                                | 338052       |
|           | 3. 12-14                               | 159620       |
|           | 4. 15-17                               | 158372       |
|           | 5. 18-20                               | 148485       |
|           | 6. 21-24                               | 188609       |
|           | 7.25-44                                | 964214       |
|           | 8. 45-64                               | 802330       |
|           | 9. 65 And Over                         | 361701       |
|           | 10. Age Not Known                      | 0            |
| B. Gender | Male                                   | 1679194      |
|           | Female                                 | 1685084      |
|           | Gender Unknown                         | 0            |
| C. Race   | White                                  | 1477769      |
|           | Black or African American              | 203079       |
|           | Native Hawaiian/Other Pacific Islander | 12017        |
|           | Asian                                  | 390806       |
|           | American indian/Alaska Native          | 19771        |

|              |  |         |
|--------------|--|---------|
|              | More Than One Race (not OMB required)      |         |
|              | Race Not Known or Other (not OMB required) | 70402   |
| D. Ethnicity | Hispanic or Latino                         | 1190434 |
|              | Not Hispanic or Latino                     | 2173844 |

# Form P13

**State: California**

## Number of Persons Served by Type of Intervention

| Intervention Type     | Number of Persons Served by Individual- or Population-Based Program or Strategy |   |
|-----------------------|---|---|
|                       | A. Individual-Based Programs and Strategies                                     | B. Population-Based Programs and Strategies |
| 1. Universal Direct   | 714997  | N/A   |
| 2. Universal Indirect | N/A   | 3364278                                     |
| 3. Selective          | 158946  | N/A   |
| 4. Indicated          | 116977  | N/A   |
| 5. Total              | 990920  | 3364278                                     |

# Form P14

**State: California**

## Evidence-Based Programs and Strategies by Type of Intervention

**NOMs Domain: Retention**

**NOMs Domain: Evidence-Based Programs and Strategies**

**Measure: Number of Evidence-Based Programs and Strategies**

Definition of Evidence-Based Programs and Strategies: The guidance document for the Strategic Prevention Framework State Incentive Grant, Identifying and Selecting Evidence-based Interventions, provides the following definition for evidence-based programs:

- Inclusion in a Federal List or Registry of evidence-based interventions
- Being reported (with positive effects) in a peer-reviewed journal
- Documentation of effectiveness based on the following guidelines:
  - Guideline 1: The intervention is based on a solid theory or theoretical perspective that has validated research, and
  - Guideline 2: The intervention is supported by a documented body of knowledge—a converging of empirical evidence of effectiveness—generated from similar or related interventions that indicate effectiveness, and
  - Guideline 3: The intervention is judged by informed experts to be effective (i.e., reflects and documents consensus among informed experts based on their knowledge that combines theory, research, and practice experience). “Informed experts” may include key community prevention leaders, and elders or other respected leaders within indigenous cultures.

1. Describe the process the State will use to implement the guidelines included in the above definition.

Stakeholders were informed of the guidelines in 2007 when issued, but no formal procedures have been set to adopt programs through this new process. This followed discussions with CSAP seeking further criteria/standards and whether there is reciprocity among states. Additional clarification is not expected and there is no reciprocity. Discussions with National Prevention Network members revealed widely different approaches and no criteria norms.

2. Describe how the State collected data on the number of programs and strategies. What is the source of the data?

The data is collected by all 58 counties and their 260 sub-contracted prevention providers via the CalOMS Prevention web-based data collection application. Programs are identified as either "local/innovative" or "evidence-based". The NREPP listing is used for the evidence-based programs including the Legacy Programs as many of these were purchased and implemented at the program level prior to NREPP restructuring their listing.

## Number of Evidence-Based Programs and Strategies by Type of Intervention

|  | <b>A.<br/>Universal<br/>Direct</b> | <b>B.<br/>Universal<br/>Indirect</b> | <b>C.<br/>Universal<br/>Total</b> | <b>D.<br/>Selected</b> | <b>E.<br/>Indicated</b> | <b>F.<br/>Total</b> |
|--|------------------------------------|--------------------------------------|-----------------------------------|------------------------|-------------------------|---------------------|
| 1. Number of Evidence-Based Programs and Strategies Funded | 61                                 | 62                                   | 123                               | 53                     | 41                      | 217                 |
| 2. Total number of Programs and Strategies Funded          | 1683                               | 1285                                 | 2968                              | 1023                   | 502                     | 4493                |
| 3. Percent of Evidence-Based Programs and Strategies       | 3.62%                              | 4.82%                                | 4.14%                             | 5.18%                  | 8.17%                   | 4.83%               |

