



CalOMS User Documentation for the ***Input System***

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for the
California Department of Alcohol and Drug Programs

Document History

Version	Date	Brief Description of Modifications
1.0	10/4/2005	Initial version for Input System Training
1.1	10/12/2005	Changes to reflect ITWS menu changes

Table of Contents

System Overview	1
Interface & Report Guide.....	3
Attachments	11
Attachment 1: Glossary of Terms	11
Attachment 2: Error Code Detail by Record Type.....	12
Attachment 3: Record Types for Each Data Element	28

System Overview

Within the California Outcomes Measurement Project, there is a need to collect and report client treatment outcomes and meet the information demands of the state government, federal government, and California's counties. The California Outcomes Measurement System (CalOMS) addresses this need by providing a software system which will automate the processes of inputting and retrieving treatment outcomes data. By doing so, the CalOMS system will also help the California Department of Alcohol and Drug Programs (ADP) understand the effectiveness of services provided and help identify ways to improve Alcohol and Other Drug (AOD) service delivery in California.

This software system will accomplish its goals by:

- ❖ Validating and accepting admission and discharge data from counties and ADP-direct contract providers (See Figure 1).
- ❖ Generating a series of operational and outcome reports.
- ❖ Creating required data extracts for state and federal government.
- ❖ Providing a means to migrate counties from California Alcohol and Drug Data System (CADDs) to CalOMS.
- ❖ Supplying the tools necessary to manage the system itself.
- ❖ Providing a design that is flexible, extensible, and secure.

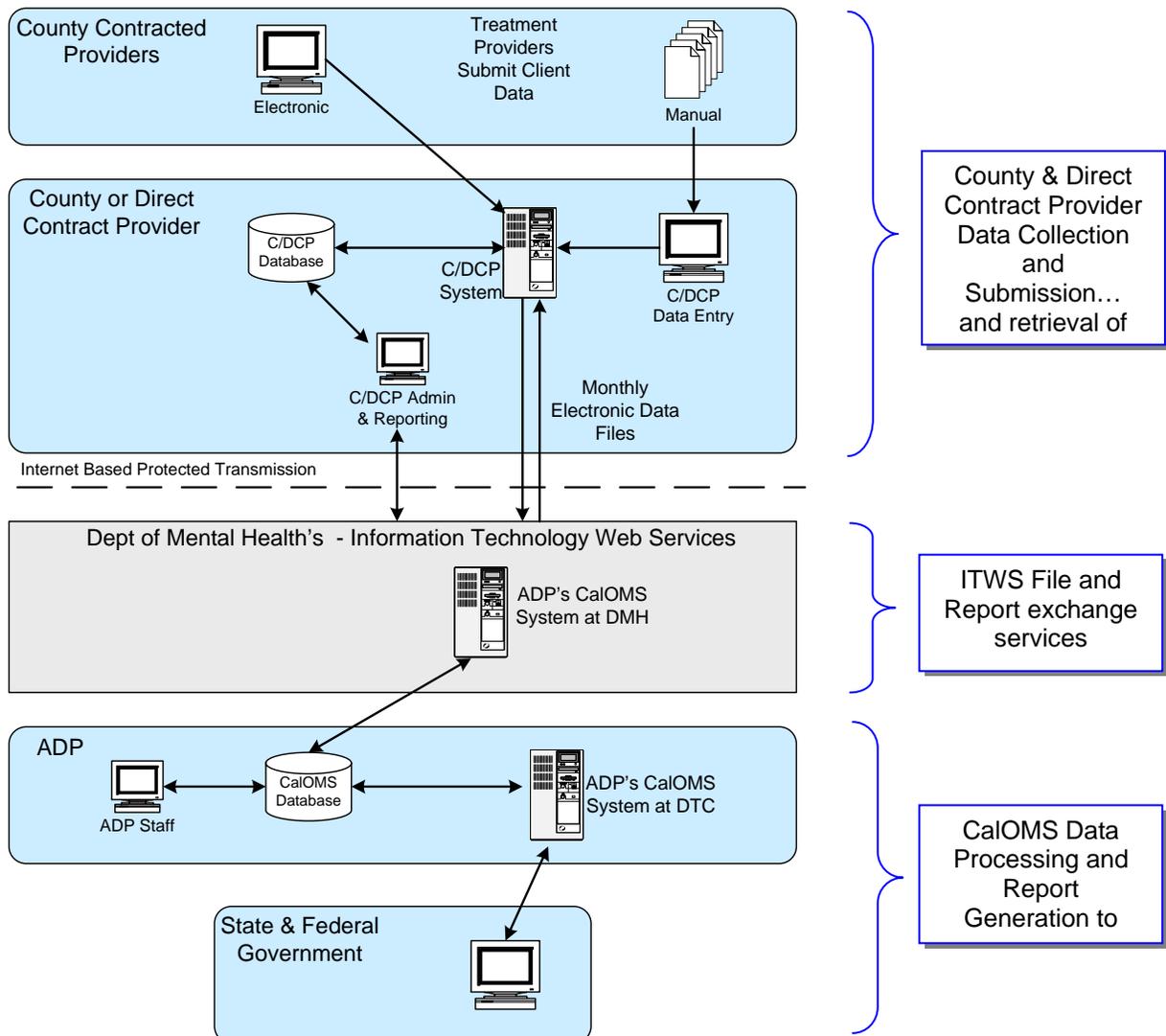
This documentation covers components that are part of the "Input System". These components are focused on processing data submissions and providing foundational system support for CalOMS users. The "Output System" focuses on outcome reports that are based on the data that is submitted. The "Output System" is accomplished immediately following the "Input System". This documentation will be updated to reflect the "Output System" features that are added in this subsequent phase of CalOMS.

The figure below illustrates the various components of the CalOMS systems and demonstrates the flow of data and reports through the system. There is a clear distinction between the County and Direct Contract Provider (C/DCP) systems and state system components such as ITWS and CalOMS. This workflow is summarized as follows:

1. The C/DCP's collect data at prescribed events (admissions, discharges, annual updates) for AOD clients
2. These data are periodically (at any time) submitted to ADP by logging onto ITWS and submitting a CalOMS file to the appropriate C/DCP folder. That submission process is analogous to the process used for submitting other data to ADP.
3. ITWS will reject files that are duplicate names or the wrong type of file.
4. Submitted data is automatically sent to the CalOMS system for processing.
5. Data is processed through predefined edits according to the File Instructions and Data Dictionary specifications for CalOMS.

6. Submissions that fail file integrity edits will be rejected and an advisory email will be sent to the submitter.
7. Records are accepted or rejected and a processing report is generated (Error and Submission Detail Report – ESD Report).
8. The ESD Report is sent back to the submitters ITWS folder and an email is sent to the submitter to advise them that the processing of data has completed.
9. C/DCPs then logon to the ITWS and retrieve the ESD Report.
10. C/DCPs can also login and navigate to the CalOMS Error and Submissions Detail Report Filter Web Page to request a new report for submissions. If these reports are available within roughly 15 seconds then the report will be presented back to the user as a PDF report. If it takes longer than 15 seconds the user will be advised that the report will take longer. The resultant report will then be sent to the appropriate C/DCP folder on ITWS and an advisory email will be provided to the requestor.