# Form P15

**State: California**

## Services Provided Within Cost Bands

| Type of Intervention                          | A.<br>Number of<br>Programs and<br>Strategies | B.<br>Number of<br>Programs and<br>Strategies Falling<br>Within Cost Bands | C.<br>Percent of<br>Programs and<br>Strategies Falling<br>Within Cost Bands |
|---|---|--|---|
| 1. Universal Direct Programs and Strategies   | 55  | 37   | 67 %  |
| 2. Universal Indirect Programs and Strategies | 55  | 54   | 98 %  |
| 3. Subtotal Universal Programs                | 110   | 91   | 82.73%  |
| 4. Selective Programs and Strategies          | 53  | 17   | 32 %  |
| 5. Indicated Programs and Strategies          | 46  | 3  | 7 %   |
| 6. Total All Programs                         | 209   | 111  | 53.11%  |

## **California**

### **Prevention Corrective Action Plan (submit upon request)**

1. Describe the corrective action plan, including critical steps and actions the State and its providers will employ to collect and report the National Outcome Measures data.
2. Discuss the timeframes for the State's corrective action plan detailing the planned milestones and other measures of progress the State has incorporated into its corrective action plan.
3. Describe the State's corrective action plan implementation monitoring activities including interventions or adjustments the State will employ when timeframes or milestones are not achieved.

## Prevention Attachments A, B, and C (optional)

**State:**  
California

### Approved Substitute Data Submission Form

Substitute data has not been submitted for prevention forms.

**State: California**

**Prevention Attachment D:  
2005 Block Grant Subrecipient Cost Band Worksheet**

**Subrecipient Name:** \_\_\_\_\_

**Date Form Completed:** \_\_\_\_\_

**Name of Contact Person:** \_\_\_\_\_

**Phone:** \_\_\_\_\_ **E-mail Address:** \_\_\_\_\_

**Table 1: Program Detail**

| 1                                  | 2                      | 3                                | 4                         | 5  | 6  |
|------------------------------------|------------------------|----------------------------------|---------------------------|--|--|
| Program Name                       | Number of Participants | Number of Program Hours Received | Total Cost of the Program | Average Cost Per Participant (Col 4/Col 2) | Average Cost Per Participant Falls Within 2005 Cost Bands (Yes=1 No=0) |
| <b>Universal Direct Programs</b>   |                        |                                  |                           |  | <b>Universal Direct:<br/>\$58.01–\$693.98</b>                          |
| 1.                                 |                        |                                  |                           |  |  |
| 2.                                 |                        |                                  |                           |  |  |
| 3.                                 |                        |                                  |                           |  |  |
| 4.                                 |                        |                                  |                           |  |  |
| <b>Universal Indirect Programs</b> |                        |                                  |                           |  | <b>Universal Indirect<br/>\$1.05–\$82.26</b>                           |
| 1.                                 |                        |                                  |                           |  |  |
| 2.                                 |                        |                                  |                           |  |  |
| 3.                                 |                        |                                  |                           |  |  |
| 4.                                 |                        |                                  |                           |  |  |
| <b>Selective Programs</b>          |                        |                                  |                           |  | <b>Selective<br/>\$151.88–\$6,409.29</b>                               |
| 1.                                 |                        |                                  |                           |  |  |
| 2.                                 |                        |                                  |                           |  |  |
| 3.                                 |                        |                                  |                           |  |  |
| 4.                                 |                        |                                  |                           |  |  |
| <b>Indicated Programs</b>          |                        |                                  |                           |  | <b>Indicated<br/>\$510.47–\$4,888.44</b>                               |
| 1.                                 |                        |                                  |                           |  |  |
| 2.                                 |                        |                                  |                           |  |  |
| 3.                                 |                        |                                  |                           |  |  |
| 4.                                 |                        |                                  |                           |  |  |

**Table 2: Subrecipient Cost Band Summary**

|                           | <b>1</b>                  | <b>2</b>  |
|---------------------------|---------------------------|---|
| <b>Program Type</b>       | <b>Number of Programs</b> | <b>Number of Programs Falling Within Cost Bands</b> |
| <b>Universal Direct</b>   |                           |   |
| <b>Universal Indirect</b> |                           |   |
| <b>Selective</b>          |                           |   |
| <b>Indicated</b>          |                           |   |
| <b>Total</b>              |                           |   |

## Instructions for Completing the 2005 Block Grant Subrecipient Cost Band Worksheet

The 2005 Block Grant Subrecipient Cost Band Worksheet is an optional tool that States may use for their providers to record the number of program participants, the number of hours received, the cost of each program, the average cost per program participant, and the number of programs whose average participant costs fall within the 2005 cost bands. Data should be based on total cost of program not only the funding from CSAP. States may use an alternative approach to obtain data used to report the aggregate cost band data in Form P15 of the SAPT Block Grant Application. These worksheets are not required as part of that submission.