Figure 1: CalOMS Treatment Data Collection Architecture



Interface & Report Guide

Each of the following pages includes a visual and textual presentation of information to assist CalOMS users. The following is a description of each page:

Basic ITWS Navigation for CalOMS – The Web Page Map – This is a High Level overview of the ITWS web pages and menu options that support CalOMS tasks.

Basic ITWS Navigation for CalOMS – Menu Options – This is a set of screen excerpts to illustrate the actual menu options in ITWS that support CalOMS tasks.

Error and Submissions Detail Report Screen – This is a screen excerpt that illustrates the ITWS screen used to request an Error and Submissions Detail Report.

Error and Submissions Detail Report - Common Questions & Answers – This is a list of common issues and questions related to requesting an Error and Submissions Detail Report.

Error and Submissions Detail Report Example – This is a report excerpt to illustrate the components of an Error and Submissions Detail Report.

Email... to submitter (Successful Submission) – This is an example of the email that is provided to the data submitter when a file submission has successfully processed through CalOMS.

Email... to submitter (Un-successful Submission) - This is an example of the email that is provided to the data submitter when a file submission has been rejected by CalOMS for file integrity reasons.

Figure 2: Basic ITWS Navigation for CalOMS – The Web Page Map

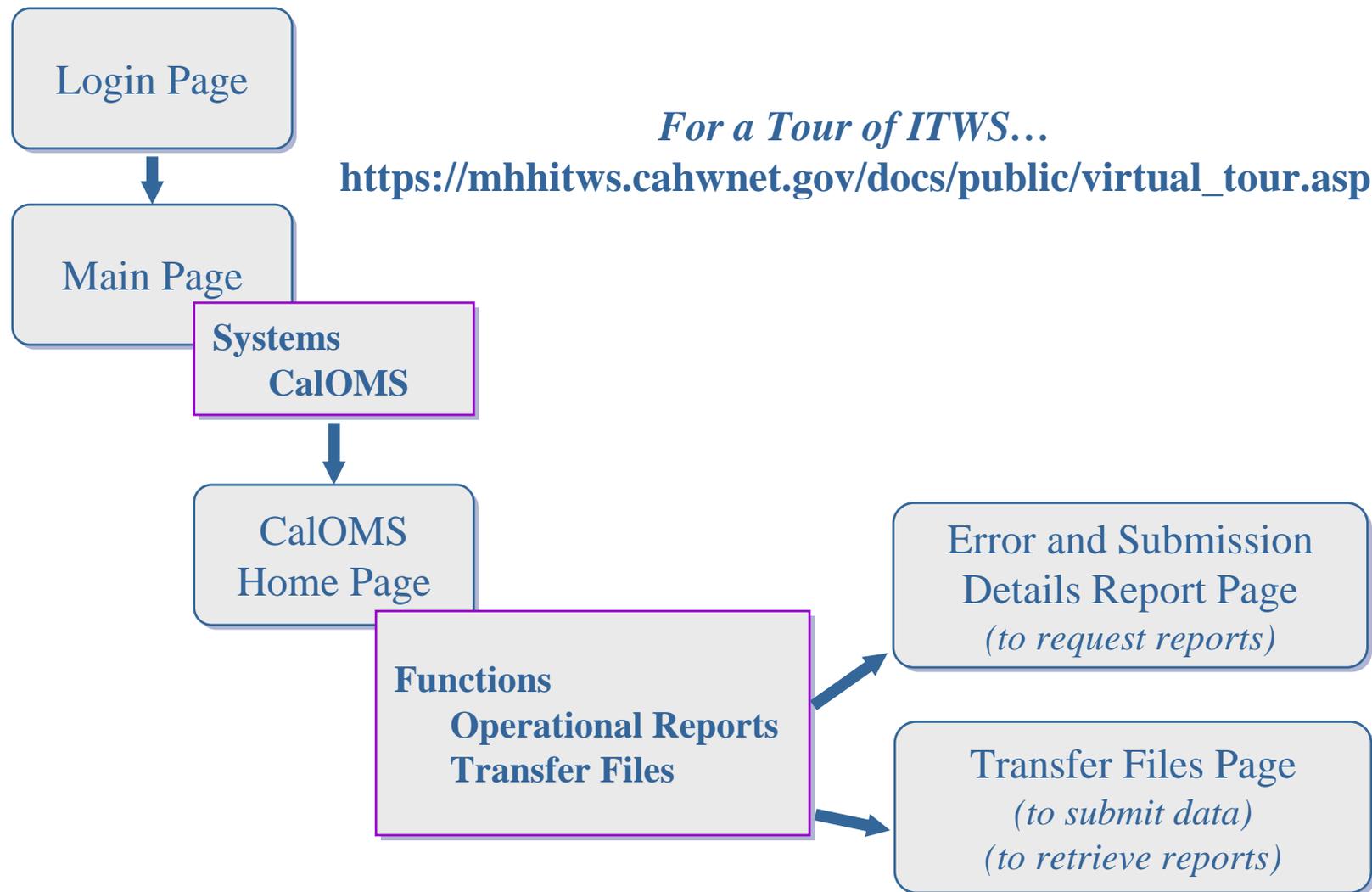


Figure 3: Basic ITWS Navigation for CalOMS – Menu Options

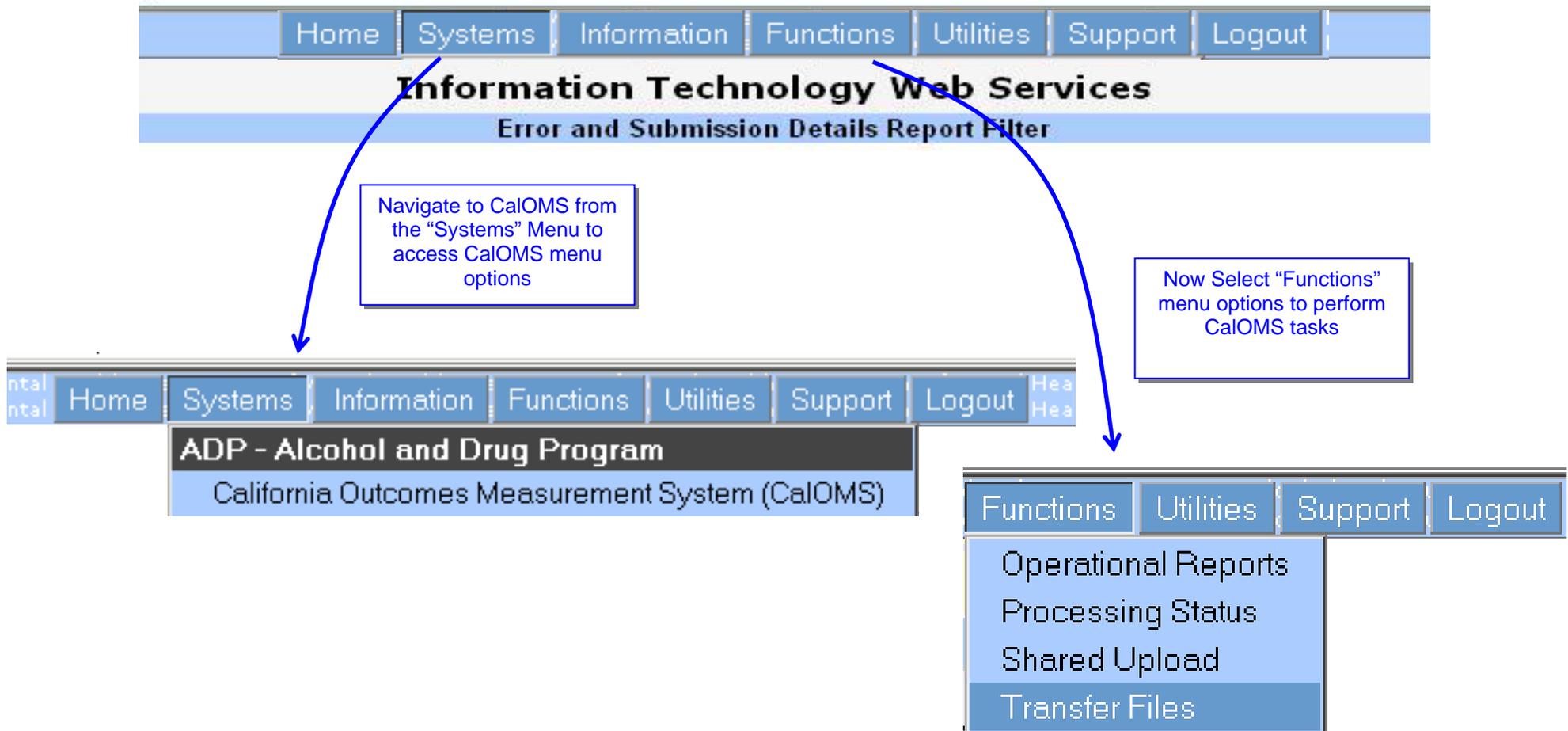


Figure 4: Error and Submissions Detail Report Screen

The screenshot shows a web interface for filtering reports. At the top is a navigation menu with links: Home, Systems, Information, Functions, Utilities, Support, Logout. Below this is the title 'Information Technology Web Services' and the subtitle 'Error and Submission Details Report Filter'. A paragraph explains that the report contains detailed file submission information for files submitted between specified start and end dates, including accepted and rejected submissions and error information.

The filter section includes:

- Start Date: End Date: (MM/DD/YYYY)
- C/DCP: Statewide (dropdown menu)
- Record Status: (Select) Alameda
- Buttons: View, Data Extract

Below the filter is a security notice: 'This site is best viewed with Internet Explorer version 5.5 and above. ©2004 California Department of Mental Health, Information Technology. For Security Information, click on the padlock'.

On the right, a dropdown menu lists C/DCP listings: Tehama, Trinity, Tulare, Tuolumne, Ventura (highlighted), Yolo, Yuba, 010121-Health Babies Project, Inc., 018106-Healthy Babies Project, Inc., 018107-Healthy Babies Project, Inc.

Callout boxes provide instructions:

- 'This text describes the purpose of this report filter screen.' points to the introductory paragraph.
- 'Enter a Start Date and End Date to define the Submission period that you would like to report on.' points to the date input fields.
- 'Select to see only submission errors or all submission information.' points to the Record Status dropdown.
- 'Select this button to View the PDF Report.' points to the View button.