### 1. Subrecipient Information

**Grant Information.** At the top of the page, enter the name of the subrecipient, the contact information for the person completing this form, and the date on which the form was completed.

### 2. Table 1: Program Detail

**Column 1: Program Name.** In column 1, list the names of all programs that were funded in whole or in part with Block Grant funds during Federal fiscal year (FY) 2005. Add additional rows if necessary.

A program is defined as an activity, a strategy, or an approach intended to prevent an outcome or to alter the course of an existing condition. In substance abuse prevention, interventions may be used to prevent or lower the rate of substance use or substance abuse-related risk factors.

Separate table sections are provided for programs that are defined as Universal Direct, Universal Indirect, Selective, and indicated. Universal indirect services are defined as services that support prevention activities, such as population-based activities, and the provision of information and technical assistance. Universal direct, selective, and indicated services are defined as prevention program interventions that directly serve participants.

- **Universal.** Activities targeted to the general public or a whole population group that has not been identified on the basis of individual risk.
- **Universal Direct.** Interventions directly serve an identifiable group of participants but who have not been identified on the basis of individual risk (e.g., school curriculum, afterschool program, parenting class). This also could include interventions involving interpersonal and ongoing/repeated contact (e.g., coalitions).
- **Universal Indirect.** Interventions support population-based programs and environmental strategies (e.g., establishing ATOD policies, modifying ATOD advertising practices). This also could include interventions involving programs and policies implemented by coalitions.
- **Selective.** Activities targeted to individuals or a subgroup of the population whose risk of developing a disorder is significantly higher than average.
- **Indicated.** Activities targeted to individuals identified as having minimal but detectable signs or symptoms foreshadowing disorder or having biological markers indicating predisposition for disorder but not yet meeting diagnostic levels.

**Column 2: Number of Participants.** In this column, specify the number of participants who took part in the preventive program during FY 2005. If this intervention was delivered to multiple groups, combine all groups and report the total. If it is an indirect program, use the estimated number of people reached during the reporting year.

**Column 3: Number of Program Hours Received.** In this column, report the number of hours that program participants received over the course of the program.

**Column 4: Total Cost of This Program.** In this column, report the total of all costs expended on the program during the reporting year. This should include all costs associated with the program, such as staff training, staff time, and materials, during the year.

**Column 5: Average Cost Per Participant.** Report the average cost per participant. Calculate the average cost by dividing the Block Grant dollars expended on each program (column 4) by the number of participants served (column 2).

**Column 6: Average Cost Per Participant Falls Within Cost Bands.** Compare the average cost per participant (column 5) with the 2005 cost bands for each program type. If the average cost per participant falls within the specified interval, record a “1” in column 5. If the average cost is either higher or lower than the cost band interval, enter a zero in column 5.

### 3. Table 2: Subrecipient Cost Band Summary

Table 2 summarizes information recorded in Table 1.

**Column 1: Number of Programs.** In column 1, enter the total number of programs on which you reported in Table 1, by program types (Universal Direct, Universal Indirect, Selective, and Indicated). Total the number of programs in the last row.

**Column 2: Number of Programs Falling Within Cost Bands.** For each program type, enter the total number of programs that fell within the cost bands for that program type (i.e., programs that were coded “1” in Table 1, column 5).

6/20/2007 5:03:39 PM

## **California**

### **Description of Supplemental Data**

States may also wish to provide additional data related to the NOMs. An approved substitution is not required to provide this supplemental data. The data can be included in the Block Grant appendix. When describing the supplemental data, States should provide any relevant Web addresses (URLs) that provide links to specific State data sources. Provide a brief summary of the supplemental data included in the appendix:

## California

### Appendix A - Additional Supporting Documents (Optional)

#### **Appendix A - Additional Supporting Documents (Optional)**

No additional documentation is required to complete your application, besides those referenced in other sections. This area is strictly optional. However, if you wish to add extra documents to support your application, please attach it (them) here. If you have multiple documents, please 'zip' them together and attach here.

# Attachment I Charitable Choice Additional Supporting Documents

ADP Bulletin 04-05, Issue Date 3/29/04

STATE OF CALIFORNIA-HEALTH AND HUMAN SERVICES AGENCY

ARNOLD SCHWARZENEGGER, *Governor*

DEPARTMENT OF ALCOHOL AND DRUG PROGRAMS  
 1700 K STREET  
 SACRAMENTO, CA 95814-4037  
 TDD (916) 445-1942  
 (916) 322-7012



## ADP BULLETIN

|  |  |  |                          |
|--|--|--|--------------------------|
| Title<br><b>Nondiscrimination And Institutional Safeguards For Religious Providers, Title 42 Code Of Federal Regulations (Cfr) Part 54</b> |  | Issue Date:<br>3-29-04<br><br>Expiration Date:<br>Until Notified | Issue No.<br><b>04-5</b> |
| Deputy Director Approval<br><br>(Carmen Delgado for)<br><br>JESSE A. McGUINN, MSW<br>Program Operations Division                           | Function:<br><input type="checkbox"/> Information Management<br><input type="checkbox"/> Quality Assurance<br><input type="checkbox"/> Service Delivery<br><input type="checkbox"/> Fiscal<br><input checked="" type="checkbox"/> Administration | Supersedes Bulletin/ADP Letter No.<br><br>N/A                    |                          |

### PURPOSE

To inform counties of the requirements contained in Title 42, CFR Part 54, and to establish processes and procedures to ensure compliance with the requirements.

### DISCUSSION

Counties should implement processes and procedures as necessary to comply with the provisions of Title 42, CFR Part 54.

### REFERENCES

Title 42, United States Code (USC), Section 300x-65; Title 42, CFR, Part 54, and Title 45, CFR, Part 96, Section 96.122.

### BACKGROUND

On October 17, 2000, the President signed into law Public Law 106-310, "The Children's Health Act of 2000." Public Law 106-310 amended the Public Health Service Act by adding requirements to: 1) prohibit discrimination against nongovernmental organizations and certain individuals on the basis of religion in the distribution of government funds to provide substance abuse services; and 2) allow organizations to accept the funds to provide services to individuals without impairing the religious character of the organization or the religious freedom of the individuals. The statutory requirements can be found in Title 42, USC Section 300x-65. On September 30, 2003, the regulations (Title 42, CFR, Part 54) implementing Section 300x-65 were published in the Federal Register. The regulations implementing Section 300x-65 also amended Title 45, CFR Part 96, Sections 96.122, and 96.123. Section 96.122 requires the State, as part of its annual Substance Abuse Prevention and Treatment Block Grant application, to describe the activities which it has undertaken to comply with Part 54. Section 96.123 requires the State to assure that it will comply with the Part 54 requirements. These regulations are NOT the President's "Access to Recovery" program. Thus, these regulations are NOT accompanied by additional funding.

Under Part 54 counties are required to:

- Identify religious providers.
- Incorporate the applicable Part 54 requirements into county/provider contracts, including a notice to clients.
- Monitor religious providers for compliance with Part 54.
- Establish a referral process, to a reasonably accessible program, for clients who may object to the religious nature of the program. Such process must include a notice to the county and the funding of alternative services.

In closing, licensing or certification of a program by the Department of Alcohol and Drug Programs is not an indication whether the program is eligible for funding, since the religious character or content of a program do not preclude licensure and certification. Under Part 54, a religious provider can be funded if applicable requirements are met, as long as the funds are not used for religious program content (workshop, proselytizing, or religious instruction).

#### **QUESTIONS/MAINTENANCE**

If you have any questions, please contact Mr. Ken DaRosa, Supervisor, Program and Fiscal Policy Branch, at (916) 322-1241, or via e-mail to [kdarosa@adp.state.ca.us](mailto:kdarosa@adp.state.ca.us).

#### **EXHIBITS**

Exhibit 1: [Copy of Federal Register dated September 30, 2003.](#)

Exhibit 2: [Summary of Title 42, CFR, Part 54.](#)

Exhibit 3: [Actions Which Counties Need to Take to Implement 42 CFR, Part 54.](#)

Exhibit 4: [Survey on Ensuring Equal Opportunity for Applicants.](#)

#### **DISTRIBUTION**

County Alcohol and Drug Program Administrators  
Strategic Local Government Services, LLC  
Director's Advisory Council

# Attachment I Charitable Choice Additional Supporting Documents

ADP Bulletin 04-05, Issue Date 3/29/04 – Exhibit 2

## SUMMARY OF FINAL RULES ON CHARITABLE CHOICE 45 CFR PART 54 December 4, 2003

### 54.1 Scope

Applies to Federal funds provided for direct funding of substance abuse prevention and treatment services under the Substance Abuse Prevention and Treatment Block Grant.

### 54.2 Definitions

Defines applicable program, religious organization, program beneficiary, program participant, SAMHSA, SAMHSA Charitable Choice provisions, and direct funding. Direct funding or funds provided directly means funding that is provided to an organization directly by a governmental entity or intermediate organization. Direct funding does not mean funding which an organization receives as the result of the genuine and independent private choice of a beneficiary through a voucher, certificate, coupon, or other similar mechanism.