- 'Select this button to extract the report data to an Excel file.' points to the Data Extract button.
- 'Only those C/DCP listings that you are authorized to see are listed here. Select one.' points to the C/DCP dropdown menu.

Figure 5: Error and Submissions Detail Report - Common Questions & Answers

This screen is used to generate the Error and Submission Details Report. The following are common questions and answers for this screen:

Q: What are the Start Date and End Date used for?

A: Start Date and End Date (entered as MM/DD/YYYY) are used to select the data that appears on the report. Only data that was submitted on or after the Start Date and on or before the End Date are included.

Q: Do I have to select a C/DCP?

A: Yes. To select a single C/DCP select one from the list. Hold down the Control Key <Ctrl> to select or deselect C/DCP's from the list.

Q: Why do some C/DCPs have a number?

A: The C/DCPs in the list with numbers are Direct Contract Providers. The list of C/DCPs is sorted with Counties first (by County code) followed by Direct Contract Providers (by ID number).

Q: Why do I have to enter a Record Status?

A: Record Status must be entered, so the system can select the submitted records appropriately. Only those records with the selected status will be included in the report.

Q: Can you define the various options for Record Status?

A: The options for submission status are as follows.

- **Errors** - Only those records that have errors will be included.
- **All** - All records will be included.

Q: What's the difference between View and Data Extract buttons?

A: The View button generates an Acrobat PDF file for viewing and printing. The Data Extract button generates an Excel file with the report data.

Figure 6: Error and Submissions Detail Report Example

Error and Submission Detailed Report

California Outcomes Measurement System

Report Dates: 10/3/2005 to 10/3/2005

Created By: testID1
Date Created: 10/3/2005 9:14:39 AM

C/DCP: Los Angeles

Submission Date: 10/3/2005 09:07:26 AM
File Name: ADP_COT_19_T_00057.TXT

Total Records: 3
Records Rejected: 2
Records Accepted: 1

Provider ID	Status	Report Month	Type of Form	Transaction Date	Form Number
198965	Rejected	200408	1	07/29/2005 00:00:00	D0234732
<p>ERRORS:</p> <p>(040) Gender invalid -- allowable value not provided. (176) IF FOTP Parolee is yes, gender must be female. (182) IF FOTP Priority Status is 1, 2, or 3 then Gender must be female (2).</p>					
198965	Rejected	200408	1	07/29/2005 00:00:00	D0234733
<p>ERRORS:</p> <p>(055) Consent invalid -- allowable value not provided. (231) Primary Drug invalid -- 0 is only allowed for discharge records. (281) Primary Drug Frequency invalid -- frequency must be 99902 since Primary Drug is None (0). (283) Primary Drug Route of Administration must be 99902 if Primary Drug Code is None (0). (241) Secondary Drug invalid -- 0 (none) was selected for Primary Drug, Secondary Drug must also be 0 (none).</p>					
198965	Accepted	200406	1	07/29/2005 00:00:00	D0234712

Accepted, Rejected, and Total record counts are presented here.

The errors are listed by Provider ID

Accepted or Rejected Status is indicated here

Who created this report and when is always here.

The Type of Form, Form Number, and Transaction Date for the record is presented here.

The Report Month is listed here.