### 54.3 Nondiscrimination against religious organizations.

Religious organizations are eligible, on the same basis as any other organization, to participate in applicable programs, as long as their services are provided consistent with the Establishment Clause and the Free Exercise Clause of the First Amendment to the United States Constitution. Prohibits state or local governments receiving Federal substance abuse funds from discriminating against an organization that is, or applies to be, a program participant on the basis of the organization's religious character or affiliation.

### 54.4 Religious Activities

Prohibits the use of funds for support of any inherently religious activities, such as worship, religious instruction, or proselytization. Religious activities must be offered separately, in time or location, from the programs or services for which the organization receives funds from the State or local government, and participation must be voluntary for program beneficiaries.

### 54.5 Religious Character and Independence

Participating religious programs retain their independence from Federal, State, and local governments and may continue to carry out its mission, including the definition, practice and expression of its religious beliefs. Prohibits religious organizations from expending Federal funds to support any inherently religious activities, such as worship, religious instruction, or proselytization.

### 54.6 Employment Practices

Receipt of Federal Block Grant funds does not affect the religious organization's exemption provided under 42 U.S.C. Section 2000e-1. Section 2000e-1 exempts religious organizations from the requirements governing Equal Employment Opportunities with respect to the employment of individuals of a particular religion to carry out work connected with the religious organization. Does not modify or affect any State law or regulation that relates to discrimination in employment.

### 54.7 Nondiscrimination Requirement

Prohibits discrimination against a program beneficiary on the basis of religion, a religious belief, a refusal to hold a religious belief, or a refusal to actively participate in a religious practice.

### 54.8 Right to Services from an Alternative Provider

a. General Requirements - If the program beneficiary objects to the religious character of a program participant, the beneficiary shall have rights to notice, referral, and alternative services.

b. Notice - The program beneficiary is provided notice of his or her right for services from an alternate provider. The notice must clearly articulate the program beneficiary's right to referral and to services that reasonably meet the requirements of timeliness, capacity, accessibility, and equivalency.

c. Referral to an Alternative Provider - Requires the State to have a system in place to ensure that such referrals are made. The system shall ensure the following occurs:

- Within a reasonable time, the religious organization shall refer the beneficiary to an alternative provider.
- Religious organizations use lists of entities, in the geographical area that provides the services, that the State or local government makes available to it, which may include a utilizing a treatment locator system developed by SAMHSA.
- Requires referrals be made in a manner consistent with all applicable confidentiality laws, including, but not limited to 42 CFR Part 2 (Confidentiality of Alcohol and Drug Abuse Patient Records).
- Upon making a referral, requires the religious organization to notify the responsible unit of government of such referral.
- The religious organization shall ensure that the program beneficiary makes contact with the alternative provider to which he or she is referred.

d. Provision and Funding of Alternative Services - Requires the responsible state or local government to provide alternative services, and fund services from an alternative provider that is reasonable accessible to, and has the capacity to provide services to the individual.

**54.9 Assurances and State Oversight of Charitable Choice Requirements**

Requires applicants, in applying for Federal funds to certify that they will comply with all of the requirements of the SAMHSA Charitable Choice provisions and the implementing regulations.

**54.10 Fiscal Accountability**

Subjects religious organizations to the same regulations as other nongovernmental organizations to account, in accordance with generally accepted auditing and accounting principles, for the use of such funds. Requires religious organizations to segregate Federal funds from nonfederal funds. Only Federal funds are subject to audit by the government.

**54.11 Effects on State and Local funds**

If Federal funds are co- mingled with state or local funds, the requirements of this regulation apply to all the funds.

**54.12 Treatment of Intermediate Organizations**

Applies the Charitable Choice regulations to a nongovernmental organization, acting under an agreement with the state or local government, who selects other non- governmental organizations to provide services.

**54.13 Educational Requirements for Personnel in Drug Treatment Programs**

Prohibits discrimination against an individual who received his or her training or education by a religious organization.

# Attachment I Charitable Choice Additional Supporting Documents

ADP Bulletin 04-05, Issue Date 3/29/04 – Exhibit 3

## ACTIONS COUNTIES SHOULD TAKE TO IMPLEMENT PART 54

1. Counties need to identify religious providers. This is necessary in order to know the organizations to which Part 54 applies.

For existing providers who receive substance abuse prevention and treatment Block Grant funds, counties can identify religious providers when contracts are renewed by requiring them to submit the attached Survey for Ensuring Equal Opportunity for Applicants. This is basically a reproduction of the document used by the Substance Abuse and Mental Health Services Administration to identify religious providers.

For new providers, counties can identify religious providers by requesting that applicants for SAPT Block Grant funds submit the attached Survey for Ensuring Equal Opportunity for Applicants.

2. Counties must include the requirements of Part 54 in their contracts with providers who receive SAPT block Grant funds.
3. Counties need to monitor religious providers to ensure the religious provider is complying with the provisions of Part 54, including Section 54.8 regarding:
  - Notice to Program Participants; and
  - Referral to an alternative provider.
4. Counties need to establish processes for:
  - Being notified by a religious provider when a program beneficiary is referred to an alternative provider; and
  - Provision and funding of alternative services.
5. Counties are responsible for defining and applying the terms “reasonably accessible,” “a reasonable period of time,” “comparable,” “capacity,” and “value that is not less than.”

# Attachment I Charitable Choice Additional Supporting Documents

ADP Bulletin 04-05, Issue Date 3/29/04 – Exhibit 4

## SURVEY ON ENSURING EQUAL OPPORTUNITY FOR APPLICANTS

**Purpose:** This form is for applicants that are nonprofit private organizations (not including private universities). Please complete it to assist the Federal government in ensuring that all qualified applicants, small or large, non-religious or faith-based, have an equal opportunity to compete for Federal funding. Information provided on this form will not be considered in any way in making funding decisions.

### Instructions for Submitting Survey

1. Does the applicant have 501(c)(3) status?  
 Yes       No
2. How many full-time equivalent employees does the applicant have? *(Check only one box.)*  
 3 or Fewer       15-50  
 4-5       51-100  
 6-14       over 100
3. What is the size of the applicant's annual budget? *(Check only one box.)*  
 Less Than \$150,000  
 \$150,000 - \$299,999  
 \$300,000 - \$499,999  
 \$500,000 - \$999,999  
 \$1,000,000 - \$4,999,999  
 \$5,000,000 or more
4. Is the applicant a faith-based/religious organization?  
 Yes       No
5. Is the applicant a non-religious community-based organization?  
 Yes       No
6. Is the applicant an intermediary that will manage the grant on behalf of other organizations?  
 Yes       No
7. Has the applicant ever received a government grant or contract (Federal, State, or local)?  
 Yes       No
8. Is the applicant a local affiliate of a national organization?  
 Yes       No

## Survey Instructions on Ensuring Equal Opportunity for Applicants

1. 501(c)(3) status is a legal designation provided on application to the Internal Revenue Service by eligible organizations. Some grant programs may require nonprofit applicants to have 501(c)(3) status. Other grant programs do not.
  
2. For example, two part-time employees who each work half-time equal one full-time equivalent employee. If the applicant is a local affiliate of a national organization, the responses to survey questions 2 and 3 should reflect the staff and budget size of the local affiliate.
  
3. Annual budget means the amount of money your organization spends each year on all of its activities.
  
4. Self-identify.
  
5. An organization is considered a community-based organization if its headquarters/service location shares the same zip code as the clients you serve.
  
6. An “intermediary” is an organization that enables a group of small organizations to receive and manage government funds by administering the grant on their behalf.
  
7. Self-explanatory.
  
8. Self-explanatory

Form 9 Footnote

California statewide prevalence rates were not available by age, sex, and race/ethnicity. Thus it was not possible to estimate treatment needs by age, sex, and race/ethnicity as requested in Form 9.

Proxy calculations were completed using national prevalence estimates from the 2006 National Survey on Drug Use and Health (NSDUH). The percentages of “Substance Dependence or Abuse in the Past Year” from Tables 5.5B, 5.6B, and 5.7B were used to produce two crosstabulations: age by gender and age by race/ethnicity. Percentages from the NSDUH were multiplied within each subgroup by total California populations from California Department of Finance estimates. The results are listed in Table One and Table Two.

Table 1. Estimated Number of Individuals in Need of Treatment by Age and Gender

| AGE GROUPS   | GENDER  |           | Total     |
|--------------|---------|-----------|-----------|
|              | Female  | Male      |           |
| 12 to 17     | 139,765 | 144,620   | 284,385   |
| 18 to 25     | 344,989 | 567,623   | 912,613   |
| 26 and older | 507,300 | 1,179,878 | 1,687,179 |
| Total        | 992,055 | 1,892,122 | 2,884,176 |

Table 2. Estimated Number of Individuals in Need of Treatment by Age and Race/Ethnicity

| Age Groups   | RACE/ETHNICITY  |         |         |           |          |           |        | Total     |
|--------------|-----------------|---------|---------|-----------|----------|-----------|--------|-----------|
|              | American Indian | Asian   | Black   | Multirace | Hispanic | Multirace | White  |           |
| 12 to 17     | 2,477           | 16,110  | 14,558  | 124,627   | 8,502    | 108,833   | 2,477  | 275,108   |
| 18 to 25     | 8,932           | 67,037  | 42,114  | 280,808   | 27,337   | 397,863   | 8,932  | 824,091   |
| 26 and older | 25,599          | 69,843  | 113,944 | 599,213   | 29,415   | 801,667   | 25,599 | 1,639,682 |
| Total        | 37,008          | 152,990 | 170,616 | 1,004,649 | 65,254   | 1,308,363 | 37,008 | 2,738,880 |

\* No percentages were reported for “Native Hawaiian or Other Pacific Islander” in NSDUH tables due to low precision.