Figure 7: Email... to submitter (Successful Submission)

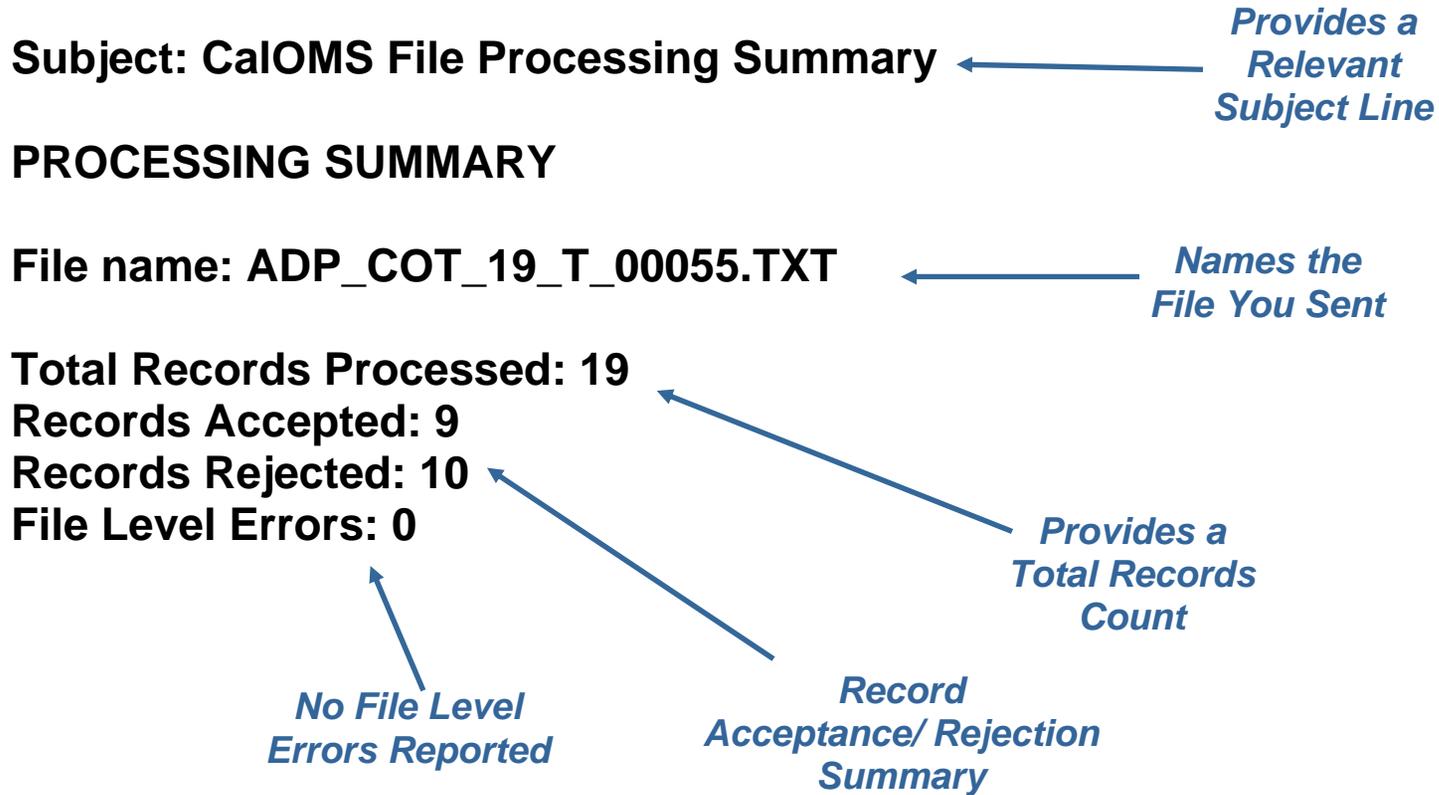
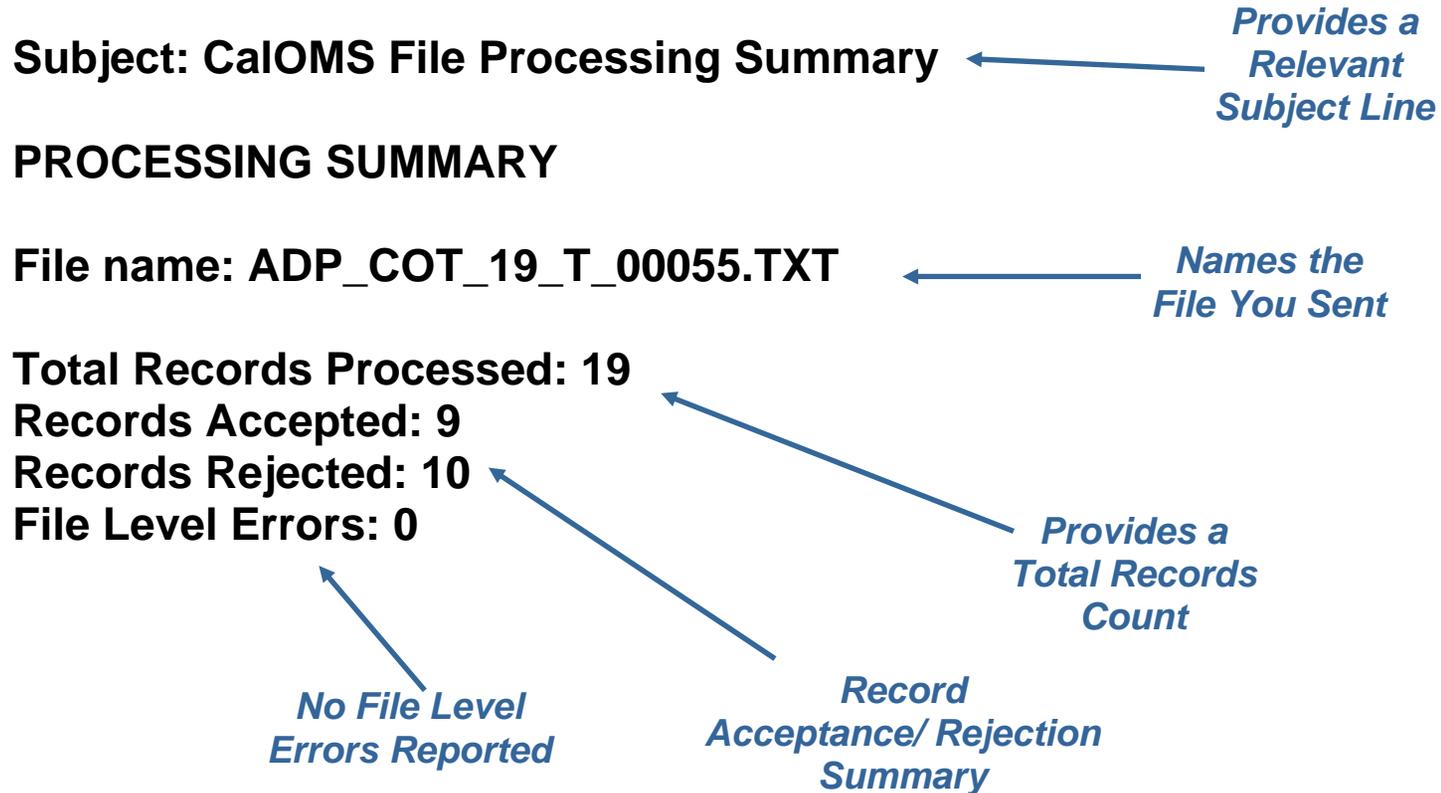


Figure 8: Email... to submitter (Un-successful Submission)



Attachments

Attachment 1: Glossary of Terms

C/DCP – County or Direct Contract Provider which are the entities that submit data to CalOMS.