## SUPPLEMENTAL INFORMATION FOR DRIVING-UNDER-THE-INFLUENCE PROGRAM

While Driving-Under-the-Influence Programs (DUIPs) do not utilize federal funds, they are an important part of California's service delivery system; therefore, a description of the program is included.

The objectives of the DUIP are to: (1) reduce the number of repeat offenders of driving-under-the-influence (DUI) of alcohol and/or other drugs by persons who complete a state-licensed DUIP; and (2) provide program participants an opportunity to address problems relating to the use of alcohol and/or other drugs.

As of May 9, 2008, the Department of Alcohol and Drug Programs (ADP) licensed 479 DUIPs consisting of 252 3-month programs for persons arrested for and/or convicted of a first DUI offense, 196 18-month programs for persons arrested for and/or convicted of a second or subsequent offense, and 31 30-month programs for persons arrested for and/or convicted of a third or subsequent offense.

In addition, all licensed DUI providers are authorized to provide program services for those individuals that were convicted of either a wet reckless offense or a DUI offense with high blood alcohol content (BAC). Wet reckless offenders (those with a BAC of less than .08 percent) are required to complete a 12-hour education program. However, those convicted of DUI with a BAC of .20 percent or higher are required to complete a DUI program of 9-months duration.

The DUI program participant enrollment for FY 2006-2007 is as follows:

|               |                |
|---------------|----------------|
| 3-month       | 81,071         |
| Wet Reckless  | 7,388          |
| 9-month       | 18,779         |
| 18-month      | 30,921         |
| 30-month      | <u>149</u>     |
| <b>Total:</b> | <b>138,308</b> |

State involvement provides centralized leadership with regard to program requirements, coordination, and quality of services. According to the California Department of Motor Vehicles (DMV), the State's DUIP is one of the few countermeasures to have shown any success with those offenders attending the 18-month programs, resulting in significantly reduced DUI recidivism and alcohol-related collision involvement.

DUIPs involve public safety and are tied directly to the statewide criminal justice system and to the DMV, which is the State's driver licensing agency. Counties are responsible for administering and monitoring these programs at the local level. ADP is responsible for licensing programs and conducting on-site compliance reviews.

Cost reports for SFY 2007-2008 show that DUIPs collected approximately \$90 million in participant fees. First offender programs charged an average of \$530; 9-month programs, \$1,095; 18-month programs, \$1,560; and 30-month programs \$2,900. Some participants, due to their financial status, qualified for a fee waiver or were charged a maximum program fee of \$5.00 per month.

## **Acronyms Used in the SAPT Block Grant Application (FFY 2009)**

|           |   |
|-----------|---|
| ABC       | Alcohol and Beverage Control  |
| ADAM      | Arrestee Drug Abuse Monitoring  |
| ADP       | Alcohol and Drug Programs, Department of  |
| AG        | Attorney General  |
| AIDS      | Acquired Immune Deficiency Syndrome   |
| AOD       | Alcohol and Other Drugs   |
| ASAM      | American Society of Patient Placement Criteria                                  |
| ASI       | Addiction Severity Index  |
| ATOD      | Alcohol, Tobacco and Other Drugs  |
| BAC       | Blood Alcohol Content   |
| CAARR     | California Association of Addiction Recovery Resources                          |
| CADDS     | California Alcohol and Drug Data System   |
| CADPAAC   | California Alcohol and Drug Program Administrators Association<br>of California |
| CalOMP    | California Outcome Monitoring Program   |
| CalOMS    | California Outcomes Measurement System  |
| CalOMS-Pv | California Outcomes Measurement System-Prevention                               |
| CalOMS-Tx | California Outcomes Measurement System-Treatment                                |
| CALSTARS  | California State Accounting and Reporting System                                |
| CARE      | California Access to Recovery Effort  |
| CARS      | Center for Applied Research Solutions   |
| CATES     | California Addiction Training & Education Series                                |
| CCR       | California Code of Regulations  |
| CDCR      | California Department of Corrections & Rehabilitation                           |
| CDE       | California Department of Education  |
| CDPH      | California Department of Public Health  |
| CDPH-OA   | California Department of Public Health-Office of Aids                           |
| CFR       | Code of Federal Regulations   |
| CHIS      | California Health Interview Survey  |
| CMI       | California Methamphetamine Initiative   |
| COD       | Co-Occurring Disorders  |
| COJAC     | Co-Occurring Joint Action Council   |
| COSSR     | Continuum of Services System Re-engineering                                     |