CADDS – California Alcohol and Drug Data System which is a legacy system that is being replaced by CalOMS. CADDS will run in parallel to CalOMS until switchover is complete.

Data Extract button – button that runs a report in Microsoft Excel format.

Error Code – A three digit code that describes errors in data submitted to CalOMS.

Individual Service – a single service provided to an individual and recorded as an Admission, Discharge, or Annual Update record.

ITWS – System used by C/DCPs to submit data to CalOMS and retrieve reports.

Modality – Mode of treatment, based on the combination of Type of Service and Medication provided during service.

MPF – Master Provider File which is a database that includes all CalOMS providers.

Report Month – Month and Year that the services were provided.

Service Set – A matched Admission and Discharge pair.

Submission Month – Month and Year that the data was submitted to CalOMS.

Treatment Episode – A single or series of service sets for an individual, with no more than thirty days between service sets.

Trading Partner – C/DCP's who exchange CalOMS data and reports with ADP.

View button – button that runs a report in Adobe Acrobat format.

Attachment 2: Error Code Detail by Record Type

This is a tabular description of all error codes that are also correlated to record types where they are employed.

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
000	File is unreadable or not in expected format. File rejected.								
001	Record does not match expected record layout.								
008	Place of Birth – County is a value from 01 through 58 and Place of Birth – State is not CA.	CID-11b	X	X	X	X			
009	Place of Birth – County is Other(out of state) and Place of Birth – State is CA.	CID-11b	X	X	X	X			
010	Length of Provider ID is not valid. Length must be 6 characters.	ADM-3	X	X	X		X		
013	Provider ID not found in ADP's master Provider List.	ADM-3	X	X	X		X		
020	Form Serial Number is invalid.	TRN-3	X	X	X	X			
022	Current First Name is invalid – allowable value not provided.	CID-5	X	X	X	X			
023	Current First Name Invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	CID-5	X	X	X	X			
024	Current Last Name is invalid – allowable value not provided.	CID-6	X	X	X	X			
025	Current Last Name Invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	CID-6	X	X	X	X			
026	SSN Invalid – allowable value not provided.	CID-7	X	X	X	X			
027	SSN Invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	CID-7	X	X	X	X			
028	Place of Birth – State is CA and Place of Birth – County is not a value from 01 through 58.	CID-11a	X	X	X	X			

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
029	Place of Birth – State is not CA and Place of Birth – County is a value from 01 through 58.	CID-11a	X	X	X	X			
030	Birth Last Name invalid – value not provided	CID-10	X	X	X	X			
031	Birth First Name invalid – value not provided.	CID-9	X	X	X	X			
032	Zip Code invalid – allowable value not provided.	CID-8	X	X	X	X			
033	Zip Code can only be '00000' if living arrangements is 1 (homeless).	CID-8	X	X	X	X			
034	Zip Code Invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	CID-8	X	X	X	X			
035	Place of Birth County invalid – allowable value not provided.	CID-11a	X	X	X	X			
036	Place of Birth State invalid – allowable value not provided.	CID-11b	X	X	X	X			
037	Driver's License State was provided and no Driver's License Number was provided.	CID-12	X	X	X	X			
038	Driver's License Number invalid – allowable value not provided.	CID-12	X	X	X	X			
039	Driver's License Number Invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	CID-12	X	X	X	X			
040	Gender invalid – allowable value not provided.	CID-3	X	X	X	X			
042	Driver's License State invalid – allowable value not provided.	CID-13	X	X	X	X			
043	Driver's License State Invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	CID-13	X	X	X	X			
044	Driver's License State not provided and Driver's License Number provided.	CID-13	X	X	X	X			
045	Mother's First Name invalid – value not provided.	CID-14	X	X	X	X			

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
047	Veteran status invalid – allowable value not provided.	CID-17	X						
048	Veteran status invalid – status cannot be 1 (yes) if participant is younger than 17.	CID-17	X						
049	Veteran status Invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	CID-17	X						
050	Birth Date not provided in specified format MM/DD/YYYY.	CID-4	X	X	X	X			
052	Birth Date provided is not a valid calendar date.	CID-4	X	X	X	X			
053	Birth Date results in age younger than 5 years or older than 105 years.	CID-4	X	X	X	X			
055	Consent invalid – allowable value not provided.	CID-19	X	X	X				
056	Days Waited invalid – allowable value not provided.	ADM-6	X						
057	Days waited Invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	ADM-6	X						
060	Provider's Participant ID is not valid.	CID-2	X	X	X	X			
061	Provider's Participant ID provided in discharge record does not match the Provider's Participant ID provided at admission.	CID-2		X					
062	CalWORKs Recipient Status invalid – allowable value not provided.	ADM-8	X						
063	Treatment under CalWORKs invalid – allowable value not provided.	ADM-9	X						
064	Treatment under CalWORKs invalid – value can only be yes (1) if CalWORKs Recipient status is yes (1).	ADM-9	X						
080	Race was not indicated in record.	CID-15	X						
081	More than 5 races were indicated in record.	CID-15	X						
082	Multiple races provided without sub-delimiter.	CID-15	X						

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
083	One or more invalid races selected.	CID-15	X						
090	Ethnicity invalid – allowable value not provided.	CID-16	X						
100	Employment status invalid-allowable value not provided.	EMP-1	X	X	X	X			
101	Employment status invalid – value 1 not allowed for clients aged 14 or younger.	EMP-1	X	X	X	X			
110	Highest School Grade Completed Invalid– allowable value not selected.	EMP-5	X			X			
111	Highest School Grade Completed Invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	EMP-5	X			X			
120	Source of Referral invalid – allowable value not selected.	ADM-5	X						
130	Pregnant at admission is invalid –allowable value not provided.	MED-5	X						
131	Gender is male and Pregnant at Admission is Yes.	CID-3MED-5	X						
132	Gender is male and Pregnant at any time during treatment is Yes.	MED-6		X	X				
133	Pregnant at any time during treatment is invalid – allowable value not provided.	MED-6		X	X				
140	Criminal Justice Status invalid – allowable value not provided.	LEG-1	X			X			
141	Criminal Justice Status invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	LEG-1	X			X			
142	CDC Number invalid – allowable value not provided.	LEG-2	X			X			
143	A CDC Number must be provided ifPSN is yes.	LEG-2LEG-6	X						

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
144	A CDC Number must not be provided if the client age is under 18 years old.	LEG-2	X			X			
145	CDC Number invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	LEG-2	X			X			
146	Number of Arrests invalid – allowable value not provided.	LEG-3	X	X	X				
147	Number of Arrests invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	LEG-3	X	X	X				
148	Number of Days in Jail invalid – allowable value not provided.	LEG-4	X	X	X	X			
149	Number of Days in Jail invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	LEG-4	X	X	X	X			
150	Disability Code invalid – allowable value not provided.	CID-18	X	X	X				
151	Disability Code invalid – 1,99900, or 99904 included in multiple value selection.	CID-18	X	X	X				
152	Disability Code invalid – Client unable to answer is only allowed for detox clients.	CID-18	X	X	X				
153	Multiple disabilities provided without sub-delimiter.	CID-18	X	X	X				
160	Admission Date not provided in specified format MM/DD/YYYY.	ADM-1	X	X	X				
161	Admission Year not within the allowable ranges.	ADM-1	X	X	X				
162	Admission Date provided is not a valid calendar date.	ADM-1	X	X	X				
163	Admission Date is more than 5years earlier than the transaction date.	ADM-1	X	X	X				
164	Annual Update Date not provided in specified format MM/DD/YYYY.	AUP-1			X				
165	Annual Update Year not within the allowable ranges.	AUP-1			X				

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
166	Annual Update Date provided is not a valid calendar date.	AUP-1			X				
167	Annual Update Date is more than 5years earlier than the transaction date.	AUP-1			X				
168	Annual Update Date is on or before the admission date.	AUP-1			X				
169	Annual Update Date is more than 60days earlier than 1 year after admission date.	AUP-1			X				
170	Number of Days in Prison invalid –allowable value not provided.	LEG-5	X	X	X	X			
171	Number of Days in Prison invalid –Client unable to answer is only allowed for detox clients or developmentally disabled clients.	LEG-5	X	X	X	X			
172	PSN Status invalid – allowable value not provided.	LEG-6	X						
173	PSN Status invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	LEG-6	X						
174	FOTP Parolee invalid – allowable value not provided.	LEG-7	X						
175	FOTP Parolee invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	LEG-7	X						
176	IF FOTP Parolee is yes, gender must be female.	LEG-7	X						
178	A CDC Number must be provided if FOTP Parolee is yes.	LEG-2LEG-7	X						
179	FOTP Priority status invalid – allowable value not provided.	LEG-8	X						
180	FOTP Priority Status invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	LEG-8	X						
181	IF FOTP Priority Status is 1, 2,or 3 then FOTP Parolee cannot be no (0).	LEG-7LEG-8	X						

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
182	IF FOTP Priority Status is 1, 2, or 3 then Gender must be female (2).	LEG-8	X						
183	IF FOTP Priority Status is 1, 2, or 3 then a CDC number must be provided.	LEG-8	X						
184	Medi-cal beneficiary invalid – allowable value not provided.	MED-1	X						
185	Medi-cal beneficiary invalid-Client unable to answer is only allowed for detox clients or developmentally disabled clients.	MED-1	X						
186	Emergency Room invalid – allowable value not provided	MED-2	X	X	X	X			
187	Emergency Room invalid- Client unable to answer is only allowed for detox clients or developmentally disabled clients	MED-2	X	X	X	X			
188	Hospital Overnight invalid – allowable value not provided.	MED-3	X	X	X	X			
189	Hospital Overnight invalid- Client unable to answer is only allowed for detox clients or developmentally disabled clients.	MED-3	X	X	X	X			
190	Admission Transaction Type invalid– allowable value not provided.	ADM-2	X						
191	Medical Problems invalid – Medical Problems must be greater than 0 since Emergency or Overnight last 30 days are greater than 0.	MED-4	X	X	X	X			
192	Medical Problems invalid – allowable value not provided.	MED-4	X	X	X	X			
193	Medical Problems invalid- Client unable to answer is only allowed for detox clients or developmentally disabled clients.	MED-4	X	X	X	X			
194	Communicable Disease: Tuberculosis invalid – allowable value not provided.	MED-8	X						
195	Communicable Disease: Tuberculosis invalid- Client unable to answer is only allowed for detox clients or developmentally disabled clients.	MED-8	X						

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
196	Communicable Disease: Hepatitis C invalid – allowable value not provided.	MED-9	X						
197	Communicable Disease: Hepatitis C invalid- Client unable to answer is only allowed for detox clients or developmentally disabled clients.	MED-9	X						
198	Communicable Disease: STD invalid– allowable value not provided.	MED-10	X						
199	Communicable Disease: STD invalid- Client unable to answer is only allowed for detox clients or developmentally disabled clients.	MED-10	X						
200	Type of Service invalid – allowable value not provided.	ADM-4	X	X					
201	Type of Service invalid – service does not match services on ADP Master Provider File.	ADM-4	X	X					
203	HIV Tested invalid – allowable value not provided.	MED-11	X	X	X	X			
204	HIV Tested invalid- Client unable to answer is only allowed for detox clients or developmentally disabled clients.	MED-11	X	X	X	X			
206	HIV Test Results invalid – allowable value not provided.	MED-12	X	X	X	X			
207	HIV Test results can only be yes(1) if HIV Tested is yes (1).	MED-12	X	X	X	X			
208	HIV Test Results invalid- Client unable to answer is only allowed for detox clients or developmentally disabled clients.	MED-12	X	X	X	X			
210	Medication Prescribed invalid – allowable value not provided.	MED-7	X						
212	Medication Prescribed invalid – Medication is Meth or LAMM and provider has no license for narcotic replacement.	MED-7	X						
214	Mental Illness invalid – allowable value not provided.	MHD-1	X	X	X	X			
215	Emergency Room Use / Mental Health invalid – allowable value not provided.	MHD-2	X	X	X	X			

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
216	Emergency Room Use / Mental Health invalid- Client unable to answer is only allowed for detox clients or developmentally disabled clients.	MHD-2	X	X	X	X			
217	Psychiatric Facility Use invalid –allowable value not provided.	MHD-3	X	X	X	X			
218	Psychiatric Facility Use invalid-Client unable to answer is only allowed for detox clients or developmentally disabled clients.	MHD-3	X	X	X	X			
220	Number of Prior Episodes invalid – allowable value not selected.	ADM-7	X						
221	Number of Prior Episodes invalid –Client unable to answer is only allowed for detox clients or developmentally disabled clients.	ADM-7	X						
223	Mental Health Medication invalid –allowable value not provided	MHD-4	X	X	X	X			
224	Mental Health Medication invalid-Client unable to answer is only allowed for detox clients or developmentally disabled clients.	MHD-4	X	X	X	X			
226	Social Support invalid – allowable value not provided.	SOC-1	X	X	X	X			
227	Current Living arrangement invalid– allowable value not provided.	SOC-2	X	X	X	X			
228	Current Living arrangement invalid– value can be 1 (homeless) only if zip code is '00000'.	SOC-2	X	X	X	X			
230	Primary Drug invalid – allowable value not provided.	ADU-1a	X	X	X	X			
231	Primary Drug invalid – 0 is only allowed for discharge records.	ADU-1a	X	X	X	X			
232	Primary Drug name invalid – a Primary Drug Code was selected that requires that the drug name be specified.	ADU-1a ADU-1b	X	X	X	X			
233	Primary Drug name invalid – a drug name was provided and primary drug code is 0, 1, 2, 5, 8, 9, 10, 14, 15, 19or 99901.	ADU-1b	X	X	X	X			