## **Acronyms Used in the SAPT Block Grant Application (FFY 2009)**

|         |  |
|---------|--|
| CPC     | California Prevention Collaborative                      |
| CPI     | Community Prevention Initiative                          |
| CSAP    | Center for Substance Abuse Prevention                    |
| CSAT    | Center for Substance Abuse Treatment                     |
| CSS     | California Student Survey                                |
| DAC     | Director's Advisory Council                              |
| DATAR   | Drug and Alcohol Treatment Access Report                 |
| DOF     | California Department of Finance                         |
| DUI     | Driving-Under-the-Influence                              |
| DUIP    | Driving-Under-the-Influence Program                      |
| EAU     | Excessive Alcohol Use                                    |
| EBP     | Evidence-Based Practices                                 |
| FFY     | Federal Fiscal Year                                      |
| FY      | Fiscal Year  |
| FNL     | Friday Night Live  |
| FOTP    | Female Offender Treatment Program                        |
| GPAC    | Governor's Prevention Advisory Council                   |
| HIPAA   | Health Insurance Portability and Accountability Act      |
| HIV     | Human Immunodeficiency Virus                             |
| HRU     | High Risk Use  |
| IDU     | Injection Drug User                                      |
| IFB     | Invitation for Bid                                       |
| IOM     | Institute of Medicine                                    |
| IPRP    | Independent Peer Review Project                          |
| ISAP    | Integrated Substance Abuse Programs                      |
| IVDU    | Intravenous Drug User                                    |
| LGBT    | Lesbian, Gay, Bisexual, and Transgender                  |
| MBA     | Minimum Base Allocation (Counties)                       |
| MHSA    | Mental Health Services Act                               |
| MOE     | Maintenance of Effort                                    |
| NIATx   | Network for the Improvement of Addiction Treatment       |
| NASADAD | Nation Association of State Alcohol/Drug Abuse Directors |
| NNA     | Negotiated Net Amount                                    |

## **Acronyms Used in the SAPT Block Grant Application (FFY 2009)**

|         |   |
|---------|---|
| NOMs    | National Outcome Measures                                 |
| NPI     | National Provider Identifier                              |
| NPN     | National Prevention Network                               |
| NSDUH   | National Survey on Drug Use and Health                    |
| NTP     | Narcotic Treatment Program                                |
| OARA    | Office of Applied Research and Analysis                   |
| OCJC    | Office of Criminal Justice Collaboration                  |
| ONTRACT | ONTRACT Program Resources, Inc.                           |
| PADS    | Prevention Activities Data System                         |
| PEI     | Prevention and Early Intervention                         |
| PES     | Perinatal Environmental Scan                              |
| PHI     | Protected Health Information                              |
| PMB     | Performance Management Branch                             |
| PPC     | Patient Placement Criteria                                |
| PSATTC  | Pacific Southwest Addiction Technology Transfer Center    |
| PSD     | Program Services Division                                 |
| PSN     | Parolee Services Network                                  |
| RC      | Resource Center   |
| RFP     | Request for Proposal                                      |
| RRHP    | Resident-Run Housing Program                              |
| SACPA   | Substance Abuse and Crime Prevention Act                  |
| SAMSHA  | Substance Abuse and Mental Health Services Administration |
| SAP     | Student Assistance Program                                |
| SAPT    | Abuse Prevention and Treatment                            |
| SARC    | Substance Abuse Research Consortium                       |
| SASSI   | Substance abuse Subtle Screening Inventory                |
| SBIRT   | Screening, Brief Intervention, Referral and Treatment     |
| SDFSC   | Safe and Drug-Free Schools and Communities                |
| SEOW    | State Epidemiological Outcomes Workgroup                  |
| SFY     | State Fiscal Year   |
| SGF     | State General Fund  |
| SIG     | State Incentive Grant                                     |
| SIT     | State Interagency Team                                    |

## **Acronyms Used in the SAPT Block Grant Application (FFY 2009)**

|          |   |
|----------|---|
| SNAP     | State Needs Assessment Planning                               |
| SPF      | Strategic Prevention Framework                                |
| SSA      | Single State Agency   |
| STAKE    | Stop Tobacco Access to Kids Enforcement (Act)                 |
| STNAP    | State Treatment Needs Assessment Program                      |
| TA       | Technical Assistance  |
| TB       | Tuberculosis  |
| TCS      | Tobacco Control Section                                       |
| UCLA     | University of California, Los Angeles                         |
| WestCAPT | Western Center for the Application of Prevention Technologies |
| YSR      | Youth Situational Report                                      |