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
234	Primary Drug name invalid - allowable value not provided.	ADU-1b	X	X	X	X			
236	Living with Someone invalid – allowable value not selected.	SOC-3	X	X	X	X			
237	Living with Someone invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	SOC-3	X	X	X	X			
238	Family Conflict invalid – allowable value not selected.	SOC-4	X	X	X	X			
239	Family Conflict invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	SOC-4	X	X	X	X			
240	Secondary Drug invalid – allowable value not provided.	ADU-5a	X	X	X	X			
241	Secondary Drug invalid – 0 (none) was selected for Primary Drug, Secondary Drug must also be 0 (none).	ADU-5a		X					
242	Secondary Drug name invalid – a Secondary Drug was selected that requires that the drug name be specified.	ADU-5a ADU-5b	X	X	X	X			
243	Secondary Drug name invalid – a drug name was provided and secondary drug code is 0, 1, 2, 5, 8, 9, 10, 14,15, 19 or 99901.	ADU-5b	X	X	X	X			
244	Secondary Drug code invalid – A specific Secondary Drug cannot be the same as the Primary Drug.	ADU-5a	X	X	X	X			
245	Secondary Drug name invalid – The Secondary Drug name cannot be the same as the Primary Drug Name.	ADU-5b	X	X	X	X			
246	Secondary Drug name invalid - allowable value not provided.	ADU-5b	X	X	X	X			
250	Number of Children (age 17 and younger) invalid – allowable value not selected.	SOC-5	X	X	X	X			
251	Number of Children (age 17 and younger) invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	SOC-5	X	X	X	X			
252	Number of Children (age 5 and younger) invalid – allowable value not selected.	SOC-6	X	X	X	X			

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
253	Number of Children (age 5 and younger) invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	SOC-6	X	X	X	X			
254	Number of Children (age 5 and younger) invalid – value must be less than or equal to Number of Children (age17 and younger).	SOC-6	X	X	X	X			
255	Children living with someone else invalid – allowable value not selected.	SOC-7	X	X	X	X			
256	Children living with someone else invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	SOC-7	X	X	X	X			
257	Children living with someone else invalid – value must be less than or equal to Number of Children (age 17 and younger).	SOC-7	X	X	X	X			
260	Primary Drug Route of Administration invalid – allowable value not provided.	ADU-3	X	X	X	X			
261	Primary Drug Code is inhalant (17) and Primary Drug Route of Administration is not inhalation (3).	ADU-3	X	X	X	X			
262	Primary Drug Code is alcohol (2) and Primary Drug Route of Administration is not oral (1).	ADU-3	X	X	X	X			
263	Primary Drug Route of Administration must be 99902 if Primary Drug Code is None (0).	ADU-3		X					
264	Primary Drug Route of Administration invalid - 99902 is only allowed if Primary Drug Code is None (0).	ADU-3	X	X	X	X			
266	Children living with someone else(rights terminated) invalid – allowable value not selected.	SOC-8	X	X	X	X			
267	Children living with someone else(rights terminated) invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	SOC-8	X	X	X	X			

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
268	Children living with someone else(rights terminated) invalid – value must be less than or equal to Number of Children (age 17 and younger).	SOC-8	X	X	X	X			
270	Secondary Drug Route of Administration invalid – allowable value not provided.	ADU-7	X	X	X	X			
271	Secondary Drug Code is inhalant (17) and Secondary Drug Route of Administration is not inhalation (3).	ADU-7	X	X	X	X			
272	Secondary Drug Code is alcohol (2) and Secondary Drug Route of Administration is not oral (1).	ADU-7	X	X	X	X			
273	Secondary Drug Route of Administration must be 99902 if Secondary Drug Code is None (0).	ADU-7	X	X	X	X			
274	Secondary Drug Route of Administration invalid - 99902 is only allowed when Secondary Drug Code is None (0).	ADU-7	X	X	X	X			
280	Primary Drug Frequency invalid – allowable value not provided.	ADU-2	X	X	X	X			
281	Primary Drug Frequency invalid – frequency must be 99902 since Primary Drug is None (0).	ADU-2		X					
282	Primary Drug Frequency invalid – 99902 is only valid if Primary Drug is None (0).	ADU-2	X	X	X	X			
283	Alcohol Frequency invalid – allowable value not provided.	ADU-9	X	X	X	X			
284	Alcohol Frequency invalid – since Primary or Secondary Drug is Alcohol, value must be 99902.	ADU-9	X	X	X	X			
286	IV Use invalid – allowable value not provided.	ADU-10	X	X	X	X			
287	IV Use invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	ADU-10	X	X	X	X			
290	Secondary Drug Frequency invalid – allowable value not provided.	ADU-6	X	X	X	X			

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
291	Secondary Drug Frequency invalid – value must be 99902 if Secondary Drug is None (0).	ADU-6	X	X	X	X			
292	Secondary Drug Frequency invalid –99902 is only valid if Secondary Drug is None (0).	ADU-6	X	X	X	X			
293	Work in the Past 30 Days invalid –allowable value not provided.	EMP-2	X	X	X	X			
294	Work in Past 30 Days invalid-Client unable to answer is only allowed for detox clients or developmentally disabled clients.	EMP-2	X	X	X	X			
295	Enrolled in School invalid – allowable value not provided.	EMP-3	X	X	X	X			
296	Enrolled in School invalid- Client unable to answer is only allowed for detox clients or developmentally disabled clients.	EMP-3	X	X	X	X			
297	Enrolled in Job Training invalid –allowable value not provided.	EMP-4	X	X	X	X			
298	Enrolled in Job Training invalid-Client unable to answer is only allowed for detox clients or developmentally disabled clients.	EMP-4	X	X	X	X			
300	Primary Drug Age of First Use invalid – allowable value not provided.	ADU-4	X						
301	Primary Drug Age of First Use invalid – age of first use greater than age at admission.	ADU-4	X						
302	Primary Drug Age of First Use invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	ADU-4	X						
310	Secondary Drug Age of First Use invalid – allowable value not provided.	ADU-8	X						
312	Secondary Drug Age of First Use invalid – value must be 99902 if Secondary drug is None.	ADU-8	X						
313	Secondary Drug Age of First Use invalid – age of first use greater than age at admission.	ADU-8	X						

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA Header	EOF
314	Secondary Drug Age of First Use invalid - 99902 is only valid if Secondary drug is None.	ADU-8	X					
320	Needle use in the last 12 months invalid – allowable value not provided.	ADU-11	X			X		
321	Needle use must be Yes (1) since Primary Drug route is injection and Primary Drug Frequency is greater than or equal to 1.	ADU-11	X			X		
322	Needle use must be Yes (1) since Secondary Drug route is injection and Secondary Drug Frequency is greater than or equal to 1.	ADU-11	X			X		
323	Needle use must be Yes (1) since IV Use is greater than 0.	ADU-11	X			X		
324	Needle Use invalid – Client unable to answer is only allowed for detox clients or developmentally disabled clients.	ADU-11	X			X		
330	Special Services County Code invalid – allowable value not provided.	ADM-10	X					
331	Special Services County Code must be provided if a Special Services Contract ID is provided.	ADM-10	X					
340	Special Services Contract ID invalid – allowable value not provided.	ADM-11	X					
341	Special Services Contract ID must be provided if a Special Services County Code is provided.	ADM-11	X					
342	Special Services Contract ID does not match the Master Provider File.	ADM-11	X					
350	Submitting County Code not valid.	SYS-2					X	
351	Submitting Provider ID does not match the Master Provider File.	SYS-2					X	
370	Discharge Date not provided in specified format MM/DD/YYYY.	DIS-1		X				

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
371	Discharge Year not within the allowable ranges.	DIS-1		X					
372	Discharge Date provided is not a valid calendar date.	DIS-1		X					
373	Discharge Date is more than 5 years earlier than the transaction date.	DIS-1		X					
374	Unmatched Discharge record. No matching (on FSN) admission record found.	TRN-1		X					
380	Type of form invalid – allowable value not specified.	TRN-1							
381	Transaction Date and Time not provided in specified format.	TRN-2	X	X	X	X			
382	Transaction Year not within allowable ranges.	TRN-2	X	X	X	X			
383	Transaction Date provided is not a valid calendar date.	TRN-2	X	X	X	X			
384	Transaction Date and Time is later than the current date and time.	TRN-2	X	X	X	X			
391	Discharge Date is before the admission date.	ADM-1 DIS-1		X					
400	Discharge Status invalid – allowable value not provided.	DIS-2		X					
460	Duplicate Admission Record.	TRN-1	X						
461	Duplicate Annual Update Record.	TRN-1			X				
462	Annual Update Record submitted with no matching (on FSN) admission.	TRN-1			X				
463	Duplicate Discharge Record.	TRN-1		X					
464	Deletion of Admission Record submitted with no match found.	TRN-1	X						
465	Deletion of Discharge Record submitted with no match found.	TRN-1		X					
466	Deletion of Annual Update Record submitted with no match found.	TRN-1			X				

Error Code	Field2	Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
467	Duplicate Follow Up record.	TRN-1				X			
468	Deletion of Follow Up Record submitted with no match found.	TRN-1				X			
500	System Record Indicator invalid – allowable value not provided.	SYS-1					X	X	X
501	Report Month invalid – allowable value not provided.	SYS-3					X		
502	Report Month invalid – Report month after the current month and year.	SYS-3					X		
520	Submission Status invalid – allowable value not selected.	SYS-4					X		
530	File Version invalid – allowable value not selected.	SYS-5						X	
540	Annual Update Number invalid – allowable value not provided.	AUP-2			X				
800	Follow-up Date not provided in specified format MM/DD/YYYY.	FUP-1				X			
801	Follow Up Year not within the allowable ranges.	FUP-1				X			
802	Follow Up Date provided is not a valid calendar date.	FUP-1				X			
803	Follow Up Date is more than 5 years earlier than the transaction date.	FUP-1				X			
840	Sample ID submitted does not match the CalOMS records.	FUP-2				X			
850	Sampling Event ID submitted does not match the CalOMS records.	FUP-3				X			
900	FSN provided in discharge record does not match an admission record.	TRN-3		X					

Attachment 3: Record Types for Each Data Element

This information supplements the Data Dictionary by providing a tabular listing of all data elements and an indication of use for each record type

Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
SYS-1					X	X	X
SYS-2						X	
SYS-3					X		
SYS-4					X		
SYS-5						X	
TRN-1	X	X	X	X			
TRN-2	X	X	X	X			
TRN-3	X	X	X	X			
DIS-1		X					
DIS-2		X					
AUP-1			X				
AUP-2			X				
FUP-1				X			
FUP-2				X			
FUP-3				X			
ADM-1	X	X	X				
ADM-2	X						
ADM-3	X	X	X		X		
ADM-4	X	X					
ADM-5	X						
ADM-6	X						
ADM-7	X						
ADM-8	X						
ADM-9	X						
ADM-10	X						
ADM-11	X						
CID-2	X	X	X	X			
CID-3	X	X	X	X			
CID-4	X	X	X	X			

Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
CID-5	X	X	X	X			
CID-6	X	X	X	X			
CID-7	X	X	X	X			
CID-8	X	X	X	X			
CID-9	X	X	X	X			
CID-10	X	X	X	X			
CID-11a	X	X	X	X			
CID-11b	X	X	X	X			
CID-12	X	X	X	X			
CID-13	X	X	X	X			
CID-14	X	X	X	X			
CID-15	X						
CID-16	X						
CID-17	X						
CID-18	X	X	X				
CID-19	X	X	X				
ADU-1a	X	X	X	X			
ADU-1b	X	X	X	X			
ADU-2	X	X	X	X			
ADU-3	X	X	X	X			
ADU-4	X						
ADU-5a	X	X	X	X			
ADU-5b	X	X	X	X			
ADU-6	X	X	X	X			
ADU-7	X	X	X	X			
ADU-8	X						
ADU-9	X	X	X	X			
ADU-10	X	X	X	X			
ADU-11	X			X			
EMP-1	X	X	X	X			
EMP-2	X	X	X	X			
EMP-3	X	X	X	X			
EMP-4	X	X	X	X			

Elements	Admission	Discharge	Annual Update	Follow Up	PNA	Header	EOF
EMP-5	X			X			
LEG-1	X			X			
LEG-2	X			X			
LEG-3	X	X	X				
LEG-4	X	X	X	X			
LEG-5	X	X	X	X			
LEG-6	X						
LEG-7	X						
LEG-8	X						
MED-1	X						
MED-2	X	X	X	X			
MED-3	X	X	X	X			
MED-4	X	X	X	X			
MED-5	X						
MED-6		X	X				
MED-7	X						
MED-8	X						
MED-9	X						
MED-10	X						
MED-11	X	X	X	X			
MED-12	X	X	X	X			
MHD-1	X	X	X	X			
MHD-2	X	X	X	X			
MHD-3	X	X	X	X			
MHD-4	X	X	X	X			
SOC-1	X	X	X	X			
SOC-2	X	X	X	X			
SOC-3	X	X	X	X			
SOC-4	X	X	X	X			
SOC-5	X	X	X	X			
SOC-6	X	X	X	X			
SOC-7	X	X	X	X			
SOC-8	X	X	X	X			